# 1 TITLE

- 2 Leopard predation on gelada monkeys at Guassa, Ethiopia
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- 34 SHORT RUNNING TITLE
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- 36 Leopard predation on geladas at Guassa

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#### 39 ABSTRACT

40 Predation is widely believed to exert strong selective pressure on primate behavior and ecology, 41 but is difficult to study and rarely observed. In this study, we describe seven encounters between 42 lone wild leopards (Panthera pardus) and herds of geladas (Theropithecus gelada) over a six-43 year period in an intact Afroalpine grassland ecosystem at the Guassa Community Conservation 44 Area, Ethiopia. Three encounters consisted of attempted predation on geladas by leopards, one of 45 which was successful. All three attacks occurred in low-visibility microhabitats (dominated by tussock graminoids, mima mounds, or tall shrubs) that provided leopards with hidden viewsheds 46 47 from which to ambush geladas. An additional four encounters did not result in an attempted 48 attack, but still document the consistently fearful responses of geladas to leopards. In encounters 49 with leopards, geladas typically gave alarm calls (n = 7 of 7 encounters), reduced inter-individual 50 distances (n = 5), and collectively fled towards or remained at their sleeping cliffs (n = 7), the 51 only significant refugia in the open-country habitat at Guassa. Geladas did not engage in 52 mobbing behavior towards leopards. Encounters with leopards tended to occur on days when 53 gelada herd sizes were small, raising the possibility that leopards, as ambush hunters, might stalk 54 geladas on days when fewer eyes and ears make them less likely to be detected. We compare the 55 behavioral responses of geladas to leopards at Guassa with those previously reported at Arsi and 56 the Simien Mountains, and discuss how gelada vulnerability and responses to leopards compare 57 with those of other primate species living in habitats containing more refugia. Lastly, we briefly 58 consider how living in multi-level societies may represent an adaptive response by geladas and 59 other open-country primates to predation pressure from leopards and other large carnivores.

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#### 62 **KEYWORDS** (3-6 words)

- 64 leopard, gelada, predation, microhabitat, open-country, refugia
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#### **RESEARCH HIGHLIGHTS**

- 68 Seven gelada-leopard interactions, including one instance of predation, are described \_ 69 70 \_ Geladas react to leopards with heightened vigilance behavior, reduced inter-individual 71 spacing, and concerted flight to sleeping cliffs 72 73 \_ Leopards may preferentially stalk geladas when group sizes are smaller
- 74

#### 75 **INTRODUCTION**

76 Predation is considered a major selective pressure on behavior and group-living in many 77 diurnal primates (Shultz, Opie, & Atkinson, 2011; Sterck, Watts, & van Schaik, 1997; van 78 Schaik, 1983). Among predators known to predate upon primates, large felids are especially 79 important, having been implicated in more primate attacks than any other category of predator, 80 including raptors, canids, hyaenids, small carnivores, and reptiles (Hart, 2007). The most 81 widespread of the large felids (Jacobsen et al. 2016), leopards (*Panthera pardus*) are known to 82 prey on a wide range of extant catarrhine primates, including Asian and African colobines, 83 guenons, mangabeys, baboons, great apes, and humans (Busse, 1980; D'Amour, Hohmann, & 84 Fruth, 2006; Isbell, 1990; Isbell, Bidner, Van Cleave, & Matsumoto-Oda, 2018; Karanth and 85 Sunquist, 1995; Koziarski, Kissui, & Kiffner, 2016; Matsumoto-Oda, Isbell, & Bidner, 2018; 86 Naha, Sathyakumar, & Rawat, 2018; Tutin & Benirschke, 1991; Zuberbühler and Jenny, 2002). 87 There is also evidence from the fossil record suggesting that leopards preved on now-extinct 88 hominins (e.g., Paranthropus robustus: Brain, 1970; Homo neanderthalensis: Camarós, Cueto, 89 Lorenzo, Villaverde, & Rivals, 2016). Thus, leopard predation has probably long played a role in 90 shaping the predator defense and avoidance adaptations of many primate species, including
91 members of the hominin lineage (Isbell et al., 2018; Zuberbühler & Jenny, 2002).

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While a number of studies have investigated leopard predation on primates inhabiting 93 lowland rainforests or savannah-woodland habitats (e.g., Boesch, 1991; Isbell, 1990; Isbell et al., 94 2018; Zuberbühler and Jenny, 2002), little is known about primate-leopard interactions in more 95 open environments where refugia from predators are scarce. This gap in our knowledge is due to 96 both the rarity of observed predation events in the wild in general (Isbell, 1994a; Miller & 97 Treves, 2011) and the dearth of extant primate species in open habitats in particular. Geladas 98 (*Theropithecus gelada*) are endemic to Afroalpine grassland habitats in the Ethiopian Highlands, 99 and thus are good candidates for offering insights into the impacts of leopard predation on 100 primate behavior in open-country habitats.

101 Living at elevations of 1,700 to 4,600 m above sea level (a.s.l), geladas are medium-sized 102 and sexually dimorphic monkeys that form multi-level societies (Bergman & Beehner, 2013). 103 These multi-level societies are comprised of core social structures, called *one-male units* 104 (OMUs), that consist of a single dominant leader-male, a number of females and their young, 105 and, occasionally, one or two additional subordinate follower-males (Kawai, Ohsawa, Mori, & 106 Dunbar, 1983; Snyder-Mackler, Beehner, & Bergman, 2012). OMUs that share a common home 107 range are subsequently nested within a *band*. The OMUs within a band aggregate and separate at 108 irregular intervals, and are sometimes joined by OMUs from other bands, resulting in a modular 109 social grouping system. All the geladas present at a particular time are referred to as a *herd* 110 (Kawai et al., 1983; Snyder-Mackler et al., 2012). Gelada herds, which can contain up to 1,200 111 individuals, represent some of the largest aggregations of any primate species (Snyder-Mackler 112 et al., 2012; Bergman & Beehner, 2013).

