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# Reforming to Survive: The Bolshevik Origins of Social Policies

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We detail how elites provide policy concessions when they face credible threats of revolution. Specifically, we discuss how the Bolshevik Revolution of 1917 and the subsequent formation of Comintern enhanced elites' perceptions of revolutionary threat by affecting the capacity and motivation of labor movements as well as the elites' interpretation of information signals. These developments incentivized elites to provide policy concessions to urban workers, notably reduced working hours and expanded social transfer programs. We assess our argument by using original qualitative and quantitative data. First, we document changes in perceptions of revolutionary threat and strategic policy concessions in early inter-war Norway by using archival resources. Second, we code, e.g., representatives at the 1919 Comintern meeting to proxy for credibility of domestic revolutionary threat in cross-national analysis. States facing greater threats expanded various social policies to a larger extent than other countries, and some of these differences persisted for decades.

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## 1. Introduction

The Bolshevik revolution in Russia in 1917 marks the beginning of what Hobsbawm (1994) labels the “short 20<sup>th</sup> century”, characterized by the world being divided into a capitalist- and communist sphere. Yet, the Bolshevik revolution -- a worker-led revolution with aspirations far beyond Russia -- also constituted a threat to elites in capitalist countries. The Bolshevik revolution was a symbolic event and learning experience for revolutionaries globally (Pons 2014). The Bolsheviks also promised ideological and logistic support to revolutionaries outside Russia. This contributed to split many labor movements between reformist and revolutionary groups, with the formation of several new communist parties (Lipset 1983; Pons 2014). We propose that these developments, and the resulting new threats to the political and economic orders cherished by elites in different countries, helped shape policy-making even in countries that remained staunchly capitalist.

Previous theoretical and empirical work (Acemoglu & Robinson 2006; Aidt & Jensen 2014; Przeworski 2009) have highlighted how revolutionary threats by the poor may spur franchise extension. Other studies have documented that business elites’ preferences for accepting redistributive policy is contingent on perceiving revolutionary threats (Paster 2013). This could contribute to explain the finding that mass mobilization and destruction during and following the world wars led to massive expansions in social spending (Obinger & Petersen (2017)). Previous work has also documented how social policy can be used to enhance regime legitimacy (e.g. Rimlinger 1971). There can also be international dimensions to such dynamics; notably, the competition between “East” and “West” during the cold war increased political incentives for enacting generous social policies in both camps (Obinger & Schmitt 2011).

Integrating these different insights, we theorize and assess how revolutionary threats may shape politics, and we consider policy change rather than regime change. Further, we move the focus from long-term legitimacy concerns and rather consider how social policy can be used to coopt immediate threats. We also go beyond business elites and focus on how various political

elites respond to revolutionary threats, specifying the cognitive and rational mechanisms that induce elites to expand social policy to mitigate perceived threats.

We embed this general argument in a particular context. Specifically, we address how developments following the Bolshevik Revolution – and especially after the formation of Comintern in 1919 – shaped social policies by spurring perceptions of credible revolutionary threats among elites, who responded with expanding social policies aimed at defusing the labor threat. Comintern participation by domestic worker organizations was arguably related to enhanced (perceptions of) working class revolutionary threats, potentially due to multiple mechanisms: First, the international network and Russian support that accompanied Comintern participation increased the capacity of domestic revolutionary actors. Second, participation in Comintern altered these groups' ideological outlook, if nothing else by strengthening more radical and revolutionary fractions. Third, working class organizations being invited by the Russians to attend Comintern functioned as an easy-to-identify informational cue that enhanced elite perceptions of a credible revolutionary threat.

The exact relevance of these channels notwithstanding, an increase in perceived revolutionary threat, here following the Bolshevik revolution and subsequent formation of Comintern, may have induced elites to pursue large-scale expansions of social transfer programs, reduce working hours, etc., to appease the working classes and quell grievances that could fuel a revolution. We highlight that we do not aim to explain all variation in our outcomes of interest. Our focus on revolutionary threats does not imply that other proposed determinants of redistributive policies and social transfer programs, are irrelevant. Some of these factors, such as suffrage extensions, may even be potential transmitters of (parts of) the relevant effect from revolutionary threat to policy change, and yet other factors, such as war mobilization and cross-border policy diffusion, may be orthogonal. To the extent possible, our empirical analysis also tries to ensure that our relationship of interest is robust to accounting for relevant, alternative explanations.

Our empirical analysis is two-fold, comprising an in-depth historical case study of Norway 1915–1924 and cross-country analysis using different new proxies of revolutionary threats and various new measures of policy characteristics. These analyses provide complementary pieces of evidence that support our argument that fear of revolution drove elites to extend various social policies, as concessions, after the Bolshevik revolution and formation of Comintern.

For our case study, we draw on numerous archival and other Norwegian-language sources to document perceptions on likelihood of revolution from employer organizations, police, military officers, and politicians, and their strategies for countering this threat. Major Norwegian welfare expansions, at least from the 1930s onwards, have typically been interpreted as resulting from social democratic reformism (Esping-Andersen and Korpi 1986) or cross-party welfare state consensus (Kuhle 1981). Norway may therefore be considered a fairly tough test case for the proposition that revolutionary fear pushed elites to adopt social policy as conciliatory measures. More importantly, pressures for social policy adoption were *not* directly tied to mobilization during World War I, as Norway remained neutral. Hence, this case selection removes one plausible source of confounding. Instead, we detail how Norwegian elites believed that workers could conduct a revolution following the Bolshevik revolution, and institutional linkages between the Labor Party and Comintern helped shape this belief. Economic and political elites coordinated their response by using various stick- and carrot-tactics. Regarding the carrots, elites strategically pursued appeasement and inclusion, implementing several reforms that they initially had opposed, especially the eight-hour workday. Following expectations, gains granted before the revolutionary fear dissipated (in 1923/24) proved persistent, whereas promised policies not yet passed were never implemented.

For our cross-country tests, we code and use new country-level measures on social policies and on labor regulation. Further, we code new proxies of revolutionary threat (perceptions), for example coding the formation of soldier and worker councils. Yet, our main measure draws on the fact that Trotsky in January 1919 invited several revolutionary groups to set up Comintern in

Moscow (Carr 1979). Invitations did not include all labor organizations and were not random, but only sent to truly radical worker groups (to avoid “ideological contamination”). This allows us to distinguish contexts where labor had adopted a radical ideology from others. Not only should we consider these Russian invitations a true “expert opinion” on which countries faced revolutionary pressures in 1918-19, they also provided clear signals to elites that domestic labor groups were revolutionary and had significant resources at their disposal. Countries facing such a clear threat were more likely to limit working hours and pass more extensive and generous social policy reforms. Various panel regressions using alternative control strategies, instrumental variable analysis, and synthetic control analyses – most of which are included in the online appendix to focus the presentation – corroborate these results. This revolutionary shock lingered on; states that experienced greater revolutionary threat in 1919 had lower working hours at the end of the Cold War. Further analysis (Appendix A13) suggests that this persistent relationship, in part, relates to the historical formation of Communist parties, linked to Comintern and funding from Moscow.

Below, we first present the historical research context, before we present our more general theoretical argument on which features enhance revolutionary threats, as perceived by incumbent elites. In extension, we discuss how perceived threats may spur social policy legislation. In the third and fourth sections, we present the historical case study of Norway and cross-country analysis, respectively. Finally, we provide a summary and detail how our study contributes to several research literatures.

## **2. Argument**

### **2.1 The Bolshevik Revolution and formation of Comintern**

The Russian Revolution of 1917 would eventually bring the Bolsheviks to power, introducing a Communist state that would shake up the international system. The Bolsheviks saw their revolution as a prelude to World Revolution (Pons 2014, 15), and even considered revolutions abroad as key to their own long-term (regime) survival (Pons 2014, 8-9; Carr 1979, 12-13). Comintern was thus established to guide revolutionary groups outside of Russia (Agnew & McDermott 1998).

Comintern was *de facto* controlled by the Russian Communist Party's Politburo, and worked alongside the Russian Ministry of Foreign Affairs (Pons 2013, 12). Its mission, in Trotsky's (1919) words, was to bring together the world's true revolutionaries, eschewing the "reformist class traitors". It established local branches to spread propaganda, and later required that all member parties adhere to Lenin's 21 points from the second conference, including that capitalist societies had progressed to a state of "civil war" (Sundvall 2017). Comintern also provided extensive funding for aspiring communist parties (Agnew & McDermott 1998).

Carr (1979, 13) notes that, for a while, "the hope of a world revolution seemed too materialize". Worker- and soldier councils, strikes, and uprisings surged in many countries. Still, hopes of worldwide revolution were short-lived; no durable communist regime outside Russia materialized. In 1921, Lenin admitted that the revolutionary trajectory had "not been as linear as we had expected". Why was the Bolshevik revolution not followed by similar successful revolutions elsewhere? But, even if it did not result in successful revolutions, did it lead to major political and policy changes outside Russia? One resolution to answering both questions lies in recognizing that while the revolution was a symbol for labor and revolutionaries globally, it also inspired counter-strategies by economic and political elites. Fearing revolution, elites responded with several political and economic reforms, aiming to appease and create vested interests among (parts of) the labor movements. Below, we outline a more general argument on why and how elites respond to credible revolutionary threats by strategically providing policy concessions.

## **2.2 Elites, preferences and coalitions**

Why would economic and political elites accept extensive social policy arrangements that (often) redistribute resources to relatively poor citizens?<sup>1</sup> The welfare state literature and theories of

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<sup>1</sup> By elites we mean groups of wealthy or powerful individuals with intrinsic interests in maintaining the current economic system (e.g., capitalism with private property) and existing political regime. In our context, elites encompass established conservative- and liberal party leaderships, but also

democratization provide different responses; at the risk of oversimplifying, we distinguish between cross-class theories and class-based theories. The former argue that certain anti-elite groups and some elites can form pro-redistribution, cross-class coalitions, against other elites. The latter assumes that redistribution is a zero-sum game between elites and non-elites, and a key factor behind redistributive policies is thus the institutional environment and how it affects the power balance between elites and other citizens.

One strand of cross-class theories focus on business interests, arguing that employers and their political representatives can gain from welfare state development and labor regulation (Iversen & Soskice 2019; Martin & Swank 2012). Sectoral features related to exposure to international competition, or corporatist organizational structures could drive some employers to support welfare policies (Martin & Swank 2012). Despite these incentives for certain elite groups, power resource theories and class theories of democratization (e.g., Esping-Andersen & Korpi 1986; Boix 2003; Acemoglu & Robinson 2006; Korpi 2006) suggest that elites are often inherently resistant to regulations or redistributive policies. Yet, even if elites are inherently resistant, our theoretical argument below details how, and in which contexts, elites may change from being antagonists to consenters to expanding social policy.

### **2.3 Power resources, ideology and signals**

We argue that elites become consenters to social policies when they face a credible revolutionary threat to the political and/or economic system. Elites would rather consent to social policies and lose out monetarily from redistribution in its milder form (e.g., increased taxation and spending on social programs) than risk revolution and more extreme changes in power structure *and* redistribution (nationalization, collectivization, etc.).

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employers, capitalists, and landowners (and their organizations). Hence, we do not assume that elites are unitary actors, but we do assume that different elites prefer to maintain the status quo over revolution and may try to coordinate their policy-responses to revolutionary threats.



This argument follows key rationalist contributions to the democratization literature (e.g., Aidt & Jensen 2014; Acemoglu & Robinson 2006; Boix 2003) in highlighting that elites may provide concessions to the lower classes to avoid revolution. In these works, concessions come in the form of political liberalization and suffrage expansions, which shifts political power to the lower classes, thereby ensuring future redistribution. Yet, and counter to Acemoglu & Robinson's (2006), we highlight that regime change and franchise expansion are not necessary requisites for credible guarantees of future redistribution (though they certainly help). Instead, we follow Knutsen and Rasmussen (2018), who highlight that implementing new social programs, and other major policy initiatives, create lock-in effects and serve to tie elites to these programs once initiated. Not only do sunk costs with starting up programs (investment in monitoring capacity, complementary human capital investments, infrastructure, etc.) create lock-in effects once investments are made, but discontinuing popular programs also create clear *focal points* on which opponents of the regime/policy change may organize effective, large-scale opposition. Therefore, social policy programs, once implemented, generate fairly credible guarantees for future redistribution to its recipients. In contrast, mere promises of future policy initiatives lack these features, and are therefore often non-credible (also suggested by our case-study).

If *credible* revolutionary threats drive elites to provide social policy concessions, a decisive question for understanding welfare state expansion is what factors shape elites' perceptions of likelihood of revolution? We build on Paster's (2013) work on employers' sensitivity to revolutionary threat, but further detail the rational and cognitive dimensions of threat perceptions of (also political) elites. Below we outline the factors that could shape both the objective probability of successful revolution *and* elites' estimates of this probability.

First, opposition groups must possess power resources to be a viable threat. For workers, key resources have historically resided in hierarchical and effective organizations that enable them to solve collective action problems and mobilize large numbers, including trade unions, councils, and party organizations. International linkages is another key factor. Such linkages may provide

monetary resources and organizational know-how, even for smaller groups. Notably, our empirical study highlights how Comintern in advanced domestic organizational structures, including new Communist parties.

Second, for revolutionary threats to be credible, opposition groups should espouse an ideology of radical societal transformation, for instance aiming to transform the economy's ownership structure by socializing property. Pursuing legislative change through parliament may also explicitly be rejected, with movements instead spurring extra-parliamentary action such as strikes or mass-protests, even considering violent means as legitimate. Historically, this description fits to parties and unions adhering to Communism or the Zimmerwald movement, as opposed to the reformism of social democrats (Lipset 1983).

Third, elites must receive some sort of informative signal, i.e., some indication of the intention and resources of opposition groups, which they must subsequently interpret (Fearon 1994; Vis 2019; Weyland 2019). The nature and interpretation of such signals shape elites' perceptions of credibility of revolutionary threats. Sometimes revolutionary movements may send strong and clear signals on their motivations and resources. In other contexts, such signaling is difficult. Certain reformist labor unions or parties unwilling to engage in revolutionary activities might have incentives to "bluff", and pose as revolutionary, to obtain concessions. Thus, elites may have a hard time distinguishing revolutionaries from reformists.

Elites' capacity to absorb signals, and how they go about in interpreting them, are also relevant factors. While some elite actors may decipher information about the motivation and capacity of opposition groups, and update beliefs in a relatively unbiased and "rational" manner, others make decision under uncertainty and time-pressure, resorting to use various cognitive shortcuts (Vis 2019; for applications to democratization, see Weyland 2019). Revolutions are complex and relatively rare events, making it even harder for elite actors to analyze prospects of revolutions without relying on cognitive heuristics. Bluntly assuming that the future will reflect the past, elites may thus evaluate ongoing processes by searching for patterns and analogous events found in past

revolutionary settings (Aidt & Jensen 2014), for which the Bolshevik Revolution long remained a primary reference point (Hobsbawm 1994). Moreover, given the so-called “availability heuristic” (Vis 2019), elites, as other people, may inadvertently focus on large and salient events, thus overestimating the baseline probability of revolution. Since big historical events create symbols that work as cognitive maps to understand current events, the Bolshevik revolution is likely to have formed many elites’ perceptions of domestic conditions.

In our research context, ties with the Russian revolutionary regime, especially Comintern membership from 1919, may have enhanced all the three above-discussed aspects. First, Comintern often provided material resources directly to relevant movements and helped the founding of new Communist parties, globally, thus enhancing organizational capacity. Second, the related international network and exchanges presumably diffused revolutionary ideology. Third, Comintern membership served as a strong signal to elites, increasing perceptions of a domestic revolution being likely.

## **2.4 Elite responses**

When facing (perceived) revolutionary threats, elites may respond by pursuing co-optation strategies. Co-optation can come in at least two forms: political co-optation includes granting participation rights to previously excluded groups. Economic co-optation includes granting greater access to material resources. Both strategies aim at defusing revolutionary threats by increasing the legitimacy of the current system and mitigating core grievances within key opposition segments via inclusion. We focus on economic inclusion in the form of social policies. Political inclusion is beyond the scope of this paper. Yet, we do expect such alternative inclusion strategies are also pursued by elites under conditions of high revolutionary threat – as highlighted by Acemoglu & Robinson (2006), providing political rights is a key strategy for mitigating revolutionary threats (in addition to directly providing policy concessions, as we discuss below). Down the road, such expansions of political rights may also induce politicians to adopt more expansive social policy

programs to cater to the recently included groups, thus suggesting one additional long-term mechanism through which revolutionary threat may affect our outcomes of interest.<sup>2</sup>

However, we discussed how elites may credibly respond to revolutionary threats also when stopping short of extending political rights, instead co-opting threats by implementing reforms and changing various policies in directions desired by the potential revolutionaries. The group that constituted the primary threat to elites – especially in industrializing or industrialized countries – in the period that we study was urban labor, often organized in labor unions, councils, and socialist parties (Ruschmeyer et. al. 1992). Hence, policies introduced to stem revolutionary threats should primarily target this group. The important demand made by close to all labor organizations was the eight-hour day/48-hour week -- made already by the First Socialist International in the 1860s, but elites in various countries had resisted this policy change. It was one of the first key changes made by the Bolsheviks in November 1917, setting a concrete standard for labor movements where revolution had not (yet) taken hold. If employers and governments wanted to co-opt labor to avoid revolution, the eight-hour day would be a prime tool for doing so.

### **3. Case study: The Bolshevik Revolution and revolutionary fear in Norway**

In Appendix B, we present a detailed case study of early-20<sup>th</sup>-century Norway, drawing on numerous archival and other sources. Here, we present the condensed version, discussing how various Norwegian elites thought that revolution was imminent, between 1918 and 1921. We

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<sup>2</sup> Indeed, our quantitative measures of revolutionary threat correlate positively with subsequent changes in our measures of electoral democracy and suffrage extension (from V-Dem; Coppedge et al. 2018) in regression specifications similar to those in Table 3. This result corroborate those presented in Aidt and Jensen (2014) and Przeworski (2009). However, when we control for suffrage in our benchmark specifications on different policy outcomes (Appendix A5), our measures of revolutionary threat remain robust. This suggests that revolutionary threat drives policy change *even when* we hold impulses via suffrage extensions constant.

further show how these elites, in response, pursued a complex “sticks and carrots” strategy to avoid a revolution. Regarding the carrots, we focus on the eight-hour day. However, the trajectory and timing of policy-making is similar for several other policies. In the appendix, we describe developments for co-determination, changes in electoral rules, collective agreements, and old-age pensions. The elite’s aim of pursuing these measures was to incorporate and strengthen the reformist part of the labor movement (Fure 1983; Knutsen 1994, 43-46).

### **3.1 Norwegian labor going revolutionary**

The Norwegian Labor Party (Det Norske Arbeiderparti [DnA]), founded in 1887, was inspired by Marxist thinking from its inception. The first party program stated that DnA «endeavors the handing over of the means of production to social common property and production changed from capitalistic to socialistic” (DnA 1891). Such ideas were slowly abandoned as reformism became the leading principle before the turn of century, acknowledging the importance of achieving social change through legislation in parliament. The trade union federation (Arbeidernes Faglige Landsorganisasjon [AFL]), founded at DnA’s behest in 1899, was a means to achieve changes in wage- and working-conditions through bargaining with employers. DnA and AFL were bound by the hip, and dual membership was practiced. The unions and party coordinated their demands against employers and politicians.

In early 1917, reformist leaders such as Olaf Lian held leadership positions in both DnA and AFL. Radical elements were delegated to a minority position, largely originating from “Fagoposisjonen” of 1911 – a syndicalist movement within AFL – and the social democratic youth organization. Fagoposisjonen argued for sabotage, boycott, and sympathy strikes as legitimate weapons against employers (Olstad 1998, 173). Its leader, Martin Tranmæl, wanted to radicalize the union movement, “to make it ready for revolutionary mass-action” (Bjørgum 2017, 45).

The 1917 revolution strengthened the radical movement, especially in DnA. Following the March revolution, Tranmæl demanded that workers organize a general strike against the war, and arm themselves against the coming counter-revolutionary attempts by the bourgeoisie. The

November revolution would decisively tip the power balance towards the radicals within DnA (Fure 1983; Sundvall 2017). The news from Russia led to major organizational and ideological transformations almost immediately. The labor paper, *Klassekampen* published the Zimmerwald declaration, urging sympathizers to “establish everywhere soldier and worker councils as your body in the struggle for peace!” (Bjørnson 1990, 509). The radicals, led by Tranmæl, would establish and coordinate worker- and soldier councils around the country. These organizations offered Tranmæl a base of support outside the established frameworks of DnA and AFL. Among the resolutions adopted at the worker councils’ national conference on March 24, 1918 was the immediate introduction of the eight-hour day, and if the government ignored their demands, “political mass-strikes” (Bjørnson 1990, 516-517).

By 1918, the radicals had grown strong enough to challenge the reformists. Their recommendation to the national party meeting of March 1918 stated that DnA “must ... reserve the right to use revolutionary mass-action in the fight for the working-class economic liberation” (DnA 1918). The reformists lost at the 1918 meeting, and the radicals gained majority. Tranmæl became party-secretary, with all reformists relinquishing their place in the party-leadership.

In November 1918, the new party leadership believed a revolution in Norway was possible, and several members even started working on (subsequently abandoned) plans on “arrangements for a quick takeover of power” (Fure 1983, 473). Planning group notes show that a coup would be facilitated by the massive organization of worker councils, and the immediate reforms to be implemented once in power were socialization of means of production and the eight-hour day. Tranmæl was designated to be “leader of the revolution” (Ibd. 473-476).

The radical line was strengthened in 1919, when the Labor party’s national convention declared that the “party considers mass action in its various forms to be the crucial means in the struggle for socialism” and encouraged MPs to strike and soldier councils to form and work against mobilization (DnA 1919, 12). Importantly, DnA decided to become member of Comintern.

Vice-chairman Emil Stang was the single delegate representing DnA at the First Congress in 1919, and the party joined in late 1919. At the second conference, DnA had one of the biggest delegations: 10 delegates arrived in Moscow, including the youth organization leader, (and later PM) Einar Gerhardsen. At this conference, Comintern's leader Zinonev presented "Lenin's 21 theses". The explicit aim of Comintern was to establish organizations, communist parties, which could function as divisions in the European civil war and survive the coming world revolution (Sundvall 2017). This revolutionary ideology would be too much for some reformists within DnA. In 1921, they broke out and established the Norwegian Social Democratic Party, achieving about 10 percent of the vote. These reformists would not re-join DnA until 1927.

DnA took an active role in both legal and illegal work in Comintern. A secret committee arranged illegal transportation of Soviet propaganda materials (Fure 1983; 468-9). Party offices were used to hide illegals traveling to and from Russia, and prominent DnA members participated in smuggling coordinated with Moscow. There even existed a secret agreement between DnA and Comintern, under which DnA accepted to undertake all orders from Moscow in exchange for political, financial, and military resources (Olstad 1998, 39).

In 1922, the high court barrister and communist Ludvig Meyer was tasked to investigate the opportunities for revolutionary action. He concluded that "Norway is on the verge of breakdown, which can be exploited by a tax-strike and by pushing for demands that could rally the workers against the government." (Olstad 1998, 49). Despite Meyer perceiving a revolution as still likely, Comintern adherents in DnA had, by this time, started pushing for a moderate line, following signals from the third international conference. Over time, this created a rift in the revolutionary wing, which pushed Tranmæl and followers to embrace the remaining social democrats in DnA. Tranmæl also wanted to use the dual membership of union and party to build a revolutionary movement "from below". But, Comintern, with its concept of party cells, wanted dual membership revoked, creating a major point of contention. Subsequently, DnA voted to leave Comintern in 1923. The pro-Comintern fraction left DnA and established the Norwegian Communist Party

(Norges Kommunistiske Parti [NKP]) in November 1923, with 13 MPs defecting. In 1924, only six of these MPs were re-elected, reflecting the more general power shift towards reformists and away from revolutionaries.

### **3.2 Elites respond to the revolutionary threat**

The described developments were not lost on Norwegian economic and political elites. The revolution of 1917 and the following power change in DnA fundamentally changed perceptions of the labor movement and security situation in the military, business community, and among liberal and conservative political elites. Before 1917, the military establishment and political elites shared the opinion that military engagement in internal affairs should be avoided (Agøy 1994, 32-34). By 1918, steps were taken to set-up “risk-free” military divisions, i.e., excluding lower-class members (Pettersen 2010), which could be mobilized during, e.g., general strikes. At various times, military divisions and battleships would be mobilized as pre-emptive measures against strikes getting out of hand.

In early 1918, leading cabinet members feared an outright coup (Agøy 1994; 94). The PM Gunnar Knudsen established a secret security commission, mandated to “secure peace and order if civilian government was brought down” (Pettersen 2010, 43). The security commission was summoned to re-convene on November 12, 1918, following revolutionary events in Germany. The military secret services and police were tasked to increase their surveillance and further develop plans for successful defense against a coup.

After DnA’s entry into Comintern and with a national rail strike looming in 1920, fears of a revolution seem to have increased further among Norwegian political elites. The new Conservative PM Halvorsen discussed prospects of a revolution in a speech to fellow Conservative Party MPs:

“One is expecting the hardest of civil wars (...) Our present enemy, even with their minority position, would still be able to win in the moment [and] we cannot know whether they intend to secure the persons of government. Edvard [Hagerup Bull] therefore said we must



secure a government for the nation. He proposed that Ivar Lykke and Gunnar Knudsen should stand by with their people if anything were to set the current government out of play. If they and their people were to meet the same fate, the director general of the finance department should stand ready.” (Quoted in Danielsen 1984, 18; translated by authors).

This speech strongly suggests that the revolutionary threat was perceived as credible; the Norwegian PM was, indeed, setting up lines of succession to a competitor party and the bureaucracy, because he believed the very existence of the regime was threatened.

