

**Oscillations of a fluvial-lacustrine system and its ecological response prior to the end-Triassic: evidence from the eastern Tethys region**

Journal:	<i>Geological Journal</i>
Manuscript ID	GJ-22-0422.R1
Wiley - Manuscript type:	Research Article
Date Submitted by the Author:	06-Nov-2022
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Keywords:	<p><b>&lt;b&gt;sedimentary oscillations&lt;/b&gt;, &lt;b&gt;floral community succession&lt;/b&gt;, &lt;b&gt;Central Atlantic Magmatic Province&lt;/b&gt;, &lt;b&gt;end-Triassic mass extinction&lt;/b&gt;, &lt;b&gt;Sichuan Basin&lt;/b&gt;</b></p>

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# Oscillations of a fluvial-lacustrine system and its ecological response prior to the end-Triassic: evidence from the eastern Tethys region

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20 **Abstract**

21 The end-Triassic mass extinction is considered as one of the “Big Five” extinction events in the

22 Phanerozoic. However, whether the terrestrial ecosystem began to deteriorate or even collapse prior

23 to the Triassic–Jurassic transition remains controversial. Compared with the documented data from  
24 the western Tethyan region, evidence from the eastern Tethyan realm is limited. We undertake a  
25 fitting analysis of the sedimentary system, floral community successions and major geological events  
26 of the Xujiahe Formation as reflected by the Qilixia Section, Xuanhan area, northeast Sichuan Basin,  
27 China. Our results reveal an oscillating fluvial-lacustrine depositional system during the Late  
28 Triassic, with the dominant sedimentary processes mainly controlled by the Indosinian Movement.  
29 Beside the sedimentary influence on the Xujiahe Flora, climate changes played a more important  
30 role. Fluctuating conditions to cooler and dryer climates at this time promoted diversification of  
31 gymnosperms under an overall warm and humid climate setting in the Late Triassic in the Xuanhan  
32 area. Superimposed on this oscillating long-term climate state, ecosystem destabilization occurred  
33 over one million years prior to the Tr–J interval in the Xuanhan study-area, possibly in response to  
34 the intensified storm and wildfire activity and the following environmental changes. Although the  
35 Xujiahe Flora always recover from the interruption of the tectonic movement, it ultimately collapsed  
36 under extreme climatic events and ecological pressures induced by the Late Triassic CAMP event.

37

38 **Keywords: sedimentary oscillations, floral community succession, Central Atlantic Magmatic**  
39 **Province, end-Triassic mass extinction, Sichuan Basin.**

40

## 41 1 Introduction

42 The Triassic-Jurassic transition (Tr–J,  $201.36 \pm 0.17$  Ma, [Wotzlaw et al., 2014](#)) is marked by the  
43 end-Triassic mass extinction, one of the “Big Five” extinction events of the Phanerozoic ([Sepkoski,](#)  
44 [1981](#); [Raup and Sepkoski, 1982](#); [Benton, 1995](#)). The biotic turnover, ecological crisis, and  
45 environmental background across the Tr–J transition have drawn significant attention over the last  
46 decades ([Hesselbo et al., 2007](#); [Barash, 2015](#)). The impact of the end-Triassic mass extinction on  
47 marine organisms has been extensively documented (e.g., radiolarians, [Hallam, 2002](#); foraminifera,  
48 [Michalík et al., 2007](#); ammonites and brachiopods, [Tomašových and Siblík, 2007](#); corals and  
49 calcisponges, [Stanley et al., 2018](#); bivalves, [Atkinson et al., 2019](#)). Bio- and chemo-stratigraphic data  
50 and stratigraphic correlations of sea-level changes, ocean acidification and release of greenhouse  
51 gases (e.g., CO<sub>2</sub>, CH<sub>4</sub>) suggest this event to be triggered by the breakup of Pangea and particularly by  
52 the eruptions of the Central Atlantic Magmatic Province (CAMP) at that time ([Marzoli et al., 2004](#);  
53 [Van de Schootbrugge et al., 2009](#); [Whiteside et al., 2010](#); [Ruhl et al., 2011, 2020](#); [Percival et al.,](#)  
54 [2017](#); [Capriolo et al., 2020](#); [He et al., 2020](#)). Extreme climatic events caused by significant global  
55 warming led to habitat and ecosystem disruption and destruction, with palynological and  
56 geochemical data suggesting this to have occurred simultaneously in the terrestrial and marine realms  
57 ([McElwain et al., 1999](#); [Hesselbo et al., 2002](#); [Cleveland et al., 2008](#); [Götz et al., 2009](#); [Williford et](#)  
58 [al., 2009](#); [Korte et al., 2019](#)). However, the extinction patterns across this event remain controversial,  
59 with some suggestions for multiple extinction events throughout the Late Triassic instead of a single  
60 mass extinction at the end of the Late Triassic ([Benton, 1986](#); [Hallam, 2002](#); [Lucas and Tanner,](#)  
61 [2015, 2018](#)). Considerable controversy derives from the response of terrestrial vegetation to these  
62 events. Studies of the plant taxonomic records from Greenland, North America, Europe and  
63 Gondwana revealed a significant floral turnover at species and community levels ([Fowell and Olsen,](#)  
64 [1993](#); [McElwain et al., 1999](#); [Olsen et al., 2002](#); [McElwain and Punyasena, 2007](#); [Küerschner et al.,](#)  
65 [2007](#); [Belcher et al., 2010](#); [Kustatscher et al., 2018](#)). Other works considered that plant community

66 changes across the Tr–J transition were linked to gradual and adaptive ecological reorganization  
67 related to long-term environmental variations, suggesting terrestrial plant changes to be local  
68 turnovers rather than associated with a mass extinction ([Cascales-Miñana and Cleal, 2012](#); [Barbacka  
69 et al. 2017](#); [Lucas and Tanner, 2018](#); [Zhou et al., 2021](#)). As most studies on the end-Triassic mass  
70 extinction were carried out in the western Tethyan realm, especially in Europe and North America,  
71 critical insight on global changes, including as recorded in the eastern Tethyan realm is largely  
72 missing.

73 Terrestrial Tr–J transition sequences are well developed in the Sichuan Basin, southwestern  
74 China, representing the most expanded Tr–J strata in the eastern Tethyan realm ([Wang et al., 2010](#)).  
75 Fundamental studies on regional geology, stratigraphy and palaeontology were previously conducted  
76 ([Wang et al., 2010](#)), showing abundant yields of diverse plant fossils, represented by the Xujiahe  
77 Flora, in the Upper Triassic sequences of the Sichuan Basin ([Ye et al., 1986](#); [Huang, 1995](#); [Wang et  
78 al., 2010](#)). The Xujiahe Flora has been suggested to be flourishing under a tropical-subtropical hot  
79 and humid climatic conditions ([Lee, 1964](#); [Ye et al., 1986](#); [Wu, 1983](#); [Sun, 1995](#)). In recent years,  
80 studies on systematic palaeobotany ([Wang et al., 2015](#); [Lu et al., 2021](#); [Xu et al., 2021](#)), palynology  
81 ([Liu et al., 2015](#); [Li et al., 2016, 2018](#)), as well as sedimentology and geochemistry ([Zhu et al., 2017](#);  
82 [Pole et al., 2018](#); [Lu et al., 2019](#); [Shen et al., 2022](#)) were conducted for these Upper Triassic  
83 sequences of the Sichuan Basin, showing notable temporal variations in climate at that time ([Tian et  
84 al., 2016](#); [Lu et al., 2019](#); [Li et al., 2020](#); [Li et al., 2021](#)). The environmental evolution during the  
85 Late Triassic in the northeastern Sichuan Basin is yet poorly understood, it is critical to provide  
86 insight on the level of ecosystem stability, deterioration and/or collapse prior to the Tr–J transition in  
87 this region.

88 Here, we investigate the sedimentary succession and sedimentary features of the Upper Triassic  
89 sequences in the northeastern Sichuan Basin, with the emphasis on the significance of the macro- and  
90 micro-floral records related to their depositional context. We aim to reveal the

91 environmental/climatic oscillations and ecological responses during the Rhaetian (Late Triassic) in  
92 the northeastern Sichuan Basin, next to discussion on the wider stability of terrestrial ecosystem prior  
93 to the Tr–J transition.

## 94 **2 Geological Settings**

95 The Sichuan Basin occurred on the northern frame of the eastern Tethyan realm (Fig. 1-A). This  
96 basin is one of the largest sedimentary basins in southwestern China, covering the eastern Sichuan  
97 Province and the majority of Chongqing City (Fig. 1-B). Its tectonic evolution can be divided into  
98 three stages: basement formation, craton basin and foreland basin (Wang et al., 2010). The igneous  
99 basement of the Sichuan Basin is Meso-Neoproterozoic in age. The sedimentary cover of the Sichuan  
100 Basin recorded a stable craton development stage, reflected by a set of shallow platform carbonate  
101 deposits. When the South China Block, the North China Block and the Songpan-Ganzi Terrane  
102 collided during the Late Triassic, the Sichuan foreland basin was formed, and the depositional  
103 environment changed from marine to terrestrial (Wang et al., 2010). During this time interval, both  
104 the end-Triassic mass extinction and the long-term formation of the Sichuan Basin were accurately,  
105 and continuously recorded in the Upper Triassic deposits.

106 The Upper Triassic sequences of the Sichuan Basin are represented by the Xujiahe Formation, a  
107 succession of clastic rocks with abundant coal and gas resources (Wang et al., 2010). The formation  
108 is widely distributed and well outcropped in the eastern and northeastern margins of the basin. The  
109 Qilixia Section is one of the key and well-known Upper Triassic-Lower Jurassic sections in this area,  
110 occurring close to Qili town in Xuanhan County, Dazhou City (Figs. 1-B, C). The Xujiahe Formation  
111 is about 520 m thick, outcropping along the road from Xuanhan to Kaijiang counties. The Xujiahe  
112 Formation unconformably overlies the Middle Triassic Leikoupo Formation and it is conformably  
113 overlain by the Lower Jurassic Zhenzhuchong Formation. The Xujiahe Formation is divided into  
114 seven members (Members I–VII) with distinct lithological boundaries between each member (Wang  
115 et al., 2010). Previous palaeobotanical and palynological data suggest that the Xujiahe Formation is

116 Norian to Rhaetian in age (Ye et al., 1986; Li et al., 2016, 2018, 2020). The combined cyclo- and  
117 magneto-stratigraphic record for this section and formation demonstrate that the age of the Xujiahe  
118 Formation spans from the latest Norian to the Rhaetian, i.e., from 207.2 Ma to 201.3 Ma (Li et al.,  
119 2017).

120 (Fig. 1 is approximately here)

### 121 3 Material and Methods

122 The Qilixia Section was here studied and sampled for sedimentological investigations. Facies  
123 analyses were conducted according to precise sequence data and sedimentary features (Figs. 2-4).

124 Published plant macrofossils of the Xujiahe Formation at the Qilixia Section were compiled and  
125 analyzed, revealing their ecology (Ye et al., 1986; Wu, 1999; Wang et al., 2010; Lu, 2019), and new  
126 plant specimens were collected and supplemented to the previously existing dataset, next to  
127 sporomorph data (Li et al., 2016, 2020; Lu et al., 2020).

128 Photographs of plant fossils were taken with a Nikon® Z7 digital camera with an Z 24-70mm  
129 f/4 S lens. The photographs of plant fossils and lithologies of the Xujiahe Formation were corrected  
130 only for contrast and sharpness using Adobe® Photoshop®. The line drawings of some plant fossils  
131 were produced using CorelDRAW® 2021. The selected specimens of plant fossils reported and  
132 figured here are housed in the Nanjing Institute of Geology and Palaeontology, Chinese Academy of  
133 Sciences, Nanjing, China with catalogue numbers: QLX2014103, QLX2014146, QLX2014159,  
134 QLX2014163, QLX2014170, QLX2014350, QLX2014399, QLX-2105-15U-542.

### 135 4 Results

#### 136 4.1 Lithology

137 The Xujiahe Formation comprises seven lithological members (Members I-VII) in the studied  
138 section. Stratigraphic boundaries between each member and bed are distinct and they are easily  
139 recognized through lithological features (Figs. 2-A, B). The Xujiahe Formation is represented by a  
140 succession of dominant sandstone and mudstone beds, while, conglomerates, coal seams and thin

141 layers of concretions were also deposited. Rock colors, sedimentary structures, coal accumulation  
142 and fossil preservation further reflect the Late Triassic depositional features.

143 (Fig. 2 is approximately here)

144 The members II, IV and VI of the Xujiahe Formation are dominated by thick sandstone beds,  
145 mostly medium to fine grained feldspathic-quartz and quartz sandstone, showing grayish to gray  
146 colors (Fig. 2-C). Carbonized plant branches are preserved in these sandstone beds (Fig. 2-D). A few  
147 coarse sandstone layers occur with quartz and/or chert gravels and with erosional surfaces (Fig. 3-A).  
148 Cross bedding is the most frequent and notable structure in the sandstone beds, usually associated  
149 with parallel bedding as well (Figs. 3-B, C). Climbing ripples are recorded in the members II and IV  
150 (Fig. 3-D). Wave-ripple marks and load-casts occur in the sandstone of the members II and VI (Figs.  
151 3-E, F).

152 The members I, III, V and VII are dominated by gray to black mudstone and silty mudstone  
153 beds (Figs. 2-A, E, F). Variations in colors, between 'grey', 'dark grey' and 'black' are mainly  
154 dependent on the organic matter content, beds of these colors were mostly deposited in a coastal  
155 marsh (e.g., Member I) or peat swamp (e.g., Member VII), co-existing with coal seams. The  
156 horizontal and massive beddings of the mudstone beds are typically indicative for changing  
157 hydrodynamics and for supply of terrestrial clastics. Mudstones with preserved root-mucks and mud-  
158 cracks in Member I suggest that unconsolidated sediments were once exposed to relatively dry  
159 climate conditions (Figs. 3-G, 5-A). The *Skolithos*-type burrows of the Member III show a near-shore  
160 environment (Fig. 3-H). Some thin layers of siderite concretions are exposed in the mudstone beds of  
161 members I and V (Fig. 2-E), and two layers of calcic concretions are developed to the top of Member  
162 I.

163 (Fig. 3 is approximately here)

164 Conglomerate layers are exposed to the bottom of the sandstone beds, and some conglomerate  
165 lenses occur within sandstone beds (Figs. 2-G, 3-A). Quartzite and chert pebbles are common in



166 conglomerate layers, indicating a long distance of transportation and/or flushing effects of high-  
167 energy flow. Fossil tree trunks are common observed in the conglomerate layers, as a result of flash  
168 flooding, debris flow events (Fig. 2-H).

169 Multiple thin coal seams occur in the mudstone members I, III, V and VII (Figs. 2-A, F),  
170 suggesting a reducing environment with abundant clastic sediments. The main industrial coal seams  
171 occur in Member VII (Fig. 2-F), and few coal seams of the Member V have also mining significance.  
172 Particular thin layers of siltstone and muddy siltstone occur as roof and floor shales.

#### 173 4.2 Sedimentary facies and environment

174 The Xujiahe Formation of the Qilixia Section records a fluvial and lacustrine system (Fig. 4),  
175 while Member I records also a coastal marsh depositional system. These systems could be identified  
176 based on facies, subfacies and microfacies characters.