Several military and police measures was organized against the worker movement. Still our focus is on the co-opting and integrative measures – the silk glove and not the steel hand hidden within. In Appendix B, we show that the “silk hand responses” included several (proposed or implemented) political and economic reforms, encompassing a new electoral system, subsidies for housing, worker councils, profit sharing, arbitration regulation, socialization of industries, generous unemployment subsidies to unions, and old-age pensions. Space constraints limit our focus here to work-hour regulations:

### **3.3 Eight-hour day**

Work hours were unregulated for adults in early-20<sup>th</sup> century Norway. In 1914, the Liberal Party’s “Great Reformer”, Johan Castberg, made his second attempt to regulate work hours. A Castberg-led commission put a proposition, outlining two alternative policy proposals, before parliament (the Storting). The proposals included Castberg’s (and the majority of the commission’s) favored alternative, a nine-hour workday with compensation for overtime. The minority position suggested a ten-hour normal workday. Both proposals were dead on arrival. In 1915, an attempt was again made to pass an act, but Castberg’s proposal underwent extensive changes in parliament, and ended up a major disappointment for its architect. Gone was overtime compensation, daily hours were capped to ten, and implementation was set to 1920 with major industries excluded. Neither the Conservatives nor Liberals could support the eight-hour day, or even a nine-hour day.

Regarding the economic elites, the employer association N.A.F. was, at the time, fully against *any* regulation of work hours in factories.<sup>3</sup> N.A.F. was hesitant also in spring 1918 when commenting on a government proposal for a temporary eight-hour day. Regulating work hours was argued to “cause so many so many difficulties, that industrial stagnation or decline must be expected” (Petersen 1950, 366-367). Yet, there had been movement within N.A.F., as, by 1918, it would not necessarily work against the implementation of such an act. N.A.F.’s position continued to change with perceptions of the revolutionary threat throughout 1918. Following revolutionary events in Finland and Germany, it would come to accept work-hour regulations both by legislation and in collective agreements. Especially N.A.F.’s CEO, Lars Rasmussen, argued for the necessity of meeting the workers’ new ideological orientation by other means than force (Knutsen 1994, 29-31). In his new-year’s speech of 1919 to the board, Rasmussen outlined the dangers facing the organization, and the possible solution – accepting the eight-hour workday:

“Previously, our organization would respond to such demands with all the means at our disposal. But now, I believe, that we must consider, that behind these demands stand, so to speak, all the unrest, that in our time reigns around us on this earth, and it infects also our situation...For if we constrain this concept too much, then the pressure might become too great. Then the development will go on without negotiations, and the result will be that workers say: let us now seize the day, let us take power. Then we would be stuck in a societal upheaval, a situation that we would, by all means, seek to avert; we must be aware our times, we have to see its signs and learn its demands. We must therefore renege on some of our old principles (...) We must make sure that we can save what we can save.”<sup>4</sup>

By 1918-1919, also the political elites had shifted positions. Both the Liberals and Conservatives had voted down Castberg’s nine-hour working day proposal in 1915. In 1919, all parties would

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<sup>3</sup> Ot. prp nr 34 (1914, appendix 4, 18)

<sup>4</sup> NAF page 4 Sentralstyre 12.01.1919. Translated by authors.

embrace the eight-hour day. Gunnar Knudsen (PM, Liberal) decided at the end of 1918 that it was necessary to pass an eight-hour bill to appease the socialists. The social minister Berg (Liberals) opened the new parliament in 1919 by stating that “we have great demands for social reforms, and the greatest task, in my opinion, is that labor now takes precedence in our country. (...) Capital should be a servant and helper for labor, but not its master. It is this which is the demand of our time”.<sup>5</sup> When, on 14 June and 2 July 1919, the eight-hour act was put forward to the two chambers of the Storting, it passed both in the lower (Odelsting) and higher chamber (Lagting) by *acclamation*.

The Conservatives in opposition were equally supportive. MP Klingenberg stated that, “we will now approve with law a demand that workers in the whole world for a lifetime has declared to be one of the most important to (...) achieve the social conditions under which they want to live and have a right to live under”<sup>6</sup>. The extent to which both Liberals and Conservatives supported the eight-hour day is remarkable in light of the staunch opposition just four years prior. This sudden change of heart was noticed by the socialists. Nygaardsvold (DnA) would lament that, suddenly, all parties across the ideological spectrum had come to embrace what they had so vehemently fought against just four years ago:

“The road to legislative reform has been hard to travel. Each time the demand of the workers for an eight-hour normal working day was brought forward to the Storting, the demand was voted down, or the reform was so distorted that it would have no meaningful impact for the workers (...) Workers therefore had to take on the issue themselves (...) I want to add, that there is no single issue that has, to such an extent, made workers lose their faith in the parliamentary line, that parliamentary action work (...) As long as workers did

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<sup>5</sup> 1919 Stortingstidene efterm. 26 march page 646. Translated by authors.

<sup>6</sup> 1919 Stortingstidene 19 june page 141. Translated by authors.

not put any major force behind their demand, the Storting voted down all proposals to reduce working-hours to 8 hours”.<sup>7</sup>

### **3.4 Summary**

The Bolshevik Revolution helped spur different repressive and appeasing policy changes in Norway between 1918 and 1923. Following our expectations, Labor’s international organizational linkages were an important factor behind Norwegian elites ascertaining a high level of revolutionary threat. In reports and discussions, membership in international organizations such as Comintern were used as indicators of revolutionary sentiment among workers and their organizations.

Various sources and pieces of evidence support the hypothesis that social policies were often born out of elite fears of worker revolution. The Russian revolution and subsequent Comintern membership fueled radicalism and radical factions in the Norwegian labor movement, and thus the perception of labor as a radical and potential revolutionary force amongst the elites. A combination of repressive and inclusionary tactics – especially pursuing social policy concessions that benefitted urban workers – was developed by Norwegian elites in order to weaken radical groups and strengthen reformists in AFL and DnA.

## **4. Cross-country analysis**

### **4.1 Measures of revolutionary threat and social policies, and benchmark specification**

We collected new data from various sources to construct different measures, for both our independent and dependent variables, that are applicable across different countries and over time. For our main measure capturing communist threat, we have drawn on several sources to code two indicators pertaining to invitations and attendance at the first Comintern meeting. Being invited to the Comintern presented a clear and observable signal -- anecdotally, the Comintern membership of DnA dominated several frontpages of conservative Norwegian newspapers -- about radical

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<sup>7</sup> 1919 Stortingstidene efterm. 2 July page 64. Translated by authors.

ideology and revolutionary motivation, given how the Russian Bolsheviks distributed invitations. Further, we discussed how participating in the Comintern may even have had independent effects on the actual motivation as well as capacity to organize revolution among the movements that partook. Thus, Comintern invitations and participation should capture various features that correlate with high perceived levels of revolutionary threat by the elites.

Regarding our specific measures, these are, first, an indicator for whether a union or party from the country was invited to the first Comintern meeting, and, second, an indicator for whether a party or union from the country attended this meeting with voting rights. We use the invitation measure, which is more exogenous by not hinging on the active choice of domestic unions or parties to partake in the meeting, as our main measure. The participation measure is used for robustness tests. For details on measurement and sources, see Appendix A1. The main reason for using such simple dichotomous specifications is that it is hard to judge how much extra weight one should put on additional representatives being invited to Comintern, and how to normalize according to, e.g., population size. The two consultative delegates from China at the first Comintern meeting was obviously more than the one such Bulgarian delegate, but it is not obvious that this indicates “greater representation” of China, given the large difference in population numbers. Thus, we use the simple dummy variable set-up, but highlight that our main results are robust to using continuous measures on number of attendees (see Appendix A6 and A8).

We are the first to acknowledge that the Comintern-based measures are not direct measures of elite perceptions of revolutionary threat, and the measures also provide limited time series variation for each country. (Concerning the latter, we highlight that we use different strategies to account for this limited variation and control for other potentially relevant factors that also tended to change around the same time period). Yet, reliable, alternative measures for revolutionary threat are, unfortunately, hard to come by. To our knowledge, no encompassing dataset on political strikes or communist party membership exists for the period leading up to WWI. If our Comintern measures should be associated with a lot of noise, the most likely outcome is that regression

coefficients for this independent variable are attenuated, making it harder to find support for our theoretical expectation. (In bivariate regressions, unsystematic measurement errors in regressions always lead to attenuation bias, but exceptions might occur in multivariate analysis).

One alternative indicator of Bolshevik inspired political mobilization is the presence of worker- and soldier councils; the Zimmerwald declaration following the Russian Revolution explicitly encouraged the formation of such councils to spread the revolution. Hence, we collected new data also on worker- and soldier councils, creating a dummy variable that registers if a country experienced the formation of at least one council during 1918-19.<sup>8</sup> The data sources, even for countries with extensive council formation, do not allow us to register when these councils became defunct, but they tended to ebb out by the early 1920s (see Nordvik 1974). We use this measure in robustness tests for our main specifications using the Comintern measure. Convergent validity tests with the worker- and soldier council measure also suggest that our main Comintern measure is a valid indicator of revolutionary threat. Only four countries with Comintern invitations did not have council movements – Brazil, Turkey, Portugal and Spain -- whereof the two former had pervasive riots, general strikes and protests organized by syndicalist unions between 1917-1920.

Our argument highlights that elites are likely to target policy benefits that mitigate grievances among the urban working classes. For our dependent variable, we therefore need measures that capture policies that benefit this group. To ensure robustness and that results are not specific to a particular policy we develop and test various measures. For our first dependent variable, we have coded a measure for “normal weekly working hours” for factory (industrial) workers, defined as the number of hours an employee can work before overtime restrictions come

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<sup>8</sup> The often-used Banks data on general strikes is based on one source (New York Times) and does not capture lockouts or strikes per se, only sparingly general strikes. To illustrate, in 1919 Norway experienced the greatest strikes and lockouts waves in its history to that point but is assigned 0 strikes in Banks data. Even more concerning, the general strikes in 1920 and 23 is not captured.

into play. Absent regulation, we code 72 hours per week (12 hours\*6 days); but results are robust to alternative specifications. For sources used and details on this measure, e.g. on handling sectoral differences within manufacturing, see Appendix A2.

Our alternative measures pertain to the coverage *or* generosity of social transfer programs that were key to mitigating work-life risks. We have highlighted the particular threat stemming from the urban industrial workers, and we thus focus on coverage for this particular group in our main analysis. Thus, our second measure captures the extent to which industrial workers are protected against central risks; old-age, sickness, maternity, accidents, unemployment, and child-rearing. Coverage for a specific risk can be issued through various programs. Our measure takes into account the different provisions that are possible, and that multiple programs tend to increase coverage (within the relevant group). Yet, given the differences between programs in likelihood of providing efficient coverage and insurance for low-income groups, our measure only considers four types of redistributive programs (social insurance, voluntary state subsidized insurance, non-contributory with means-testing, non-contributory without means-testing), and thus excludes non-redistributive programs (mandatory private accounts, employer liability, lump-sum provident schemes). The theoretical range of this measure is 0 (industrial workers covered in no program) to 24 (industrial workers covered for all 6 risks in all four relevant types of redistributive programs;  $6*4=24$ ), but the empirical range is far more limited in our main analysis below (typically 0-5).<sup>9</sup>

Yet, social transfer programs may formally cover social groups without channeling substantial resources to recipients. Thus, our final type of dependent variable captures the generosity of core welfare programs, measuring duration of benefits for sickness and unemployment, respectively. Unfortunately, these measures are only coded for the year 1925 and we present these results in Appendix A11. Briefly, these tests paint the same picture as tests on the

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<sup>9</sup> We also test measures for whether industrial workers is covered in redistributive programs for each risk, separately (see Appendix A7).

other dependent variables; countries that faced high revolutionary threats had more generous programs than other countries.

Concerning design and model specifications, we highlight at the outset that our results are robust to using cross-section or panel data, different estimation techniques, sets of controls, error correction methods, etc. For our benchmark, we opt for a simple OLS model. For panel specifications, we cluster errors by country and prefer a dual fixed effects specification, including dummies for both countries and years. This specification eliminates several hard-to-measure confounders that may simultaneously affect both revolutionary risk and social policy provision and design. For example, some countries, for historical or geographical reasons, could have its industrial production concentrated in certain sectors that both facilitate the coordination of strong unions and provide an impetus for broad social policy coverage. In the benchmark, we also include logged GDP per capita (p.c.) to account for level of development. GDP per capita should also correlate with productivity, and even extent of industrialization. The GDP p.c. estimates are from Fariss et al. (2017). These imputed data, with extensive time series, are produced from a dynamic latent trait model on various data sources, and mitigate measurement errors of various kinds. We further control for log population (also from Fariss et al. 2017) to account for differences in revolutionary threats and social policies among large and small countries.

In alternative specifications, we include country-specific trends or a lagged dependent variable. We also use the synthetic control method developed by Galiani and Quistorff (2016), first with several treated cases and second with the usual single treated case (Appendix A10). Results are also supported when using an instrumental variable design (using signatories on the proclamation of the Zimmerwald group as instrument for Comintern invitations; see Appendix A12).

## **4.2 Cross-national relationships**

For starters, we find a strong and robust cross-sectional relationship between “revolutionary fear”, as proxied by Comintern invitations, and working hours, as indicated by the cross-section



regressions in Table 1. Model 1 shows how revolutionary fear correlates strongly, and in the expected direction with working hours, suggesting that Comintern countries had about 10.8 fewer working hours per week than other countries. However, our measures of revolutionary threat is also correlated with level of development and population, and in Model 2 we therefore enter log GDP p.c. and log population as controls. The predicted difference in hours then increases to 11.4. In extension, we use the technique developed by Frank & Xu (2018), we check how many observations must be miscoded for this result to be invalidated. More than half of our countries (45 of total 87) would have to be replaced with countries for which the null hypothesis (i.e., no relationship between revolutionary threat and working hours) is true for the result to turn insignificant. We can therefore be quite confident that this result is not driven by any individual countries or some minor (or even major) measurement error.

Table 1. Revolutionary fear in 1919 and legislated normal working hours (DV)

	(1)	(2)	(3)
Invited Comintern	-10.8*** (-5.03)	-11.4*** (-4.16)	
Council Movement			-9.36*** (-3.47)
Controls	No	Yes	Yes
Countries	87	87	87
R <sup>2</sup>	0.229	0.246	0.175
Mean hours	66.03	66.03	66.03
(min-max)	45-72	45-72	(45-72)

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. Restricted to countries for which we have data on main controls. Results in Model 1 robust to including whole sample. Control variables (log GDP per capita, log population) are omitted from table.

The relationship also turns out to be persistent. In Model 3, we replicate Model 2, but include our measure of worker- and soldier-council movement. The result is substantially very similar.<sup>10</sup> As we will show when including over-time variation, this relationship turn out very robust to choices of temporal lags, time period under consideration, measurement specifications, control variables, and estimation techniques.

<sup>10</sup> Results are robust to using standard errors correcting for small sample bias or jackknifed errors.

To illustrate the persistence of the relationship further, Figure 1 describes the development of average working hours, across time, in countries that received Comintern invitations and those that did not. The figure adds another line for Comintern- countries, but excluding those that became independent after 1919. We observe an early divergence appearing between the Comintern-invited and other countries, but that their trends are still fairly similar pre-1917; the average difference in work hours is only three hours in 1916. This difference increases to six hours in 1917, the year of the Bolshevik revolution, and jumps further to 12 hours in 1919, the year Comintern formed. This difference is still 12 hours in 1923, after which it gradually declines. A moderate difference of about 3-5 hours persists, however, to the end of the Cold War. In Appendix A14, we highlight how this persistent, long-term relationship is mediated through Comintern participation leading to formation of new communist parties. Where new such parties formed, lower working hours for industrial workers persisted even after the original threat of contagion of the Russian revolution dwindled.

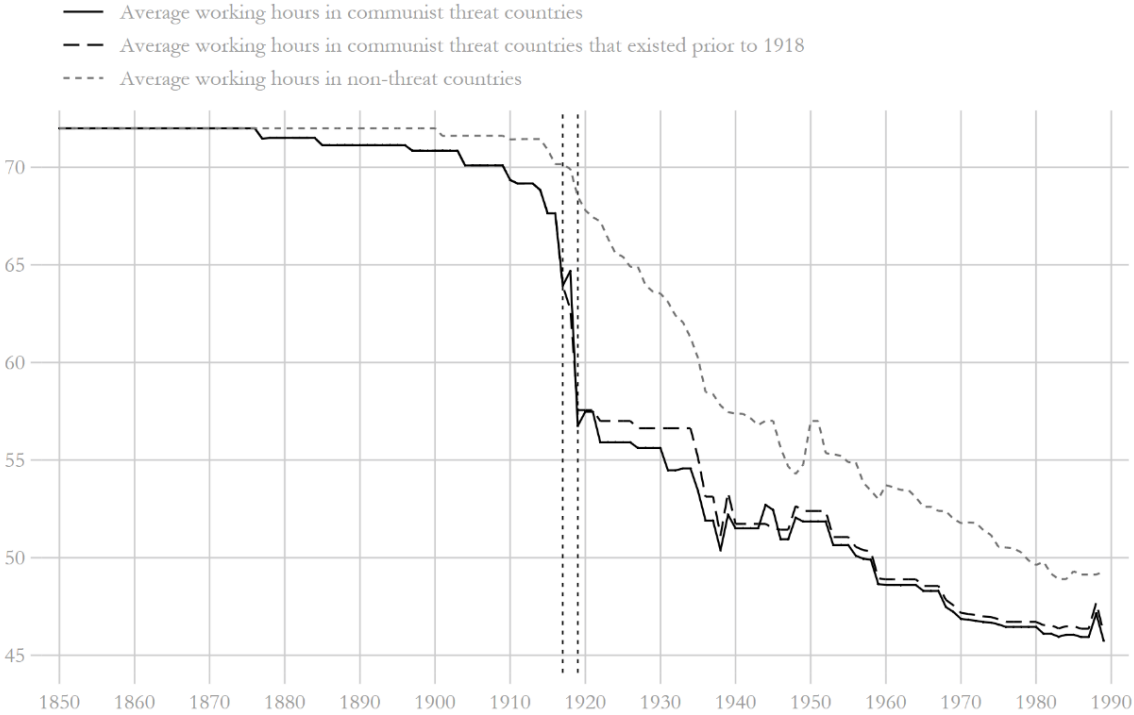


Figure 1. Average working hours in manufacturing for different groups of countries.

One concern is that our estimates are driven by us setting countries with non-regulated working hours to 72 hours. We therefore carried out alternative regressions where we measure instances of reform as dependent variable, coding the dummy 1 if a country introduces a working time law in 1919 that reduce the number of hours. We also construct another dummy measure for which countries with any law giving a reduction in hours in 1919 are coded 1. (We checked the actual date of each legislative change in 1919 and *all* changes passed parliament, or were decreed, after Comintern invitations were issued.) We then run cross-section OLS models on this dummy (LPM), with our Comintern invitation measure as covariate. The results, which, we highlight, are similar for Probit models, follow theoretical expectations; a country facing revolutionary threat in 1919 is about 40 percent more likely to introduce a working time law that reduces hours, and the results are stable to excluding (Model 1, Table 2) or including (Model 2) controls for income and population. Results are similar if we restrict our focus to countries that adopted their very first working time law in 1919. Models 3-4 show a similar relationship when using the presence of a worker or soldier movement in 1918-1919 to proxy for revolutionary threat.

Table 2. Invitation to the Comintern, Formation of Worker- and Soldier Councils, and introduction of working hour law with reduction in work hours in 1919

	(1)	(2)	(3)	(4)
Comintern Invitation	0.41*** (7.82)	0.41*** (4.35)		
Council movement			0.35*** (3.70)	0.31* (2.62)
Constant	0.017 (0.76)	0.037 (0.06)	0.359 (1.74)	-0.432 (-0.79)
Controls	No	Yes	No	Yes
Countries	146	87	146	87
R <sup>2</sup>	0.298	0.269	0.233	0.207
<i>Mean dep.var</i>	0.089	0.149	0.089	0.149

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. Linear probability (OLS) model. "Controls" are log GDP p.c. and log population.

### 4.3 Main results

We now turn to our panel tests and once again employ our continuous measure of work hours and Comintern invitation proxy of revolutionary threat. Regarding sample-composition, we exclude Russia from all regressions. We study the threat of revolution, and not the effect of having a

Communist regime. Second, we run-robustness tests excluding the newly formed (post-WWI) East-European and Baltic states; these countries enter our sample before they become independent countries, without national legislation regulating working hours.<sup>11</sup> We also tested various starting-years for our analysis, from 1789 to 1914. Since our main results remain robust to choice of starting year (Appendix A9), we use the first year with data in most analysis, in order to avoid selection bias and use as much information as possible to obtain good estimates on the “pre-trends”. As more information is included, the easier it is to estimate whether the revolutionary threat in 1919 did indeed result in a clear and persistent break from historical trends. We also use a global sample for our benchmark – the revolutionary threat from Communist revolutions had global reach -- but we highlight that restricting our tests to European countries yield similar results.

Table 3 presents results from our benchmark OLS panel regressions. Model 1 includes log GDP per capita and log population as controls, but not the country- and year dummies. This model sets the sample end year to 1925, about two years after we surmise that the revolutionary threat stemming from the Bolshevik Revolution subsided in our case study. The estimated relationship is somewhat higher than what the descriptive over-time trends in Figure 1 suggested. Specifically, the Comintern invitation dummy – which can first be scored 1 in 1919, but is then scored 1 until the time series end in 1925 for the relevant countries – is -14.4 (hours/week), and statistically significant at all conventional levels. How sensitive is this finding to bias? Our results would be invalidated if 72.1% of the observations (6015/8348) were replaced with “null-hypothesis observations”. This suggests that any bias must be very sizeable to invalidate the result.

Yet, Figure 1 showed differences between the countries that were invited and not invited to Comintern also before 1919 – presumably delegates from countries that were considered ripe for revolution were more likely to receive an invitation by Trotsky, and these countries may also

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<sup>11</sup> Results are robust to re-coding the Baltics and Poland to have the proscribed hours of the Russian factory act of 1897, and Czechoslovakia the Austrian factory act of 1885.

have been inherently more likely to observe strict working hour regulations. Moreover, 1919 might simply have been a fluke year, with across-the-board reductions in working hours for reasons unrelated to revolutionary threats. In Model 2, we thus add country and year dummies (fixed effects) to mitigate such confounding. The Comintern coefficient is attenuated to 10.8 hours, which is more in line with the descriptive evidence, but remains highly significant ( $t=-4.4$ ). The coefficient and related t-values are close to identical when we enter country-specific time-trends on working hours in Model 3. In Model 4 we also add a lagged dependent variable as regressor and difference the dependent variable, thereby estimating a restricted Error Correction Model. The estimated long-term coefficient indicates a substantial reduction, -15.8 hours/week ( $t=-4.3$ ).

Table 3. Invitation to the Comintern 1919 and legislated normal working hours

Dep var. measurement:	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Levels	Levels	Levels	Changes	Levels	Levels	Levels	Levels
Invited Comintern	-14.41*** (-7.01)	-10.81*** (-4.43)	-10.71*** (-4.56)	-2.37* (-2.86)	-8.38*** (-3.67)	-7.45*** (-3.69)	-8.41*** (-3.75)	-10.87*** (-3.97)
Controls	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Fixed Effects	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Country Trends	No	No	Yes	Yes	Yes	Yes	Yes	Yes
LDV	No	No	No	Yes	No	No	No	No
Observations	8348	8348	8348	8267	9592	15996	2100	9749
Countries	105	105	105	105	105	169	92	156
Start year	1789	1789	1789	1789	1789	1789	1900	1900
End year	1925	1925	1925	1925	1939	1988	1925	1988
R <sup>2</sup>	0.301	0.504	0.680	0.901	0.763	0.864	0.830	0.825
Mean hours (min-max)	71.23 (45-72)	71.23 (45-72)	71.23 (45-72)	-0.092 (-27-3)	69.77 (40-72)	62.70 (38-72)	69.07 (45-72)	56.78 (38-72)

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. *t* statistics in parentheses. OLS with standard errors clustered by country. Country- and year dummies, LDV (lagged dependent variable) and controls (log GDP per capita, log population) are omitted.

In Model 5, we expand the time series to 1939, and in Model 6 to 1988, thus also capturing the longer-term relationship between Comintern invitations and working hours. This reduces the point estimate (and t-value) somewhat, indicating that there is some catch-up for the “non-treated” cases towards the end of the time series. Yet, the Comintern coefficient remains at -7.5 hours/week ( $t=-3.7$ ), even in Model 6, which extends to 1988. Finally, in Models 7-8, we set the time-series start

year to 1900, and re-estimate models 3 and 6 (end years 1925 and 1988, respectively). While this reduces the estimated coefficient (in Model 7) compared to Model 3 somewhat, it increases the coefficient and the precision of the estimate (in Model 8) when compared to Model 6. Nonetheless, the main point is that the relationship between the Comintern measure and working hours is very robust to time series specifications.

We conducted several other robustness tests (Appendix A5-A9), and find similar results, for example, when using attendance (instead of invitation) at the first Comintern meeting. Results are also robust to various sample restrictions, such as only including European countries or only countries that were independent states over the whole period (Appendix A9).

One remaining worry – despite controls for linear country-trends and country-fixed effects– is that countries invited to Comintern were already on a particular development path, towards fewer working hours, just before they received the “treatment” in 1919. To mitigate this possibility we conduct a series of placebo-tests, artificially assigning Comintern invitations to the same countries, but in years prior to 1919. If these countries were already on a particular development path, these “placebo-year” Comintern dummies should remain significant predictors of lower working hours. Figure 2 draws on estimates from Model 4, Table 3 with fixed effects and country-trends, but now re-estimated with Comintern participation artificially set to different years (1889, 1899, 1909, 1914-1918). The results are striking; we only find a significant ( $t=-3.40$ ) coefficient at conventional levels when measuring the treatment in 1919, and point estimates are also far smaller for any other year.