177 (Fig. 4 is approximately here)

178 The sandstone members record a well-developed meandering river system (e.g., members II and  
179 IV, Fig. 4). Associated riverbed microfacies is mainly represented by conglomerate and pebbly  
180 sandstone with clear erosional surfaces (e.g., the middle part of bed 04, Member II, Fig. 4). The  
181 typical retention sediments, including tree trunks and mud inclusions, are usually exposed as lenses  
182 to the bottom of erosional surfaces (Fig. 3-A). The marginal bank (point bar) microfacies is mainly  
183 represented by grayish, cross-bedded sandstone (e.g., the upper part of bed 04, Member II, Fig. 4).  
184 The levee microfacies is developed upward along the marginal banks, depositing fine-grained  
185 sandstone and siltstone. Flash floods destroyed levees and formed crevasse splay microfacies.  
186 Carbonized branches and mud interlayers are common in the sandstone beds of a crevasse splay (e.g.,  
187 Fig. 2-D). The floodplain subfacies was generally developed to the top stage of a meandering river  
188 system or lateral it, consisting of siltstone and muddy siltstone. Horizontal beddings are common,  
189 and calcic concretions occur locally. The observed peat swamp was developed in a floodplain, with a  
190 limited preservation for channel lateral migration (e.g., bed 10, Member V, Fig. 4).

191 The fluvial delta plain facies expanded into a fluvial-lacustrine transition zone (e.g., Member V,  
192 Fig. 4). The distributary channel microfacies are mainly represented by fine-grained, well-sorted  
193 sandstone. Mud flames and tree trunks are common to the bottom of channel sandstone beds (e.g.,  
194 bed 10, Member V, Fig. 4). The interdistributary bay microfacies are dominated by dark gray to  
195 black mudstone and carbonaceous mudstone, interlayered with thin-bedded siltstone. Plant fossils  
196 and siderite concretions are common in the interdistributary bay deposits (e.g., the lower part of bed  
197 09, Member V, Fig. 4). Peat continuously accumulated in the swamps of a relatively stable delta  
198 plains, and which represents the important coal accumulating environments (e.g., the upper part of  
199 bed 09, Member V, Fig. 4).

200 The lacustrine system included of lakeshore and shallow lake subfacies (e.g., bed 16 and the  
201 lower part of bed 15, Member VII, Fig. 4). However, semi-deep and deep lake subfacies did not  
202 develop in the Qilixia Section locality. The lakeshore subfacies are dominated by gray-dark to black  
203 mudstone. Industrial coal seams were developed in the lakeshore swamp microfacies, containing  
204 fine-grained and thin bedded sandstone and siltstone beds (Fig. 2-F). The shallow lake subfacies are  
205 dominated by a thin-bedded gray mudstone, silty mudstone, and muddy siltstone. Some hydrophyte  
206 plant taxa (e.g., *Neocalamites*) and bivalves occur in the shallow lake deposits (Huang and Lu, 1992).

207 According to the occurrence of marine bivalve fossils in the western Sichuan Basin and to the  
208 occurrence of *Lingula* sp. in the eastern Sichuan Basin, the microfacies of Member I consists of  
209 lagoon, coastal marsh and estuarine sandbars (Gou, 1998; Wang et al., 2017; Fig. 4), as a result of the  
210 transgression and regression cycles during the latest Norian to the Rhaetian (Lu et al., 2015, 2019).

### 211 4.3 Floral community succession

212 The Xujiahe Flora has been reported throughout the Sichuan Basin, yielding well-preserved and  
213 highly diverse fossils, especially for the Qilixia Section and its surrounding areas (Ye et al., 1986;  
214 Wang et al., 2010; Fig. 5), counting 110 species of 59 genera of plant macrofossils (Ye et al., 1986;

215 Lu, 2019; Fig. 6, Table S1). Palynological studies of the Qilixia Section are extensive, reporting a  
216 high diversity of spores and pollen, counting 151 species of 64 genera (Li et al., 2016, 2020; Fig. 7).  
217 (Figs. 5-8 are approximately here.)

218 Most of the plant fossils from Member I are too fragmentary to be investigated. According to  
219 palynological investigations, the dominant group of Member I are ferns (52.09~55.31%), followed by  
220 conifers (19.56~30.17%) and cycads/bennettites/ginkgophytes (8.94~14.97%) (Fig. 8). Some  
221 palynomorph environmental indicators (i.e., *Sulcusicystis* sp. and *Radicites* sp.) are also found in  
222 Member I (Lu et al., 2015; Li et al., 2016; Fig. 5-A), all indicating a coastal hydrophyte community  
223 (CHC) for Member I at this time (Fig. 6).

224 Plant macrofossils preserved in Member III suggest a community dominated by ferns, cycads,  
225 and horsetails (Fig. 6, Table S1). The palynological data also indicate the similar community  
226 composition, with dominant groups of ferns (51.06~64.33%) and cycads/bennettites/ginkgophytes  
227 (14.33~15.60%) (Fig. 8). The plant macrofossils and palynological data indicate a delta-plain  
228 wetland community (DWC) (Lu, 2019; Li et al., 2020). Compared with the CHC of Member I, the  
229 diversity of gymnosperms is higher in the DWC of Member III (Lu et al., 2019; Fig. 6).

230 Both macro- and micro-fossils are preserved in the lower beds of Member V (Figs. 5-C, D, E, F,  
231 and Fig. 8). However, the top of Member V yields only macrofossils and no palynomorphs (Fig. 8).  
232 The dominant groups include ferns (e.g., *Cladophlebis raciborskii*, *Todites* sp., Fig. 5-D, F), cycads  
233 (e.g., *Pterophyllum angustum*, Fig. 5-C), and ginkgophytes (e.g., *Ixostrobus* sp., Fig. 5-E). The  
234 proportion of fern spores is up to 71.78%, whereas the conifers is only 15.34% (sample QLX-10 of  
235 Bed 9, Member V; Fig. 8). Both the macro- and micro-fossils indicate a swamp wetland community  
236 (SWC) to have preserved in Member V (Lu, 2019; Li et al., 2020; Figs. 6 and 8).

237 Most fossil taxa of the Xujiahe Flora were found in Member VII, such as *Dictyophyllum*  
238 *nathorsti*, *Cladophlebis* sp., *Baiera elegans* and *Ginkgoites* sp. (Figs. 5-G, H, I, J). Both species and  
239 genera diversity of the plant macrofossils increase at first and then decrease (Fig. 6). The dominant

240 groups changed from horsetails to ferns and cycads (Bed 15), and then to ginkgophytes and conifers  
241 (Bed 15 to 17) (Ye et al., 1986; Lu, 2019; Fig. 6). Meanwhile, the palynological data also show an  
242 upward decrease in ferns and an increase in gymnosperms (e.g., conifers, ginkgophytes) from Bed 15  
243 to Bed 17 (Li et al., 2020; Fig. 8). This assemblage change reflects a transitional community  
244 succession (TCs), changing from lakeshore swamp to high lands that were preserved in Member VII  
245 (Figs. 6 and 8).

## 246 **5 Discussion**

### 247 **5.1 Oscillations between fluvial and lacustrine depositional systems**

248 Significant changes in term of palaeogeography, palaeoclimate and palaeoecology occurred in  
249 the Sichuan Basin throughout the Late Triassic. The Sichuan Basin occurred on the northern margin  
250 of the South China Block during the Late Triassic (Fig. 1-A). With the convergence of the South  
251 China Block, the North China Block, and the Indo-China Block (known as the Indosinian  
252 Movement), the Sichuan Basin was raised during the Middle- Late Triassic (Zhong et al., 1998). Due  
253 to the uplift of the Sichuan Basin, the westward retreat of the Tethys occurred and the Middle  
254 Triassic Leikoupo Formation was weathered during the Middle-Late Triassic in the Xuanhan area  
255 (Deng, 1996; Zhong et al., 1998; Wang et al., 2010). This large-scale regression was recorded by the  
256 sedimentary gap between the Middle Triassic Leikoupo Formation and the Upper Triassic Xujiahe  
257 Formation (Fig. 2-A).

258 Following the large-scale regression, a new transgressive episode started in the Carnian and  
259 influenced the Xuanhan area in the latest Norian (Lu et al., 2015). Thereafter, a coastal marsh began  
260 to take shape and the Xujiahe Formation deposited unconformably over the Leikoupo Formation  
261 remains (Fig. 2-A). Some thin layers of coal were accumulated, with the coincidental formation of  
262 calcic concretions and gypsum of Member I deposits, indicating a hot climate (Lu et al., 2015).

263 (Fig. 9 is approximately here)

264 With the continuous northward compression of the northern margin of the South China Block,  
265 the Qinling orogen to the north of the Sichuan Basin became raised and rivers originating from the  
266 Qinling orogen started to shape the topography of the Xuanhan area with sufficient terrigenous  
267 clastic sediments and water. The meandering rivers became the dominant sedimentary environment,  
268 as represented by the sequences of Member II. Repeated flood events, inferred from the regular  
269 occurrence of riverbed-point bar-natural levee associations, suggest regular heavy rain events in  
270 response to a megamonsoon development (Parrish and Peterson, 1988; Tian et al., 2016) (Fig. 9).

271 With the initial formation of the Sichuan Basin and the continuous deepening of the water at the  
272 study locality, the transition from channel to floodplain to lakeshore swamp was recorded in Member  
273 III (Figs. 4 and 9). However, the relatively stable environment didn't last long before the start of  
274 another episode of the Indosinian Movement (also known as the Anxian Movement, Wang, 1990).  
275 The tectonic activities not only promoted the continuous uplift of the Qinling Orogen, but also  
276 resulted in the uplift of the Longmenshan mountains, forming a prominent syntectonic conglomerate  
277 sequence near the source area (Wang, 2003; He, 2014; Liu et al., 2021). The fluvial dynamics of the  
278 surrounding orogens were therefore enhanced, providing sufficient terrigenous clastic sediments and  
279 water. The facies association of Member IV of the Qilixia Section recorded the Anxian Movement  
280 and its impact from a distance (Fig. 4).

281 With the tectonic activities tending to moderate, the environment became stable and the lake  
282 level became raised (Fig. 9). The wide deltaic flood-plain was formed in the middle Rhaetian (ca.  
283 203~203.5 Ma, Fig. 9), with distributary channels and interdistributary bay deposits (Figs. 4 and 9).  
284 The coal accumulation was enhanced in a stable peat swamp of the interdistributary bay environment,  
285 and some thick, industry grade coal seams developed in the lower mudstone beds of Member V (e.g.,  
286 upper part of Bed 9, Fig. 4).

287 Subsequently, the environment became disturbed again, as suggested by the mudstone breccia of  
288 the upper beds of Member V. The mudstone breccia composed of angular boulders suggest either an

289 exceptional storm or even a tsunami event (Pole et al., 2018). Under this disturbed environment  
290 background, very few plant fossils could be preserved. Root clay and siderite concretions occurred in  
291 the upper beds of Member V, suggesting fluctuations of the lake level (Fig. 9). The disturbed  
292 environmental conditions extended throughout the upper Member V and the Member VI. The  
293 occurrence of both hummocky and swaley cross beddings in Member VI were proposed as strong  
294 evidence of more stormy conditions (Pole et al., 2018). The fine-grained and well-sorted quartz  
295 sandstone with wave-ripple marks of the Member VI may suggest a wave-dominated, near-shore  
296 environment.

297 Influenced by the lake transgression, a stable lakeshore and shallow lake environment developed  
298 prior to the Tr–J transition (Fig. 9). The depositional environment and facies evolution were mainly  
299 controlled by lake level changes. With the fall of lake level, a peat swamp environment occurred and  
300 the Xuanhan area became the coal depocenter, with industry grade, thick coal seams accumulated  
301 related to the stable environment conditions of Member VII (Fig. 2-F).

## 302 **5.2 Palaeoclimate implications and ecological response**

303 Species diversity, community composition and dominant taxa of the fossil assemblages can  
304 allow for inferences on palaeoclimate variations and palaeo-ecosystem stability (McElwain et al.,  
305 2007). Furthermore, syntheses of the sedimentary systems, community succession and major  
306 geological events contribute to better understanding of the ecological response of the Xujiahe Flora  
307 to the end-Triassic mass extinction and associated climatic and environmental change at that time  
308 (Fig. 9).

309 The observed water-level transgression across the wider depositional environment resulted in  
310 the formation of a transitional environment, which provided the initial habitats for the rise of the  
311 Xujiahe Flora in the latest Norian (ca. 207~207.2 Ma, Fig. 9). The thriving coastal hydrophyte  
312 community (CHC) of the Member I marked the origin of the Xujiahe Flora in the latest Norian in the

313 Xuanhan area. Both the community composition and the dominant taxa indicate hot and humid  
314 climatic conditions (Lu et al., 2019).

315 Subsequently, an environmentally disturbed floodplain developed during the uplift of the  
316 Qinling orogen across the Norian–Rhaetian transition (ca. 205~207 Ma, Fig. 9), terminating the  
317 favorable habitats for terrestrial plant ecosystems as described above. Locally, only the sphenopsid  
318 *Neocalamites* survived and became the dominant element, but *Podozamites* leaves, mainly  
319 transported and buried in the banks of lake or marshes, indicate a nearshore hydrophyte ecosystem as  
320 preserved in Member II (Huang and Lu, 1992). The occurrence of the fossil wood *Xenoxylon*  
321 *guangyuanensis* in the neighboring region of this basin was notable (Tian et al., 2016). Previously  
322 evidence suggests that the genus *Xenoxylon* was mainly distributed in the high latitude regions and  
323 reflecting cool and/or wet climate conditions, the southernmost occurrences of *Xenoxylon* in regions  
324 otherwise under warm or dry paleoclimates might indicate global colder/wetter climatic snaps  
325 (Philippe and Thévenard, 1996; Philippe et al., 2009). Therefore, the *X. guangyuanensis* would  
326 suggest a climatic cooling event linked to the development of the Late Triassic megamonsoon (Tian  
327 et al., 2016), influencing the evolution of gymnosperms.

328 Nearshore peat swamps developed as a result of lake level rise (Fig. 9). A community  
329 dominated by ferns, cycads and horsetails was thriving for a short period of time during the early  
330 Rhaetian (ca. 204.5 Ma, Fig. 9). The occurrence of ginkgophyte fossils (*Stachyopitys*) indicate that  
331 the climate was not as hot as during the latest Norian, and the delta plain wetlands community  
332 (DWC) of Member III represented the rise of the Xujiache Flora in the early Rhaetian (Lu et al.,  
333 2019).

334 The second gap, induced by the Anxian Movement, influenced the floral communities again  
335 (Member VI, Fig. 9), coeval with the uplift of Longmenshan mountain-range towards the southwest,  
336 blocking the warm, moist water flow from the Tethys Ocean, deeply re-shaping local humidity  
337 patterns and the regional climate (Lu, 2019).

338 In the Middle Rhaetian (ca. 203~203.5 Ma, Beds 9 and 11 of Member V, Fig. 9), ferns and  
339 cycads were still dominant (Figs. 6 and 8). The ratio of fern spores was even as high as 71.78%,  
340 whereas the conifer pollen is only 15.34% (sample QLX-10 of Bed 9, Member V; Fig. 8). Both the  
341 macro- and micro- fossils indicate a swamp wetlands community (SWC) of the lower beds of  
342 Member V, growing under a warm and humid climate. Although the conifers and ginkgophytes  
343 showed higher diversity than the CHC of Member I and the DWC of Member III (Fig. 6), the  
344 abundance was still low and they were not the dominant groups.

345 Community destabilization marked the third gap of the floral succession at the study locality,  
346 suggesting a precursor interval of environmental stress in the late Rhaetian (ca. 202~203 Ma, Fig. 9).  
347 The mudstone breccia of Member V and the occurrence of both hummocky and swaley cross  
348 beddings in Member VI recorded the stormy conditions (Pole et al., 2018). The detrital charcoal  
349 fragments and inertinite recovered from the samples of the Xujiahe Formation also indicate a  
350 disturbed environment marked by intensive wildfire events (Pole et al., 2018; Lu, 2019). This  
351 ecosystem disturbance is also indicated by a series of discrete spikes in sulfide content and changes  
352 in planktonic community composition in the Panthalassic Ocean prior to the Tr–J boundary  
353 (Schoepfer et al., 2022).

354 Until the terminal Rhaetian, a stable nearshore environment became the refuge of the Xujiahe  
355 Flora in the Xuanhan area. Not only did the favorable habitats permitted the prosperity of the ferns,  
356 but also, it contributed to the diversification of conifers and ginkgophytes. Unlike the communities of  
357 the underlying members, the transitional community succession (TCs) of the Member VII showed an  
358 upward non-uniformity (Figs. 6, 8 and 9). Some hydrophyte taxa (e.g., *Neocalamites*) were preserved  
359 in the lowermost mudstone layers (TC-1), co-existing with some bivalve fossils (Ye et al., 1986;  
360 Huang and Lu, 1992). With the drop of the lake level, the shallow lake retreated and the lake  
361 shoreline changed, with the lakeshore subfacies becoming dominant. Within the lakeshore swamp  
362 microfacies, the wetland taxa became dominant in the Xuanhan area (TC-2). With the continuous



363 drop of the lake level, the river dynamics became the main sedimentary feature and the flood plain  
364 expanded. Both the highland and the wetland taxa (i.e., conifers, ferns) were diverse and abundant in  
365 the middle mudstone layers of the Member VII (TC-2, 3). The bivalve fossils demonstrate the rise of  
366 lake level in the topmost bed of Member VII (Ye et al., 1986; Huang and Lu, 1992), with only a low-  
367 diversity floral community dominated by some upland xerophytic taxa (TC-4). The floral  
368 associations of the Member VII show an ecological collapse to the end of the Rhaetian (ca.  
369 201.5~202 Ma, Fig. 9).

370 It is notable that the turnover from TC-2 to TC-3 and the ecological collapse is correlated with  
371 mercury enrichment prior to the Tr–J transition, as a CAMP global influence (Shen et al., 2022) (Fig.  
372 9). Previous studies proposed that the Central Atlantic Magmatic Province (CAMP) volcanism have  
373 increased the frequency and scale of extreme climatic events (McElwain et al., 1999, 2007; Belcher  
374 et al., 2010), so that the enormous ecological pressure ultimately destroyed the terrestrial flora and  
375 generally their ecosystems (McElwain et al., 1999, 2007; Steinthorsdottir et al., 2012; Mander et al.,  
376 2013; Lindström et al., 2017; Capriolo et al., 2020; Yager et al., 2021). Recently, wildfire events  
377 across the Tr–J interval were identified at the Qilixia Section and throughout the whole basin (Pole et  
378 al., 2018; Lu, 2019; Song et al., 2020). Moreover, a multiproxy analysis (including organic carbon  
379 isotopes, mercury (Hg) concentrations and isotopes, chemical index of alteration (CIA), and clay  
380 minerals) of the Xujiahe Formation, across the Tr–J interval at Qilixia Section was undertaken (Shen  
381 et al., 2022). The increasing CIA in association with Hg peaks was interpreted as results of reflecting  
382 the volcanism-induced intensification of continental chemical weathering, which were linked with  
383 the CAMP event (Shen et al., 2022). Therefore, the ecological collapse in the end of the Rhaetian in  
384 the Xuanhan area was suggested to be largely induced by the CAMP emplacement and its associated  
385 climatic effects.

## 386 **6 Conclusions**

- 387 1) The Upper Triassic Xujiahe Formation yields the best record of the major changes of  
388 palaeogeography, palaeoclimate and palaeoecology occurring in the Late Triassic of the  
389 northeastern Sichuan Basin, South China. The oscillating fluvial-lacustrine depositional system  
390 during the late Triassic, and the sedimentary evolution of the basin were mainly controlled by the  
391 Indosinian Movement and by the regression-transgression cycle.
- 392 2) Within an overall warm and humid climate during the Late Triassic in the Xuanhan area, some  
393 climatic variations occurred, inferred from differences in floral communities of each member.  
394 The cooling and drying fluctuations influenced the diversification of gymnosperms.
- 395 3) Four communities and three obvious gaps document the rise and demise of Xujiahe Flora  
396 throughout the Late Triassic in the northeast Sichuan Basin. The floral community successions  
397 were closely related to sedimentary processes and to climatic variations. An ecosystem  
398 destabilization occurred in the Xuanhan area over one million years prior to the Tr–J interval,  
399 followed by an ecological collapse occurring at the Tr–J interval.
- 400 4) The Xujiahe Flora always recovered from the interruptions induced by tectonic movement, and it  
401 ultimately collapsed under the extreme climatic events and ecological pressure induced by the  
402 CAMP event.

#### 403 **Conflict of Interest**

404 *The authors declare that the research was conducted in the absence of any commercial or financial*  
405 *relationships that could be construed as a potential conflict of interest.*

#### 406 **Author Contributions**

407 YW, MEP and NL designed the study. NL, YX, LL, HC, XX, MR, MEP, WMK and YW carried out  
408 the field works. NL, YX and LL performed the lab works. NL, YX, LL and YW wrote the draft. All  
409 authors contributed to the interpretation and revision of the manuscript.

#### 410 **Funding**

411 This study is financially supported by the National Natural Science Foundation of China (42202020,  
412 41972120 and 42072009), and the Programs from State Key Lab of Palaeobiology and Stratigraphy  
413 (20191103, 213112).

#### 414 **Acknowledgments**

415 We would like to thank Prof. Yue Li from Nanjing Institute of Geology and Palaeontology, Chinese  
416 Academy of Sciences for the suggestions regarding the manuscript. We appreciate Dr. Mingsong Li  
417 from Peking University and Senior Engineer Shuna Xi from the No. 137 Geological Survey of the  
418 Sichuan Coalfield Geology Bureau for their kind assistance during fieldtrips.

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- 656

657 **Figure Captions**

658 **Fig. 1 Maps showing the location of the Qilixia Section, NE Sichuan Basin, China.**

659 **A.** The palaeogeographic location of Sichuan Basin during the Rhaetian (Base map after [Metcalfé,](#)  
660 [2011](#)); **B.** The location of the Qilixia Section, NE Sichuan Basin; **C.** Simplified geological map of the  
661 Qilixia Section and surrounding region (after [Sichuan Bureau of Geology, 1980](#)).

662 **Fig. 2 Stratigraphic boundaries and lithologies of the Xujiuhe Formation at the Qilixia Section.**

663 **A.** The lithological boundary between the Upper Triassic Xujiuhe Formation and the Middle Triassic  
664 Leikoupo Formation; **B.** The lithological boundary between the Lower Jurassic Zhenzhuchong  
665 Formation and the Upper Triassic Xujiuhe Formation; **C.** The massive sandstone bed of Member II;  
666 **D.** The sandstone bed with carbonized branches of Member IV; **E.** The mudstone bed with siderite  
667 concretions of Member I; **F.** The fossils bearing layers and coal seam of Member VII; **G.** The  
668 conglomerate layer of Member II; **H.** The conglomerate layer with tree trunks of Member II.

669 **Fig. 3 Sedimentary structures of the Xujiuhe Formation at the Qilixia Section.**

670 **A.** The sandstone bed with conglomerate lens and erosion surface of Member II; **B.** The parallel  
671 beddings of Member IV; **C.** The cross beddings of Member VI; **D.** The climbing ripples of Member  
672 IV; **E.** The wave-ripple marks of Member VI; **F.** The load casts of Member II; **G.** The mud cracks of  
673 Member I; **H.** The *Skolithos* burrows of Member III (after [Pole et al., 2018](#)).

674 **Fig. 4 Detailed log showing the lithology, sedimentary structures, fossil preservation and**  
675 **sedimentary sequences of the Xujiuhe Formation at the Qilixia Section.**

676 **Fig. 5 Representatives of the Xujiuhe Flora collected from the Qilixia Section.**

677 **A.** *Radicites* sp., Member I, QLX2014103; **B.** *Neocalamites* sp., Member II; **C.** *Pterophyllum*  
 678 *angustum* (Braun) Gothan, Member V, QLX2014163; **D.** *Cladophlebis raciborskii* Zeiller, Member  
 679 V, QLX2014146; **E.** 01, *Ixostrobus* sp.; 02, *Podozamites* sp., Member V, QLX2014159; **F.**  
 680 *Cladophlebis* sp., Member V, QLX2014170; **G.** *Baiera elegans* Oishi, QLX2014350; **H.** *Ginkgoites*  
 681 *sibiricus* (Heer) Seward, Member VII, QLX-2105-15U-542; **I.** *Cladophlebis* sp., Member VII,  
 682 QLX2014399; **J.** *Dictyophyllum nathorsti* Zeiller, Member VII, QLX-2105-15U-680a. (A, C-J, scale  
 683 bar = 10 mm; B, scale bar = 50 mm; The plant fossils are showing in the stratigraphic order).

684 **Fig. 6 Stratigraphic occurrences of the plant macrofossils and division of the floral**  
 685 **communities of the Late Triassic Xujiahe Flora.**

686 \*The division of the floral communities are based on the plant macrofossils and palynological data.

687 **Fig. 7 Representative spore and pollen taxa recovered from the Xujiahe Formation at the**  
 688 **Qilixia section.**

689 **A.** *Sphagnumsporites clavus* (Balme, 1957) Huang, 2000, Member I, QLX-1-1; **B.** *Sphagnumsporites*  
 690 *perforates* (Leschik) Liu, 1986, Member I, QLX-1-4; **C.** *Cibotiumspora robusta* Lu et Wang, 1983,  
 691 Member I, QLX-1-1; **D.** *Dictyophyllidites harrisii* Couper, 1958, Member I, QLX-1-1; **E.**  
 692 *Toroisporis minoris* (Nakoman) Sun et He, 1980, Member I, QLX-1-3; **F.** *Toroisporis minoris*  
 693 (Nakoman) Sun et He, 1980, Member III, QLX-7-7; **G.** *Concavisporites toralis* (Leschik, 1955)  
 694 Nilsson, 1958, Member I, QLX-1-3; **H.** *Cyathidites minor* Couper, 1953, Member I, QLX-1-4; **I.**  
 695 *Osmundacidites granulata* (Mal.) Zhou, 1981, Member I, QLX-2-1; **J.** *Cyclogranisporites arenosus*  
 696 Madler, 1964, Member III, QLX-8-2; **K.** *Angiopteridaspora denticulata* Chang, 1965, Member I,  
 697 QLX-1-1; **L.** *Granulatisporites triconvexus* Staplin, 1960, Member I, QLX-1-4; **M.** *Osmundacidites*  
 698 *wellmanii* Couper, 1953, Member III, QLX-9-2; **N.** *Planisporites dilucidus* Megregor, 1960, Member  
 699 V, QLX-12-2; **O.** *Araucariacites australis* Cookson, 1947, Member I, QLX-1-3; **P.** *Alisporites*  
 700 *parvus* De Jersey, 1962, Member VII, XHQL-89-5; **Q.** *Alisporites parvus* De Jersey, 1962, Member

701 VII, XHQL-91-1; **R.** *Vitreisporites pallidus* (Reissinger) Nilsson, 1958, Member II, XHQL-40-2; **S.**  
702 *Pinuspollenites divulgatus* (Bolsh.) Qu, 1980, Member VII, XHQL-91-1; **T.** *Pinuspollenites*  
703 *alatipollenites* (Rouse) Liu, 1982, Member VII, XHQL-91-1; **U.** *Piceites enodis* Bolshovitina, 1956,  
704 Member II, QLX-2-1; **V.** *Quadraeculina anellaeformis* Maljavkina, 1949, Member VII, XHQL-89-1;  
705 **W.** *Podocarpidites unicus* (Bolsh.) Pocock, 1970, Member II, XHQL-40-1; **X.** *Cycadopites parvus*  
706 (Bolsh.) Pocock, 1970, Member I, QLX-1-1; **Y.** *Cycadopites follicularis* Wilson et Webster, 1946,  
707 Member II, XHQL-40-2; **Z.** *Cycadopites reticulata* (Nilsson) Arjang, 1975, Member VII, XHQL-91-  
708 1; **AA.** *Monosulcites granulatus* Couper 1960, Member VII, XHQL-91-1; **AB.** *Monosulcites minimus*  
709 Cookson, 1947, Member I, QLX-1-4; **AC.** *Monosulcites enormis* Jain, 1968, Member VII, XHQL-  
710 89-3. (scale bar = 20  $\mu\text{m}$ )

711 **Fig. 8 Stratigraphic occurrences and abundance diagram of major spore-pollen groups**  
712 **recovered from the Xujiahe Formation at the Qilixia section.**

713 **Fig. 9 Environmental oscillations and the ecological responses of the Xujiahe Formation at the**  
714 **Qilixia Section.**

715 **1).** The 405-kyr eccentricity cycle and ages are from [Li et al., 2017](#). **2).** The initial- and main- carbon  
716 isotope excursions and mercury (Hg) isotope enrichment are from [Shen et al., 2022](#).



# Oscillations of a fluvial-lacustrine system and its ecological response prior to the end-Triassic: evidence from the eastern Tethys region

1

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20 **Abstract**

21 The end-Triassic mass extinction is considered as one of the “Big Five” extinction events in the

22 Phanerozoic. However, whether the terrestrial ecosystem began to deteriorate or even collapse prior

23 to the Triassic–Jurassic transition remains controversial. Compared with the documented data from  
24 the western Tethyan region, evidence from the eastern Tethyan realm is limited. We undertake a  
25 fitting analysis of the sedimentary system, floral community successions and major geological events  
26 of the Xujiahe Formation as reflected by the Qilixia Section, Xuanhan area, northeast Sichuan Basin,  
27 China. Our results reveal an oscillating fluvial-lacustrine depositional system during the Late  
28 Triassic, with the dominant sedimentary processes mainly controlled by the Indosinian Movement.  
29 Beside the sedimentary influence on the Xujiahe Flora, climate changes played a more important  
30 role. Fluctuating conditions to cooler and dryer climates at this time promoted diversification of  
31 gymnosperms under an overall warm and humid climate setting in the Late Triassic in the Xuanhan  
32 area. Superimposed on this oscillating long-term climate state, ecosystem destabilization occurred  
33 over one million years prior to the Tr–J interval in the Xuanhan study-area, possibly in response to  
34 the intensified storm and wildfire activity and the following environmental changes. Although the  
35 Xujiahe Flora always recover from the interruption of the tectonic movement, it ultimately collapsed  
36 under extreme climatic events and ecological pressures induced by the Late Triassic CAMP event.