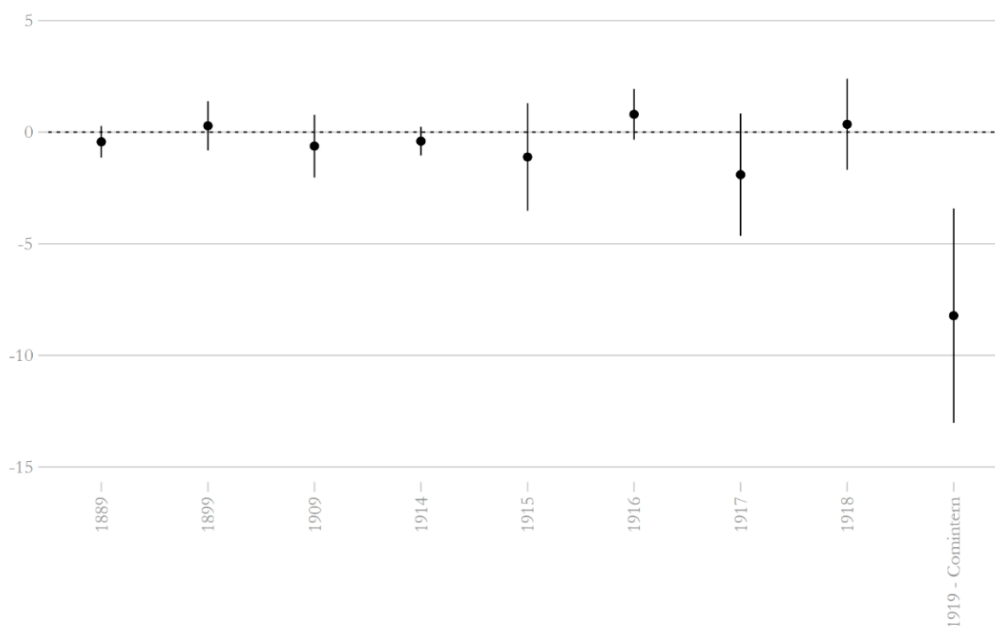


Figure 2. Placebo tests assigning Comintern invitations artificially to years prior to 1919 and re-estimating Model 4, Table 3.

Still, there could be factors that affect working time, correlate with revolutionary fear, and occur/change at the end of WWI. In Table 4, we enter controls that capture such potential confounders. These models extend Model 2, Table 3, with country- and year-fixed effects. (Adding country-trends does not substantially change the results).

One important alternative explanation of social policy change is mass mobilization for war (Obinger & Petersen 2017; Scheve and Stasavage 2016). States with vast mobilization of the population in inter-state war may face strong post-war demands for social policies that redistribute benefits from elites to the social groups that sacrificed lives and limbs in the war effort. This is particularly salient for us, since the Bolshevik Revolution and Comintern coincided with the end of WWI. We account for the mass mobilization explanation in our panel regression set-up by adding a measure of percent of the population serving in the armed forces to the benchmark, in

Model 1, Table 4.<sup>12</sup> Yet, the Comintern coefficient only drops from 10.8 to 10.0 when including this control, and remains significant at 1 percent.

Table 4. Controlling for the most likely alternative explanations on working hours

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Comintern invited	-10.0** (-3.32)	-9.95** (-3.35)	-9.74** (-2.97)	-9.14** (-3.39)	-13.2*** (-3.86)	-10.53*** (-4.28)	-13.36*** (-4.29)	-9.85*** (-4.01)
Mobilization	-0.047 (-0.27)							
ILO member		-1.63 (-0.43)						
Inflation			-0.0018** (-3.38)					
Social dem. minister in gov.				-0.95 (-1.36)				
Union density					0.11 (0.81)			
Regime support workers						-2.17* (-2.84)		
Social democratic minister							4.74 (0.99)	
Electoral democracy index								-7.34* (-2.82)
Controls	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Fixed effects	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes
Observations	3778	3778	3878	6074	560	7552	87	7046
Countries	78	78	39	63	25	98	87	101
R <sup>2</sup>	0.674	0.675	0.559	0.527	0.775	0.496	0.261	0.552
Mean hours (min-max)	70.62 (45-72)	70.65 (45-72)	70.96 (45-72)	71.08 (45-72)	65.62 (45-72)	71.25 (45-72)	66.03 (45-72)	71.11 (45-72)

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. OLS with standard errors clustered by country. Time series from 1817-1925 since we lack data on relevant covariates before 1817. “Controls” omitted from table are log GDP p.c. and log population. Model 7 is restricted to 1919 due to lack of minister data beyond 1919.

This result is supported by a more qualitative reading of our material. In Appendix A4, we provide summary tables of developments in various social policy areas, both for European countries that partook in WW1 and other European countries. Comparative considerations suggest that social policy expansions were not determined solely by mass mobilization in WW1, with several non-warring countries that still faced revolutionary threats, such as the Netherlands and the Iberian and Scandinavian countries, expanding social policy.

We also account for other plausible alternative explanations in Table 4. In Model 2, we control for membership in the International Labor Organization, which (as Comintern) is established in 1919,

<sup>12</sup> We experimented with various ways of measuring mobilization, following Scheve and Stasavage (2016). Results are robust.



and had the 48-hour week as a primary goal. Rising prices could also drive labor militancy and increase the demand for reform: the large food riots in Europe and Japan in 1917 originated in rising food prices. We therefore enter a control for annual inflation (taken from Coppedge et al. 2018) in Model 3. Our benchmark results could also be capturing the policy-effects of workers gaining hold of political power, independent of any revolutionary threat. Models 4-7 control for reformist labor movements, including a dummy for the presence of a social democratic party in Model 4 and share of workers organized in a trade union (Rasmussen and Pontusson 2018; interpolated) in Model 5. We control for urban industrial workers being part of the regimes' support coalition in Model 6, using data from V-Dem v.9 (Coppedge et al. 2018) which should account for more direct worker influence over government. We also control for whether a social democratic minister was in government in 1918-1919 in Model 7 using only cross-sectional variation since the minister measure is time-invariant. In Model 8, we include V-Dem's electoral democracy index (Polyarchy). While these two final controls mitigate confounding related to differences in regime characteristics driving both revolutionary threat and policy initiation, including them probably also introduces some level of post-treatment bias; revolutionary threat may be affected by, e.g., the expansion of suffrage to the working classes (Acemoglu and Robinson 2006). Nonetheless, the size and significance of our Comintern measure is robust to controlling for the above-mentioned alternative explanations.

In Appendix A5, we display specifications that account for yet other plausible alternative explanations. First, we further probe the potential role of democratic institutions, by focusing on suffrage extension (from Coppedge et al. 2018). In addition to total suffrage, we control for female suffrage, which may be especially relevant in our case, given possible gender differences in preferences for various public policies (Bertocchi 2011). Second, we control for regional averages on the dependent variable to account for emulation, learning and other mechanisms of policy diffusion from neighboring countries (Weyland 2009). Third, since most Comintern invitations were sent to European countries, we interact a Europe dummy with a pre-/post-1919 dummy to

control for the possibility that other developments in Europe drive policy shifts and generate a spurious result. Our main result is persistent also in these specifications, although the latter test yields insignificant results (at conventional levels) outside Europe when we include country-fixed effects. Nonetheless, the relationship is quite robust, overall.

#### 4.4 Welfare state coverage

Turning to our alternative outcomes, in Table 5, we investigate how revolutionary threat, as reflected in Comintern invitations, influenced the coverage of industrial workers in redistributive welfare programs. Given the (count) nature of these dependent variables, we estimate fixed effects negative binominal count models in addition to OLS models, and results are robust. The OLS results are presented in Table 5.

Table 5 Invitation to the Comintern 1919 and industrial workers coverage in redistributive programs up to 1925 for 105 countries

	(1)	(2)	(3)	(4)	(5)
Comintern invited	2.65** (11.59)	2.40** (10.26)	1.74** (8.61)	2.10** (8.86)	1.46** (7.09)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed Effects	No	Yes	Yes	Yes	Yes
Country Trends	No	No	Yes	No	No
Observations	8350	8350	8350	3898	2103
Countries	105	105	105	96	92
Start year	1789	1789	1789	1870	1900
R <sup>2</sup>	0.403	0.585	0.746	0.688	0.870
<i>Mean welf. prog.</i> <i>(min-max)</i>	0.158 (0-5)	0.198 (0-5)	0.198 (0-5)	0.327 (0-5)	0.555 (0-5)

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. OLS with standard errors clustered by country. Time series extend from 1817-1925. “Controls” are log GDP p.c. and log population.

The results in Table 5 follow our expectations. A higher revolutionary threat, as reflected in Comintern invitations, is associated with industrial workers being covered in a greater number of redistributive programs, and estimates are quite consistent when we omit (Model 1) or include (Model 2) country- and year-fixed effects, and when we add country-specific trends to the fixed effects (Model 3). Even in the latter, very restrictive model, receiving Comintern invitations in 1919 is associated with an increase in the redistributive program index of about 1.5 programs. As shown in Models 4 and 5, results are also robust to setting the time series start year to 1870 or 1900.

In Appendix A7, we disaggregate the dependent variable and only look at coverage of industrial workers in redistributive programs for specific risks (unemployment, sickness, etc.). Strikingly, revolutionary threat is positively associated with welfare expansion independent of which labor market or life-course risk we focus on.

## 5. Conclusion

We have developed a theoretical argument on how revolutionary threats that elites perceive as credible spur these elites to react and counter this threat by providing concessions in the form of social policies. We focused on the Bolshevik Revolution of 1917, and the subsequent formation of Comintern in 1919. We have discussed how labor parties or unions from a country being invited to Comintern enhanced the revolutionary threat level by radicalizing the relevant movement and providing it with infrastructural and monetary support. Further, Comintern invitations function as information signals to elites, leading the latter to update their beliefs on how credible the revolutionary threat is. Elites respond with sticks and carrots to counter the threat, leading, e.g., to expansions in social policies meant to co-opt labor.

We presented an in-depth case study of Norway after the Bolshevik revolution and various statistical tests to assess the extent to which revolutionary fear, as captured by our new measure on being invited to Comintern, drive social policy development. In brief, the evidence in support of our argument is clear and robust; fear of revolution reduce working time *and* the coverage and generosity of various social transfer programs, and the effects seem persistent. Notably, we find that the revolutionary threat results persist even when accounting for alternative explanations such as mass mobilization or social democratic or trade union presence.

This study contributes to different literatures. The literature on diffusion of revolutionary threats, where revolutionary events in neighboring countries is used to proxy for domestic threats, considers links to suffrage expansion and inequality (e.g., Sant' Anna and Weller 2020). However, our study focuses on policy-making. We are the first to investigate the role played by the Bolshevik

revolution on the adoption and extension of various labor market- and social policies by drawing on comprehensive cross-country data material. Second, our novel theoretical contribution comes from combining a theory of how elites use social policy for co-optation purposes, with an argument on how elite perceptions of revolutionary threats are formed and influenced by international linkages to domestic groups. Other contributions study related outcomes. Obinger and Schmitt (2011), for example, focus on regime competition and not revolutionary threat per se. We provide more direct and general evidence of domestic revolutionary threat fostering social policy development. We thus add to our understanding of political factors shaping the origins of welfare states, and thus, potentially, policy determinants of redistribution and inequality (Scheve and Stasavage 2016; Milanovic 2016; Scheidel 2017).

Finally, we contribute to the debate on how revolutionary, as opposed to reformist, labor movements shaped social policy development (e.g., Lipset 1983; Korpi 2006; Paster 2013). In the absence of revolutionary threats, social policy was more likely to be stuck on a path in which working time went unregulated. The growth of social democratic parties, as such, was often insufficient to alter this trend. But the Bolshevik revolution, with the accompanied rise of communist parties, was a major disruptive force. Under these conditions, also reformist social democrats could push for concessions, using the threat of the revolutionaries as a bargaining tool with extant elites. Future analysis of the historical role played by socialist and social democratic parties, on different types of policy developments, should thus consider and elaborate further on the role that this major international event played in altering these parties' strategies and prospects in succeeding with their policy demands.

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## Online Appendices for

### *Reforming to Survive: The Bolshevik Origins of Social Policies*

This document contains a number of online appendices for “Reforming to Survive: The Bolshevik Origins of Social Policies”. These appendices document and describe the data collection and measures used, presents descriptive statistics and additional robustness tests for the large-n analysis, and a more detailed and lengthy version of the country case study of Norway from 1915-1924.

More specifically, Appendix A1 introduces our Comintern-based measures of revolutionary threat and how they are coded. A2 provides an excerpt from the codebook on the dataset on working hours, which we use for our main independent variable. A3 contains tables with descriptive statistics for all the main variables used in our cross-national analysis. A4 present additional information on relevant features – pertaining to our revolutionary threat-focused explanation of social policy change, but also alternative explanations related to mobilization during war – for European countries in the aftermath of WWI.

Appendices A5-A9 provide additional tables and figures with results for different robustness tests to the empirical analysis. A5 adds additional controls to our main model: general suffrage, female suffrage, average regional working hours, and test for Europe dependency. A6 replicates all the main analysis from the paper, but using a revolutionary threat measure based on Comintern attendance rather than Comintern invitations. A7 presents various robustness tests on the specification of the time period and sample for the panel analysis, for example setting different start-years for when the time series of the sample should start. A8 replicates our main result table using one additional measure of our independent variable: number of attendees at the 1919 Comintern congress. A9 provides alternative sample restrictions such as excluding various regressions and setting different start and end years. A10 provides additional information for the synthetic control analysis. A11 replicates the welfare state coverage results for welfare state generosity. Here we present results for our newly collected measures of generosity, the duration of benefits periods, for, respectively, sickness and unemployment insurance programs in 1925. Since these data are cross-sectional only, we measure our independent and control variables in 1919. This gives us a 6-year lag. We also tried measuring all controls contemporaneously, with no substantial change to results. Estimates suggest that countries with higher revolutionary threat, as reflected by

Comintern invitations, had on average 16-30 weeks longer duration of sickness benefits and 6-8 weeks longer duration of unemployment benefits. A12 presents and discusses results from instrumental variable regression analyses.

Appendices A13-A15 pertain to the coding of formation of communist parties and the analyses using these measures. A12 contains the main analysis on how Comintern participation spurred the formation of new communist parties, and how this seems to have mediated (parts of) the long-term effects of Comintern involvement; where new Communist parties were formed, the effect of Comintern participation on lower working hours did not disappear, but persisted for decades. A12 includes a list of all the Communist parties that we have identified and coded, alongside their founding year. A13 includes additional results from the final analysis of the paper on the role of Communist parties in mediating the relationship between revolutionary threat and social policy change. A14 lists the sources used and the coding of the dummy variable measuring formation of worker- and soldier councils in 1918-1919.

Finally, the lengthy Appendix B provides a much more detailed version of our case study of Norway from the article, providing detailed evidence from archival material and other sources of events, strategies, and perceptions of the different actors. In this extended version of the case study, we also detail how the revolutionary threat shaped policy responses by both political and economic elites in several other areas than regulation of working hours (the focus of the shortened study in the article), including collective bargaining, changes to the electoral rules, worker-participation in firm management, and old-age pensions.

## **Appendix A1: Construction the Comintern measures of revolutionary threat, Zimmerwald group measure and International Socialist Commission membership**

We constructed our measures of invitation to the first and second Comintern congresses by using a translation of the original documents from Jane Degras' (1955) "Communist International: 1919-1943 Documents part 1". Information on attendees has been compiled from <http://www.marxisthistory.org/subject/usa/eam/ci-congress19delegates.html> and <https://www.marxists.org/history/international/comintern/2nd-congress/delegates.html>. These webpages, in turn, draw on information compiled from John Riddell's (1987) "Founding the Communist International: Proceedings and Documents of the First Congress, March 1919", and R.A. Archer's (1977) "Second Congress of the Communist International: Minutes of the Proceedings".

### **List of countries from which organizations were invited to the first (1919) Comintern meeting:**

Russia, Germany, Austria, Hungary, Finland, Poland, Estonia, Latvia, Lithuanian, Czechoslovakia, Bulgaria, Romania, Serbia(Yugoslavia), Sweden, Norway, Denmark, Netherlands, Belgian, France, Switzerland, Italian, Spain, Portugal, United Kingdom, Ireland, United States, Australia, Japan.

### **List of countries from which there were attendees at the first (1919) Comintern meeting with voting rights:**

Russia, Germany, United states, Austria, Bulgaria, Finland, Hungary, Norway, Poland, Sweden, Switzerland, Estonia, France, Latvia, Lithuania.

### **List of countries from which there were attendees at the second (1920) Comintern meeting with voting rights:**

Russia, Austria, Belgium, Bulgaria, Czechoslovakia, Denmark, Estonia , Finland , France , Germany , great Britain, Hungary, Ireland, Italy, Korea, Latvia, Mexico, Netherlands, Norway, Iran, Poland, Spain, Sweden, Switzerland, USA, Yugoslavia (Serbia).

As a note to the discussion in the text on the validity of the Comintern measure of revolutionary threats, the historical sources clearly suggest that Comintern invitations were only extended to radical labor organizations or radical elements within social democratic parties. Reformist, social democratic movements and organizations were avoided by the Russians sending out the invitation. This was done with the explicit aim to avoid the fractionalization that had taken place within the Second International. This aim outlined in the invitational text (taken from Degras 1955):

“9. Towards the social-chauvinists, who everywhere at critical moments come out in arms against the proletarian revolution, no other attitude but unrelenting struggle is possible. As to the 'centre'—the tactics of splitting off the revolutionary elements, and unsparing criticism and

exposure of the leaders. Organizational separation from the centrists is at a certain stage of development absolutely essential.

10. On the other hand, it is necessary to form a bloc with those elements in the revolutionary workers' movement who, although they did not formerly belong to socialist parties, now stand by and large for the proletarian dictatorship in the form of Soviet power. Chief among these are the syndicalist elements in the workers' movement.

11. Finally it is necessary to draw in all those proletarian groups and organizations which, although they have not openly attached themselves to the left revolutionary tendency, nevertheless appear to be moving in this direction. 12. In concrete terms, we propose that representatives of the following parties, groups, and trends shall take part in the congress (full membership of the Third International shall be open to those parties which stand completely on its platform)”

This invitational text was then followed by a list of invited parties and union federations, which provide the basis of our listing of countries above.

For our instrumental variables used in Appendix A12, the Zimmerwald manifesto signatories is taken from <https://www.marxists.org/history/international/social-democracy/zimmerwald/manifesto-1915.htm> and membership in the international socialist commission from [https://en.wikipedia.org/wiki/International\\_Socialist\\_Commission](https://en.wikipedia.org/wiki/International_Socialist_Commission)

#### **Signatories of the Zimmerwald Manifesto of September 1915:**

Russia, Germany, France, Italy, Poland, Bulgaria, Netherlands, Switzerland, Sweden, Norway, Rumania.

#### **International Socialist Commission Members from 1915:**

Italy, USA, Great Britain, Serbia, Portugal, South Africa, Greece, Russia, Poland, Lithuania, Latvia, Finland, Switzerland, Sweden, Norway, Denmark, Spain, Netherlands, Bulgaria, Germany.

**Appendix A2: Excerpt from Hours of Work Codebook**

**The Hours we Work**  
**Regulated Working Hours around the World since 1848**

A dataset on normal hours of work

Collected by Lead Author

## Regulating Normal Hours of Work

The “Hours we Work” dataset provides information on regulated normal hours of work as specified by national level legislation for 203 countries from 1789 to 2014 – with the first identified law for adult males being registered in 1848. More specifically, the regulated normal hours of work per week registered in this dataset pertain to men in manufacturing or in general industrial work, and refer to the number of hours that a worker can work before extra working hours are counted as overtime. Normal hours of work is therefore not necessarily the maximum hours of work that a manufacturing worker in the country is allowed to work. The latter is also affected by the limits set on overtime, hours worked beyond the normal limits (and which also tend to give raise to higher wages, and which also tend to be limited to periods of high production demand or crunch). Normal hours of work therefore gives one indication of how many hours per week a worker is likely to work, on average, in a year.

Countries with legal frameworks for working-time institutions can be divided into two subgroups: those where legislation is the primary vehicle for regulating hours and those where legislation only lays out the broad frameworks, allowing for intra- or extra-governmental institutions to fully specify the regulatory framework. In the latter group of countries, this specification is usually done through arbitration awards as in Australia, Italy, New Zealand, by national level collective agreements as in Denmark and Sweden, or -- in colonies -- by governors’ being granted the power to extend regulation on hours as they see fit.

One issue with coding normal hours of work is that there is no obvious or even conventional way of coding the regulated normal working time in the absence of a working time law. Yet, letting these observations stand as missing would also introduce a severe selection bias, and make us unable to test interesting hypothesis as to the origins of working time regulations. Hence, in countries that have unregulated working hours, we have opted set their hours at 72 hours per week (12 hours of work, 6 days a week), which is also the weekly hours prescribed in the first law regulating hours for both women and men (France 1848). While far from a perfect solution, this alternative is preferable to the alternative of leaving out observations before the first law, and users of the data can easily recode these values by using alternative scores/numbers of hours for unregulated countries.

As important as it is to highlight what the measures capture, it is also important to highlight what they do not capture. As already mentioned, normal hours of work must not be confused with total hours of work. Divergences are likely to be lower in countries in which overtime is very expensive

for employers/highly compensated, where fewer overtime hours are accepted by law, and if employers have to apply to authorities to use overtime.

Further, users should be aware of the following restrictions in the coding: First, these data do not capture regulation for groups such as wagedworkers in the rural sector or commercial workers (usually regulated by their own laws). Second, the measure refers *explicitly* to the situation for wagedworkers, not to salaried workers. This means that legislation that refers to wagedworkers is included, while legislation that regulate hours of work for salaried workers is ignored. Third, it does not code regulations that only regulate hours for women, adolescents, and children. Prior to the First World War, hours of work tended to be regulated only for women and children, and some polities continued this tradition, including the various states belonging to the United States of America and the British empire and its colonial dependencies. Fifth, it does not capture regulations that only refer to workers in harsh or hazardous occupations, as this definition tends to be too small to encompass manufacturing or industry more generally. Sixth, countries that have no federal or national legislation wagedworkers or award-systems are classified as having no legal-system for working-time regulation. In cases in which there exists federal regulation that regulate hours not only for federal workers, but for all firms that buy services from the federal government, regulation are considered as national in scope and therefore coded.

Even if a country does not have national laws regulating hours, working-time institutions still exists through historical evolved norms or collective sector or firm bargaining agreements. This is generally the case for the USA, Canada, and the UK in the period under study (which ends in 1989). Unfortunately, reliably data is much harder to come by for collective bargaining than on law and arbitration decisions. Since the tendency to rely on regulation through law, as opposed to collective bargaining or tradition, differs systematically between countries, our data suffers from a systematic cross-country bias. This will tend to work in the direction of showing less generous working time regulation than what might be the picture on the ground for countries with collective bargaining traditions. Researchers should therefore take care when interpreting results, and, for instance, control for country-fixed effects to account for such cross-country differences whenever relevant. An alternative source of regulation can be found in the CBR dataset (Adams, Z., et al 2017), which measures working time regulation as outlined in both in collective bargaining and legislation. Still, collective bargaining agreements are only rarely formulated on the national level (the Scandinavian countries being a prominent exception). Collective bargaining agreements will therefore be less fitting to describe national-level regulation, as hours of work will tend to diverge between sectors and even between firms within the same sector depending on the dominant level of bargaining.

The period under study includes decades in which European states colonized large parts of the world, creating vast colonial empires, which in turn needed labour regulation if they were to develop internal markets allowing for commerce, exchange and exploitation. Still, hours of work regulations were non-existent in the colonies for “native” labour (as they tended to be defined by colonial administrations or settler governments) prior to the 20th century. Only sporadic legislation existed, usually defining aspects such as forced labour duty and elementary rights of contracts (similar to the master and servant acts). The extension of labour law or factory regulating hours of native workers only started taking form in the early 1910s, taking steam after the First World War and the Washington convention of 1919. With the 1930s and especially 1940s, the French extended the 48-hour week into their own colonial dependencies, and in 1952, they universalized the 40 hours week to all remaining colonies. There were also marked differences between the colonial empires in the extent to which legislation developed on a case-by-case basis or by major uniform extension of labour law. Below we provide a short (and non-exhaustive) oversight over the major colonial laws and give brief summaries of the extent to which a specific country tended to regulate hours of work in its colonies by piecemeal legislation or by uniform regulations.

Below, we outline the sources and the coding procedure used to classify the 203 countries covered by this dataset.

## **Sources, coding procedures, and validity**

For Scandinavian, Pacific, Caribbean, African and Anglo-Saxon polities, I (first Author of paper) mainly relied on online law databases and description of legislative changes in statistical yearbooks.<sup>13</sup> For the period leading up to the 20<sup>th</sup> century, I used the description of the historical development of factory legislation by Magnusson (1919), the extensive and very impressive 1898 report on factory working time laws by Brooke (1898), and a major League of Nations (1919) report issued on the recent developments leading up to the declaration of the eight day work day. I also used a report issued by the American Bureau of Labor Statistics (1913) on the ten-hour working-day around the world. From 1919, the ILO sources (that also cover non-members) become available; the “Legislative Series” is an annual publication that translates labor legislation into English up to the 1980s, and is therefore used to track year to year changes from 1919 onwards, and the various reports such as the “Hours of Work” with historical sections on previous development are used to verify pre-1930 classifications (e.g., ILO, 1935), and the major oversight reports of 1964, 1984, 1995, 2004, and 2018 (e.g., ILO 2018).