37

38 **Keywords: sedimentary oscillations, floral community succession, Central Atlantic Magmatic**  
39 **Province, end-Triassic mass extinction, Sichuan Basin.**

40

## 41 1 Introduction

42 The Triassic-Jurassic transition (Tr–J,  $201.36 \pm 0.17$  Ma, [Wotzlaw et al., 2014](#)) is marked by the  
43 end-Triassic mass extinction, one of the “Big Five” extinction events of the Phanerozoic ([Sepkoski,](#)  
44 [1981](#); [Raup and Sepkoski, 1982](#); [Benton, 1995](#)). The biotic turnover, ecological crisis and  
45 environmental background across the Tr–J transition have drawn significant attention over the last  
46 decades ([Hesselbo et al., 2007](#); [Barash, 2015](#)). The impact of the end-Triassic mass extinction on  
47 marine organisms has been extensively documented (e.g., radiolarians, [Hallam, 2002](#); foraminifera,  
48 [Michalík et al., 2007](#); ammonites and brachiopods, [Tomašových and Siblík, 2007](#); corals and  
49 calcisponges, [Stanley et al., 2018](#); bivalves, [Atkinson et al., 2019](#)). Bio- and chemo-stratigraphic data  
50 and stratigraphic correlations of sea-level changes, ocean acidification and release of greenhouse  
51 gases (e.g., CO<sub>2</sub>, CH<sub>4</sub>) suggest this event to be triggered by the breakup of Pangea and particularly by  
52 the eruptions of the Central Atlantic Magmatic Province (CAMP) at that time ([Marzoli et al., 2004](#);  
53 [Van de Schootbrugge et al., 2009](#); [Whiteside et al., 2010](#); [Ruhl et al., 2011, 2020](#); [Percival et al.,](#)  
54 [2017](#); [Capriolo et al., 2020](#); [He et al., 2020](#)). Extreme climatic events caused by significant global  
55 warming led to habitat and ecosystem disruption and destruction, with palynological and  
56 geochemical data suggesting this to have occurred simultaneously in the terrestrial and marine realms  
57 ([McElwain et al., 1999](#); [Hesselbo et al., 2002](#); [Cleveland et al., 2008](#); [Götz et al., 2009](#); [Williford et](#)  
58 [al., 2009](#); [Korte et al., 2019](#)). However, the extinction patterns across this event remain controversial,  
59 with some suggestions for multiple extinction events throughout the Late Triassic instead of a single  
60 mass extinction at the end of the Late Triassic ([Benton, 1986](#); [Hallam, 2002](#); [Lucas and Tanner,](#)  
61 [2015, 2018](#)). Considerable controversy derives from the response of terrestrial vegetation to these  
62 events. Studies of the plant taxonomic records from Greenland, North America, Europe and  
63 Gondwana revealed a significant floral turnover at species and community levels ([Fowell and Olsen,](#)  
64 [1993](#); [McElwain et al., 1999](#); [Olsen et al., 2002](#); [McElwain and Punyasena, 2007](#); [Küerschner et al.,](#)  
65 [2007](#); [Belcher et al., 2010](#); [Kustatscher et al., 2018](#)). Other works considered that plant community

66 changes across the Tr–J transition were linked to gradual and adaptive ecological reorganization  
67 related to long-term environmental variations, suggesting terrestrial plant changes to be local  
68 turnovers rather than associated with a mass extinction ([Cascales-Miñana and Cleal, 2012](#); [Barbacka](#)  
69 [et al. 2017](#); [Lucas and Tanner, 2018](#); [Zhou et al., 2021](#)). As most studies on the end-Triassic mass  
70 extinction were carried out in the western Tethyan realm, especially in Europe and North America,  
71 critical insight on global changes, including as recorded in the eastern Tethyan realm is largely  
72 missing.

73 Terrestrial Tr–J transition sequences are well developed in the Sichuan Basin, southwestern  
74 China, representing the most expanded Tr–J strata in the eastern Tethyan realm ([Wang et al., 2010](#)).  
75 Fundamental studies on regional geology, stratigraphy and palaeontology were previously conducted  
76 ([Wang et al., 2010](#)), showing abundant yields of diverse plant fossils, represented by the Xujiahe  
77 Flora, in the Upper Triassic sequences of the Sichuan Basin ([Ye et al., 1986](#); [Huang, 1995](#); [Wang et](#)  
78 [al., 2010](#)). The Xujiahe Flora has been suggested to be flourishing under a tropical-subtropical hot  
79 and humid climatic conditions ([Lee, 1964](#); [Ye et al., 1986](#); [Wu, 1983](#); [Sun, 1995](#)). In recent years,  
80 studies on systematic palaeobotany ([Wang et al., 2015](#); [Lu et al., 2021](#); [Xu et al., 2021](#)), palynology  
81 ([Liu et al., 2015](#); [Li et al., 2016, 2018](#)), as well as sedimentology and geochemistry ([Zhu et al., 2017](#);  
82 [Pole et al., 2018](#); [Lu et al., 2019](#); [Shen et al., 2022](#)) were conducted for these Upper Triassic  
83 sequences of the Sichuan Basin, showing notable temporal variations in climate at that time ([Tian et](#)  
84 [al., 2016](#); [Lu et al., 2019](#); [Li et al., 2020](#); [Li et al., 2021](#)). The environmental evolution during the  
85 Late Triassic in the northeastern Sichuan Basin is as of yet poorly understood, it is critical to provide  
86 insight on the level of ecosystem stability, deterioration and/or collapse prior to the Tr–J transition in  
87 this region.

88 Here, we investigate the sedimentary succession and sedimentary features of the Upper Triassic  
89 sequences in the northeastern Sichuan Basin, with the emphasis on the significance of the macro- and  
90 micro-floral records related to their depositional context. We aim to reveal the

91 environmental/climatic oscillations and ecological responses during the Rhaetian (Late Triassic) in  
92 the northeastern Sichuan Basin, next to discussion on the wider stability of terrestrial ecosystem prior  
93 to the Tr–J transition.

## 94 **2 Geological Settings**

95 The Sichuan Basin occurred on the northern frame of the eastern Tethyan realm (Fig. 1-A). This  
96 basin is one of the largest sedimentary basins in southwestern China, covering the eastern Sichuan  
97 Province and the majority of Chongqing City (Fig. 1-B). Its tectonic evolution can be divided into  
98 three stages: basement formation, craton basin and foreland basin (Wang et al., 2010). The igneous  
99 basement of the Sichuan Basin is Meso-Neoproterozoic in age. The sedimentary cover of the Sichuan  
100 Basin recorded a stable craton development stage, reflected by a set of shallow platform carbonate  
101 deposits. When the South China Block, the North China Block and the Songpan-Ganzi Terrane  
102 collided during the Late Triassic, the Sichuan foreland basin was formed, and the depositional  
103 environment changed from marine to terrestrial (Wang et al., 2010). During this time interval, both  
104 the end-Triassic mass extinction and the long-term formation of the Sichuan Basin were accurately,  
105 and continuously recorded in the Upper Triassic deposits.

106 The Upper Triassic sequences of the Sichuan Basin are represented by the Xujiahe Formation, a  
107 succession of clastic rocks with abundant coal and gas resources (Wang et al., 2010). The formation  
108 is widely distributed and well outcropped in the eastern and northeastern margins of the basin. The  
109 Qilixia Section is one of the key and well-known Upper Triassic-Lower Jurassic sections in this area,  
110 occurring close to Qili town in Xuanhan County, Dazhou City (Figs. 1-B, C). The Xujiahe Formation  
111 is about 520 m thick, outcropping along the road from Xuanhan to Kaijiang counties. The Xujiahe  
112 Formation unconformably overlies the Middle Triassic Leikoupo Formation and it is conformably  
113 overlain by the Lower Jurassic Zhenzhuchong Formation. The Xujiahe Formation is divided into  
114 seven members (Members I–VII) with distinct lithological boundaries between each member (Wang  
115 et al., 2010). Previous palaeobotanical and palynological data suggest that the Xujiahe Formation is

116 Norian to Rhaetian in age (Ye et al., 1986; Li et al., 2016, 2018, 2020). The combined cyclo- and  
117 magneto-stratigraphic record for this section and formation demonstrate that the age of the Xujiahe  
118 Formation spans from the latest Norian to the Rhaetian, i.e., from 207.2 Ma to 201.3 Ma (Li et al.,  
119 2017).

120 (Fig. 1 is approximately here)

### 121 3 Material and Methods

122 The Qilixia Section was here studied and sampled for sedimentological investigations. Facies  
123 analyses were conducted according to precise sequence data and sedimentary features (Figs. 2-4).

124 Published plant macrofossils of the Xujiahe Formation at the Qilixia Section were compiled and  
125 analyzed, revealing their ecology (Ye et al., 1986; Wu, 1999; Wang et al., 2010; Lu, 2019), and new  
126 plant specimens were collected and supplemented to the previously existing dataset, next to  
127 sporomorph data (Li et al., 2016, 2020; Lu et al., 2020).

128 Photographs of plant fossils were taken with a Nikon® Z7 digital camera with an Z 24-70mm  
129 f/4 S lens. The photographs of plant fossils and lithologies of the Xujiahe Formation were corrected  
130 only for contrast and sharpness using Adobe® Photoshop®. The line drawings of some plant fossils  
131 were produced using CorelDRAW® 2021. The selected specimens of plant fossils reported and  
132 figured here are housed in the Nanjing Institute of Geology and Palaeontology, Chinese Academy of  
133 Sciences, Nanjing, China with catalogue numbers: QLX2014103, QLX2014146, QLX2014159,  
134 QLX2014163, QLX2014170, QLX2014350, QLX2014399, QLX-2105-15U-542.

### 135 4 Results

#### 136 4.1 Lithology

137 The Xujiahe Formation comprises seven lithological members (Members I-VII) in the studied  
138 section. Stratigraphic boundaries between each member and bed are distinct and they are easily  
139 recognized through lithological features (Figs. 2-A, B). **The Xujiahe Formation is represented by a  
140 succession of dominant sandstone and mudstone beds, while, conglomerates, coal seams and thin**

141 layers of concretions were also deposited. Rock colors, sedimentary structures, coal accumulation  
142 and fossil preservation further reflect the Late Triassic depositional features.

143 (Fig. 2 is approximately here)

144 The members II, IV and VI of the Xujiahe Formation are dominated by thick sandstone beds,  
145 mostly medium to fine grained feldspathic-quartz and quartz sandstone, showing grayish to gray  
146 colors (Fig. 2-C). Carbonized plant branches are preserved in these sandstone beds (Fig. 2-D). A few  
147 coarse sandstone layers occur with quartz and/or chert gravels and with erosional surfaces (Fig. 3-A).  
148 Cross bedding is the most frequent and notable structure in the sandstone beds, usually associated  
149 with parallel bedding as well (Figs. 3-B, C). Climbing ripples are recorded in the members II and IV  
150 (Fig. 3-D). Wave-ripple marks and load-casts occur in the sandstone of the members II and VI (Figs.  
151 3-E, F).

152 The members I, III, V and VII are dominated by gray to black mudstone and silty mudstone  
153 beds (Figs. 2-A, E, F). Variations in colors, between 'grey', 'dark grey' and 'black' are mainly  
154 dependent on the organic matter content, beds of these colors were mostly deposited in a coastal  
155 marsh (e.g., Member I) or peat swamp (e.g., Member VII), co-existing with coal seams. The  
156 horizontal and massive beddings of the mudstone beds are typically indicative for changing  
157 hydrodynamics and for supply of terrestrial clastics. Mudstones with preserved root-mucks and mud-  
158 cracks in Member I suggest that unconsolidated sediments were once exposed to relatively dry  
159 climate conditions (Figs. 3-G, 5-A). The *Skolithos*-type burrows of the Member III show a near-shore  
160 environment (Fig. 3-H). Some thin layers of siderite concretions are exposed in the mudstone beds of  
161 members I and V (Fig. 2-E), and two layers of calcic concretions are developed to the top of Member  
162 I.

163 (Fig. 3 is approximately here)

164 Conglomerate layers are exposed to the bottom of the sandstone beds, and some conglomerate  
165 lenses occur within sandstone beds (Figs. 2-G, 3-A). Quartzite and chert pebbles are common in

166 conglomerate layers, indicating a long distance of transportation and/or flushing effects of high-  
167 energy flow. Fossil tree trunks are common observed in the conglomerate layers, as a result of flash  
168 flooding, debris flow events (Fig. 2-H).

169 Multiple thin coal seams occur in the mudstone members I, III, V and VII (Figs. 2-A, F),  
170 suggesting a reducing environment with abundant clastic sediments. The main industrial coal seams  
171 occur in Member VII (Fig. 2-F), and few coal seams of the Member V have also mining significance.  
172 Particular thin layers of siltstone and muddy siltstone occur as roof and floor shales.

#### 173 4.2 Sedimentary facies and environment

174 The Xujiahe Formation of the Qilixia Section records a fluvial and lacustrine system (Fig. 4),  
175 while Member I records also a coastal marsh depositional system. These systems could be identified  
176 based on facies, subfacies and microfacies characters.

177 (Fig. 4 is approximately here)

178 The sandstone members record a well-developed meandering river system (e.g., members II and  
179 IV, Fig. 4). Associated riverbed microfacies is mainly represented by conglomerate and pebbly  
180 sandstone with clear erosional surfaces (e.g., the middle part of bed 04, Member II, Fig. 4). The  
181 typical retention sediments, including tree trunks and mud inclusions, are usually exposed as lenses  
182 to the bottom of erosional surfaces (Fig. 3-A). The marginal bank (point bar) microfacies is mainly  
183 represented by grayish, cross-bedded sandstone (e.g., the upper part of bed 04, Member II, Fig. 4).  
184 The levee microfacies is developed upward along the marginal banks, depositing fine-grained  
185 sandstone and siltstone. Flash floods destroyed levees and formed crevasse splay microfacies.  
186 Carbonized branches and mud interlayers are common in the sandstone beds of a crevasse splay (e.g.,  
187 Fig. 2-D). The floodplain subfacies was generally developed to the top stage of a meandering river  
188 system or lateral it, consisting of siltstone and muddy siltstone. Horizontal beddings are common,  
189 and calcic concretions occur locally. **The observed** peat swamp was developed in a floodplain, with **a**  
190 limited preservation for channel lateral migration (e.g., bed 10, Member V, Fig. 4).



191        **The** fluvial delta plain facies expanded into a fluvial-lacustrine transition zone (e.g., Member V,  
192 Fig. 4). The distributary channel microfacies are mainly represented by fine-grained, well-sorted  
193 sandstone. Mud **flames** and tree trunks are common to the bottom of channel sandstone beds (e.g.,  
194 bed 10, Member V, Fig. 4). The interdistributary bay microfacies are dominated by dark gray to  
195 black mudstone and carbonaceous mudstone, interlayered with thin-bedded siltstone. Plant fossils  
196 and siderite concretions are common in the interdistributary bay deposits (e.g., the lower part of bed  
197 09, Member V, Fig. 4). Peat continuously accumulated in the swamps of a relatively stable delta  
198 plains, and which represents the important coal accumulating environments (e.g., the upper part of  
199 bed 09, Member V, Fig. 4).

200        The lacustrine system included of lakeshore and shallow lake subfacies (e.g., bed 16 and the  
201 lower part of bed 15, Member VII, Fig. 4). However, semi-deep and deep lake subfacies did not  
202 develop in the Qilixia Section locality. The lakeshore subfacies are dominated by gray-dark to black  
203 mudstone. Industrial coal seams were developed in the lakeshore swamp microfacies, containing  
204 fine-grained and thin bedded sandstone and siltstone beds (Fig. 2-F). The shallow lake subfacies are  
205 dominated by a thin-bedded gray mudstone, silty mudstone, and muddy siltstone. Some hydrophyte  
206 plant taxa (e.g., *Neocalamites*) and bivalves occur in the shallow lake deposits (Huang and Lu, 1992).

207        According to the occurrence of marine bivalve fossils in the western Sichuan Basin and to the  
208 occurrence of *Lingula* sp. in the eastern Sichuan Basin, the microfacies of Member I consists of  
209 lagoon, coastal marsh and estuarine sandbars (Gou, 1998; Wang et al., 2017; Fig. 4), as a result of the  
210 transgression and regression cycles during the latest Norian to the Rhaetian (Lu et al., 2015, 2019).

### 211 **4.3 Floral community succession**

212        The Xujiahe Flora has been reported throughout the Sichuan Basin, yielding well-preserved and  
213 **highly** diverse fossils, especially for the Qilixia Section and its **surrounding areas** (Ye et al., 1986;  
214 Wang et al., 2010; Fig. 5), counting 110 species of 59 genera of plant macrofossils (Ye et al., 1986;

215 Lu, 2019; Fig. 6, Table S1). Palynological studies of the Qilixia Section are extensive, reporting a  
216 high diversity of spores and pollen, counting 151 species of 64 genera (Li et al., 2016, 2020; Fig. 7).  
217 (Figs. 5-8 are approximately here.)

218 Most of the plant fossils from Member I are too fragmentary to be investigated. According to  
219 palynological investigations, the dominant group of Member I are ferns (52.09~55.31%), followed by  
220 conifers (19.56~30.17%) and cycads/bennettites/ginkgophytes (8.94~14.97%) (Fig. 8). Some  
221 palynomorph environmental indicators (i.e., *Sulcusicystis* sp. and *Radicites* sp.) are also found in  
222 Member I (Lu et al., 2015; Li et al., 2016; Fig. 5-A), all indicating a coastal hydrophyte community  
223 (CHC) for Member I at this time (Fig. 6).

224 Plant macrofossils preserved in Member III suggest a community dominated by ferns, cycads,  
225 and horsetails (Fig. 6, Table S1). The palynological data also indicate the similar community  
226 composition, with dominant groups of ferns (51.06~64.33%) and cycads/bennettites/ginkgophytes  
227 (14.33~15.60%) (Fig. 8). The plant macrofossils and palynological data indicate a delta-plain  
228 wetland community (DWC) (Lu, 2019; Li et al., 2020). Compared with the CHC of Member I, the  
229 diversity of gymnosperms is higher in the DWC of Member III (Lu et al., 2019; Fig. 6).

230 Both macro- and micro-fossils are preserved in the lower beds of Member V (Figs. 5-C, D, E, F,  
231 and Fig. 8). However, the top of Member V yields only macrofossils and no palynomorphs (Fig. 8).  
232 The dominant groups include ferns (e.g., *Cladophlebis raciborskii*, *Todites* sp., Fig. 5-D, F), cycads  
233 (e.g., *Pterophyllum angustum*, Fig. 5-C), and ginkgophytes (e.g., *Ixostrobus* sp., Fig. 5-E). The  
234 proportion of fern spores is up to 71.78%, whereas the conifers is only 15.34% (sample QLX-10 of  
235 Bed 9, Member V; Fig. 8). Both the macro- and micro-fossils indicate a swamp wetland community  
236 (SWC) to have preserved in Member V (Lu, 2019; Li et al., 2020; Figs. 6 and 8).

237 Most fossil taxa of the Xujiahe Flora were found in Member VII, such as *Dictyophyllum*  
238 *nathorsti*, *Cladophlebis* sp., *Baiera elegans* and *Ginkgoites* sp. (Figs. 5-G, H, I, J). Both species and  
239 genera diversity of the plant macrofossils increase at first and then decrease (Fig. 6). The dominant

240 groups changed from horsetails to ferns and cycads (Bed 15), and then to ginkgophytes and conifers  
241 (Bed 15 to 17) (Ye et al., 1986; Lu, 2019; Fig. 6). Meanwhile, the palynological data also show an  
242 upward decrease in ferns and an increase in gymnosperms (e.g., conifers, ginkgophytes) from Bed 15  
243 to Bed 17 (Li et al., 2020; Fig. 8). This assemblage change reflects a transitional community  
244 succession (TCs), changing from lakeshore swamp to high lands that were preserved in Member VII  
245 (Figs. 6 and 8).

## 246 **5 Discussion**

### 247 **5.1 Oscillations between fluvial and lacustrine depositional systems**

248 Significant changes in term of palaeogeography, palaeoclimate and palaeoecology occurred in  
249 the Sichuan Basin throughout the Late Triassic. The Sichuan Basin occurred on the northern margin  
250 of the South China Block during the Late Triassic (Fig. 1-A). With the convergence of the South  
251 China Block, the North China Block, and the Indo-China Block (known as the Indosinian  
252 Movement), the Sichuan Basin was raised during the Middle- Late Triassic (Zhong et al., 1998). Due  
253 to the uplift of the Sichuan Basin, the westward retreat of the Tethys occurred and the Middle  
254 Triassic Leikoupo Formation was weathered during the Middle-Late Triassic in the Xuanhan area  
255 (Deng, 1996; Zhong et al., 1998; Wang et al., 2010). This large-scale regression was recorded by the  
256 sedimentary gap between the Middle Triassic Leikoupo Formation and the Upper Triassic Xujiahe  
257 Formation (Fig. 2-A).

258 Following the large-scale regression, a new transgressive episode started in the Carnian and  
259 influenced the Xuanhan area in the latest Norian (Lu et al., 2015). Thereafter, a coastal marsh began  
260 to take shape and the Xujiahe Formation deposited unconformably over the Leikoupo Formation  
261 remains (Fig. 2-A). Some thin layers of coal were accumulated, with the coincidental formation of  
262 calcic concretions and gypsum of Member I deposits, indicating a hot climate (Lu et al., 2015).

263 (Fig. 9 is approximately here)

264 With the continuous northward compression of the northern margin of the South China Block,  
265 the Qinling orogen to the north of the Sichuan Basin became raised and rivers originating from the  
266 Qinling orogen started to shape the topography of the Xuanhan area with sufficient terrigenous  
267 clastic sediments and water. The meandering rivers became the dominant sedimentary environment,  
268 as represented by the sequences of Member II. Repeated flood events, inferred from the regular  
269 occurrence of riverbed-point bar-natural levee associations, suggest regular heavy rain events in  
270 response to a megamonsoon development (Parrish and Peterson, 1988; Tian et al., 2016) (Fig. 9).

271 With the initial formation of the Sichuan Basin and the continuous deepening of the water at the  
272 study locality, the transition from channel to floodplain to lakeshore swamp was recorded in Member  
273 III (Figs. 4 and 9). However, the relatively stable environment didn't last long before the start of  
274 another episode of the Indosinian Movement (also known as the Anxian Movement, Wang, 1990).  
275 The tectonic activities not only promoted the continuous uplift of the Qinling Orogen, but also  
276 resulted in the uplift of the Longmenshan mountains, forming a prominent syntectonic conglomerate  
277 sequence near the source area (Wang, 2003; He, 2014; Liu et al., 2021). The fluvial dynamics of the  
278 surrounding orogens were therefore enhanced, providing sufficient terrigenous clastic sediments and  
279 water. The facies association of Member IV of the Qilixia Section recorded the Anxian Movement  
280 and its impact from a distance (Fig. 4).

281 With the tectonic activities tending to moderate, the environment became stable and the lake  
282 level became raised (Fig. 9). The wide deltaic flood-plain was formed in the middle Rhaetian (ca.  
283 203~203.5 Ma, Fig. 9), with distributary channels and interdistributary bay deposits (Figs. 4 and 9).  
284 The coal accumulation was enhanced in a stable peat swamp of the interdistributary bay environment,  
285 and some thick, industry grade coal seams developed in the lower mudstone beds of Member V (e.g.,  
286 upper part of Bed 9, Fig. 4).

287 Subsequently, the environment became disturbed again, as suggested by the mudstone breccia of  
288 the upper beds of Member V. The mudstone breccia composed of angular boulders suggest either an

289 exceptional storm or even a tsunami event (Pole et al., 2018). Under this disturbed environment  
290 background, very few plant fossils could be preserved. Root clay and siderite concretions occurred in  
291 the upper beds of Member V, suggesting fluctuations of the lake level (Fig. 9). The disturbed  
292 environmental conditions extended throughout the upper Member V and the Member VI. The  
293 occurrence of both hummocky and swaley cross beddings in Member VI were proposed as strong  
294 evidence of more stormy conditions (Pole et al., 2018). The fine-grained and well-sorted quartz  
295 sandstone with wave-ripple marks of the Member VI may suggest a wave-dominated, near-shore  
296 environment.

297 Influenced by the lake transgression, a stable lakeshore and shallow lake environment developed  
298 prior to the Tr–J transition (Fig. 9). The depositional environment and facies evolution were mainly  
299 controlled by lake level changes. With the fall of lake level, a peat swamp environment occurred and  
300 the Xuanhan area became the coal depocenter, with industry grade, thick coal seams accumulated  
301 related to the stable environment conditions of Member VII (Fig. 2-F).

## 302 5.2 Palaeoclimate implications and ecological response

303 Species diversity, community composition and dominant taxa of the fossil assemblages can  
304 allow for inferences on palaeoclimate variations and palaeo-ecosystem stability (McElwain et al.,  
305 2007). Furthermore, syntheses of the sedimentary systems, community succession and major  
306 geological events contribute to better understanding of the ecological response of the Xujiahe Flora  
307 to the end-Triassic mass extinction and associated climatic and environmental change at that time  
308 (Fig. 9).

309 The observed water-level transgression across the wider depositional environment resulted in  
310 the formation of a transitional environment, which provided the initial habitats for the rise of the  
311 Xujiahe Flora in the latest Norian (ca. 207~207.2 Ma, Fig. 9). The thriving coastal hydrophyte  
312 community (CHC) of the Member I marked the origin of the Xujiahe Flora in the latest Norian in the

313 Xuanhan area. Both the community composition and the dominant taxa indicate hot and humid  
314 climatic conditions (Lu et al., 2019).

315 Subsequently, an environmentally disturbed floodplain developed during the uplift of the  
316 Qinling orogen across the Norian–Rhaetian transition (ca. 205~207 Ma, Fig. 9), terminating the  
317 favorable habitats for terrestrial plant ecosystems as described above. Locally, only the sphenopsid  
318 *Neocalamites* survived and became the dominant element, but *Podozamites* leaves, mainly  
319 transported and buried in the banks of lake or marshes, indicate a nearshore hydrophyte ecosystem as  
320 preserved in Member II (Huang and Lu, 1992). The occurrence of the fossil wood *Xenoxylon*  
321 *guangyuanensis* in the neighboring region of this basin was notable (Tian et al., 2016). Previously  
322 evidence suggests that the genus *Xenoxylon* was mainly distributed in the high latitude regions and  
323 reflecting cool and/or wet climate conditions, the southernmost occurrences of *Xenoxylon* in regions  
324 otherwise under warm or dry paleoclimates might indicate global colder/wetter climatic snaps  
325 (Philippe and Thévenard, 1996; Philippe et al., 2009). Therefore, the *X. guangyuanensis* would  
326 suggest a climatic cooling event linked to the development of the Late Triassic megamonsoon (Tian  
327 et al., 2016), influencing the evolution of gymnosperms.

328 Nearshore peat swamps developed as a result of lake level rise (Fig. 9). A community  
329 dominated by ferns, cycads and horsetails was thriving for a short period of time during the early  
330 Rhaetian (ca. 204.5 Ma, Fig. 9). The occurrence of ginkgophyte fossils (*Stachyopitys*) indicate that  
331 the climate was not as hot as during the latest Norian, and the delta plain wetlands community  
332 (DWC) of Member III represented the rise of the Xujiahe Flora in the early Rhaetian (Lu et al.,  
333 2019).

334 The second gap, induced by the Anxian Movement, influenced the floral communities again  
335 (Member VI, Fig. 9), coeval with the uplift of Longmenshan mountain-range towards the southwest,  
336 blocking the warm, moist water flow from the Tethys Ocean, deeply re-shaping local humidity  
337 patterns and the regional climate (Lu, 2019).

338 In the Middle Rhaetian (ca. 203~203.5 Ma, Beds 9 and 11 of Member V, Fig. 9), ferns and  
339 cycads were still dominant (Figs. 6 and 8). The ratio of fern spores was even as high as 71.78%,  
340 whereas the conifer pollen is only 15.34% (sample Q LX-10 of Bed 9, Member V; Fig. 8). Both the  
341 macro- and micro- fossils indicate a swamp wetlands community (SWC) of the lower beds of  
342 Member V, growing under a warm and humid climate. Although the conifers and ginkgophytes  
343 showed higher diversity than the CHC of Member I and the DWC of Member III (Fig. 6), the  
344 abundance was still low and they were not the dominant groups.

345 Community destabilization marked the third gap of the floral succession at the study locality,  
346 suggesting a precursor interval of environmental stress in the late Rhaetian (ca. 202~203 Ma, Fig. 9).  
347 The mudstone breccia of Member V and the occurrence of both hummocky and swaley cross  
348 beddings in Member VI recorded the stormy conditions (Pole et al., 2018). The detrital charcoal  
349 fragments and inertinite recovered from the samples of the Xujiahe Formation also indicate a  
350 disturbed environment marked by intensive wildfire events (Pole et al., 2018; Lu, 2019). This  
351 ecosystem disturbance is also indicated by a series of discrete spikes in sulfide content and changes  
352 in planktonic community composition in the Panthalassic Ocean prior to the Tr–J boundary  
353 (Schoepfer et al., 2022).

354 Until the terminal Rhaetian, a stable nearshore environment became the refuge of the Xujiahe  
355 Flora in the Xuanhan area. Not only did the favorable habitats permitted the prosperity of the ferns,  
356 but also, it contributed to the diversification of conifers and ginkgophytes. Unlike the communities of  
357 the underlying members, the transitional community succession (TCs) of the Member VII showed an  
358 upward non-uniformity (Figs. 7, 8 and 9). Some hydrophyte taxa (e.g., *Neocalamites*) were preserved  
359 in the lowermost mudstone layers (TC-1), co-existing with some bivalve fossils (Ye et al., 1986;  
360 Huang and Lu, 1992). With the drop of the lake level, the shallow lake retreated and the lake  
361 shoreline changed, with the lakeshore subfacies becoming dominant. Within the lakeshore swamp  
362 microfacies, the wetland taxa became dominant in the Xuanhan area (TC-2). With the continuous

363 drop of the lake level, the river dynamics became the main sedimentary feature and the flood plain  
364 expanded. Both the highland and the wetland taxa (i.e., conifers, ferns) were diverse and abundant in  
365 the middle mudstone layers of the Member VII (TC-2, 3). The bivalve fossils demonstrate the rise of  
366 lake level in the topmost bed of Member VII (Ye et al., 1986; Huang and Lu, 1992), with only a low-  
367 diversity floral community dominated by some upland xerophytic taxa (TC-4). The floral  
368 associations of the Member VII show an ecological collapse to the end of the Rhaetian (ca.  
369 201.5~202 Ma, Fig. 9).