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<sup>13</sup> Links to webpages: [Pacific, African commonwealth countries](#)



In addition, I use the following databases: NATLEX and Travail to track changes in labor laws and hours of work laws, which are then used to identify and track down specific laws in national databases, using the sources referred to above.

Since the dataset relies on a combination of various sources, one implication is that a hierarchy was needed in order to ascertain which information is most trustworthy in the few cases in which sources diverged. In short, national sources have been given precedent over ILO reports. ILO purports to measure legislation in force in a specific year, usually the year of publication of the relevant report. However, this raises some issues. For example, since it takes time to collect information, several countries might have changed their regulation in the publication year, leading to incorrect classifications.

In most cases, coding the regulated hours of work is straightforward; the legislation explicitly states the number of normal hours of work per week in the legislation. The two most common issues we encountered were the following. First, countries may not regulate hours by week, but instead by day. In these cases, we calculate the normal weekly hours by multiplying the daily hours with the number of working days (which varies over time and between countries depending on regulations mandating weekly resting days). Second, countries sometimes do not specify normal working hours, and instead only specify maximum working hours. In these cases, we have classified normal working hours as maximum hours. This is defensible since workers will then have to work up to the specified limit without overtime-compensation, meaning that workers will have to rely on some other form of regulation besides legislation to reduce hours or receive higher compensation.

### Appendix A3: Descriptive statistics for main models

Table I descriptive statistics for 8,348 observations from model 1 table 1.

	Mean	STD	Min	Max
Weekly work	71.207	3.963	45	72
Comintern invite	0.0221	0.147	0	1
GDP p.c. (log)	7.203	0.776	5.131	10.599
Population (log)	8.185	1.618	4.289	13.081

Table II descriptive statistics for 9592 observations from model 3 table 1.

	Mean	STD	Min	Max
Weekly work	69.774	6.780	40	72
Comintern invite	0.056	0.231	0	1
GDP p.c. (log)	7.250	0.777	5.131	10.599
Population (log)	8.185	1.566	4.289	13.171

Table III descriptive statistics for 9592 observations from model 5 table 1.

	Mean	STD	Min	Max
Weekly work	69.774	6.780	40	72
Comintern invite	0.056	0.231	0	1
GDP p.c. (log)	7.250	0.777	5.131	10.599
Population (log)	8.185	1.566	4.289	13.171

## Appendix A4: Major reforms in different European countries, grouped by participation in WWI and history as independent country.

Table IV. Policy developments/reforms following Bolshevik revolution in countries invited to Comintern meeting in 1919 up to 1925

Country	48 hour law or more generous	Unemployment benefits	Sickness benefits	Old-age pensions	Electoral reform	Other major reform
<b>Existed prior to 1914 and neutral during WW1</b>						
Denmark	1919	1918-1924 ↑	1915	1891	1918 - 1920 - PR	1921 – invalidity insurance, employment exchange
Netherlands	1919	1916-1917 ↑	1913	1919 ↑	1918 - PR	1919-1920 Supreme Labor Council established, 1921 – Accident insurance (improved benefits), 1922 – accident insurance extended to rural workers
Norway	1919	1918-1922 ↑	1915-1925 ↑	(1923)	1919 - PR	1918- minimum wage for commercial workers, 1919 - Social assistance, Commission on socialization, (1919 - work council) 1920 – accident insurance (improved benefits)
Spain	1919	1919 ↑	(1923 ↑)	1919 ↑	-	1920 – employment exchanges
Portugal	1922	-	(1919)	(1919)	1915 – PR	1919 – accident insurance extended to all employed persons
Sweden	1919	(1920)	1891-1921	1913	1911 – PR	Commission on socialization
Switzerland	1919	1924 ↑	1925 ↑	-	1919 - PR	1918-1920 - accident insurance, 1920 – federal labor department
<b>Existed prior to 1914 and participated in WW1</b>						
Austria	1918/19	(1918) 1920	1920/21	1920	1919-PR	1919 – act of socialization, Work council reform, socialization commission and act of community control, 1920 – work council act, 1919-1921 accident benefits increased
Bulgaria	1917/1919	1925	1918/19	1924	1913-PR	1917 – factory inspection, 1918-24 accident insurance, 1920-1923 - land reform
Hungary	-	-	1917/19/25	1928	1920-PR	General lack of reform.
Germany	1918/19/22	(1918)1923	1919/23	1911/25	1918-PR	1919 – collective

						bargain law, 1920 - Work council reform, socialization commission
Greece	1920	(1922)	(1922)	(1922)	-	1918-1925 – land reform, 1922 – accident legislation,
Romania	-	1991	1912	1912	-	1921-1937 - land reform
Belgium	1922	1920-25	1910/1925	1920/24	1899-PR	1919- family allowances, labor inspection, 1919- 1920- accident insurance. 1922 – employment contracts
France	1919	1905/10	(1898)1928	1910/1928	-	1917-24 – Family allowances, - law on profit-sharing schemes. 1922 – accident legislation
Italy	1923	1919-1923	(1919-1920)	1919-1925	1919-PR	1917- accident insurance 1918/1920 – profit-sharing and worker participation in management bill and commission
United kingdom	-	(1911)1920 1925	1911	(1908)1920/21/24/25	1919 – female suffrage	1918/20– industrial courts (arbitration system), 1923-25 accident insurance reforms
Ireland	-	1911/1920)	1911	1909	1922-PR	-
United States	-	-	-	-	-	1918 – immigration act (restrictions of anarchists) 1921 – Emergency quota act (restriction on migration from Europe)
Australia	-	-	(1912)1944	1908	-	Several important arbitration decisions (44 hour week in 1920, reversed in 1922)
Japan	-	-	1922	-	-	1923 – minimum age of factory workers, and working hours of women and children
<b>Existed after 1914 and didn't mobilize as self-organized units</b>						
Czechoslovakia	1919	1921 ↑	1919 ↑	(1919)1924 ↑	1919 - PR	1918- Land reform, 1920- Socialization of mines, 1921 – requisitioning land for building purposes, Works

Estonia	1931	-	1917 ↑	-	1920 - PR	Committees. 1924 – sickness and maternity benefits (major re- organization) 1921 - Wage and Hours act for rural workers, 1922- accident insurance
Finland	1917	1917-1921 ↑	1897	-	1907 - PR	1917-1918 – compulsory accident insurance, 1924-1939 land reform
Latvia	1922	-	1922 ↑	-	1919 - PR	1927 – accident insurance for all employed persons
Lithuania	1919	-	1925 ↑	-	1922 - PR	-
Poland	1919	1924 ↑	1920 ↑	-	1919 - PR	1918 - land-reforms, 1921 – Employment exchanges, 1924 – compulsory accident insurance

Oversight over major laws for labor standards and transfer welfare schemes before 1925. Health and education policies excluded. Dates in parenthesis signify moderate or quasi-temporary measures. Dash signify no-major legislation prior to 1925. Classifications were done by tracking legislative changes in the SpaW dataset (Rasmussen 2018), which builds on the Legislative Series produced by the ILO, and the International Labor Review (various issues published up to 1925). Land reform from Albertus (2015) and electoral reforms from V-Dem. Temporary-war related unemployment benefits excluded. We have not included special legislation for smaller groups of workers, such as public servants, soldiers, miners and seamen for the simple reason that the graph would be even more unreadable. The category “Other major” reforms include insurance reforms such as invalidity, social assistance reforms (away from stigmatizing poor relief laws), socialization acts or commissions including land-reforms, corporative arrangements (such as work-councils), and setting up of government organizations or departments aimed at regulating the labor market. The table is not exhaustive and is not meant to be so. It is only a rough outline of the social policy development in non-warring and warring states that all faced revolutionary threat.

**Appendix A5: Controlling for additional alternative explanatory factors: General suffrage, female suffrage, regional average working hours (Learning, Diffusion), and the dependence of results on European countries (Europe dummy).**

Table V Invitation to the Comintern 1919 and additional controls.

	(1) Suffrage	(2) Female suffrage	(3) Regional working hours	(4) Europe interaction	(5) Europe interaction without FE
Comintern	-10.1*** (-4.04)	-9.70*** (-4.02)	-6.59* (-2.03)	-5.67 (-1.52)	-10.3** (-3.10)
Overall suffrage	-2.24 (-1.45)				
female suffrage		-0.0055 (-0.22)			
Regional working hours (avg.)			0.36 (1.50)		
Europe (dummy)				-0.91* (-2.38)	-0.36 (-1.03)
Comintern*Europe				-7.25 (-1.80)	-7.77 (-1.96)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed effects	Yes	Yes	Yes	Yes	No
Observations	8229	2026	5430	5673	5673
R <sup>2</sup>	0.509	0.651	0.582	0.431	0.348

t statistics in parentheses. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. OLS with standard errors clustered by country

**Appendix A6: Replication of all main tables using alternative independent (attendance at the first Comintern meeting instead of invitations) or dependent variables (risk-specific program coverage measures).**

Table VI. Attended the Comintern 1919 and Legislated Normal Working Hours

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Levels	Levels	Levels	Changes	Levels	Levels	Levels	Levels
Attended Comintern	-16.8*** (-5.96)	-12.7*** (-4.10)	-12.0*** (-4.20)	-1.60 (-1.78)	-8.74** (-3.26)	-8.64*** (-4.37)	-8.28** (-2.99)	-10.8*** (-4.14)
Controls	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Country Dummies	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Year Dummies	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes
LDV	No	No	No	Yes	No	No	No	No
Observations	8348	8348	8348	8267	9592	15996	2101	9749
Countries	105	105	105	105	105	169	92	156
Start year	1789	1789	1789	1789	1789	1789	1900	1900
End year	1925	1925	1925	1925	1939	1988	1925	1988
R <sup>2</sup>	0.219	0.486	0.658	0.167	0.753	0.863	0.821	0.821

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. *t* statistics in parentheses. OLS with standard errors clustered by country. Country- and year dummies and control variables (log GDP per capita, log population) are excluded.

Table VII Controlling for the most likely alternative explanations for 78 countries (1817-1925), using attendance at the first Comintern meeting (1919). Legislated Normal Working Hours is dependent variable

	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Attended Comintern	-9.00* (-2.31)	-9.09* (-2.62)	-11.4** (-2.84)	-10.8** (-3.33)	-3.40 (-0.64)	-11.9*** (-3.60)	-11.1*** (-3.61)
Mobilization	-0.067 (-0.40)						
ILO member		-2.47 (-0.60)					
Inflation			-0.00011 (-1.54)				
Soc. Dem. Party				-1.19 (-1.75)			
Union density					0.032 (0.24)		
Regime support Workers						-2.13* (-2.43)	
Democracy index							-8.32** (-3.18)
Controls	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Country Dummies	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Year Dummies	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Observations	3778	3778	3527	6074	560	7552	7046
Countries	78	78	39	63	25	98	101
R <sup>2</sup>	0.642	0.644	0.508	0.520	0.764	0.472	0.537

*t* statistics in parentheses. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. OLS with standard errors clustered by country - similar results using Ar1 correction and panel corrected standard errors. Country, year dummies and control variables excluded.

Table VIII attended the 1919 Comintern meeting and Coverage of industrial workers in redistributive programs up to 1925

	(1)	(2)	(3)	(4)	(5)
Attended Comintern	2.49 <sup>***</sup> (6.44)	1.95 <sup>***</sup> (4.66)	1.36 <sup>***</sup> (3.61)	1.66 <sup>***</sup> (3.57)	1.22 <sup>**</sup> (2.73)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed Effects	No	Yes	Yes	Yes	Yes
Country Trends	No	Yes	Yes	Yes	Yes
Observations	8350	8350	8350	3898	2103
Countries	105	105	105	96	92
Start Year:	1789	1789	1789	1870	1900
R <sup>2</sup>	0.241	0.499	0.708	0.619	0.838

t statistics in parentheses. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. OLS with standard errors clustered by country - similar results using Ar1 correction and panel corrected standard errors. Country, year dummies and control variables excluded.



## Appendix A7: Replication of main models using risk-specific program coverage measures instead of working time regulation

Table IX. Invitation to the Comintern 1919 and Coverage in redistributive welfare programs for industrial workers for specific risks.

	(1) Unemployment	(2) Old age	(3) Sickness	(4) Maternity	(5) Accident
Comintern Invited	0.20** (2.66)	0.41*** (3.76)	0.34*** (3.77)	0.39*** (4.38)	0.49*** (5.38)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed Effects	Yes	Yes	Yes	Yes	Yes
Observations	8350	8350	8350	8350	8350
Countries	97	97	97	97	97
R <sup>2</sup>	0.357	0.372	0.449	0.444	0.467
<i>Mean welf. prog.</i> <i>(min-max)</i>	0.005 (0-1)	0.025 (0-2)	0.018 (0-1)	0.020 (0-1)	0.027 (0-1)

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. OLS with standard errors clustered by country. "Controls" are log GDP p.c. and log population.

**Appendix A8: Replicating main models using alternative independent variable measurement: number of Comintern attendees.**

Table X Number of Attendees at the 1919 Comintern meeting and Legislated Normal Working Hours

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	Levels	Levels	Levels	Changes	Levels	Levels	Levels	bolsh_8A
Numb. Att. Comintern	-14.1***	-8.62**	-7.53*	-0.96	-3.56	-2.65	-5.85*	-6.27*
	(-5.70)	(-2.93)	(-2.58)	(-1.28)	(-1.24)	(-1.01)	(-2.30)	(-2.07)
Controls	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Fixed Effects	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Country Trends	No	No	Yes	Yes	Yes	Yes	Yes	Yes
LDV	No	No	No	Yes	No	No	No	No
Observations	8348	8348	8348	8267	9592	15996	2100	9749
Countries	105	105	105	105	105	169	92	156
Start year	1789	1789	1789	1789	1789	1789	1900	1900
End year	1925	1925	1925	1925	1939	1988	1925	1988
R <sup>2</sup>	0.232	0.464	0.641	0.164	0.744	0.861	0.819	0.820
Mean hours	71.23	71.23	71.23	-0.092	69.77	62.70	69.07	56.78
(min-max)	(45-72)	(45-72)	(45-72)	(-27-3)	(40-72)	(38-72)	(45-72)	(38-72)

t statistics in parentheses. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. OLS with standard errors clustered by country.

## Appendix A9: Testing alternative sample restrictions for main Comintern analysis

Table XI Checking Sample restrictions, all analysis ends in 1925: Regression results with working hours as dependent variable.

Sample restriction:	(1) 1900	(2) Independent and 1900	(3) Excluding Asia/Africa	(4) 1900	(5) Independent and 1900	(6) Excluding Asia/Africa
Comintern	-	-8.17**	-8.54**			
Invited	10.3*** (-4.34)	(-2.88)	(-2.84)			
Attended Comintern				- 11.6*** (-3.76)	-7.71* (-2.10)	-8.23* (-2.26)
Controls	Yes	Yes	Yes	Yes	Yes	Yes
Country Dummies	Yes	Yes	Yes	Yes	Yes	Yes
Year Dummies	Yes	Yes	Yes	Yes	Yes	Yes
Observations	2101	1388	4218	2101	1388	4218
Countries	92	66	58	92	66	58
R <sup>2</sup>	0.649	0.677	0.604	0.623	0.657	0.588

t statistics in parentheses. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. OLS with standard errors clustered by country - similar results using Ar1 correction and panel corrected standard errors. Country, year dummies and control variables excluded.

Table XII Checking Sample restrictions by further variation in start year: Regression results with working hours as dependent variable.

	(1) 1905	(2) 1910	(3) 1914
Comintern Invited	-10.00*** (-4.28)	-9.46*** (-4.14)	-8.77*** (-3.96)
Controls	Yes	Yes	Yes
Country Dummies	Yes	Yes	Yes
Year Dummies	Yes	Yes	Yes
Observations	1721	1336	1023
Countries	91	91	91
R <sup>2</sup>	0.672	0.712	0.774

t statistics in parentheses. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. OLS with standard errors clustered by country - similar results using Ar1 correction and panel corrected standard errors. Country, year dummies and control variables excluded.

Table XIII. Robustness test using alternative start year: Invitation to the Comintern 1919 and Coverage in redistributive welfare programs for industrial workers for specific risks between 1900 and 1925

	(1)	(2)	(3)	(4)	(5)
	Unemployment	Old age	Sickness	Maternity	Accident
Comintern Invited	0.19*	0.32***	0.22**	0.26***	0.33***
	(2.47)	(3.67)	(3.23)	(3.78)	(3.74)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed Effects	Yes	Yes	Yes	Yes	Yes
Observations	2103	2103	2103	2103	2103
Countries	97	97	97	97	97
$R^2$	0.549	0.740	0.759	0.737	0.785
Mean	0.022	0.097	0.068	0.074	0.100
(min-max)	(0-1)	(0-2)	(0-1)	(0-1)	(0-1)

t statistics in parentheses. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . OLS with standard errors clustered by country - similar results using Ar1 correction and panel corrected standard errors. Country, year dummies and control variables excluded.

Table XIV. Robustness test using alternative start year: Invitation to the Comintern 1919 and Coverage in redistributive welfare programs for industrial workers for specific risks between 1914 and 1925

	(1)	(2)	(3)	(4)	(5)
	Unemployment	Old age	Sickness	Maternity	Accident
Comintern Invited	0.16*	0.14	0.097	0.10	0.15*
	(2.09)	(1.70)	(1.75)	(1.84)	(2.39)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed Effects	Yes	Yes	Yes	Yes	Yes
Country Trends	Yes	Yes	Yes	Yes	Yes
Observations	1025	1025	1025	1025	1025
Countries	91	91	91	91	91
$R^2$	0.738	0.907	0.907	0.902	0.910
Mean	0.042	0.143	0.113	0.120	0.151
(min-max)	(0-1)	(0-2)	(0-1)	(0-1)	(0-1)

t statistics in parentheses. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . OLS with standard errors clustered by country - similar results using Ar1 correction and panel corrected standard errors. Country, year dummies and control variables excluded.

## Appendix A10: Synthetic control analysis

Here we provide a short description for how we employ the synthetic control method.

We have in the regression analysis presented in the paper and previous appendices considered all non-treated countries – i.e., those that did not have unions or parties invited to Comintern – as relevant “contrast cases” when estimating the relationship. Still, the inclusion of less relevant cases in the control group might affect results, despite our control strategy. Thus, we construct a more plausible counterfactual to our treated cases by creating a set of synthetic control countries, mixing features from several actual countries, where we try to match the pre-trend in our synthetic cases to the treated countries. These synthetic control cases should be artificial composite “countries” that – except for Comintern invitations – resemble the countries receiving Comintern invitations. The treatment effect is then the difference between the actual working hours observed in our “treated countries” and in our synthetic control cases. To aggregate the results of each individual compilation to one final estimate each affected country treated is compared to its individual synthetic case, which is then averaged up to a final estimate. To make this comparison substantially meaningful we normalize by setting the working hours of each treated country to 1 in the treatment year (1919).

To run this analysis, we first balance our unbalanced dataset to countries with no missing values between 1900-1925 on GDP per capita and population, reducing our sample to 1898 observations (73 countries). To construct our synthetic control, we include our two basic controls (GDP, population) averaged over the time-period, and two pre-treatment period lags on the dependent variable (1910, 1915).<sup>14</sup> The aggregated results are presented in Figure I. The averaged pre-treatment trends for the treatment and control groups are quite similar, and Figure I shows a clear and large, immediate effect of the treatment.

Norway was the empirical context for our qualitative case study, with this analysis suggesting a clear effect of revolutionary threat, and Comintern involvement of the Norwegian Labor Party more specifically, on working hours. We thus focus on synthetic control results for this particular country. To construct a synthetic case for Norway we follow the same procedures as above (average of controls for pre-treatment period and two time periods of the dependent variable included). The results are shown in Figures III and IV. We see that our synthetic control case and the “actual case” of Norway match up very well before treatment, with divergence following only after 1919. Figure

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<sup>14</sup> We experimented with additional time-periods and this generally increase the fit. At 50 % of the pre-treatment-period, the results become insignificant.

IV shows the possible placebo-treatments, which suggests that for the first four years the observed effect from the treatment in Norway is highly dissimilar from the placebos.

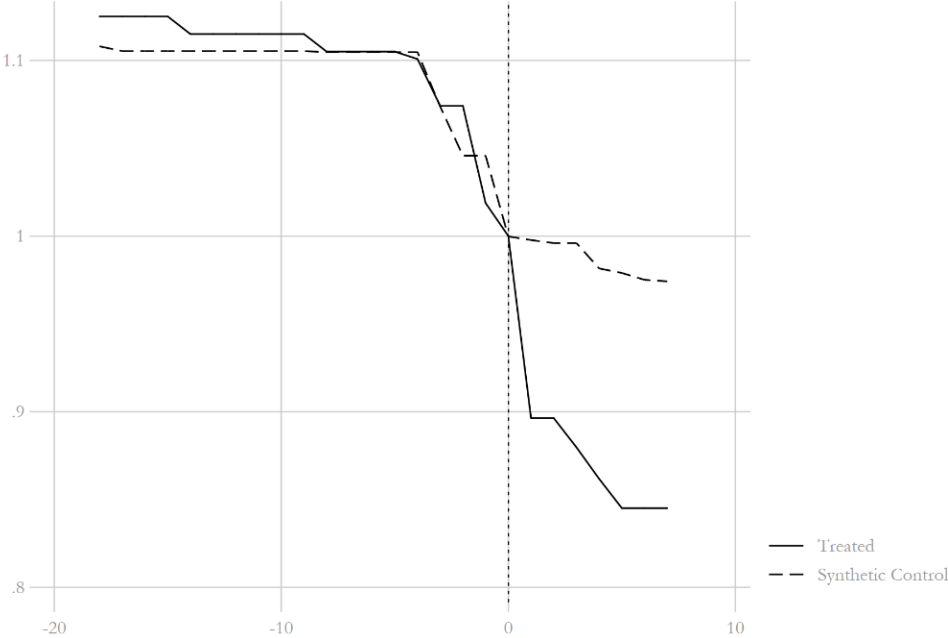


Figure I: Effect plot of results from synthetic control analysis with multiple treated cases. Trends in treated and non-treated group scaled to 1 in 1918 to facilitate comparison.

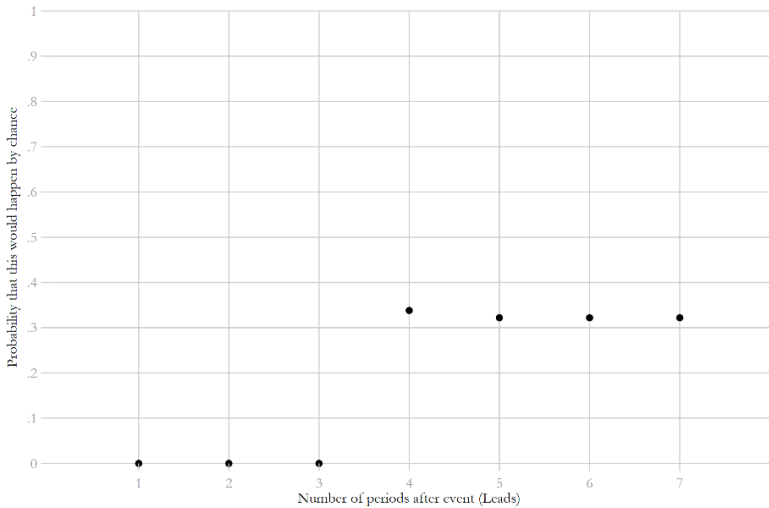


Figure II: P-values for treatment effect, after treatment, for the synthetic control analysis.

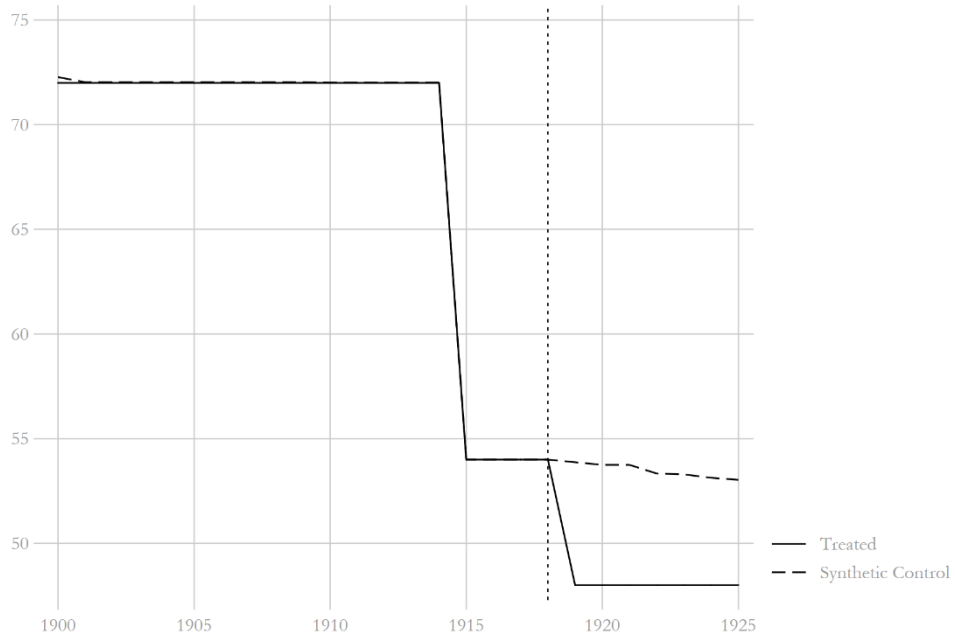


Figure III: Effect plot of results from synthetic control analysis with norway 1919 as treated case.

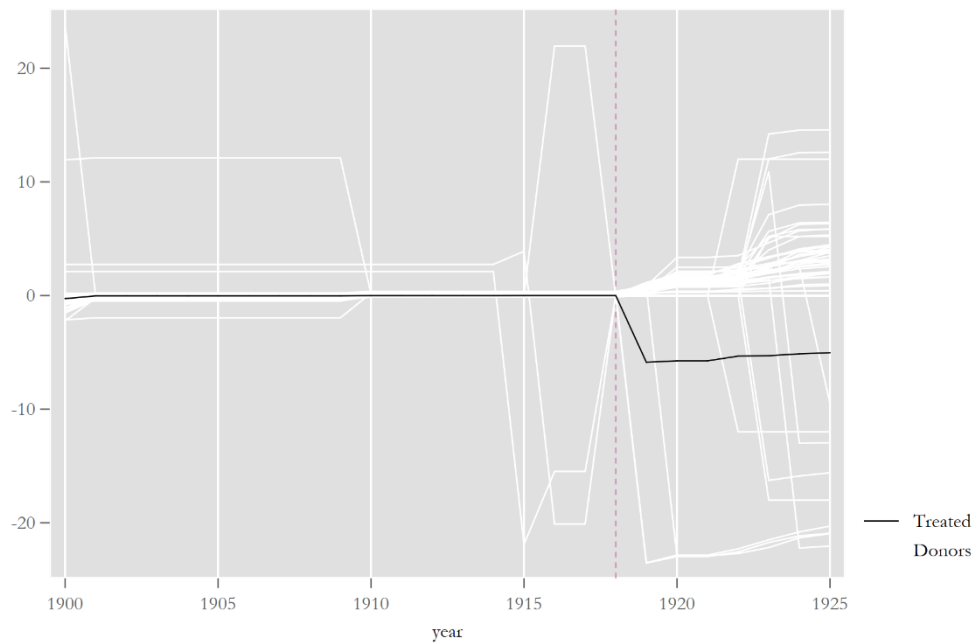


Figure IV: Donor plot of results from synthetic control analysis with norway 1919 as treated case. Single black line shows treated norwegian case and white lines shows the various placebo-treatments.

## Appendix A11: Welfare State Generosity

**Table XIII Generosity of Sickness and Unemployment Benefits measured in duration of benefit period (Weeks) in 1925**

Program:	(1)	(2)	(3)	(4)	(5)	(6)
		Sickness			Unemployment	
Comintern Invited	24.4*** (5.81)	28.4*** (4.16)	15.9* (2.60)	5.73* (2.46)	7.83** (3.16)	5.70* (2.61)
GDP (log)	0.51 (0.21)	-0.73 (-0.16)	6.13 (1.09)	2.25 (1.24)	1.28 (0.65)	3.86 (1.20)
Population (log)	-0.89 (-1.27)	-1.38 (-1.01)	-0.92 (-0.59)	0.018 (0.04)	0.42 (0.63)	0.43 (0.35)
Mobilization		-1.18 (-0.95)			-0.63 (-1.02)	
ILO member		-0.040 (-0.01)			5.20* (2.66)	
Inflation			0.0054*** (4.97)			0.0015** (2.98)
Social De. Party			-3.84 (-0.89)			-0.39 (-0.17)
Observations/countries	84	42	29	87	45	32
R <sup>2</sup>	0.590	0.579	0.513	0.312	0.448	0.462
<i>Weeks</i>	7.36 (0-52)	13.19 (0-52)	11.87 (0-52)	2.50 (0-26)	3.68 (0-26)	4.35 (0-26)

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. OLS with standard errors clustered by country. Independent variables measured in 1919.



## Appendix A12: Instrumental variable regression results

Our main specifications control for country-fixed effects and some specifications even include country-specific trends. Yet, Comintern invitations could have been highly responsive to the perceptions of leaders in the Russian Communist Party about which countries were ripe for revolution in the aftermath of the dramatic and game-changing events of 1917. More specifically, Lenin, Trotsky, or other could form judgements (based on factors that are not captured by our control variables, fixed effects, or time trends) about which countries were experiencing short-term developments that made them particularly likely to follow the Russian example. If so, it might be rational for Russian leaders to send Comintern invitations to unions or labor parties in exactly these countries, anticipating that this could potentially tilt the balance of scales between elites and revolutionaries in this country. Such perceptions, formed right before or in 1919, may correlate also with the passage of social policies in the aftermath of 1919. In order to account for such endogenous selection into Comintern stemming from short-term developments, we use Zimmerwald membership – i.e., signing the proclamation of the Zimmerwald group, a break out of radicals from the Second International in 1915 (notably, before the Bolshevik Revolution) – as a predictor of Comintern invitations four years later. The Zimmerwald declaration, formulated by Lenin, was aimed to push worker movements in the direction of his revolutionary line. While Lenin detested the organizational arm of the Zimmerwald movement, the International Socialist Commission, it was a precursor to the Comintern (Agnew & McDermott 1998).

We thus use Zimmerwald membership as an instrument and Comintern invitations as the endogenous regressor in Instrumental Variable (IV) analysis. Our identifying assumption is that historical international worker radicalism – captured by Zimmerwald membership – can only influence current social policy through predicting Comintern representation, *once* we control for *current* domestic worker radicalism (we try out different such measures as additional controls to ensure the exclusion restriction is satisfied). If true, these IV regressions will yield consistent estimates of the effect of Comintern invitations on work hours.

Results from (2SLS) IV regressions are reported in the table below. The first-stage results clearly show that Zimmerwald membership is a very strong predictor of Comintern invitations; the specification passes all conventional tests for instrument strength. Further, the coefficient of Comintern invitation on working hours is larger than in our benchmark OLS specification, and various 2SLS specifications show that the relationship remains highly significant and robust. In other words, even when we account for the possibility that (short-term) developments in revolutionary threats is causing invitations to Comintern, Comintern invitations are strongly related to subsequent changes in working hour regulations.<sup>15</sup>

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<sup>15</sup> Equivalent such instrumental variable tests on other outcome variables, such as social policy coverage and generosity, show similar results.

**Table XIV Instrumental IV regression and Invitation to the Comintern 1919 and Legislated Normal Working Hours up to 1925**

	(1)	(2)	(3)	(4)	(5)
<b>First Stage</b>					
Zimmerwald	0.513*** (13.75)	0.463*** (7.21)	0.417*** (5.90)		0.221 (1.93)
ISC				0.410*** (5.01)	0.307** (2.74)
<b>IV</b>					
<b>Second Stage</b>					
Comintern Invited	-20.8*** (-4.74)	-17.9*** (-3.35)	-18.1** (-2.95)	-14.7* (-2.54)	-15.9** (-3.14)
Mass. Mob.		-0.16 (-1.13)	-0.16 (-1.06)	-0.14 (-0.92)	-0.15 (-0.97)
ILO Member		-0.15 (-0.04)	0.35 (0.09)	0.24 (0.07)	0.28 (0.07)
Communism			1.09 (0.37)	0.13 (0.06)	0.46 (0.21)
<b>Reduced Form</b>					
Zimmerwald	-10.690*** (-4.62)	-8.318*** (-3.44)	-7.544*** (-3.03)		-5.271 (-1.74)
ISC				-6.035** (-2.47)	-3.571 (-1.28)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed effects	Yes	Yes	Yes	Yes	Yes
Observations	8485	3887	3164	3164	3164
Countries	106	79	55	55	55
Kleibergen-Paap	189.005	52.008	34.756	25.077	26.893
Endogeneity Test	4.222 ( <i>P</i> = 0.039)	2.351 ( <i>P</i> =0.125)	2.378 ( <i>P</i> = 0.123)	1.205 ( <i>P</i> =0.272)	2.4458 ( <i>P</i> =0.117)
R <sup>2</sup>	0.486	0.670	0.570	0.596	0.588

t statistics in parentheses. \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ . OLS with standard errors clustered by country - similar results using Ar1 correction and panel corrected standard errors. Country, year dummies and basic control variables excluded. Basic controls are GDP per capita (log) and population (log) included in all models. Mass mobilization, ILO membership and communism variables included but not presented in first stage and reduced form.

## Appendix A13: Testing a mechanism: Comintern, the formation of Communist parties and persistent effects on social policy

Before concluding, we evaluate some additional implications of our argument. First, we ask: did Comintern lead to the formation of communist parties around the world? Second, did formation of communist parties matter for the persistence of the relationship between Comintern invitations and social policies documented above? In order to respond to these questions, we collected data on the year and nature of communist party formations, distinguishing between the formation of independent, new parties and the breakaway of central elements from an existing social-democratic party.<sup>16</sup>

Model 1, Table 6 reports a logit model testing whether having attended the 1919 Comintern is associated with having a communist party, using 1940 as the time series end-point. Model 2 tests whether having attended Comintern is associated with establishing a communist party (going from 0 to 1). In order to include country-fixed effects, we re-estimate Model 1 using OLS as Model 3. Model 4 also adds country-specific trends, whereas Model 5 extends the time series to 1988. Having attended the Comintern meeting in 1919 increases the predicted probability of having a communist party of about 20 percentage points according to the OLS results.

**Table XV. Did the Comintern lead to the foundation of communist parties?**

	(1)	(2)	(3)	(4)	(5)
	Logit	Logit	OLS	OLS	OLS
Attended Comintern 1919	4.18*** (7.54)	0.75* (2.06)	0.20** (3.02)	0.20* (2.16)	0.24** (3.21)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed Effects	No	No	Yes	Yes	Yes
Country Trends	No	No	No	Yes	Yes
Observations	6752	6729	6752	6752	9649
Countries	60	60	60	60	63
End year	1940	1940	1940	1940	1988
(Pseudo) R <sup>2</sup>	0.2413	0.0259	0.758	0.807	0.883

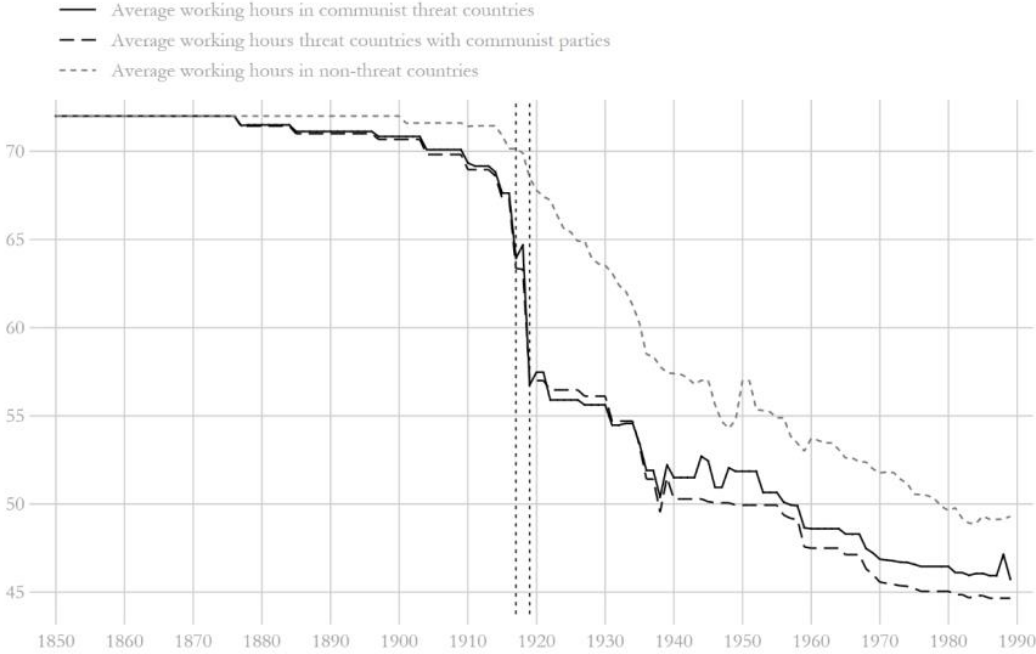
\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. Standard Errors clustered by country.

We also test whether Comintern attendance enhanced the frequency, more specifically, of communist parties being formed by a split from social democratic parties. In Appendix 10, we re-estimate Table 5, but with this dependent variable. There is a clear relationship between Comintern attendance and Communist party formations stemming from a split of existing social democratic parties.

We have established a link between the Comintern and the institutionalization of radical worker movements into Communist parties. Did such developments help shape social policy expansion. Figure 3 describes the

<sup>16</sup> In Appendix A11 we list name and year of founding for all coded parties (including syndicalist parties), and whether these parties arose by breaking from a labor party.

development of average working hours over time for two groups of countries, Comintern countries and others. We added a long-dash line for the average for those Comintern countries that observed organized communist parties. Interestingly, the two sub-groups of Comintern countries track each other closely up to the late 1930s. However, starting in the 1940s, countries with communist parties had, on average, about 1-2 hours lower working time than those without. This suggests that the long-term persistence in effect of Comintern on social policies might partly be mediated by the formation of Communist parties, organizations that could maintain the presence of radical forces in the country.



**Figure V: Average working hours, across time, for different groups of countries.**

Still, these differences could be driven by various other factors between the two groups of countries. We therefore re-run Model 2, Table 3, but now both including and excluding the communist party variable before we compare results. We test three sample specifications in order to assess shorter- versus longer-term relationships. The time series ends in 1940 in Model 1, Table 7, 1960 in Model 2, and 1988 in Model 3.

The predictive power of the Comintern variable declines in all models that include the communist party dummy. Up to 1940, the Comintern shock, in itself, is still important, as indicated by the coefficient being significant at 5% even when controlling for the communist party dummy. In the 1960- and 1988 samples, however, the Comintern variable loses statistical significance once controlling for communist party formation. One interpretation is that the long-term effect of the revolutionary threat-shock from the Bolshevik revolution and Comintern on work hours is mainly mediated by the formation of communist

parties. The communist party variable has a strong and precisely estimated coefficient on working hours in different specifications.

**Table XVI. Long-term effect of Comintern-shock when controlling or not controlling for communist parties on legislated normal working hours**

	(1)	(2)	(3)
End Year:	1940	1960	1988
<b>No communist party control</b>			
Comintern Invited	-7.07**	-4.84*	-4.56*
	(-2.83)	(-2.16)	(-2.05)
R <sup>2</sup>	0.754	0.828	0.864
<b>With communist party control</b>			
Comintern Invited	-5.84*	-3.56	-2.55
	(-2.28)	(-1.62)	(-1.19)
Communist party	-3.48*	-4.56**	-6.79***
	(-2.26)	(-2.95)	(-3.97)
R <sup>2</sup>	0.759	0.833	0.872
Controls	Yes	Yes	Yes
Fixed Effects	Yes	Yes	Yes
Observations	6693	7941	9649
Countries	60	60	63

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001. t statistics in parentheses. OLS with standard errors clustered by country. “Controls” are log GDP p.c. and log population.

## Appendix A14: Communist parties

Table XVII Complete list of coded communist parties

Country	Communist party name	Year of founding
Sweden	Svergies Kommunistiska Parti	1924
Norway	Norges Kommunistiske Parti	1923
Denmark	Danmarks Venstresocialistiske Parti	1919
Bulgaria	Balgarska rabotnicheska sotsialdemokraticheska partia	1903
Belgium	Parti Communiste de Belgique	1921
Finland	Suomen Kommunistinen Puolue	1919
Estonia	Eestimaa Kommunistlik Partei	1920
Latvia	Latvijas Komunistiskā partija	1904
Lithuania	Lietuvos komunistų partija	1918
Greece	Kommounistikó Kómma Elládas	1918
Switzerland	Kommunistische Partei der Schweiz	1921
Russia (Soviet Union)	Bolsheviks	1903
Albania	Partia Komuniste e Shqipërisë	1928
Poland	From 1906: Polska Partia Socjalistyczna – Lewica From 1918: Komunistyczna Partia Polski	1906
Portugal	Partido Comunista Portugues	1921
France	Parti Communiste Francais	1920
Germany	Kommunistische Partei Deutschlands	1918
Ireland	Socialist Party of Ireland	1904
Italy	Partido Comunista Italiano	1921
Netherlands	From 1909: Social Democratic Party From 1918: Communistische Partij Nederland	1909
Spain	Partido Comunista de Espana	1921
Turkey	Türkiye Komünist Partisi	1920
United Kingdom	Communist Party of Great Britain	1920
Austria	Kommunistische Partei Österreichs	1918
Romania	Partidul Comunist Român	1921
Serbia (Great Serbia - Yugoslavia)	Serbian name: Zveza komunistov Jugoslavije Official name: Savez komunista Jugoslavije (from 1952) English name: League of Communists of Yugoslavia	1919
Hungary	Magyar Kommunista Párt	1918
Mexico	Partido Socialista Obrero	1917
Colombia	Partido Comunista Colombiano	1930
Brazil	Partido Comunista Brasileiro	1922
United States	Communist Party of the United States of America	1919
El Salvador	Partido Comunista	1930
Bolivia	Partido Obrero Revolucionario	1938
Haiti	French: Parti Communiste Haïtien Creole: Pati Kominis Ayisyen	1934
Honduras	Partido Comunista de Honduras	1927
Peru	Partido Socialista del Perú	1928
Argentina	Partido Comunista de la Argentina	1918
Venezuela	Partido Comunista de Venezuela	1931
Nicaragua	Partido Obrero Socialista	1967
Canada	Communist Party of Canada	1921
Chile	Partido Obrero Socialista	1922

Paraguay	Partido Comunista Paraguayo	1928
Cuba	Partido Comunista de Cuba	1925
Costa Rica	Partido Comunista Costarricense Primarily called: Bloque de Obreros y Campesinos	1931
Ecuador	Partido Socialista Ecuatoriano Changed name to Partido Comunista del Ecuador in 1931.	1925
Guatemala	Partido Comunista de Guatemala	1922
Panama	Partido del Pueblo de Panamá	1930
Uruguay	Partido Comunista del Uruguay	1920
Dominican Republic	Partido Comunista Dominicano	1944
Japan	Nihon Kyōsan-tō	1922
Yemen	National Liberation Front	1963
Pakistan (India)	Communist Party of Pakistan	1948
Afghanistan	People's Democratic Party of Afghanistan	1965
India	Communist Party of India	1928
Thailand (Siam)	Communist Party of Thailand	1942
Nepal	Communist Party of Nepal	1949
Australia	Communist Party of Australia	1920
Iran	Hezb-e-Komunist Iran	1920
Iraq	al-Hizb al-Shuyu'i al'Iraqi	1934
Syria	Hizb al-Sha'b al-Lubnani	1924
	al-Hizb al-Shuyu'i al-Suri	1944
Tunisia	al-Ḥizb ash-Shuyū'ī at-Tūnisī	1934
Saudi Arabia	Hizb al-Shuyu'i fial-Sa'udiyah	1975
South Africa	Communist Party of South Africa	1921
Mali	Parti Malien du Travail	1965
Ethiopia	Mela Ethiopia Sosialist Niqīnaqē	1968
Liberia	--	--

## Appendix A15: Additional results for tests pertaining to communist parties

**Table XVIII. Did the Comintern lead to a split from social democratic parties to communist parties?**

	(1)	(2)	(3)	(4)	(5)
	Logit	Logit	OLS	OLS	OLS
Attended Comintern	2.47*** (3.33)	1.38* (2.39)	0.43** (3.14)	0.45** (3.07)	0.51*** (3.76)
Controls	Yes	Yes	Yes	Yes	Yes
Fixed Effects	No	No	Yes	Yes	Yes
Country Trends	No	No	No	Yes	Yes
Observations	6954	6930	6954	6954	9943
Countries	60	60	60	60	63
End year	1940	1940	1940	1940	1988
R <sup>2</sup>	0.3232	0.1146	0.479	0.679	0.866

t statistics in parentheses. \* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001. Standard Errors clustered by country. Pseudo R2 in models 1-2. Country, year dummies and control variables excluded. Basic controls are GDP per capita (log) and population (log). Results are substantially similar if we constrain our sample to countries with social democratic parties.



## Appendix A16: Worker- and Soldier Councils

Here we present the sources and short descriptions of the countries that had a worker or soldier council movement.

### General sources:

Cronin, J. (1980). Labor Insurgency and Class Formation: Comparative Perspectives on the Crisis of 1917-1920 in Europe. *Social Science History*, 4(1), 125-152. doi:10.2307/1170882

Map of Workers' Councils (1917-1927): link <https://libcom.org/history/interactive-map-workers-councils-1917-1927>

Worley, M. (2008) The Soviet Union and Bolshevism abroad. In: Atkin, N. (ed.) Themes in Modern European History, 1890-1945.

Rathbone, K. (2007). *Coming Home: Political Radicalization in Western Europe's Front Generation* (Doctoral dissertation, The Ohio State University). Linke <https://kb.osu.edu/handle/1811/29956>

### Country-specific sources:

#### Austria

Worker councils and massive strike waves 1918 followed by soldier councils and radicalization of worker councils 1919.

#### Sources:

Leidinger/Moritz, Gefangenschaft, Revolution, Heimkehr 2003, pp. 556, 570 and 582; Maderthaner, Wolfgang: Utopian Perspectives and Political Restraint: The Austrian Revolution in the Context of Central European Conflicts, in: Bischof, et al: From Empire to Republic 2010, p. 54-56.

F. L Carsten, Revolution in Central Europe, 1918-1919 (Berkeley: California University Press, 1972), chap. 4.

#### Belgium

In Brussels, a short-lived German central workers' and soldiers' council was established on 10 November. Attempts to fraternize with the Belgian population were opposed by the leadership of the socialist party, who also rejected invitations to cooperate with the council.

[https://encyclopedia.1914-1918-online.net/article/labour\\_belgium](https://encyclopedia.1914-1918-online.net/article/labour_belgium)

## **Bulgaria**

The first soviets (councils) of soldiers and workers began forming in Bulgarian cities on 23 September 1918. In the capital, Sofia, open rebellion was only checked by a German division sent from Russia. Once the armistice was signed, Bulgarian forces were demobilized. All foreign troops had to surrender or leave the country.

*Sources:*

<https://encyclopedia.1914-1918-online.net/article/revolutions>

## **Brazil**

Only a few councils were ever formed, except during the large scale strike of 1919

*Sources:*

C.Mir (2013). The Russian revolution echoes in Brazil, 1918-21. International Review no.151. link <https://en.internationalism.org/internationalreview/201305/7739/russian-revolution-echoes-brazil-1918-21>

## **Chile**

Worker councils formed during 1918. Little information available.

*Sources:*

Map of Workers' Councils (1917-1927): link <https://libcom.org/history/interactive-map-workers-councils-1917-1927>

## **Czechoslovakia**

Councils formed in old-Austrian and Hungarian parts of the country.

*Sources:*

Same as Hungary and Austria

## **Denmark**

Worker and soldier councils formed around the country in 1917-1919

*Sources:*

Nils Ivar Agøy. (1996). When Officers Need Internal Enemies: Aspects of Civil-Military Relations in Scandinavia between the World Wars. *Journal of Peace Research*, 33(4), 469-481. Retrieved January 7, 2020, from [www.jstor.org/stable/424570](http://www.jstor.org/stable/424570)

**Estonia**

Soldier and worker councils extensively formed 1917-1919

*Sources:*

Andreyev, A., (1971). The Soviets of Workers' and Soldiers' Deputies on the Eve of the October Revolution, March-October 1917. Progress Publishers.

**France**

Soldier and worker councils formed between 1918-1919. Soldier mutinies in 1917 and 1919 lead by councils. Most mobilization captured by syndicalist organizations.

*Sources:*

Megan Trudell - Prelude to Revolution: Class Consciousness and the First World War (1997)

Bentley B. Gilbert and Paul P. Bernard - The French Army Mutinies of 1917 (1959)

**Finland**

Soldier and worker councils extensively formed 1917-1918

*Sources:*

Anthony F. Upton - The Finnish Revolution 1917-1918 (1980)

D. G. Kirby (1978) Revolutionary ferment in Finland and the origins of the civil war 1917–1918, *Scandinavian Economic History Review*, 26:1, 15-35, DOI: 10.1080/03585522.1978.10407894

**Germany**

Soldier and worker councils extensively formed 1917-1919.

*Sources:*

Cronin, J. (1980). Labor Insurgency and Class Formation: Comparative Perspectives on the Crisis of 1917-1920 in Europe. *Social Science History*, 4(1), 125-152. doi:10.2307/1170882

Kuhn, G. (2012) All Power to the Councils! A documentary history of the German Revolution of 1918 - 1919. PM Press.

### **Hungary**

Soldier and worker councils extensively formed 1917-1919

*Source:*

Cronin, J. (1980). Labor Insurgency and Class Formation: Comparative Perspectives on the Crisis of 1917-1920 in Europe. *Social Science History*, 4(1), 125-152. doi:10.2307/1170882

Deak, I. (1968). Budapest and the Hungarian Revolutions of 1918-1919. *The Slavonic and East European Review*, 46(106), 129-140.

### **Latvia**

Soldier and worker councils extensively formed 1917-1919

*Source:*

Andreyev, A., (1971). *The Soviets of Workers' and Soldiers' Deputies on the Eve of the October Revolution, March-October 1917*. Progress Publishers.

### **Lithuanian**

Soldier and worker councils extensively formed 1917-1919

*Source:*

Andreyev, A., (1971). *The Soviets of Workers' and Soldiers' Deputies on the Eve of the October Revolution, March-October 1917*. Progress Publishers.

### **Ireland**

Soldier and worker councils formed 1918-1922

*Sources:*

O'Connor, E. (1985). Active sabotage in industrial conflict, 1917–23. *Irish Economic and Social History*, 12(1), 50-62.

Kemmy, J. (1976). The Limerick Soviet. *Saothar*, 2, 45-52.

## **Italy**

Extensive factory council movement in the north 1918-1920s

*Sources:*

[https://en.wikipedia.org/wiki/Biennio\\_Rosso](https://en.wikipedia.org/wiki/Biennio_Rosso)

Bellamy, R., Bellamy, R. P., & Schecter, D. (1993). *Gramsci and the Italian state*. Manchester University Press.

## **Netherlands**

Soldier and worker councils formed 1918 including revolution attempt by labor leaders.