370 It is notable that the turnover from TC-2 to TC-3 and the ecological collapse is correlated with  
371 mercury enrichment prior to the Tr–J transition, as a CAMP global influence (Shen et al., 2022) (Fig.  
372 9). Previous studies proposed that the Central Atlantic Magmatic Province (CAMP) volcanism have  
373 increased the frequency and scale of extreme climatic events (McElwain et al., 1999, 2007; Belcher  
374 et al., 2010), so that the enormous ecological pressure ultimately destroyed the terrestrial flora and  
375 generally their ecosystems (McElwain et al., 1999, 2007; Steinthorsdottir et al., 2012; Mander et al.,  
376 2013; Lindström et al., 2017; Capriolo et al., 2020; Yager et al., 2021). Recently, wildfire events  
377 across the Tr–J interval were identified at the Qilixia Section and throughout the whole basin (Pole et  
378 al., 2018; Lu, 2019; Song et al., 2020). Moreover, a multiproxy analysis (including organic carbon  
379 isotopes, mercury (Hg) concentrations and isotopes, chemical index of alteration (CIA), and clay  
380 minerals) of the Xujiahe Formation, across the Tr–J interval at Qilixia Section was undertaken (Shen  
381 et al., 2022). The increasing CIA in association with Hg peaks was interpreted as results of reflecting  
382 the volcanism-induced intensification of continental chemical weathering, which were linked with  
383 the CAMP event (Shen et al., 2022). Therefore, the ecological collapse in the end of the Rhaetian in  
384 the Xuanhan area was suggested to be largely induced by the CAMP emplacement and its associated  
385 climatic effects.

## 386 6 Conclusions



- 387 1) The Upper Triassic Xujiahe Formation yields the best record of the major changes of  
388 palaeogeography, palaeoclimate and palaeoecology occurring in the Late Triassic of the  
389 northeastern Sichuan Basin, South China. The oscillating fluvial-lacustrine depositional system  
390 during the late Triassic, and the sedimentary evolution of the basin were mainly controlled by the  
391 Indosinian Movement and by the regression-transgression cycle.
- 392 2) Within an overall warm and humid climate during the Late Triassic in the Xuanhan area, some  
393 climatic variations occurred, inferred from differences in floral communities of each member.  
394 The cooling and drying fluctuations influenced the diversification of gymnosperms.
- 395 3) Four communities and three obvious gaps document the rise and demise of Xujiahe Flora  
396 throughout the Late Triassic in the northeast Sichuan Basin. The floral community successions  
397 were closely related to sedimentary processes and to climatic variations. An ecosystem  
398 destabilization occurred in the Xuanhan area over one million years prior to the Tr–J interval,  
399 followed by an ecological collapse occurring at the Tr–J interval.
- 400 4) The Xujiahe Flora always recovered from the interruptions induced by tectonic movement, and it  
401 ultimately collapsed under the extreme climatic events and ecological pressure induced by the  
402 CAMP event.

#### 403 **Conflict of Interest**

404 *The authors declare that the research was conducted in the absence of any commercial or financial*  
405 *relationships that could be construed as a potential conflict of interest.*

#### 406 **Author Contributions**

407 YW, MEP and NL designed the study. NL, YX, LL, HC, XX, MR, MEP, WMK and YW carried out  
408 the field works. NL, YX and LL performed the lab works. NL, YX, LL and YW wrote the draft. All  
409 authors contributed to the interpretation and revision of the manuscript.

#### 410 **Funding**

411 This study is financially supported by the National Natural Science Foundation of China (42202020,  
412 41972120 and 42072009), and the Programs from State Key Lab of Palaeobiology and Stratigraphy  
413 (20191103, 213112).

#### 414 **Acknowledgments**

415 We would like to thank Prof. Yue Li from Nanjing Institute of Geology and Palaeontology, Chinese  
416 Academy of Sciences for the suggestions regarding the manuscript. We appreciate Dr. Mingsong Li  
417 from Peking University and Senior Engineer Shuna Xi from the No. 137 Geological Survey of the  
418 Sichuan Coalfield Geology Bureau for their kind assistance during fieldtrips.

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657 **Figure Captions**

658 **Fig. 1 Maps showing the location of the Qilixia Section, NE Sichuan Basin, China.**

659 **A.** The palaeogeographic location of Sichuan Basin during the Rhaetian (Base map after [Metcalf, 2011](#)); **B.** The location of the Qilixia Section, NE Sichuan Basin; **C.** Simplified geological map of the  
660 [2011](#)); **B.** The location of the Qilixia Section, NE Sichuan Basin; **C.** Simplified geological map of the  
661 Qilixia Section and surrounding region (after [Sichuan Bureau of Geology, 1980](#)).

662 **Fig. 2 Stratigraphic boundaries and lithologies of the Xujiuhe Formation at the Qilixia Section.**

663 **A.** The lithological boundary between the Upper Triassic Xujiuhe Formation and the Middle Triassic  
664 Leikoupo Formation; **B.** The lithological boundary between the Lower Jurassic Zhenzhuchong  
665 Formation and the Upper Triassic Xujiuhe Formation; **C.** The massive sandstone bed of Member II;  
666 **D.** The sandstone bed with carbonized branches of Member IV; **E.** The mudstone bed with siderite  
667 concretions of Member I; **F.** The fossils bearing layers and coal seam of Member VII; **G.** The  
668 conglomerate layer of Member II; **H.** The conglomerate layer with tree trunks of Member II.

669 **Fig. 3 Sedimentary structures of the Xujiuhe Formation at the Qilixia Section.**

670 **A.** The sandstone bed with conglomerate lens and erosion surface of Member II; **B.** The parallel  
671 beddings of Member IV; **C.** The cross beddings of Member VI; **D.** The climbing ripples of Member  
672 IV; **E.** The wave-ripple marks of Member VI; **F.** The load casts of Member II; **G.** The mud cracks of  
673 Member I; **H.** The *Skolithos* burrows of Member III (after [Pole et al., 2018](#)).

674 **Fig. 4 Detailed log showing the lithology, sedimentary structures, fossil preservation and**  
675 **sedimentary sequences of the Xujiuhe Formation at the Qilixia Section.**

676 **Fig. 5 Representatives of the Xujiuhe Flora collected from the Qilixia Section.**

677 **A.** *Radicites* sp., Member I, **QLX2014103**; **B.** *Neocalamites* sp., Member II; **C.** *Pterophyllum*  
 678 *angustum* (Braun) Gothan, Member V, **QLX2014163**; **D.** *Cladophlebis raciborskii* Zeiller, Member  
 679 V, **QLX2014146**; **E.** 01, *Ixostrobus* sp.; 02, *Podozamites* sp., Member V, **QLX2014159**; **F.**  
 680 *Cladophlebis* sp., Member V, **QLX2014170**; **G.** *Baiera elegans* Oishi, **QLX2014350**; **H.** *Ginkgoites*  
 681 *sibiricus* (Heer) Seward, Member VII, **QLX-2105-15U-542**; **I.** *Cladophlebis* sp., Member VII,  
 682 **QLX2014399**; **J.** *Dictyophyllum nathorsti* Zeiller, Member VII, **QLX-2105-15U-680a**. (**A, C-J, scale**  
 683 **bar = 10 mm; B, scale bar = 50 mm**; The plant fossils are showing in the stratigraphic order).

684 **Fig. 6 Stratigraphic occurrences of the plant macrofossils and division of the floral**  
 685 **communities of the Late Triassic Xujiahe Flora.**

686 \*The division of the floral communities are based on the plant macrofossils and palynological data.

687 **Fig. 7 Representative spore and pollen taxa recovered from the Xujiahe Formation at the**  
 688 **Qilixia section.**

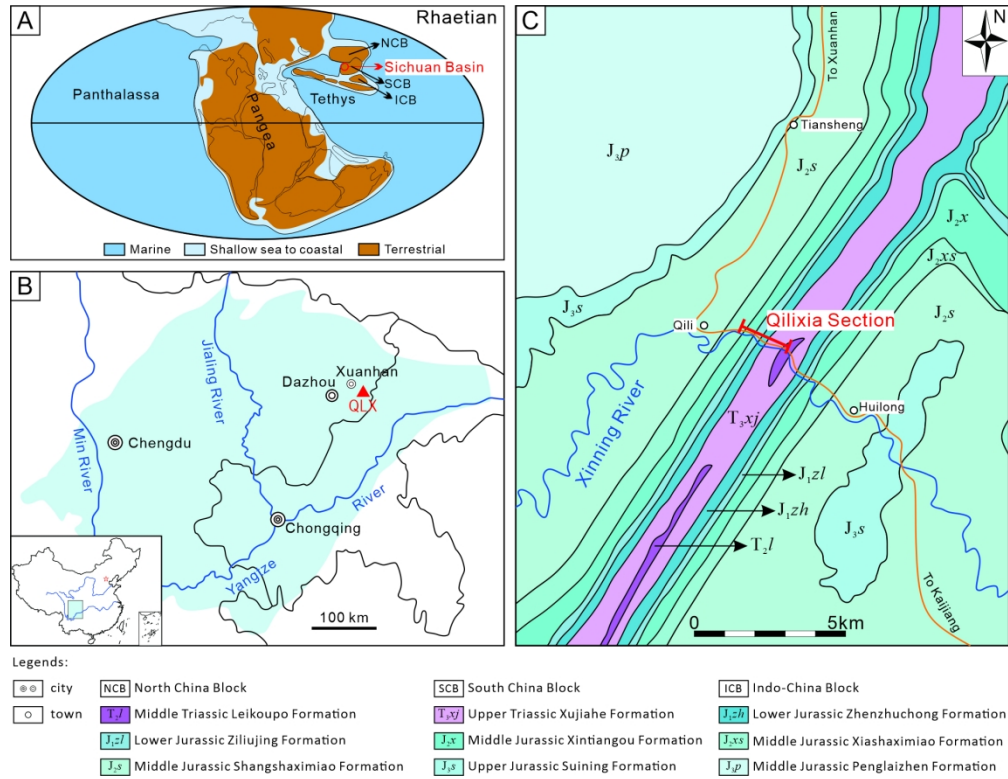
689 **A.** *Sphagnumsporites clavus* (Balme, 1957) Huang, 2000, Member I, **QLX-1-1**; **B.** *Sphagnumsporites*  
 690 *perforates* (Leschik) Liu, 1986, Member I, **QLX-1-4**; **C.** *Cibotiumspora robusta* Lu et Wang, 1983,  
 691 Member I, **QLX-1-1**; **D.** *Dictyophyllidites harrisii* Couper, 1958, Member I, **QLX-1-1**; **E.**  
 692 *Toroisporis minoris* (Nakoman) Sun et He, 1980, Member I, **QLX-1-3**; **F.** *Toroisporis minoris*  
 693 (Nakoman) Sun et He, 1980, Member III, **QLX-7-7**; **G.** *Concavisporites toralis* (Leschik, 1955)  
 694 Nilsson, 1958, Member I, **QLX-1-3**; **H.** *Cyathidites minor* Couper, 1953, Member I, **QLX-1-4**; **I.**  
 695 *Osmundacidites granulata* (Mal.) Zhou, 1981, Member I, **QLX-2-1**; **J.** *Cyclogranisporites arenosus*  
 696 Madler, 1964, Member III, **QLX-8-2**; **K.** *Angiopteridaspora denticulata* Chang, 1965, Member I,  
 697 **QLX-1-1**; **L.** *Granulatisporites triconvexus* Staplin, 1960, Member I, **QLX-1-4**; **M.** *Osmundacidites*  
 698 *wellmanii* Couper, 1953, Member III, **QLX-9-2**; **N.** *Planisporites dilucidus* Megregor, 1960, Member  
 699 V, **QLX-12-2**; **O.** *Araucariacites australis* Cookson, 1947, Member I, **QLX-1-3**; **P.** *Alisporites*  
 700 *parvus* De Jersey, 1962, Member VII, **XHQL-89-5**; **Q.** *Alisporites parvus* De Jersey, 1962, Member

701 VII, XHQL-91-1; **R.** *Vitreisporites pallidus* (Reissinger) Nilsson, 1958, Member II, XHQL-40-2; **S.**  
702 *Pinuspollenites divulgatus* (Bolikh.) Qu, 1980, Member VII, XHQL-91-1; **T.** *Pinuspollenites*  
703 *alatipollenites* (Rouse) Liu, 1982, Member VII, XHQL-91-1; **U.** *Piceites enodis* Bolkhovtina, 1956,  
704 Member II, QLX-2-1; **V.** *Quadraeculina anellaeformis* Maljavkina, 1949, Member VII, XHQL-89-1;  
705 **W.** *Podocarpidites unicus* (Bolikh.) Pocock, 1970, Member II, XHQL-40-1; **X.** *Cycadopites parvus*  
706 (Bolikh.) Pocock, 1970, Member I, QLX-1-1; **Y.** *Cycadopites follicularis* Wilson et Webster, 1946,  
707 Member II, XHQL-40-2; **Z.** *Cycadopites reticulata* (Nilsson) Arjang, 1975, Member VII, XHQL-91-  
708 1; **AA.** *Monosulcites granulatus* Couper 1960, Member VII, XHQL-91-1; **AB.** *Monosulcites minimus*  
709 Cookson, 1947, Member I, QLX-1-4; **AC.** *Monosulcites enormis* Jain, 1968, Member VII, XHQL-  
710 89-3. (scale bar = 20  $\mu$ m)

711 **Fig. 8 Stratigraphic occurrences and abundance diagram of major spore-pollen groups**  
712 **recovered from the Xujiahe Formation at the Qilixia section.**

713 **Fig. 9 Environmental oscillations and the ecological responses of the Xujiahe Formation at the**  
714 **Qilixia Section.**

715 **1).** The 405-kyr eccentricity cycle and ages are from [Li et al., 2017](#). **2).** The initial- and main- carbon  
716 isotope excursions and mercury (Hg) isotope enrichment are from [Shen et al., 2022](#).