*Sources:*

[https://en.wikipedia.org/wiki/Communist\\_Party\\_of\\_the\\_Netherlands](https://en.wikipedia.org/wiki/Communist_Party_of_the_Netherlands)

[https://en.wikipedia.org/wiki/Red\\_Week\\_\(Netherlands\)](https://en.wikipedia.org/wiki/Red_Week_(Netherlands))

## **Norway**

Soldier and worker councils extensively formed 1918-1920

*Sources:*

Jostein Nordvik (1974). Arbeidarrådsbevegelsen i Norge 1917-19. Hovedfagsoppgave.

Nils Ivar Agøy. (1996). When Officers Need Internal Enemies: Aspects of Civil-Military Relations in Scandinavia between the World Wars. *Journal of Peace Research*, 33(4), 469-481. Retrieved January 7, 2020, from [www.jstor.org/stable/424570](http://www.jstor.org/stable/424570)

## **Poland**

Soldier and worker councils extensively formed 1918-1919

*Sources:*

[https://en.wikipedia.org/wiki/Workers%27\\_Councils\\_in\\_Poland](https://en.wikipedia.org/wiki/Workers%27_Councils_in_Poland)

## **Romania**

Yes, extensive soldier and worker councils formed in Sfatul Țării

Sources:

Andreyev, A., (1971). *The Soviets of Workers' and Soldiers' Deputies on the Eve of the October Revolution, March-October 1917*. Progress Publishers.

### **Sweden**

Soldier and worker councils extensively formed 1918-1920

Andræ, C. G. (1998). *Revolt eller reform. Sverige inför revolutionerna i Europa 1917–1918*. Stockholm: Carlssons Bokförlag.

Nils Ivar Agøy. (1996). When Officers Need Internal Enemies: Aspects of Civil-Military Relations in Scandinavia between the World Wars. *Journal of Peace Research*, 33(4), 469-481.

Jostein Nordvik (1974). *Arbeidarrådsbevegelsen i Norge 1917-19*. Hovedfagsoppgave.

### **Switzerland**

Starting in 1918, the old guard (Communists) started organizing workers, peasants, and soldiers' councils. Not known how successful these were.

*Sources:*

<https://rm.coe.int/090000168067fdcd>

### **Yugoslavia**

Soldier and sailor councils formed 1917-1921.

*Sources:*

<https://www.jstor.org/stable/pdf/20749812.pdf?refreqid=excelsior%3A656593f7aaed6c269b23f2c4e431ae67>

### **United Kingdom**

Yes, extensive soldier and police strikes together with worker councils (Soviets) 1917-1920

*Sources:*

White, S. (1974). Soviets in Britain: The Leeds Convention of 1917. *International Review of Social History*, 19(2), 165-193.

Wrigley, C. (Ed.). (2002). *Challenges of Labour: Central and Western Europe 1917-1920*. Routledge.

## **United States**

The first red scare (1919-1920) prompted with formation of soldier and worker councils and a general strike in Seattle organizing 65 thousand workers between February 6–11, 1919. Seen as a result of subversive Bolshevism and aimed to subvert American institutions. Incited widespread repression of worker organizations.

*Sources:*

[https://en.wikipedia.org/wiki/Seattle\\_General\\_Strike](https://en.wikipedia.org/wiki/Seattle_General_Strike)

The Oregon Socialist Party Bulletin - Workers and Soldiers Council Organized in Portland (1919)

[https://en.wikipedia.org/wiki/First\\_Red\\_Scare](https://en.wikipedia.org/wiki/First_Red_Scare)

## **Appendix B: Revolutionary Fear and the Bolshevik revolution in Norway 1915-1924 – an extended case study**

In this appendix, we will provide an extended and thoroughly documented version of the shorter case study included in the article.<sup>17</sup>

This study shows how that various Norwegian elite groups, in the military, secret police, organized business, and the political establishment, all thought that a worker-led revolution was imminent on at least two points in time, namely 1918-19 and 1920-21. We also detail that, as a response to this threat, a range of measures were planned or pursued to avoid the realization of a revolution (Danielsen 1984; Knutsen 1994; Agøy 1994: 73, 136). The more proximate aim of these measures seems to have been to incorporate and strengthen the reformist part of the labor movement, through various political and economic institutional and policy changes (Fure 1983, Knutsen 1994, 43-46). To break down our more specific findings, in this case study, we will document that:

- Labor, as represented by core actors in the Labor Party and several unions, underwent a radical change following the Bolshevik revolution, strengthening the radical elements at the cost of the reformists.
- Elites came to believe that revolution was possible and imminent. Furthermore, this change was directly tied to foreign revolutionary events, Comintern membership and the adoption of radical ideology in large part of the worker movement.
- Elites responded to their changing beliefs by various measures aimed at “incorporating” the labor movement politically through electoral reforms and economically through various social policy elements.

Concerning the economic elites, we mainly consider the Norwegian Employer Association (Norsk Arbeidsgiver Forening N.A.F.) the leading employer organization in Norway from 1889 (Knutsen 1994). Regarding the political elites, we consider them as being constituted, at the time, by the residing governments (Gunnar Knudsen liberal PM 1913-1920 and Otto B. Halvorsen conservative PM 1920-21), conservative and liberal MPs, and their party organizations.<sup>18</sup> In the state apparatus, we focus on the defense intelligence office (Generalstabens Efterretningkontor), secret police (Oppdagelsespolitiet), and discussions in the high command (Generalstaben) and the provisory security commission. The Labor movement is operationalized as the Labor Party (Det Norske Arbeiderparti, DnA), the Trade Union Federation (Arbeidernes Faglige Landsorganisasjon, A.F.L.), the youth-wing of the Labor Party (Norges sosialdemokratiske Ungdomsforbund, NU), and the short-lived worker and soldier council movement. We therefore cover all

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<sup>17</sup> Parts of the material collected for the section on “Proportional electoral system” and “Going revolutionary” is also used in Anonymized article.

<sup>18</sup> Party archives are – unfortunately – missing for our period. The Nazi-occupation in 1940 lead to the confiscation of the archives, and they were never recovered. Historians have since then used various alternatives sources such as diaries to reconstruct the preferences and political considerations (e.g, Danielsen 1984). We have corresponded with several leading historians on this period to ensure that we have consulted most of the relevant material (e.g, Agøy, Brandal, Egge, and Knutsen).



the principle organizations and arenas for coordination and decision making in Norway over the relevant time period.

### **Going Revolutionary**

The Labor Party (DnA) was founded in 1887, and from the beginning inspired by Marxist thinking. The first party program stated that DnA had « the liberation of the working classes as our goal, therefore endeavors the handing over of the means of production to social common property and production changed from capitalistic to socialistic» (DnA landsmøteprotokol 1891). This line of thinking was then slowly abandoned as reformism became the leading principle of DnA. Reformism meant a clear adherence to the importance of the “parliamentary line” in achieving social change (DnA 1918), relying on constitutional processes of legislation rather than revolution and trying to affect policy-making via extra-constitutional means. Parliamentarianism was finally adopted by the party program of 1901, following the introduction of universal suffrage for men, which was adopted in 1898 (Bjørgum 1976, 66-68).

The trade union federation (Arbeidernes Faglige Landsorganisasjon, AFL) was founded on the behest of the Labor Party in 1899, and was considered a means to achieve changes in wage- and working-conditions through bargaining with employers. DnA and AFL were bound by the hip, dual membership was practiced, and having a power base in the unions was a necessary step in gaining the party leadership position. The unions and party coordinated their demands against employers and politicians, deciding which aims to be pursued against the employers in bargaining and which to promote in parliament. At the start of 1917, the reformist leaders such as Olaf Lian held important position in the leadership of DnA and AFL.

Until 1918, the syndicalists had been delegated to a minority position in the DnA. This group mainly originated from the Fagoposisjonen of 1911 – a syndicalist movement within AFL – and the social democratic youth organization. Its platform marked a strong break with the dominant “reformist” group. Fagoposisjonen argued for the use of sabotage, boycott, and sympathy strikes as legitimate weapons against employers (Olstad 2009, 173).

The leader and primary motor for Fagoposisjonen was Martin Tranmæl. He wrote the group’s ideological program of 1913, stating that “workers improvements cannot only take place within the established frame of capitalism, but one must also work to destroy [capitalism] and introduce the socialistic social order” (Tranmæl 1913, 5). The aim was, to radicalize the union movement, “to make it ready for revolutionary mass-action” (Bjørgum 2017, 45). While originating in the unions, the movement would interestingly enough not gain a strong enough foothold to threaten the existing leadership of that organization, aiming instead to push their interests within the DnA.

The 1917 revolution strengthened the radical movement, especially in the Labor Party. This shift in the balance of power would develop further, and ultimately lead to a massive change in party-rhetoric, a

temporary membership in the Comintern, and the expulsion of the reformists social democrats in 1921.<sup>19</sup> Tranmæl's first reaction to the revolution in March 1917 was to see in it the beginning of "[t]he fall of class-society and the introduction of socialism" (Bjørnson 2017, 44). For Tranmæl, it was clear that "one can now clearly see that the fire will spread with a strength such as it cannot be stopped" (ibid, 45). With a reference to the Zimmerwald program, he demanded that workers organize a general strike against the war, and that workers arm themselves against the coming counter-revolutionary attempts by the bourgeoisie. Still, Tranmæl's attempts at bringing down the reformist leadership of the Labor Party and union congress were outmaneuvered by the reformist leaders (Bjørnson 2017, 52).

The November revolution would decisively change things in favor for the radicals within DnA (Furre 1983; Bjørnson 1990; Sundvall 2017). The news from Russia led to major organizational and ideological transformations almost immediately. The labor paper, *Klassekampen* (*Class struggle*) published the Zimmerwald declaration to "establish everywhere soldier and worker councils as your body in the struggle for peace!" (Bjørnson 1990, 509). The movement would establish worker and soldier councils all around the country, usually outside of the established trade unions (Nordvik 1974). Tranmæl and others would work to set up and coordinate these councils, including soldier councils. These organizations offered Tranmæl a base of support that was outside the established frameworks of DnA and AFL, one that the reformists did not control. These operations also carried risks, as Tranmæl would be charged by the minister of justice for conspiring to organize military men. Among the resolutions adopted at the worker councils national conference on March 24 1918 was the immediate introduction of the 8 hour day, and in case the government ignored their demands, the use of "political mass-strikes" (Bjørnson 1990, 516-517).

By 1918, the radicals had gone from being a minority to gaining enough support to challenge the reformists. The minority recommendation to the 1918 meeting stated that, "[a]s a revolutionary class party, [DnA] cannot recognize the affluent classes' right to economic exploitation and repression of the working class even if this exploitation and repression is supported by a majority in parliament. The Norwegian workers party must therefore reserve the right to use revolutionary mass-action in the fight for the economic liberation of the working-class" (DnA landsmøteprotokol 1918). The position of the revolutionaries, also those with syndicalist orientation, was to push the party in the direction of extra-parliamentary action, away from reformism and parliamentarianism. Ole O. Lian, the party vice-chairman in 1918 argued against the

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<sup>19</sup> It is still debated in the historical community exactly how radical the Norwegian social democrats were, or what was meant by "revolutionary mass-action". Sørensen & Brandal (2018) argue that while the social democrats pursued a polarizing and extremist rhetoric, they were still committed to democracy (see also Bjørnson 1990, 547). In this reading, the Norwegian social democrats only continued a long-tradition of civil society organization within the bounds of the political regime. We note that our wider theoretical argument is not fully dependent on whether the social democrats in this period were truly revolutionary, planned to undertake revolutionary actions, or a full-blown revolution. Instead, our argument is conditioned on the fact that the elites generally held the conviction - which was strengthened by the international situation and connection - that they were, indeed, revolutionary. The only historical sources that indicate that this was not the case, (e.g., Hobson & Kristiansen 2001, 169) has been criticized of not using the available source material (Agøy 2002). Moreover, even these authors argue that the general military command underwent a decisive change in their understanding of the revolutionary threat in 1917, following news of the Russian Revolution, and that local agitation now came to be seen as an expression of social radicalism (Hobson & Kristiansen 2001, 135). The seminal study on the issue, Furre (1983) argues that there is clear evidence of planning for armed rebellion in November 1918, but that these plans were shelved in late November at the behest of the reformist leadership in the unions.

belief that a “revolutionary coup” was possible in Norway; the only possible option for influence was to secure majority support in the population at large.<sup>20</sup> Tranmæl countered that «[t]he majority confuses parliamentarianism with popular rule. One has a superstitious belief in parliamentarianism. Such a superstitious belief will lead to a weakening of worker power and to nothing but great disappointments” (DnA landsmøteprotokol 1918, 41). The reformists lost at the 1918 meeting, and the minority became the majority. Most importantly, Tranmæl would become party-secretary, with all of the reformists relinquishing their place in the party-leadership.

In November, in response to the German revolution, the new DnA leadership believed that a revolution was now becoming possible. Several members of the leadership started working on plans on “arrangements for a quick takeover of power” between 11 November to 21 November, when the meeting between the A.F.L. secretariat and DnA leadership ended with the plans being shelved (Fure 1983, 473). Notes from the planning group show that a coup would be facilitated by the massive organization of worker councils, and the immediate reforms to be carried out once in power was socialization of the means of production and the eight hour day. Tranmæl would take the role as “leader of the revolution” (Ibd. 473-476). Did these measures include the gathering of arms in preparation for revolution? If the reports found in the archives of the secret police are to be believed, yes. A member among the leadership is reported to have told an entente agent “weapons and ammunition were assembled on various places (...) When action was to be taken, workers would be well armed. The weapon stores increased each day.”<sup>21</sup> Another member was tasked with buying weapons from a German trade boat (Furre 1983, 498). Still, historians estimate that any weapons that the movement possessed were very limited (Agøy 1994). Even if the main secretariat of A.F.L distanced themselves from these plans, and this probably was the reason they were put aside (Furre 1983, 498), the German revolution had strengthened the position of the syndicalists in the federation. This led to a worsening the position of the reformists, which had seen the federation as their bastion against the radicalism of the DnA party base (Fure 1983, 65).

The radical line would be further strengthened in 1919. At the DnA’s national convention it was declared that “[t]he party considers mass action in its various forms to be the crucial means in the struggle for socialism” and even encouraged MPs to strike and soldier councils to be formed and to work against mobilization (DnA landsmøteprotokol 1919, 12). The vision was a society in which political rights were to be denied those "that live on the exploitation of others labor. (...) Social democracy will only extend voting rights to those that work, and connect such a right to work itself." Furthermore, the socialist society must organizationally be built on worker-, farmer- and fisherman-councils” (DnA Landsmøteprotokoll 1919, 15).

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<sup>20</sup> Specifically, Lian ruled out any general strikes aimed at the Storting (parliament) since all foods supplies were controlled by the municipality administration and the state. That meant any action would have to be supported by the A.F.L., which he knew did not have the necessary resources to support such an undertaking. The only possible outcome from the mass-revolutionary action that the radicals wanted would be to “knock our own feet from under ourselves” (Bjørnson 1990, 531; DnA landsmøteprotokol 1918, 35-40)

<sup>21</sup> (Generalstabens etterretningskontor til sjefen for justisdepartementet 28.11.1918. Den revolusjonære bevegelse i Norge i 1918.) The seminal historian on DnA in this period (Fure (1983, 479), advises some restraint in interpreting this statement to the agent. The fellow in question was known to exaggerate, but his statement on efforts being made to secure arms probably reflected some degree of truth.

This followed their understanding of the current situation: The capitalistic world was on the brink of “breakdown (..) Moving in a direction from capitalism to socialism” (DnA Landsmøteprotokoll 1919, 12-13). Norway was in a “state of maturation for the revolution and socialism”<sup>22</sup>.

The party then also decided to become member of the Comintern. Further, the role of the soldier councils was expanded from a defensive measure to an offensive strategy to “dismantl[e] the military” (DnA landsmøteprotokol 1919, 44). DnA also changed its understanding of property rights in the rural sector. Since 1902, DnA had accepted private ownership in tandem with municipality and state owned lands, and land-reforms was envisioned to bring about these reforms. With the new land-program of 1919, all land that was worked by hired help was to be either portioned up to family owners or socialized and managed as co-operatives. Parliamentary means to policy change were eschewed in favor of a post-revolutionary society being the only solution to bring about these changes (Fure 1983, 92-93).

The next transformation of the DnA would take place in 1920, with the entry of the party into Comintern. The vice-chairman Emil Stang had been the single delegate representing the party at the First congress in 1919, and the party would join the Comintern in late 1919. On the second conference, DnA would be one of the biggest delegations: 10 delegates would arrive in Moscow, including the youth organization leader, (and later PM) Einar Gerhardsen. It was here that Zinonev, the leader of Comintern, presented what later was to be nicknamed Lenin’s 21 thesis, outlining the rules for admission to the Comintern.

It is important to recognize the revolutionary nature of the Comintern. The aim of the Comintern, as recognized by Lenin 21 theses, was to establish organizations that could function as divisions in the European civil war. The organization was to be divided into isolated cells that could operate independent of each other, but following a hierarchal system. In other words, the goal was to create communist parties that could survive the coming world revolution (Sundvall 2017). Adhering to the Comintern theses meant accepting Lenin’s understanding of the capitalist world as having entered into a state of “civil war”. It also meant the expulsion of what Zinonev labeled “reformist traitors” in order to avoid the “danger of being watered down by elements characterized by vacillation and half-measures”.<sup>23</sup> House clearing was therefore mandatory – even when it came at a loss of important capacities – and so was engaging in illegal activities. These organizational changes were considered to be needed because “[t]he organization of the party must be adapted to the conditions and purpose of its activity. The Communist Party should be the vanguard, the front-line troops of the proletariat, leading in all phases of its revolutionary class struggle and the subsequent transitional period toward the realization of socialism, the first stage of communist society.»<sup>24</sup>

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<sup>22</sup> DnA landsmøteprotokoll 1919, 12. Social-demokraten. 8 may. 1919.

<sup>23</sup> <https://www.marxists.org/history/international/comintern/2nd-congress/ch07.htm>

<sup>24</sup> <https://www.marxists.org/history/international/comintern/2nd-congress/ch07.htm>

In 1921, the most ardent of the reformists would break out from DnA. They established the Norwegian Social Democratic Party, holding on to about 10 percent of the vote. The reformists would not re-join the party until 1927.

How involved was DnA with the dealings of Comintern? In fact, DnA would take an active role in both legal and illegal work. Illegal transportation of Soviet propaganda and couriers was carried through by a secret committee in Kristiania (the pre-1925 name for Oslo), in quite extraordinary proportions (Fure 1983; 468-9). Party offices was used to hide illegals traveling to and from Russia and bribes paid to workers on the various coast-boats were used to ferry the illegals through customs (ibid. 471). A German spy-report from this period also outlines that Norway was used as a station to transport funds to practically “the whole world” (ibid.). Prominent DnA members participated in the smuggling. Einar Gerhardsen after the Comintern meeting of 1920, for example, would smuggle Emeralds hidden in toothpaste tubes. Another member of DnA was stopped with 51 gold bars in his luggage.

The actions of the Norwegians were also coordinated with Moscow. For example, a report to the General Staff's office for intelligence reveal that there existed a secret agreement between DnA and Comintern, under which DnA accepted to undertake all orders from Moscow in exchange for political as well as financial and military resources (Olstad, 1998, 39). Other sources indicate that Norwegian radicals were told to halt any revolutionary attempts in 1922, in order to wait for more favorable conditions in Sweden which would facilitate an inter-Scandinavian revolution (Ibid.).

In 1922, the high court barrister and communist Ludvig Meyer is indicated to have been tasked to Research and detail the possibility of revolutionary action (Olstad 1998, 49). The documents show that he thought the current year fitting for the beginning of revolutionary action: “Norway is on the verge of breakdown, which can be exploited by a tax-strike and by pushing for demands that could rally the workers against the government. The Military and the [kings] guard was not as trustworthy as in 1921, and in the army perhaps, only as many as 3000 men would go as far as to do their duty” (ibid.). Few public functionaries would go so far as to risk their lives to fight against the revolution, Meyer considered. The Norwegian middle class was “weak” and would be easy to contain by the use of terror (ibid. 49-50).

Ironically, the Comintern adherents in the party now pushed for a more moderate line, following new signals from the third international conference, which indicated the stalling of the world revolution. These DnA Comintern members would therefore support various reformist proposals. Over time, this created a rift in the revolutionary wing, which pushed Tranmæl and his ilk to embrace the reformist, social democrats that had not left the party in 1921. This was arguably also a smart strategic response for Tranmæl. His power-base was split between the unions and the party, and the ability of the party to gain foothold among the workers dependent on the unions. He also wanted to pursue a radical line from “below”, using the dual membership of union and party to build a revolutionary movement. Comintern, with its concept of party cells, was adamant that dual membership had to be revoked: Against this background, DnA voted to leave

Comintern in 1923, the social democrats which had left in 1921 would rejoin the party in 1927. The Comintern group would leave DnA and establish a Communist party -- “Norges Kommunistiske parti” (NKP) -- on the 4 of November 1923, with 13 MPs defecting. In 1924, only six of these NKP MPs were re-elected.

**Elites and revolutionary threat**

Having described the strong radical turn in both the AFL and DnA, we now turn to how state, business and political elites over time came to view the revolutionary threat and what responses they considered to be the best ones. Before we detail these perceptions and responses, Figure VI provides just one illustration of how perceptions and awareness of the threat-situation, overall, changed during this period. The figure reports the annual frequency with which the keywords Revolution, Bolshevism, General-Strike and Strikes were used in articles in four major newspapers (Aftenposten, Dagbladet, Morgenbladet and Bergens Tidene) -- from the two largest cities, Oslo and Bergen – that were read by various liberal and conservative elites. In order to facilitate comparison we have included the word “War”.

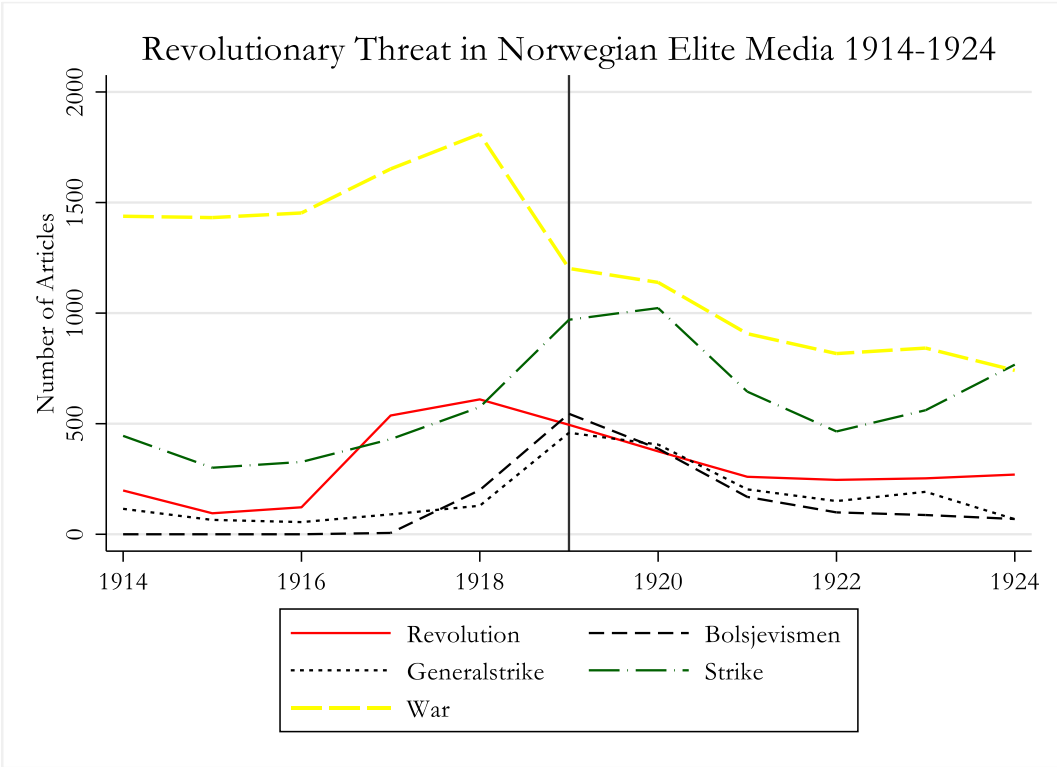


Figure VI Number of Articles using words linked to fear of revolution compared to that of War in elite newspapers (Aftenposten, Dagbladet, Morgenbladet and Bergens Tidene)

The revolution of 1917 and the following power shift in DnA fundamentally changed the elites’ understanding of the labor movement. This change took place in both the military, business community and among the liberals and conservatives.

Agøy (1994, 32-34) documents that prior to 1917, both the military establishment and the political elites shared the opinion that military engagement into internal affairs was to be avoided. By 1918, the elites' focus had shifted from external threats to the internal one. Specifically, the general staff had undergone a shift in their fundamental understanding of labor, and what means were appropriate to use as response. First off, major steps were taken to set-up "risk-free" military divisions, which could be mobilized during general strikes or strikes targeting strategic infrastructure. Risk-free here meant divisions excluding members from the lower classes (Pettersen 2010). The secret police also decided to continue (which would become illegal after 1918) postage and telegram surveillance of the most radical elements. Especially the clique around the leader for the radical wing of the labor party, Martin Tranmæl, was put under surveillance. This later led to Tranmæl being charged for working against the state.

At various points in time, military divisions and battleships would also be mobilized to work pre-emptive measures against strikes getting out of hand. For example, the battleship "Harald Hårfagre" would be sent to Trondheimsfjorden during the work-stoppage conflicts in 1918. DnA had put forward the demand and threatened with work stoppage on the 1 of May unless their demands were met. The commander was told that «one must be prepared to face disturbances if the decision goes against the wishes of the workers" (Pettersen 2010, 52). Similar preparations were in place during the railway strike of 1920.

The political elite was even more concerned than the military. As argued by the seminal historian on the Conservative Party in this period, the labor movement would now come to be viewed as the greatest danger to the regime since the Thrane movement more than 70 years earlier (Danielsen 1984, 14-15). At the start of 1918, leading members of the cabinet feared an outright coup (Agøy 1994; 94). The Liberal Party Prime Minister Gunnar Knudsen therefore established a security commission with a wide mandate to "secure peace and order if civilian government was brought down" and work to establish guideline for co-operation between the different defense arms against the syndicalists (Pettersen 2010, 43). The commission would operate in secret and was meant as a permanent addition, as long as the threat persisted.

Given these preparations, when Knudsen was contacted by N.A.F in the mid of April 1918, which feared the threat of mass-revolutionary act in the declaration from the worker councils concerning the eight-hour day, Knudsen's response was comforting to N.A.F. The military was prepared to intervene if the worst should come to the worst (Bjørnson 1990, 549). Knudsen would also address the situation publicly in parliament on March 3: "It is tragic to have to say this, and even more tragic if one ends up having to do it; but the government is prepared to, that it might become necessary, and if it is necessary, it is better to strike fast than to show weakness and forbearance opposite possible breach of order, for then it might become so much worse later on".<sup>25</sup>

The security commission was summoned to convene again on the 12 of November, following the revolutionary events in Germany. These events had convinced both the political establishment and

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<sup>25</sup> Stortingstidene 1918 399 (Gunnar Knudsen)

especially the military that a revolutionary attempt was imminent also in Norway (Agøy 1997, 75). Information problems were prevalent, and a primary point of discussion was how keep tabs of the syndicalist movement (Danielsen 1984, 16). The military secret services and the police were tasked to increase their surveillance of syndicalist elements, establish networks of informants, and maintain linkages with other Nordic intelligence agencies. Security talks (and later preparations) would especially focus on the role of strikers, who were assumed to aim at effectively restricting the government ability to control the country. Weapons stored in all army deposits around the country were to be made useless, and the preparation of specially selected army units was initiated. Specially selected here meant the exclusion of soldiers which potentially could have lower class sympathies (Agøy 1997, 139). These divisions were kept secret for all but a select few. The literature is uncertain as to the size of these units; Agøy (1997, 118-9) estimate their strength at around 8 500 troops, supported by additional 4500. Their equipment included machine guns (stressed by the defense department as needed to ensure crowd control) and field artillery. In a Norwegian context, these were sizable numbers, a clear indication that the units were intended for civil war. PM Halvorsen (conservatives) illustrates just how likely the government came to see a revolutionary attempt in a speech to his fellow conservative MPs before the national rail strike in 1920:

“One is expecting the hardest of civil wars (...) Our present enemy, even with their minority position, would still be able to win in the moment [and] we cannot know whether they intend to secure the persons of government. Edvard [Hagerup Bull] therefore said we must secure a government for the nation. He proposed that Ivar Lykke and Gunnar Knudsen should stand by with their people if anything were to set the current government out of play. If they and their people were to meet the same fate, the director general of the finance department should stand ready.” (Quoted in Danielsen 1984, 18).

This quote strongly supports our contention that revolutionary fear was indeed present and credible among the elites: The quote reveals that the PM was, indeed, setting up the line of succession to a competitor party and then to the bureaucracy because he believed that the very existence of the regime was threatened.

It is important to recognize that various elites coordinated their responses. For example, the leading employer organization, N.A.F., coordinated both with the government (under the Liberal politician Gunnar Knudsen, himself a factory owner), which, in turn, coordinated with the security services (military and police arms). Our source material shows that revolution was seen as a possibility throughout 1918-1923, by all these elite groups, even if the various actors believed revolution to be more imminent in some years than others. For example, N.A.F seems to have been more convinced that a coup or mass-action would take place in 1918-1919, while the government was relatively more concerned in 1918 and 1920 (Agøy 1994).

First among the legislative responses was a proposal for a law on maintenance of “Public order during war and under the danger of war”<sup>26</sup>. It was put before parliament 9 march 1918. It gave wide powers to the executive to act as if in wartime, when war was a possibility, and to define specific areas as zones of conflict,

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<sup>26</sup> indst. O. XIV 1918, 399



in which civil authorities could summon the military to maintain law and order. Additional surveillance measures were also proposed, along the lines of what existed under WWI.

To what extent were these policy responses viewed as radical? Among the conservative (Høire) and liberal (Venstre) members of the parliamentary committee charged with the treatment, none had any major objections. The minority position held a *somewhat* different view. The representative of DnA (Aslaksrud) viewed the law as such a severe intervention in citizens' civil rights that it ought to be withdrawn. Yet, also Johan Castberg (Liberal MP) argued that it broke with the constitution. The parliamentary debates became quite heated (st. forh. 198 8d s1217-18), with the minister of justice claiming the law was never meant to be used in peace-time, and Castberg responding that if that was the case, the law was not even necessary. The treatment of the law was ultimately postponed, and it would never be put before the parliament again.

Several additional military and police measures would be organized against the worker movement. Still our focus in this case study is on the integrative measures, the silk glove and not the steel hand hidden within. The silk hand responses were a combination of political and economic reforms, encompassing the regulations for hours of work, a new electoral system, subsidies for housing, worker councils, profit sharing, arbitration regulation, socialization of industries, generous unemployment subsidies to unions, and old-age pensions. We discuss several of these policy initiatives in turn, starting with regulations of work hours.

### **Eight-hour day and 48 hour week**

Before we deal with the politics of the Eight-Hour day reform of 1919 we want to highlight just how radical a break this piece of legislation was with previous attempts. Before WWI, hours of work were still unregulated for adults. The only restriction in force applied to children. In 1914, with war raging in Europe, the “Great Reformer” of the Liberal Party, Johan Castberg, made his second attempt (the first one in 1909 had been a failure on all points) to regulate working hours for adults.<sup>27</sup> At his behest, a proposition was put before the Storting in 1914, only to be postponed. The proposition outlined two proposals, Castberg (and the majority of the commission) proposed a 9-hour working day with compensation for overtime. The minority position, instead suggested a 10-hour normal workday. In 1915, an attempt was again made to have the act treated in parliament, but the extensive changes it underwent in the Lagting meant that the law ended up a major disappointment for its original architect. Gone was overtime compensation, daily hours were set to 10 (not 9), and implementation was set to 1920 with major industries excluded. These changes prompted Castberg to think aloud in parliament that one might as well drop the whole legislation.<sup>28</sup>

Also the employers were initially negative to the proposed regulation: N.A.F even argued that the original the act of 1909 was already too encompassing, and this was a law that had ended up not regulating hours at

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<sup>27</sup> Proposals to set hours to 48, 56, 58 and even 72 for men all fell in the Lagting and the Odelsting (Castberg 1914, 2).

<sup>28</sup> 1915 stortingstidene efterm 20 august page 2368 (Castberg)

all. NAF was fully against *any* regulation of working hours in factories.<sup>29</sup> Increasing demand by workers and others for such legislation was in itself not enough to push N.A.F. to support working time regulation.

N.A.F was hesitant to resistant on hour reductions also three years later (1918). At this time, bargaining was highly decentralized, except for in some areas of manufacturing, and N.A.F as a whole did not participate in bargaining. In one of the first examples of federations becoming involved in localized bargaining, at the Hydro plant at Rjukan, the N.A.F. foreman had accepted a 51 hours week (down from 54) and resisted 48 hours. When the director placed the proposal for the board, it was voted down. Factory owner Campbell-Anderson formulated the opposing position by highlighting that it broke with the federations core principles:

“If I have a principle, and if I mean that this principle has worth, then I must decline: No I do not go into any form of compromise (..) What we achieve in this way is that it is not us who are changing the ruling principles”.<sup>30</sup>

Giving in on the question of working time regulation was thus still perceived by many companies as to big a pill to swallow, even after the Bolshevik revolution of 1917. The statement of the organization on 27 of May 1918 on the proposed extraordinary law eight-hour law illustrates this perfectly: It was not necessary given current conditions, it would likely to worsen productivity, work in favor of agriculture at the cost of industry, increased prices on consumer goods, hurt competitiveness and “cause so many so many difficulties, that industrial stagnation or decline must be expected” (Petersen 1950, 366-367). An eight-hour law was not recommended by N.A.F. But, at the same time, it would now not necessarily work against the implementation of such an act. This was a major shift from an organization that only 24 months earlier had balked at any form of regulation working time.

After July 1918, the situation changed further. Following revolutionary events in Finland and Germany, and the shift within the unions towards syndicalism, N.A.F would come to accept working hour regulations both by legislation and in the collective agreements. It was especially the CEO of N.A.F., Lars Rasmussen, who argued for the necessity of meeting the new ideological orientation of the workers by other means than force (Knutsen 1994, 29-31). In his new-year speech of 1919 to the board, Rasmussen outlined the situation, the dangers facing the organization, and the possible way to safety:

“Previously, our organization would respond to such demands with all the means at our disposal. But here I believe, that we must consider, that behind these demands stand so to speak all the unrest, that in our time reigns around us on this earth, and it infects also our situation. And the result is that demands become more sharper, that they become qualitatively different, than they were before. They are born from, if I may be allowed, by a new concept among the workers, that is, that the time has come for the workers, and now

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<sup>29</sup> Castberg 1914, bilag 4 page 18)

<sup>30</sup> NAF page 42 Sentralstyre 26.06.1918. (Campbell-Anderson)

they want to use it. Such as concept must, as far as I can understand, be allowed to unfold, at least to some degree. Because if we constrain this concept too much, then the pressure might become too great. Then the development will go on without negotiations, and the result will be that workers say: let us now seize the day, let us take power. And then we would be stuck in a societal upheaval, a situation that we would, by all means, seek to avert. We must be aware our times. We have to see its signs and learn its demands. We must therefore renegade on some of our old principles. We have to embark on new paths and formulate new ideas. We must act as wise skippers do, not to turn the rudder against the wind and to pressure up against the coming storm, with the possibility that we may perish. No, we must turn the rudder. We must see to that we can save what we can save.”<sup>31</sup>

It is important to notice how the N.A.F. leader, Rasmussen, reveals the inherent preference pertaining to the eight-hour day, noting that “before we would have resisted” and that such demands would have been met with resistance using all available resources. This also fits with previous statements by N.A.F. representatives. Rasmussen’s quote also support our contention as to the reason for N.A.F. to now support the eight-hour day: integration of labor into the existing economic system in order to avoid revolution. Rasmussen was backed by the previous hesitant voices and even by the outright critics such as Campbell-Andersen. The latter now argued that “I agree that we must look at the signs of our time and therefore there is no use in resisting the eight hour workday anymore”<sup>32</sup>. One hold-out critic on the board of N.A.F. was still present, namely Mr. Kopperud. Kopperud argued “that one cannot overcome difficulties by simply giving up”<sup>33</sup>. He renegaded on this position in May 1919, however, with the words “it no longer lies in our hands [to act], it lies with the workers, and they will not be stopped”<sup>34</sup>. It is important to highlight that all statements that we refer to by N.A.F. members here originate from board notes. Importantly, these were notes that were kept secret and were meant to be destroyed later on (Knutsen 1994). These quotes are therefore unlikely to represent strategic overtures to unions or meant only as empty rhetoric.

By 1918-1919 various elites had shifted 360 degrees on the question of working hour regulation. To illustrate the change, we remind that both the Liberals and Conservatives had voted down Castberg’s 9 hour working day (51 hours per week) proposal as well as the DnA proposal of eight hours per day in 1915. In 1919, all parties would embrace the eight-hour day as a long lost brother. The social minister Berg (Liberals) led the charge when he stated that “the times demand social reforms, demand it with necessity (...) we have great demands for social reforms, and the greatest task in my opinion, is that labor now takes precedence in our country. (...) Capital should be a servant and helper for labor, but not its master. It is this which is the demand of our time”<sup>35</sup>. Gunnar Knudsen (Liberal PM) had already by late 1918 decided that the eight-hour reform was to be made into law and implemented it in his own factories. Knudsen also made it clear to the

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<sup>31</sup> NAF page 4 Sentralstyre 12.01.1919. (Rasmussen)

<sup>32</sup> NAF page 28 Sentralstyre 31.3.1919 (Campbell-Andersen)

<sup>33</sup> NAF page 48 Sentralstyre 31.3.1919 (Kopperud)

<sup>34</sup> NAF page 61 Sentralstyre 12.5.1919 (Kopperud)

<sup>35</sup> 1919 stortingstidene efterm 26 march page 646 (Statsråd Berg)

leader of N.A.F. on 30 of November 1918 that the eight-hour day was coming, and that it would be made into law “without any reservations” (Knutsen 1994, 20). The Liberal government -- here represented by social minister Berg -- was not shy in detailing the underlying reason for the reform:

“It is imperative, for the sake of our society, that what can be done is done, to ensure that the workers can feel satisfied in their work, (...) it is with the future in mind of outmost importance for the satisfaction of the whole of society, that the desire for work is increased. And the department has faith in, that an appeasement of the old worker demand, of which we are her concerned, to a high degree would contribute to this. (...) With the eight-hour day implemented by law our country’s workers will find new faith in the belief that through a development of society as it now is, we can reach a societal-order, where also they may find their place”.<sup>36</sup>

We see a clear link between the demands of the working classes and the need for social stability as a key justification for the legislation. Ensure the legitimacy of the current system also among workers was viewed as imminent. Both the liberals and conservatives agreed on this point.<sup>37</sup> When on 14 of June and 2 of July 1919 the eight-hour act was put forward to parliament, it passed both in the second and first chamber of the Storting (Odelsting and Lagting, respectively) by *acclamation*. Klingenberg (Conservative) stated that “we will now approve with law a demand that workers in the whole world for a lifetime has declared to be one of the most important to (...) achieve the social conditions under which they want to live and have a demand to live under”<sup>38</sup>. Olsen (Conservative) stated in the Lagting that, “I find that one must salute, with both happiness and satisfaction, that one has come to agreement on such a great issue as this”<sup>39</sup>. This represented quite a turnaround from parties that had only a few years before strongly resisted the eight-hour day. This sudden change of heart did not go unnoticed by the socialists. Nygaardsvold (DnA) would lament that suddenly all parties across the ideological spectrum had come to embrace what they had so vehemently fought against just 4 years ago:

“The road to legislative reform has been hard to travel. Each time the demand of the workers for an eight-hour normal-working day was brought forward to the Storting was the demand voted down, or the reform was so distorted that it would have no impact of consequence for the workers. (...) Workers therefore had to take on the issue themselves (...). I want to add, that there is no single issue that has to such an extent, made workers lose their faith in the parliamentary line, that parliamentary action work. (...) As long as workers did not put any major force behind their demand for to so important demand, the Storting down voted all demands to reduce working hours to eight hours”.<sup>40</sup>

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<sup>36</sup> Ot.prop. nr 21 1919. pages 8-9 (Berg).

<sup>37</sup> Several issues did come under debate and to a vote both in the first and second chamber, such as how to treat firms with continuous production (Lian wanted 42 hours instead of 48), inclusion of commercial workers, inclusion of rural workers (landarbeidere), and the distinction in firm size on the application of the law (cut off at 5 employees).

<sup>38</sup> 1919 stortingstidene 19 june page 141 (Klingenberg)

<sup>39</sup> 1919 stortingstidene efterm 2 July page 66 (Olsen)

<sup>40</sup> 1919 stortingstidene efterm 2 July page 64 (Nygaardsvold)

It is interesting to note that Nygaardsvold made clear that the current situation and the commitment of the working class was connected to the lack of social reforms, with the delay of the eight-hour day being considered especially egregious.

The eight-hour act for industrial workers followed on the heels of similar legislative enactments for workers in important state firms and services, namely workers in military owned factories (27 of april 1918), workers in railways workshops (3 august 1918), and workers on state railways and defense structures(1 july 1918). Conducive to these reforms were considerations of limiting grievances of workers in strategic state industries in order to limit the impact of potential mass-strikes. Especially the railways were seen vulnerable to targeted strikes by the Knudsen Government, and these policies came about after a change in the governments' perception of a true revolutionary threat (Agøy 1997).

Yet, we should note that revolutionary fear was likely *not the only conducive factor* to legislation such as the eight-hour act. To some degree, it had become much easier for proponents to promote a working hour reform, knowing that the International Labor Organization and the Versailles signatories all had committed to the eight-hour day. Arguments on maintaining similar rules as the international manufacturing competition were therefore less relevant than before, and it was clear that what was considered the minimum standards in labor regulation was changing (Rasmussen 2019; see also statement by Klingenerg and Castberg in parliament references above). In addition, changes “on the ground”, in the form of collective agreements, made the elites aware that the initiative was slipping away from the national elites. If they wanted to set the limits of the new regulation, they had to act now.

### **Collective bargaining and arbitration awards**

Appeasement was not only pursued through legislative changes. Business could also meet (and had to respond to) worker demands in the collective bargaining system. The 1915 agreements, which had lasted for over 4 years, were to be re-negotiated in 1919 and the lack of any wage-growth in a period of massive price increases (no-indexation rules existed in agreements at this time) had led to a decisive fall in real wages. The collective bargaining results of 1919 were therefore quite generous; N.A.F would accept the eight-hour day, extensive wage-increases, and the number of paid leave was extended (from 4 to 6 days) in most agreements (A.F.L. beretning 1919/1920). In the words of Danielsen (1984, 20) “the concessions that were then granted were greater than in any other single year and, in most employers' opinion, exceeded what the economy could carry”.<sup>41</sup> In the spring of 1919, The N.A.F Board had decided that one had to avoid ending up in a major conflict (“avoid setting the score”).<sup>42</sup> Concessions had to be made. Rasmussen argued that these concessions were to be seen as a form of “insurance” that was necessary to ensure that no “social upheavals took place, which could lead to things, of which we had no overview”.<sup>43</sup> N.A.F. would later

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<sup>41</sup> Danielsen uses the word “realøkonomisk”.

<sup>42</sup> NAF page 7 Sentralstyre 24.4.1919 (Schuman)

<sup>43</sup> NAF page 51 Sentralstyre 23.1.1919 (Rasmussen)

summarize the results of the bargaining as having taken place under “the sign of the revolution”<sup>44</sup>. They were insurance against giving the “Syndicalist or Bolshevik tendencies (...) a rich soil as to grow and take root”.<sup>45</sup> Still, they were only to be accepted after some negotiation and preferably be decided under arbitration.<sup>46</sup> It was important not to appear that one could have given even further admissions.

By 1920, the “good days” were over, so to speak, for the unions; Rasmussen had become convinced (quite in opposition to the Conservatives in government) that the revolutionary threat was declining. “The revolutionary waves that we feared last year would envelope us, have, in this year, leveled out somewhat”<sup>47</sup> It was time to regain some of the lost ground of 1919. N.A.F. therefore demanded wage-reductions in all collective agreements up for re-negotiation in 1920.

N.A.F.’s proposals about wage-reductions were perceived as nothing but a provocation by the unions. Negotiations stalled. Fearing the increased strife would escalate and spread; the government’s answer was to use the Temporary Arbitration Act (Fure 1983, 578; Knutsen 1994, 35). In so doing, the government pushed the fate of the negotiations into the hands of the worker friendly chief justice of the Supreme Court, Karenus Kristofer Thinn. The Thinnian arbitration decisions -- as they would be remembered -- were excruciating for the employers. They included that the previous six days of paid leave became fourteen, and with further wage-increases all over the board. Fure (1983, 578) argues that this was the last true “appeasement policy” pursued by the political establishment during this period. The Thinnian decisions also had the effect of stopping any major confrontation that could have led to a change in the basic points included in the collective agreements. The reason was that the union federation, AFL, had started in 1919 to push for the inclusion of labor into management, and in order to do so, the basic clauses of bargaining agreements had to be changed. The basic clause laid out employer’s prerogative to manage the firm, and the “whole history of the employer federation rest[ed] on this clause” (Knutsen 1994, 32).

The workers’ gains would be short-lived, however, and the 1922 bargaining rounds would be nothing like that of 1921. Gone was Thinn, and as the unions launched what they believed would be the great showdown with the employers, one that would be so decisive that it would force the government to undertake fundamental reforms, they found that they had overestimated their strength. Pervasive use of strikebreakers and the absence of a general mobilization among the populace meant that the “Great Strike of 1921” ended in a decisive defeat (Bjørgum 1985, 92). Close to all agreements that were up for re-negotiation ended up with major wage-decreases and the number of vacation days were reduced to eight (A.F.L. Beretning 1922-23). It was clear that the organizational strength of the unions was on decline in 1922 (Knutsen 1994).

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<sup>44</sup> Arbeidsgiveren 1. 1920, 3. Oslo.

<sup>45</sup> NAF page 22 17 Sentralstyre 16.2.1920 (Rasmussen)

<sup>46</sup> NAF page 28 Sentralstyre 31.3.1919 (Campbell-Andersen)

<sup>47</sup> NAF page 7 Sentralstyre 20.1.1920 (Rasmussen)

## Proportional electoral system

One of the central demands of the labor movement, and especially its reformist line, was electoral reform. More precisely, the movement wanted the introduction of a system of proportional electoral system (DnA 1918). Over time, the increasing mismatch between the percentage of seats and the percentage of votes for DnA had increased. This discrepancy was especially driven by the two-rounds voting system of 1905, which allowed the bourgeoisie parties to coordinate on the candidate best placed to defeat DnA candidates in the second round.

Danielsen (1984, 19), in the seminal work on the conservative party in this period, writes that “the electoral reform can stand as a key example on the *intention* of the conciliatory strategy”. Danielson underlined this by specifying that the party-leaders in the Liberal and Conservative parties in preparation to the vote had decided on introducing PR as a way to avoid revolution.<sup>48</sup> The constitutional amendment had been put forward to the Storting on 12 of December 1917, and would therefore be treated after the next election (1918). At the start of 1919, it was decided to postpone the treatment of the election commission’s proposals to the end of the year. DnA would therefore threaten the bourgeoisie parties to bring the proposals to parliament. Speaking of withdrawing socialist MPs from parliament, or carrying out an “election-strike” the DnA made a badly veiled threat: “Closing the parliamentary option, will necessarily make the political and struggles ever more sharp, and the responsibility for this is left with the rulers”.<sup>49</sup>

The parliamentary debate on the 28-29 of November shows support for the notion that PR reform was introduced as a concession to ensure the integration of workers in the current system (thus mitigating revolutionary threats). The party-leaders had already bargained between themselves, deciding on implementing some form of PR, even if they were highly split on the specific form of PR to be introduced. Michelet (Conservative and leader of the Electoral Commission) argued that “[t]he right of suffrage is introduced in such a way as to breach with the idea of universal suffrage. (...) Most equal influence for all, rich or poor (..) The electoral system is such that a voice gets a purely different value depending on whether he lives here or there, and the results have -- as we all know -- become such. This has led to one of the major political parties, election after election, being under-represented. [This is] an under-representation that (...) appears to be an institutional consequence of the electoral system itself. We in the Commission are in agreement to (...) propose proportional elections in some form (..).<sup>50</sup>

The DnA representative in the Electoral Commission Magnus Nilssen drew the big picture:

“In 1918 we witnessed that strong forces would make themselves known within the working movement. (...) We who have participated in the electoral commission were under the strong impression (...) that time was of the essence in getting a grand proposal ready (...). At meetings and in the press, people from all

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<sup>48</sup> Several liberal and conservative delegates would complain that the proposal had only been discussed by the various party-leaders (party presidents), not including the delegates themselves.

<sup>49</sup> Dokument nr 24 (1919) fra den forsterkede konstitusjonskomite. Angående valgordningen.

<sup>50</sup> <sup>50</sup> Stortingstidene 2848 (Michelet). Forhandlinger I stortinget (nr. 366) 1919. Efterm 27 novbr. – valgordningen.

parties presented the injustice and risk of continuing an electoral system under which especially the Labor Party was so unjustly treated (...) I am not in doubt, that if parliament was to enact a postponement, it would do itself a disservice (...) I am highly confident that there will be raised a strong, public and justified sentiment against parliament, if it does not take to its senses and vote on the current proposition. We all know how the situation has been in nearby countries, and we have seen the waves wash up on our shores, and if we are serious in governing our country by legislation and by the parliamentary ways, our duty demands that we, as quick as possible, arrange the parliamentary institutions in such a way as the people can be satisfied with it.”<sup>51</sup>

Also the leader of the Liberal Party and Prime Minister, Gunnar Knudsen would, albeit in more careful terms, draw similar connections between the nature of the electoral system and the order of society in his reply to a DnA MP:

“It was shown that the party he belonged to didn’t receive even half of the representative that it should have received in proportion to its size of the electorate. This facilitated, (...) within all parties recognized that circumstances have become such, that this could no longer go on and that it was necessary to establish a better electoral system, a more just electoral system (...) instead of 41 representatives, that party received 18. It is nothing to be startled over, that such a result can create unwillingness and indignation and awaken thoughts, which do not go together with a well ordered society.”<sup>52</sup>

The leader of the Conservative Party, and later Prime Minister (Halvorsen), would argue along similar lines: “we demand of all parties that they follow the parliamentary line. It is consequently decisive that we then open for equal access to all parties to pursue their interests within parliament. (..) The times demands it”.<sup>53</sup>

Similar considerations are also found in the statements of individual conservative and liberal MPs. For example O. C. Müller (Conservative) argued that he was prepared to vote in favor of a proportional system so “that I would give socialists the opportunity to forward their ideas within the parliamentary lines (...) In order to secure the peace, as we all so much want and understand is necessary”.<sup>54</sup>

Jahren (Conservative) argued that any postponement or voting down of proposals had to be avoided, especially because “that by my vote I might hamper a large party in our country [DnA] to work as it has been said following parliamentary lines. I believe that one must contribute what one can within reasonable limits to support that direction that wants to work to the betterment of society within the parliamentary lines”.<sup>55</sup> Christain Rud (Liberals) proposed that the current electoral system was “without principles and arbitrary” and further noted that “[t]he demand of the social democrats for fair representation in parliament

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<sup>51</sup> Stortingstidene 2878-9 (Nilssen). Forhandlinger I stortinget (nr. 360) 1919. Efterm 27 novbr. – valgordningen.