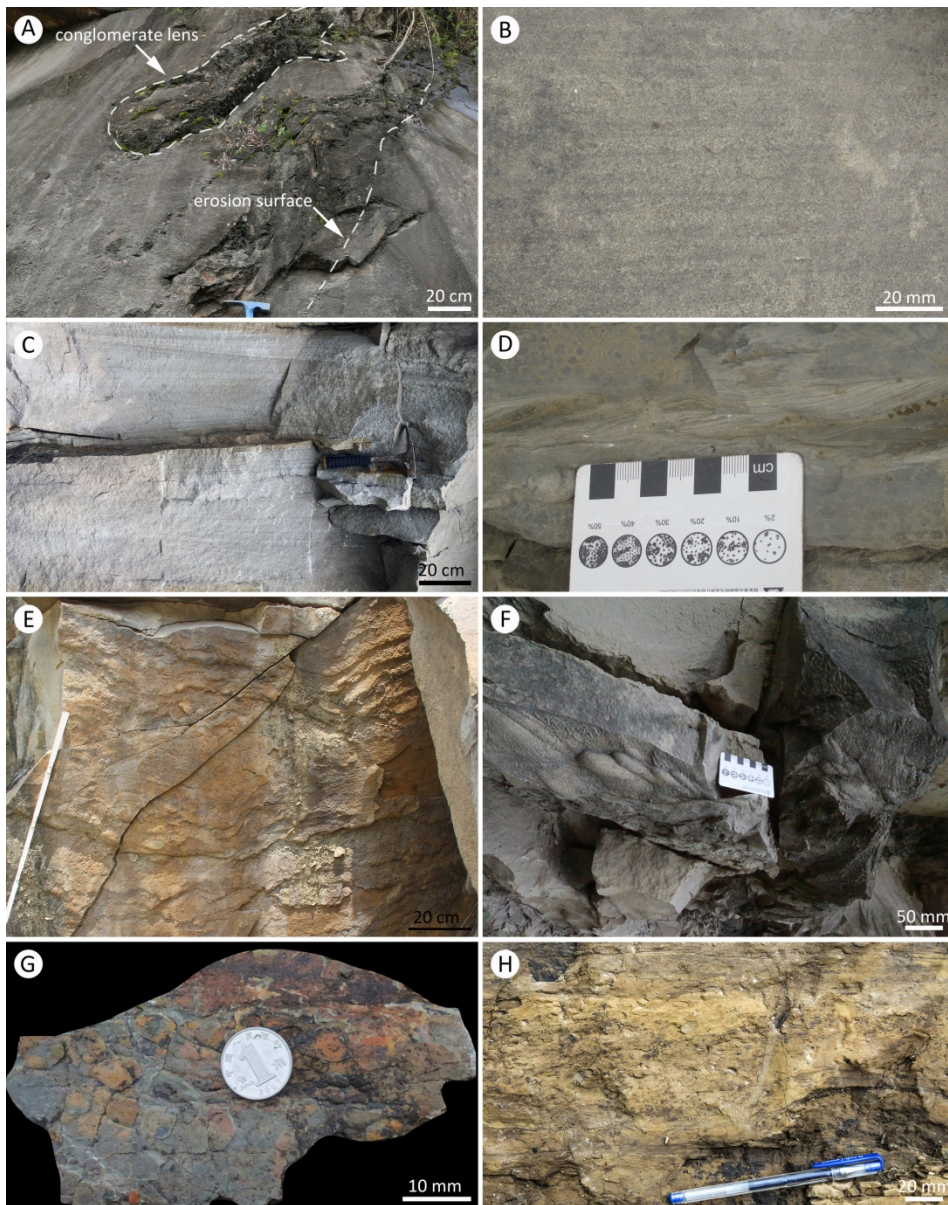


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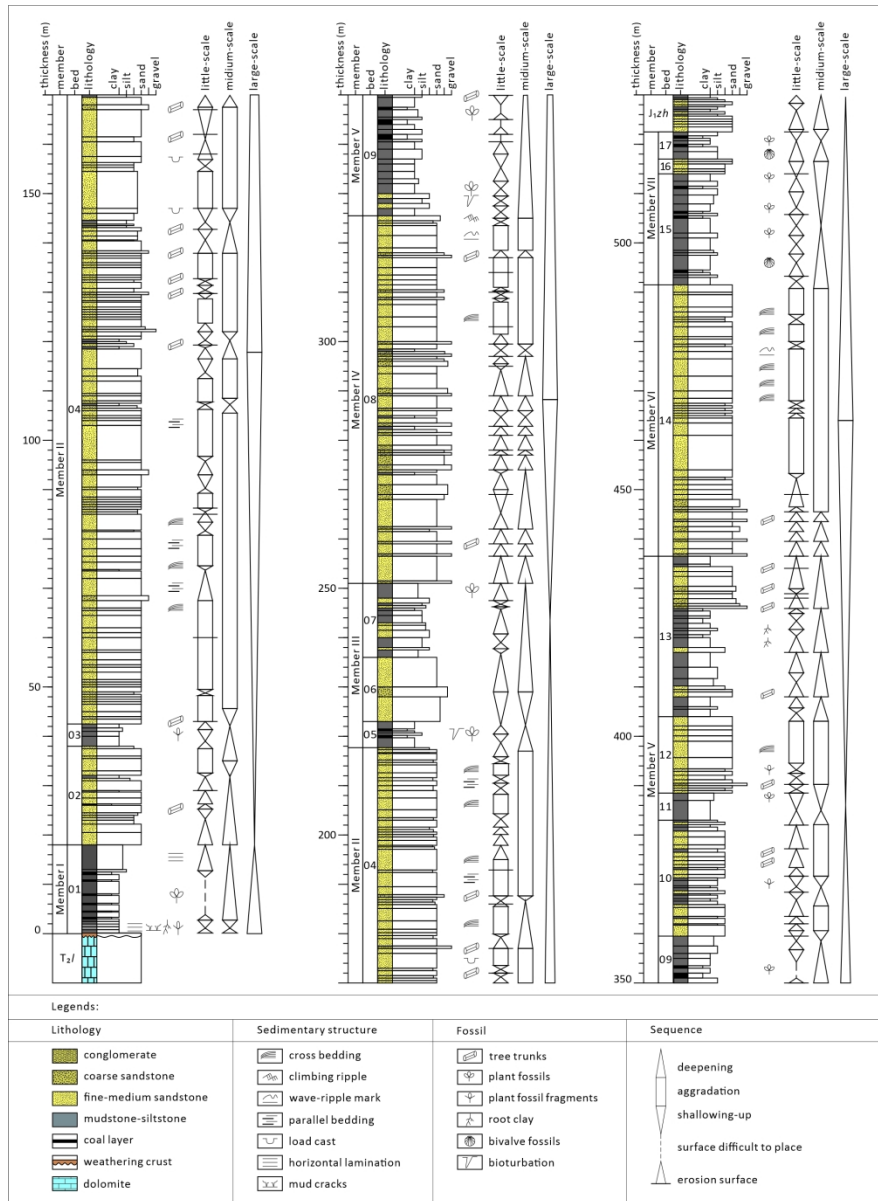




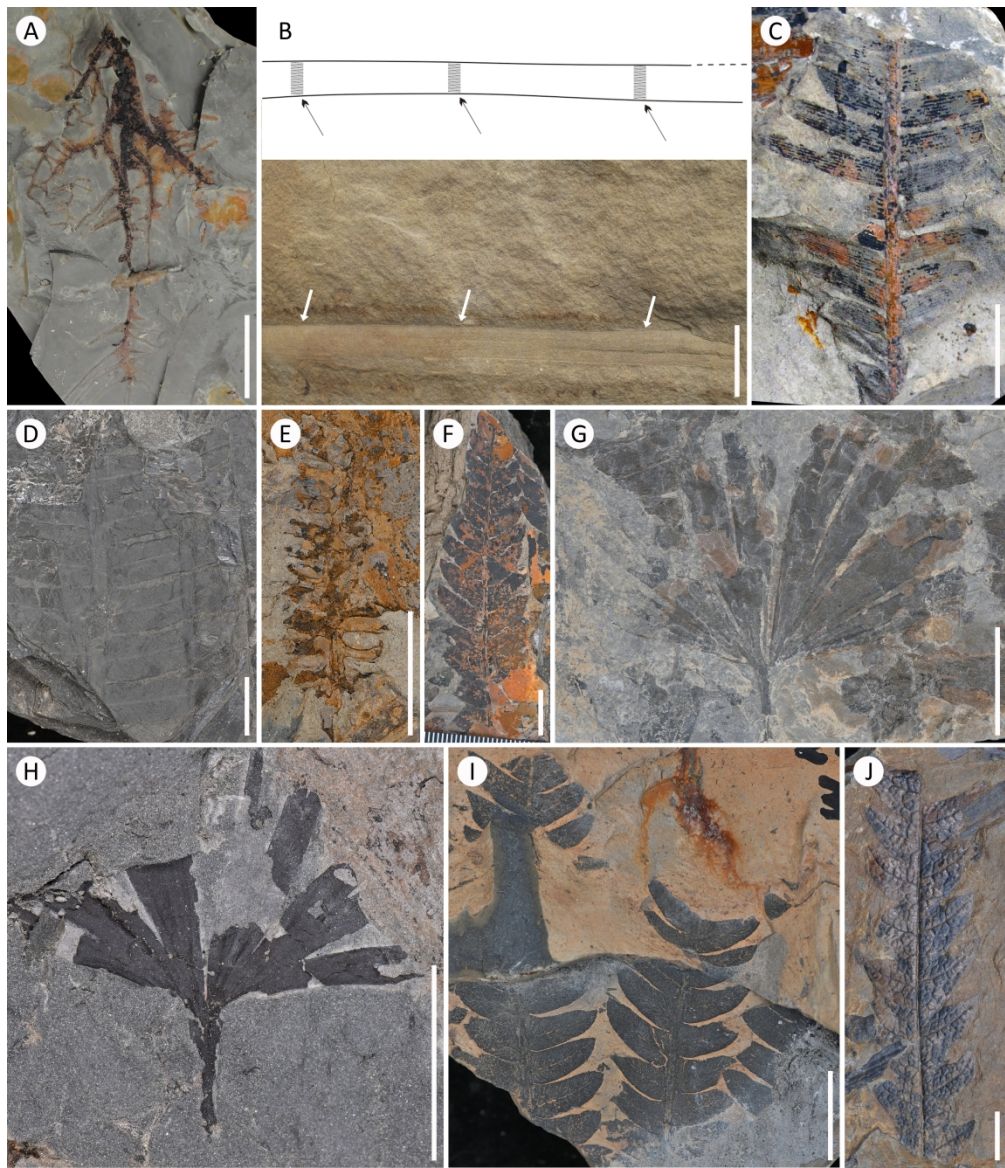
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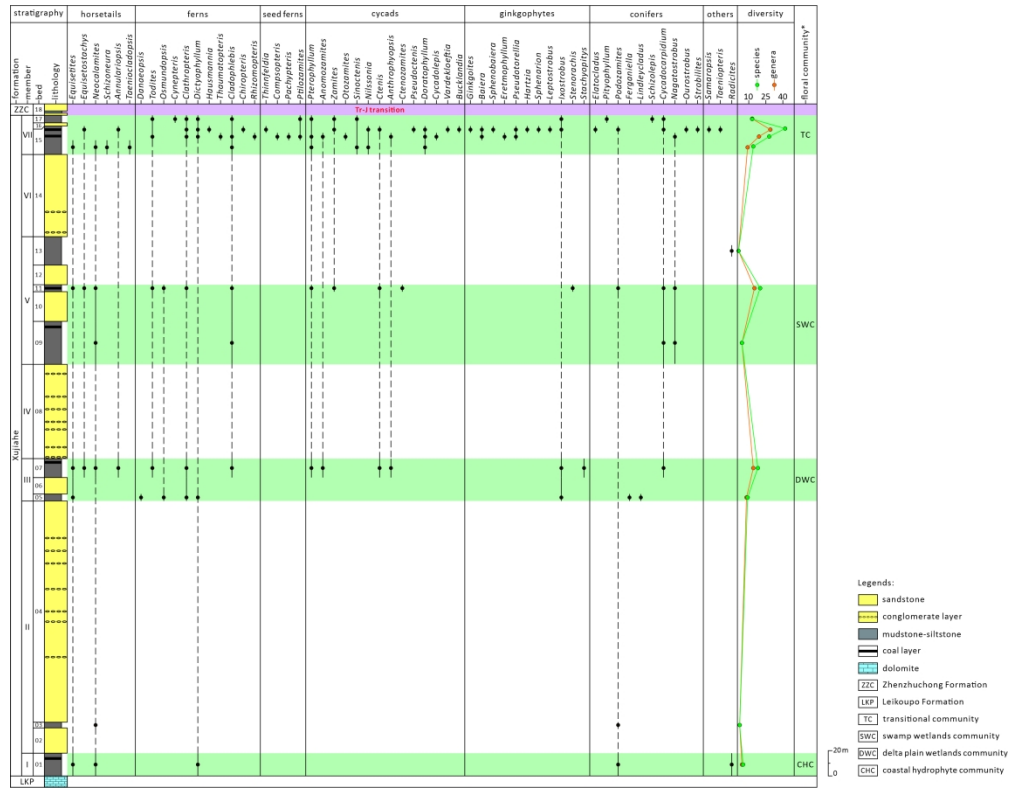
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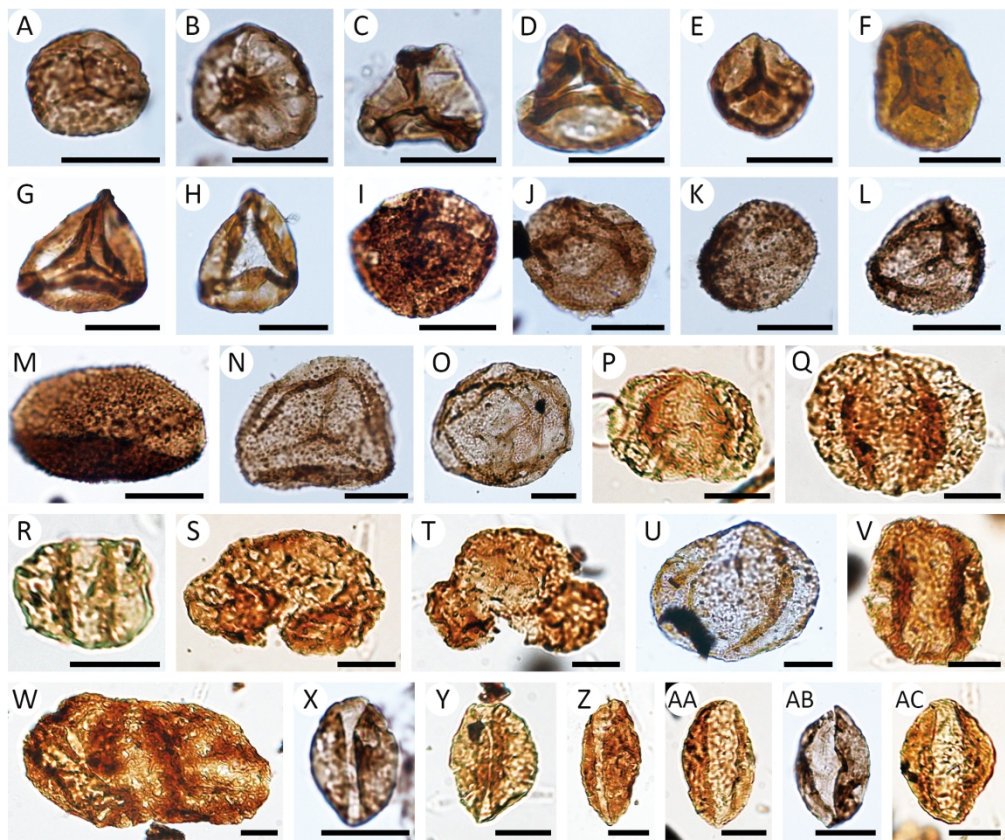
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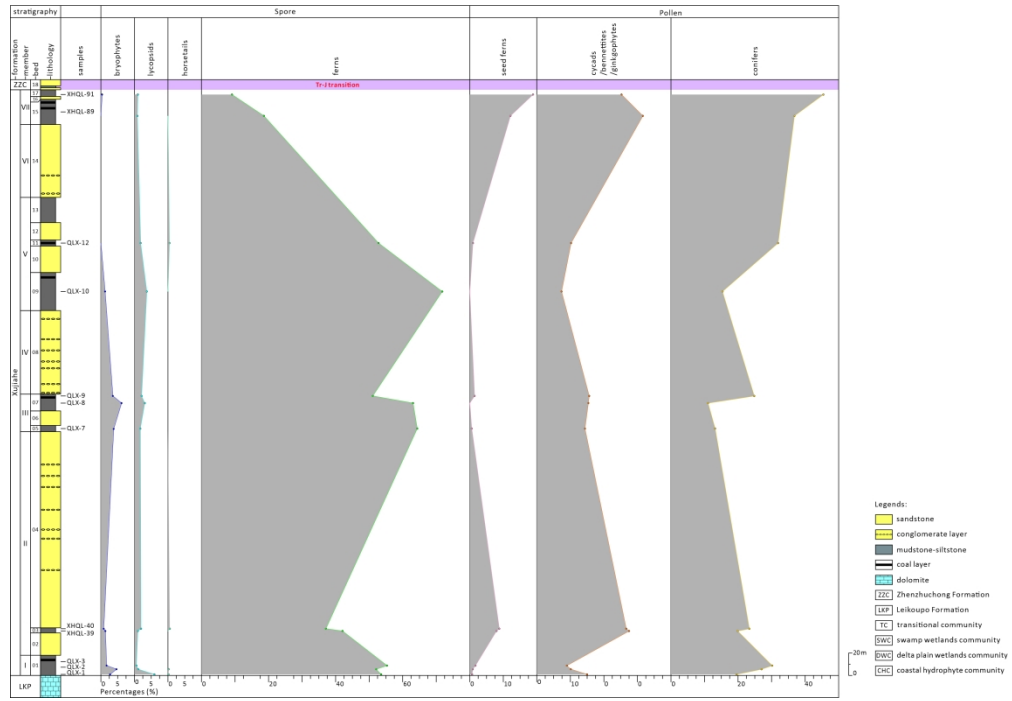
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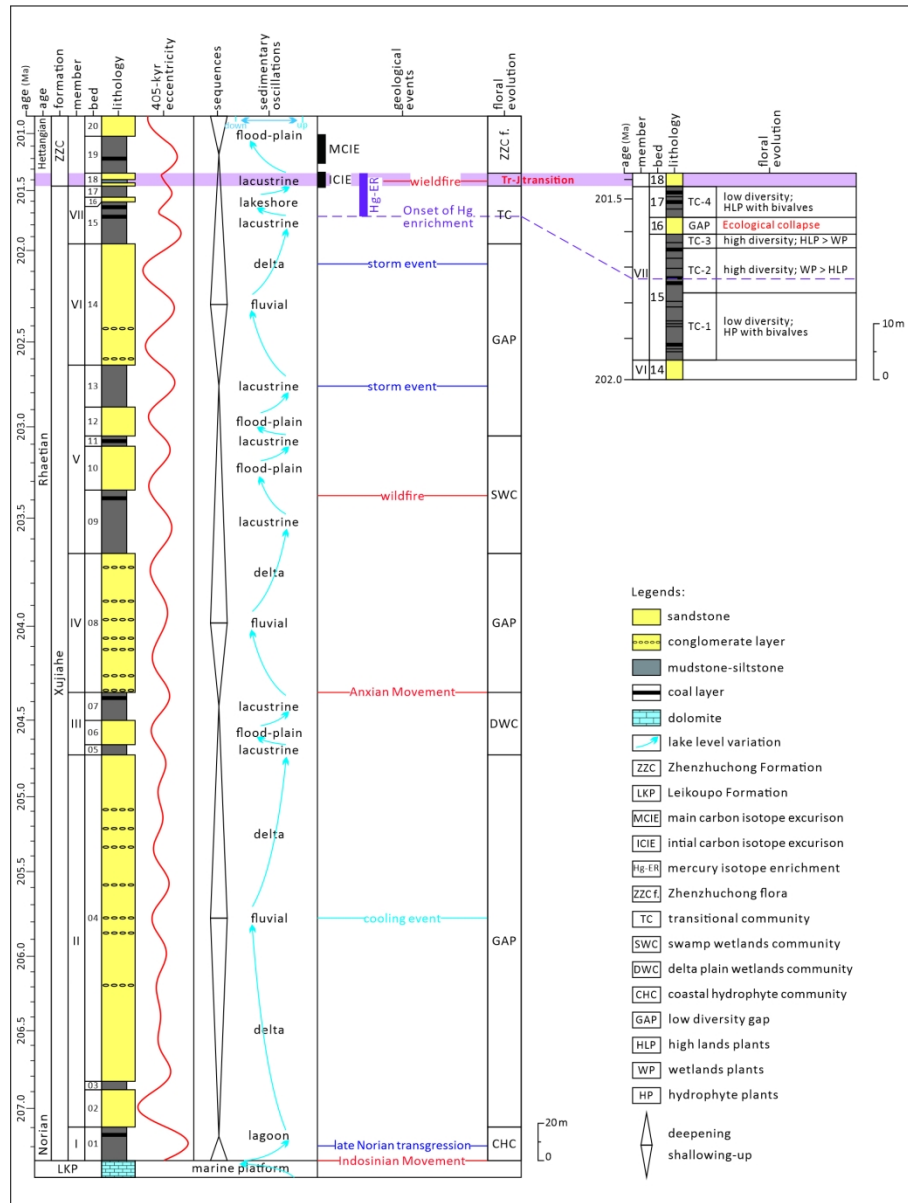
263x206mm (300 x 300 DPI)