<sup>52</sup> Stortingstidene 2930 (O.C. Müller). Forhandlinger I stortinget (nr. 366) 1919. Efterm 28 novbr. – valgordningen.

<sup>53</sup> Stortingstidene 2876 (Halvorsen/president). Forhandlinger i stortinget (nr. 360) 1919. Efterm 27 novbr. – valgordningen

<sup>54</sup> Stortingstidene 2921 (O.C. Müller). Forhandlinger I stortinget (nr. 366) 1919. Efterm 28 novbr. – valgordningen.

<sup>55</sup> Stortingstidene 2929 (Jahr). Forhandlinger I stortinget (nr. 367) 1919. Efterm 28 novbr. – valgordningen.



must at most opportune moment be accommodated: no unnecessary postponement must take place”.<sup>56</sup> Minister of justice, Blehr (Liberals), noted that “it was stated by the socialists first representative, that one is kicking the chair under his party’s parliamentarianism, if one does not solve this problem [of electoral injustice]. Yes, I do not think parliament should do so. Instead, one should endeavor to secure that development can proceed in a calm way (...). Some things might feel as a sacrifice, but one should act so in that feeling, I mean, that this sacrifice, I bring happily when it turns out that it is necessary for a good and healthy development for our country”.<sup>57</sup>

### **Socialization of property and worker participation in management**

Prior to 1918, the questions of the involvement of workers in firm management or socialization of property were anathema among Norwegian political and economic elites.<sup>58</sup> As already outlined, the position of the elites was quite clear that any infractions on the right of firm owners to manage their company was unacceptable (Petersen 1950, 337). With the Bolshevik revolution of November 1917 and the adoption of a radical line within DnA in 1918, the debate on the right of workers to manage change radically. The Norwegian worker movement, spearheaded by Tranmæl, advocated the formation of company councils (“Bedriftsråd”) within an overarching frame of branch and national councils. These were conceptualized as decision-centers: management of firms and whole industries were to be shifted into the councils as a first step to socialization (Petersen 1950, 337-8). This was a question that broke with N.A.F.’s “fundamental principles” and for which the organization was ready to mobilize “the whole strength of the federation” (ibid.).

While the business elites did not intend to play ball, the political elites went as far as taking the initiative in pursuing the question. In the face of the changing situation of 1918, the Liberal government proposed to deal with the increasing social conflict surrounding profits by firms in the traded sector with distributing employee dividends. This new position was launched in the Opening of parliament speech (“Trontalen”) in 1918. Astonishingly, the issue was even supported by the leader of the Conservative group in parliament:

“What is unfortunate is (...) that the traded interests have taken out exorbitant dividends. Furthermore, it is unappealing that the traded interests also under these favorable conditions have held their employees’ wages down at such a level (...) it is completely unjustified. (...) The state cannot stand indifferent in the face of these hardships. For these reasons, I find it regrettable that no employer has even in such a restricted extent experimented with having their employees revising dividends or share in the companies themselves. (...) I now view with satisfaction that the government party now wants to address this issue”.<sup>59</sup>

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<sup>56</sup> Stortingstidene 2955-6 (Rud). Forhandling I stortinget (nr. 368) 1919. Efterm 28 novbr. – valgordningen.

<sup>57</sup> Stortingstidene 2893-4 (Rud). Forhandling I stortinget (nr. 362) 1919. Efterm 28 novbr. – valgordningen.

<sup>58</sup> st.prp. nr 134, page 1. 1918 – Bevilgning til Arbeiderkommissjonen.

<sup>59</sup> Stortingstidene 534 (Bull). Forhandling I stortinget (nr. 67) 1918. Efterm 16 mars. – trontaledebatten.

He was supported by Peterson (Conservatives, factory owner), who linked dividends shares explicitly to the possibility of lessening current worker troubles:

"I would say that if there exists antidotes that are congruent with our view of capitalist society, which can also bring in an additional element of greater social peace, greater trust, and greater harmony interests between capital and labor, between the factory and its workers, then it is the greatest task which lies before us. Many have argued that we have the solution in the dividend system. (...) The government should put down a commission to evaluate this question."<sup>60</sup>

The result was, in fact, that the government set down a commission to evaluate "the question of employer dividends and share of management". The aim was social preservation; "to create a closer community of interest between industrial firms and their workers by making these partake in the company's capital and dividend as well as their management".<sup>61</sup> It would later be named the Worker Commission of 1918 (WC), and ended up putting forward legislative proposals on work councils (1919, 1920) and profit-sharing schemes (1922). The only real impact of these efforts would, however, be the temporary act on work councils of 1920.

Even more radical was the Socialization Commission (SC) of 1919. Its task was to evaluate not only the question of socialization, in general, but also socialization of specific firms and industries such as "Norsk Hydro" "Meråker Bruk" "Odda smelteverk", and the complete mining industry (Danielsen 1984, 19). The 1919 commission was wanted by DnA, but initiated after a demand from the workers at the "Hydro Plant" in Rjukan. Several committee members of the WC also participated in the SC, meaning that it would not hand in its report until after the work of the WC was completed.

In addition, a Land Commission of 1919 was set-up to discuss the issue of inequality in arable land. Its primary task was to assess the possibility of procuring land for tenants. Yet, little came out of its efforts.

On the issue of employee participation in management, the WC decided in early 1919 to develop an anticipatory proposal. The WC quickly split into a majority consisting of worker representatives and university academics, and a minority consisting of business leaders. The majority proposed the establishment of a system of work councils, with firm, district and national councils. Work councils were not only to play an advisory role. Instead, they would have executive power over questions of introduction of new work schedules, procurement of technology, and in hiring of supervisors.<sup>62</sup> The minority would rather propose a system of firm-specific councils, and their power was to be advisory only. It was the minority position that was put forward to parliament by the Halvorsen (Conservative) government in 1920 – with certain small modifications – and enacted.

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<sup>60</sup> Stortingstidene 596-597 (Peterson). Forhandlinger I stortinget (nr. 67) 1918. Efterm 16 mars. – trontaledebatten.

<sup>61</sup> st. prp. nr 134 ,page 1 1918 – Bevilgning til Arbeiderkommisjonen.

<sup>62</sup> ot.prop. nr. 65 om utferdigelse av en midlertidig lov om arbeiderutvalg i industrielle bedrifter

In 1922, the WC outlined both its proposed company council scheme and its proposal on profit sharing. The group had again ended up being split, with the commission writing two major opposing legislative proposals. The majority position proposed profit sharing to be introduced in all firms (public and private) except in the primary industries. Dividends would be paid proportionally in wages and “capital wages”, the latter being set by each firm. More radically, a fund, to be made up of taxes on profits from firms exceeding 10 percent, was to be set up. This fund would be used to buy up defunct firms and establish new firms. This proposal was dead on arrival (Petersen 1950, 355).

Even with only the limited proposals winning support in parliament, the business elites were far from satisfied. N.A.F considered the 1920 Work Council act as “pure madness” as a policy in itself, but as a defensive measure against syndicalist tendencies and revolutionary threats it made sense.<sup>63</sup> Still, N.A.F. and employers did not publicly convey this preference. Instead, it was stated that a reform “would create greater job satisfaction and increase work performance” (Danielsen 1984, 32). N.A.F. leaders were even more negative of the profit sharing scheme of 1922, but more pertaining to the way the proposal was structured than profit sharing per se. In 1918, N.A.F. had set-down its own commission to evaluate the question of profit-sharing (Petersen 1950, 350). Its conclusion in 1921 stated that while voluntary forms could be implemented satisfactory, “it is unimaginable that a compulsory arrangement can be implemented with satisfactory results (ibid., 352)”. Still, N.A.F. saved its hardest criticism for the socialization proposals “which only built on unsatisfactory knowledge of the most simple and elementary social and economic conditions, and one could only regret that the above mentioned fraction did not have the necessary insight on this area”<sup>64</sup>

The radicals within the labor movement were concerned about the dangers of an elite-initiated reform surrounding company councils. If the role of the councils were to be too narrow, and only focus on specific aspects of production, only have the power to suggest and not to vote through changes, their role as revolutionary institutions would be quite limited (DnA landsmøteprotokol 1920). They would instead take the form of institutions aimed at “conciliation between the classes” (ibid. 76). In its place, DnA supported the majority commission’s proposal, which would have given the workers greater influence over company decisions. Perhaps somewhat surprisingly, the unions advocated *against* profit sharing. The reason was considerations as to worker solidarity within industries and support for the centralized collective bargaining system. The union wage-bargaining strategy was to force wage increases in high-wage firms and sectors (also likely to have higher profits) and to then use these increases as an argument for pushing up wages in low-wage firms. Profit sharing would make workers in successful firms less willing to support the union strategy since they would benefit from the .

How did individual employers respond to the WC and SC commissions? The WC and the SC members found that employers were far from willing to collaborate. This was especially the case when it came to the

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<sup>63</sup> NAF page 20 Sentralstyre 23.08.1920 (Rasmussen)

<sup>64</sup> Expert from the N.A.F. run newsmagazine “Arbeidsgiveren” 24 of November. Cited in Peterson (1950, 355)

collection of statistics, which lead the commissions to, in 1920, request the Storting to pass a new law concerning its right to collect data. Lian (DnA) would bring this issue out in the open when he remarked in parliament that “I just want to say that I have a strong feeling, a feeling that there has been an underground resistance against this task which the government has entrusted the commission. (...) This is the reason that the commission has come here to parliament to be granted the protection of the law to gain the necessary information to carry out its work”.<sup>65</sup> The act would pass parliament, but it did signify the strong opposition of the employers against even evaluating the thorny question of socialization and profit sharing.

As the fear of revolution subsided significantly with the defeats of the various strikes and fall in organizational strength, the political elite shifted in the question of socialization. The Conservatives, which had all voted for the continuation of the SC up until 1922 would in 1923 abruptly change their perception of the necessity of such a scheme.

Commissions also had to have their yearly budgets approved by the Storting, a process that had in Norwegian parliamentary history tended to result in acceptance by acclamation. This was, however, not the case for the SC in the current climate. The leader of SC estimated that one would need an additional 30 000 NOK to carry out the SC’s tasks, but this was then cut down 12 000 NOK by the head of the “Lønningskomitee” in the Storting, Lykke of the Conservative party. The mandate was further reduced to only give a theoretical elaboration of the question of socialization, leave out the issue of socialization of specific Norwegian firms, and not to draft a legislative proposal. Labor party representatives put forward counter-proposals. The debate became heated, and labor candidates argued that the commission was being assassinated, pleading Lykke to not “not to start a class-warfare on an evaluation of a question such as this”.<sup>66</sup> Madsen (DnA) pointed out that the committee foreman was a High Court justice, and that the 12 000 kroners wouldn’t even cover his own yearly wage of 14 000, which de-facto meant that he would have to stop his work in the commission. In the end, Madsen asked

“Shall one now stop [with the work of the committee]? (...) This is in my opinion a bad use of state money, that one first sets in motion a great endeavor and so, when it is practically finished, cut it off and say, that of this we want nothing. It shows Høyres [the Conservative Party] fear of having these matters evaluated; Høyre is afraid that the capitalistic society does not survive the light of day; it does not survive being investigated by a public committee”.<sup>67</sup>

Lykke’s proposal won the day against the combined vote of the various labor parties. What is the likelihood that Lykke was acting in good faith? Was he just restraining a committee that had gone overboard, by more precisely stating its mandate? We find this less likely- First off, Lykke had showed no problem with WC and SC commissions previously, as he had even been a participant in the WC commission until entering

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<sup>65</sup> Stortingstidene 573 (Lian). Forhandlinger I stortinget (nr. 72) 1920. Efterm 1 novbr. – sosialiseringskomiteen.

<sup>66</sup> Stortingstidene 673 (Gjøstein). Forhandlinger i stortinget (nr. 72) 1923. Efterm 23 March. – kommisjonsbudgsjettet.

<sup>67</sup> Stortingstidene 675 (Madsen). Forhandlinger i stortinget (nr. 72) 1923. Efterm 23 March. – kommisjonsbudgsjettet.

government in 1920. The committee had been granted funding that it had asked for each year up to 1923, without any complications. It is more likely that, as the revolutionary threat dissipated and the balance of power tipped in favor of the elites, Lykke and others now felt confident enough to push back against the appeasement policies of 1918-20.

Such a change is also traceable in the party manifestos of the Conservative Party. In 1909-1915, the manifestos exclude any mention of any form of co-ownership or co-management. The manifesto of 1918 is therefore unique; here it is stated that the issue of co-ownership must be “evaluated and solved” (Hørie 1918). However, these lines did not make it into the 1924 manifesto. The change in the position of the conservatives is nothing but startling if viewed as a change in the attempts to formulate a long-term conservative ideology. But, the change fits very neatly within a perspective of strategic response to revolutionary pressure. The strategy could be, respond to socialization demands in period of weakness by setting-down a broad commission with a wide mandate to collect and process data-material, and with the power to propose a legislative amendment. As the revolutionary period have subsided, slowly push back against the commission by challenging its mandate and then hamstringing its ability to act.

In sum, the work of the commissions discussed in this section would, in the end, amount to little. The law proposals all came to parliament at a time in when the revolutionary threat was on the decline, both domestically and internationally. It is therefore not surprising elites worked hard to ensure that nothing was to come from the quite radical proposals proposed by these commissions.

### **Old-age pensions**

Among the Scandinavian countries, and even among the rich western-European industrial nations, Norway was one of the last countries to implement a government-regulated old-age pension. Ironically, Norway was one of the first countries in the world to propose an old-age pension, in 1844. This was followed by similar proposals by individual MPs in 1854, 1868, 1869 and finally a more formal proposal on the introduction of an old-age pension originating in the Worker Commission of 1894. A proposal was finally put forward to the Storting in 1899, but was then not put to a vote. In 1902, a new commission was established in order to further evaluate the question, without any new proposals being formulated. In 1907 the People’s Insurance Committee was charged to evaluate the question. It handed in two proposals that would frame the pension debate for the near future: one tax-financed proposal favored by DnA and one insurance scheme favored by the Liberal Party. The question was consequently politicized, all three major parties had pensions (using different wording corresponding to their preferred solution) as early as 1909. Still, no proposition had been put forward to a vote in parliament even before WWI.

Coinciding with the revolutionary situation in 1918, the government unveiled the first royal proposition on an age and disability pension scheme, based on the earnings-related scheme preferred by the liberals in government. The system would only insure people of working age and the first pensions would be paid out 14 years after the implementation of the scheme. All workers over 56 years old were therefore excluded.

The proposition was put to treatment in parliament in 1919. The conservatives were highly critical of the proposed scheme, as it would mean the implementation of an extensive bureaucracy to control and manage the individual contributions.<sup>68</sup> DnA wanted a more progressive system and pensions also for the elderly at the time of implementation. Thus, the Storting unanimously decided to evaluate a tax-proposal to go along with the earnings-related alternative of the liberals. This was the first time parliament had agreed on the issue of old-age pensions.

The push for a tax-based system was also a major break in the line of the Conservatives. Before 1919, the conservatives had always preferred an earnings-related system, but now they sided with DnA in pushing for a tax-based system. As with the introduction of PR, this was an initiative from the party-leadership among the conservatives (Pettersen 1978, 13). The aim was to create a society-preserving institution, using the old-age pension as a basic security without the stigma of poor relief (Danielsen 1984, 30). It was decided to evaluate the tax-alternative in a social-commission, which handed in its proposal in 1920, but the government fell before it could put forth a proposal to a vote. In October 1920, the new Liberal government put forward an Odelsting proposition outlining a new version of the earnings-related scheme. In January 1921, Halvorsen (Conservative) put forward a revised tax-scheme proposal, but the minority of the Social Committee in parliament wanted an earnings-related alternative instead. The situation ended up in a vote for or against postponement, and the Liberals won the day with 45 vs 40 votes. Treatment of the two proposals was attempted during the summer of 1923, but it was again postponed, until October, when it was again treated in the Odelsting and finally made its way to Lagting.

When reaching the Lagting on the 30 of November 1923, discussion and disagreement was still prevalent. Liberal MP Høgseth decried the increasing burden of state and municipality budgets, accusing the proponents of the tax system of communism.<sup>69</sup> The social minister Klingenberg (Conservative) was harsh in his reply, exclaiming that: “No, this has nothing to do with communism or socialism. If it is anything, it is policy aimed at the preservation of society!”<sup>70</sup> Most importantly, if no legislation was passed, the consequences could be dire: “if we go to society and say: no, we may have promised this for more than 30 years, and worked with it 30 years, but you shall not have it. If so, I think one will see a reaction of the kind that will bring no joy to anyone except those that wish badly for our society”.<sup>71</sup> Nygaardsvold (DnA) replied, “I agree that this reform, is preferable to reforms that one has taken in other countries to guard against, that the existing capitalistic society should be not be overthrown”.<sup>72</sup> The Social Democrat Magnussen underlined the consequences of postponement: “One talks so often in these times about the working class and its attitude to the parliamentary system of governance, its position on parliamentarianism. I think that

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<sup>68</sup> The 1918 proposal outlined contributions in proportion to income and property and that the payment was to take into account the number of children and the previous contribution record. All of these considerations suggested the need for a growth in the state and municipality bureaucracy, which, at the time, was seen as highly un-manageable.

<sup>69</sup> Stortingstidene 249 (Rud-). Forhandling I stortinget (nr. 32) 1923. Efterm 30 novbr. – alderstrygden.

<sup>70</sup> Stortingstidene 257 (Klingenberg). Forhandling I stortinget (nr. 32) 1923. Efterm 30 novbr. – alderstrygden.

<sup>71</sup> Stortingstidene 259 (Klingenberg). Forhandling I stortinget (nr. 32) 1923. Efterm 30 novbr. – alderstrygden.

<sup>72</sup> Stortingstidene 264 (Nygaardsvold). Forhandling I stortinget (nr. 32) 1923. Efterm 30 novbr. – alderstrygden.

one should consider the consequences of saying no to a reform such as this. One should not disappoint a working class, which has put its hope and trust to parliamentarianism and is waiting on what the Lagting decides. One should think not just once, but two and three times over”.<sup>73</sup>

Yet, with the failure of the DnA proposal, the remaining question was simply whether the government was to commit to a principle for how old-age pensions were to be organized. In the end, the tax-based option was chosen by the combined efforts of DnA, the many of the Conservatives, and some of the Liberals.

The old-age pensions proposal would mark the end of a long-line of policy proposals initiated by conservative and liberal politicians to starve the revolutionary beast. At the time, trade union organization had declined to pre-war levels, the failed great strike of 1922 showed the weakness of the radical line, and DnA would leave the Comintern in 1923. Internationally the Red Army was defeated in Poland. The failed revolutionary uprising in Bulgaria in 1923 only reinforced the notion that the “World Revolution” was, indeed, dead.

It is therefore only fitting, that the 1923 act on pensions was never implemented or even brought before the Storting to a final vote. It would go the way of the socialization proposals: several legislative initiatives and proposals, new commissions and new postponements. In the end, only the principles were enacted, but never implemented. Old-age pensions would have to wait another 13 years. In 1936, Nyggardsvold (DnA) had become PM for a labor-agrarian majority coalition. He dusted off the old proposal of 1923 and finally pushed it through parliament to implementation.

## **The Signals of Revolution**

The historical sources clearly suggest that perceptions of revolutionary fear was a key driver of the different legislative and other policy initiatives, some of which were adopted and others dropped once the revolutionary threat resided. A primary cause of this domestic revolutionary threat in Norway was the Bolshevik revolution (Agøy 1994, 74; Sundvall 2017), reinforced by the revolutionary and civil war events in Germany and Finland (Fure 1983). In line with our expectations, international organizational linkages were important to elites in ascertaining the level of revolutionary threat. In reports and discussions, membership in international organizations were used as indicators of revolutionary sentiment among labor groups. The defense intelligence office for example writes, already in 29 February 1918, that a “named source could report that Norwegian participants in the Zimmerwald-conference now was arming themselves in congruence with the conference decision to carry out armed rebellion” (Agøy 1994, 76-77).

The radical DnA MPs and extra-parliamentary parts of the labor movements were also strategically working to foster the impression of a coming revolution, of radical syndicalist challenging the existing order. On the other hand, also reformist leaders could seem to overplay the dangers of the syndicalist, revolutionary threat,

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<sup>73</sup> Stortingstidene 265 (Magnusson). Forhandlinger I stortinget (nr. 32) 1923. Efterm 30 novbr. – alderstrygden.

perhaps in order to build trust with the elites, ensure future policy concessions for their constituents, or secure their own political position. The best example of a reformist leader doing so, and perhaps being motivated by all the three mentioned concerns, is perhaps the 20-year long chairman of the A.F.L. and DnA MP (1916-21) Ole O. Lian and his dealings with the N.A.F chairman Rasmussen between 1918-1922. The rising syndicalist movement under Tranmæl threatened to usurp Lian's position. Lian and other reformists therefore tried to use revolutionary fear among the conservatives in order to achieve the reforms they thought would convince their own members to support the reformist line. At the same time, Lian held secret meetings with the N.A.F. chairman, stressing that not all demands were seriously meant. He even proposed various ways in which the employers would appear to meet the demands of the various syndicalist unions, but which would never be enforced. These proposals were met with astonishment among the N.A.F. board members and were not pursued, as they were considered to possibly backfire and threaten the position of their friends in the unions (Knutzen 1994, 34-36 40-43).

In the debate preceding the PR-vote in 1919, we find that reformist DnA MPs in parliament adopted this tactic. MP Gjøstein didn't mock about, noting that "there are strong forces in the direction of abandoning the parliamentary line, because parliamentarianism has not delivered (...) I would go as far as one possibly can go in maintaining the parliamentary line (...) but if that is to happen we must have an electoral [reform]".<sup>74</sup> MP Buen proposed that "it is unjustifiable to continue even one more day with our current system (...) if we do not get a positive result, this would equal to kick to legs below the parliamentary work. The consequences I shall not discuss, but the responsibility rests with those that have created this situation".<sup>75</sup> MP Foshaug stated that "if one wants to continue down this path, one cannot demand that the working class that struggles to promote its cause through the parliamentary channel, can continue to do so (...) I would therefore most empathically warn against postponement".<sup>76</sup> Again we see a rhetoric of "inclusion or else" employed by reformist DnA MPs, which can be interpreted both as a direct warning (as similar language was employed by the radicals), but also as a way to strengthen their position against said radicals.

Signaling of revolutionary threat was not only a matter of rhetoric but also a function of the wider international situation, and particularly of DnA becoming member of the Comintern. Agøy (1994, 139) for example, argues that it was hard for the military, socially and politically distant from the labor movement, to know how to interpret exactly what was going on in these tumultuous times. They would therefore rely on easy to identify signals:

"Times were uncertain: Germany was since March rocked by rebellions; in Great Britain far-reaching emergency laws had been enacted and a society wide paralyzing miner strike had just been averted as late as April-May 1921. For officers with limited knowledge to the working movement, it could be hard to ascertain

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<sup>74</sup> Stortingstidene 2859 (Gjøstein). Forhandlinger i stortinget (nr. 359) 1919. Efterm 27 novbr. – valgordningen

<sup>75</sup> Stortingstidene 2875 (Buen). Forhandlinger i stortinget (nr. 360) 1919. Efterm 27 novbr. – valgordningen

<sup>76</sup> Stortingstidene 2929-30 (Foshaug). Forhandlinger i stortinget (nr. 367) 1919. Efterm 28 novbr. – valgordningen.



what the quite open and visible struggle within the movement was truly about. What they did notice, was that known worker-leaders publicly, and with great vigor, was eager to transform the strike in a revolutionary direction. They, and the rest of the Norwegian bourgeoisie, took notice that DnA on the 27 of march accepted the Moskva-theses.”

DnA’s membership and role in the Comintern was a clear signal of the revolutionary intent and capacity of the (for a few years) leading radical wing of the party.

The signaling seem to have worked. Changes in the party programs of the Conservatives provides one indication of this notion. Comparing the 1909 program to those of 1918 and 1921, the Conservative Party had gone from being silent on the issues to promising to secure measures against unemployment and lack of housing, and even to evaluate the question of employees’ dividends.<sup>77</sup> Only old-age pensions had been present in the 1909 program. Yet, in the 1924 program, *none* of these issues was any longer mentioned. The necessity of social policy innovation had dissipated in tandem with the credibility of the revolutionary threat.

### **Summary of “Appeasement Policies”**

the Bolshevik revolution and subsequent formation of Comintern sparked a decisive change both in the radicalism of the Norwegian labor movement, but also in perception of this movement as a radical and potential revolutionary force amongst the elites. A combination of repressive and inclusionary tactics was developed and coordinated by the various political, military and economic elites. The aim was to mitigate grievances by including labor in the existing economic and political system, but also develop contingency plans in case grievances would remain high and disorder result. The elites worked together to weaken the radical groups, and simultaneously strengthen the reformist groups, both in the trade unions and DnA (and splinter parties). Still, even when faced with what they believed to be revolutionary forces, both political and economic elites used stalling tactics to avoid adopting measures that would clearly violate their primary interests, notably in the economic realm. This bet paid off, as the revolutionary threat soon subsided.

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<sup>77</sup> The conservatives (Høires valgprogram 1918) point number three on their electoral manifest mentions various social issues to be solved or policies introduced: effective measures for at remedy housing demand (bolig nød). Peoples insurance to be introduced ( Folkeforsikringen gjennomføres, early name for pensions). Question of having employees and wageworkers receive dividends should be evaluated and solved. In 1921 (Høires valgprogram 1921) their manifesto also included measures against the extraordinary high unemployment.

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