784x652mm (72 x 72 DPI)



297x206mm (300 x 300 DPI)



162x214mm (300 x 300 DPI)



Supplementary Information Text for

**Oscillations of a fluvial-lacustrine system and its  
ecological response in the Late Triassic, northeast  
Sichuan Basin, China**

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Popa\*, Hongyu Chen, Micha Ruhl, Wolfram Michael Kürschner

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This PDF file includes:

Tabel S1. List of species of the Late Triassic Xujiahe Flora from the Qilixia section  
and its environs, NE Sichuan Basin, China.

Table S1. List of species of the Late Triassic Xujiahe Flora from the Qilixia section and its environs, NE Sichuan Basin, China.

Family*	Genus	Species	Stratigraphic occurrence		
			M. III	M. V	M. VII
	<i>Equisetites</i> Sternberg**	<i>E. intermedius</i> Erdtman	+	+	
		<i>E. koreanicus</i> Konno			+
		<i>E. laevis</i> Halle	+		
		<i>E. sarrani</i> Zeiller	+	+	
		<i>E. scanicus</i> (Sternberg) Halle	+	+	+
		<i>E. sp. 1</i>	+		
		<i>E. sp. 2</i>			+
		<i>Equisetostachys</i> Jongmans	<i>E. sp.</i>	+	+
	<i>Neocalamites</i> Halle	<i>N. carcinoides</i> Harris	+	+	+
		<i>N. carrerei</i> (Zeiller) Halle			+
		<i>N. hoerensis</i> (Schimper) Halle			+
		<i>N. sp.***</i>			
	<i>Schizoneura</i> Schimper et Mougeot	<i>S. sp.</i>			+
	<i>Annulariopsis</i> Zeiller	<i>A. cf. A. inopinata</i> Zeiller	+		+
	<i>Taeniocladopsis</i> Sze	<i>T. rhizomoides</i> Sze			+
Marattiaceae	<i>Danaeopsis</i> Heer	<i>D. fecunda</i> Halle	+		
Osmundaceae	<i>Todites</i> Seward	<i>T. kwangyuanensis</i> (Li) Ye et Chen	+		+
		<i>T. shensiensis</i> (P'an) Sze		+	
	<i>Osmundopsis</i> Harris	<i>O. plectrophora</i> Harris	+	+	
Cynepteridaceae	<i>Cynepteris</i> Ash	<i>Cynepteris lasiophora</i> Ash			+
Dipteridaceae	<i>Clathropteris</i> Brongniart	<i>C. meniscioides</i> Brongniart	+		
		<i>C. mongugaica</i> Srebrodolskaya			+
		<i>C. platyphylla</i> (Goepfert) Schenk		+	+
		<i>C. tenuinervis</i> Wu			+
	<i>Dictyophyllum</i> Lindley et Hutton	<i>D. nathorsti</i> Zeiller	+		+
	<i>Hausmannia</i> Dunker	<i>H. ussuriensis</i> Kryshfovich			+
	<i>Thaumatopteris</i> (Goepfert) Nathorst	<i>T. nipponica</i> Oishi			+

<i>Cladophlebis</i> Brongniart**	<i>C. calcarifomis</i> Chu			+
	<i>C. denticulata</i> (Brongniart) Nathorst			+
	<i>C. integra</i> (Oishi et Takahashi) Frenguelli			+
	<i>C. scariosa</i> Harris			+
	<i>C. cf. C. kaoiana</i> Sze	+	+	+
	<i>C. cf. C. nalivkini</i> Thomas			+
	<i>C. sp.</i>			+
<i>Chiropteris</i> Kurr	<i>C.? Yuani</i> Sze			+
	<i>C.? sp.</i>			+
<i>Rhizomopteris</i> Schimper	<i>R. sp.</i>			+
<i>Thinnfeldia</i> Ettingshausen	<i>T. rigida</i> Sze			+
<i>Compsopteris</i> Zalessky	<i>C. acutifida</i> Ye et Chen			+
<i>Pachypteris</i> Brongniart	<i>cf. P. chinensis</i> Hsü et Hu			+
<i>Ptilozamites</i> Nathorst	<i>P. chinensis</i> Hsü			+
<i>Pterophyllum</i> Brongniart	<i>P. angustum</i> (Braun) Gothan			+
	<i>P. astartense</i> Harris			+
	<i>P. costa</i> Ye et Xu			+
	<i>P. exhibens</i> Li			+
	<i>P. pinnatifidum</i> Harris	+		+
	<i>P. ptilum</i> Harris		+	+
	<i>P. sinense</i> Li	+		+
	<i>P. subaequale</i> Hartz			+
	<i>P. tietzei</i> Schenk			+
<i>Anomozamites</i> Schimper	<i>A. loczyi</i> Schenk	+		
	<i>A. marginatus</i> (Unger) Nathorst			+
<i>Zamites</i> Brongniart	<i>Z. jiangxiensis</i> Yao et Lih		+	+
	<i>Z. sinensis</i> Sze			+
<i>Otozamites</i> Braun	<i>cf. O. ptilophylloides</i> Barbard et Miller			+
<i>Sinoctenis</i> Sze	<i>S. calophylla</i> Wu et Lih			+
<i>Nilssonia</i> Brongniart	<i>N. furcata</i> Chow et Tsao			+
<i>Ctenis</i> Lindley et Hutton	<i>C. chaoi</i> Sze			+

	<i>C. denticulata</i> Ye et Huang	+	
	<i>C. ? mirabilis</i> Ye et Huang	+	
	<i>C. nilssoni</i> (Nathorst) Harris		+
	<i>C. yamanarii</i> Kawasaki		+
	<i>C. cf. C. yungjenensis</i> Chen		+
<i>Anthrophyopsis</i> Nathorst	<i>A. venulosa</i> Chow et Yao emend Xu, Popa et Wang	+	+
<i>Ctenozamites</i> Nathorst	<i>C. cycadea</i> (Berger) Schenk	+	
<i>Pseudoctenis</i> Seward	<i>P. xiphida</i> Ye et Huang		+
<i>Doratophyllum</i> Harris	<i>D. cf. D. astartensis</i> Harris		+
	<i>D. decoratum</i> Li		+
	<i>D. hsuchiahoense</i> Li		+
	<i>D. sp.</i>		+
<i>Cycadolepis</i> Saporta	<i>C. corrugata</i> Zeiller		+
<i>Vardekloeftia</i> Harris	<i>V. sulcata</i> Harris		+
<i>Bucklandia</i> Presl	<i>B. minima</i> Ye et Peng		+
	<i>B. sp.</i>		+
<i>Ginkgoites</i> Seward	<i>G. cf. G. huttoni</i> (Sternberg) Seward		+
<i>Baiera</i> Braun	<i>B. elegans</i> Oishi		+
	<i>B. cf. B. furcata</i> (L.et H.)Braun		+
	<i>B. cf. B. muensteriana</i> (Presl) Heer		+
<i>Sphenobaiera</i> Florin	<i>S. cf. S. spectabilis</i> (Nathorst) Florin		+
<i>Eretmophyllum</i> Thomas	<i>E. sp.</i>		+
<i>Pseudotorellia</i> Florin	<i>P. sp.</i>		+
<i>Hartzia</i> Harris	<i>H. tenuis</i> Harris		+
<i>Sphenarion</i> harris	<i>cf. S. leptophylla</i> (Harris) Harris		+
<i>Leptostrobus</i> Heer	<i>L. cf. L. cancer</i> Harris		+
<i>Ixostrobus</i> Raciborski	<i>I. lepidus</i> (Heer) Harris	+	+
	<i>I. sp.</i>		+
<i>Stenorachis</i> Saporta	<i>S. cf. konianus</i> Oishi et Huzioka	+	
<i>Stachyopitys</i> Schenk	<i>S. sp.</i>	+	
<i>Elatocladus</i> Halle	<i>E. sp.</i>		+
<i>Pityophyllum</i> Nathorst	<i>P. nordenskioldi</i> (Heer) Nathorst		+
<i>Podozamites</i> Braun	<i>P. distans</i> (Presl) Braun	+	+
	<i>P. mucronatus</i> Harris		+

	<i>P. schenki</i> Heer		+
	<i>P. cf. P. astartensis</i> Harris		+
	<i>P. cf. P. griesbachi</i> Seward	+	
	<i>P. cf. P. issykkulensis</i> Genkina		+
	<i>P. cf. P. punctatus</i> Harris		+
	<i>P. cf. P. stewartensis</i> Harris		+
	<i>P. sp.</i> ***		
<i>Ferganiella</i> Prynada	<i>F. podozamioides</i> Lih	+	
<i>Lindleycladus</i> Harris	<i>L. cf. L. lanceolatus</i> (L. et H.) Harris	+	
<i>Schizolepis</i> Braun	<i>S. gracilis</i> Sze		+
<i>Cycadocarpidium</i> Nathorst	<i>C. erdmanni</i> Nathorst	+	+
	<i>C. swabii</i> Nathorst (s.l.)	+	+
<i>Nagatostrobus</i> Konno	<i>N. linearis</i> Konno	+	+
<i>Ourostrobus</i> Harris	<i>O. cf. O. nathorsti</i> Harris		+
<i>Strobilites</i> Lindley et Hutton	<i>S. sp.</i>		+
<i>Samaropsis</i> Geoppert	<i>S. sp.</i>		+
<i>Taeniopteris</i> Brongniart	<i>T. sp.</i>		+
<i>Radicites</i> Potonic**	<i>R. spp.</i>	+	

\*Uncertain or controversial systematic affinities are not shown.

\*\* *Equisetites* sp., *Cladophlebis* sp., *Podozamites* sp. and *Radicites* sp. also occurred in the Member I.

\*\*\* *Neocalamites* sp. and *Podozamites* sp. also occurred in the Member II.

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1 **Conflict of Interest**

- 2 *The authors declare that the research was conducted in the absence of any commercial or financial*  
3 *relationships that could be construed as a potential conflict of interest.*

For Peer Review