113 One function of the aggregations formed by geladas may be to mitigate predation threats 114 (Crook, 1966; Dunbar & Dunbar, 1975). Individuals in a large herd may benefit from both the 115 increased predator detection probability offered by so many eyes and ears and the reduced 116 likelihood during a successful attack that any one individual will be the one taken by a predator 117 (i.e., the dilution effect) (Hamilton, 1971; Isbell, 1994a; Olson, Hintze, Dyer, Knoester, & 118 Adami, 2013). In addition to forming large aggregations, geladas adopt several other anti-119 predator strategies that, like in other primates, may vary depending on the type of predator 120 encountered and the circumstances surrounding the encounter (Cheney & Seyfarth, 1990, 121 Crofoot, 2012). Though occasional cases of active defense towards predators, such as chasing or 122 mobbing behavior, have been observed (leopards: Iwamoto, Mori, Kawai, & Bekele, 1996; 123 domestic dogs, *Canis lupus familiaris*: C.M. Miller, pers. observ.), geladas primarily exhibit less 124 aggressive, more evasive anti-predator behaviors. These responses to predators can involve 125 alarm-calling, heightened vigilance, or flight to the safety of sleeping cliffs, the geladas' only 126 significant refugia in their Afroalpine habitats (dogs: Iwamoto, 1993; Iwamoto et al., 1996; 127 leopards: Hunter, 2001).

128 The Afroalpine grasslands of the Ethiopian Highlands provide an excellent ecosystem in 129 which to study predator-prey dynamics. This is due partly to the presence of a variety of 130 microhabitats that could contribute to variation in predator-prey interactions, and partly to the 131 open-country nature of the highlands that allows for observations of behavioral responses of prey 132 to predators when refugia are scarce (Ashenafi, 2001; Fashing, Nguyen, Venkataraman, & 133 Kerby, 2014) (Fig. 1). Furthermore, Ethiopian Afroalpine grasslands that have not been heavily 134 degraded by livestock grazing or farming still support diverse carnivore assemblages, including 135 leopards, spotted hyenas (Crocuta crocuta), servals (Leptailurus serval), African wildcats (Felis

*lybica*), Ethiopian wolves (*Canis simensis*), and cryptic African wolves (*Canis aureus lupaster*)
(Gutema et al., 2018; Venkataraman, Kerby, Nguyen, Ashenafi, & Fashing, 2015). Although
geladas exhibit a range of behavioral responses to canids, from passive tolerance of Ethiopian
wolves in their herds to alarm calling and immediate flight away from domestic dogs

140 (Venkataraman et al., 2015), little is known about how geladas react to wild felids.

141 In this report, we provide complete accounts of seven encounters between leopards and 142 geladas in an intact Afroalpine grassland ecosystem, the Guassa Community Conservation Area, 143 in north-central Ethiopia. During each leopard sighting, we recorded detailed data on the 144 behavior and location of nearby gelada(s) and the overall herd size, as well as the dominant 145 vegetational characteristics of the habitat in which the encounter occurred. Here, we evaluate 146 gelada responses to leopards by examining a mix of quantitative and anecdotal information on 147 movement and spacing patterns at the time of and after each encounter. We then compare gelada-148 leopard interactions at Guassa with those at two other sites (Arsi and Simien Mountains National 149 Park) and discuss how gelada vulnerability and responses to leopards compare with those of 150 other primate species living in less open habitats containing more refugia. Lastly, we briefly 151 consider how living in multi-level societies may represent an adaptive response by geladas and 152 other open-country primates to predation pressure from leopards and other large carnivores.

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#### 154 METHODS

155 Study Site and Subjects

This study was conducted in the Guassa Community Conservation Area (hereafter Guassa), an intact 111 km<sup>2</sup> Afroalpine grassland ecosystem in the Menz Highlands of northcentral Ethiopia (N 10°15'-10°27'; E 39°45'-39°49'). Guassa sits atop a plateau 3,200-3,600 m

159 a.s.l., along the western rim of the Great Rift Valley, and has been protected by an indigenous 160 community conservation system for the past 400 years (Ashenafi, 2001; Ashenafi & Leader-161 Williams, 2005; Welch, Kerby, & Frost, 2017). Guassa's boundaries are delineated by cliffs 162 along its eastern border, and local farmlands everywhere else, and is named after guassa 163 (*Festuca macrophylla* Poaceae), a perennial tall grass of up to 1 m in height that is abundant in 164 the region (Ashenafi, 2001; Fashing et al., 2014). In addition to plains dominated by guassa, 165 other microhabitats at Guassa include open short graminoid and forb dominated areas, seasonal 166 wetlands dominated by tall tussock graminoids, areas dominated by shrubs or giant lobelias 167 (Lobelia rynchopetalum Campanulacaeae), and a small non-native cypress tree (Cupressus 168 *lusitanica* Cupressaceae) plantation (Ashenafi, 2001; Fashing et al., 2014). At Guassa, tussocks 169 (often the sedge, *Carex monostachya* Cyperaceae) can reach up to 1 m in height, shrubs 1-2 m, 170 giant lobelias 3 m, and cypresses 8-10 m, while short graminoids are typically < 0.1 m tall 171 (Fashing et al., 2014). Mima mounds, dome-like mounds up to 1.5 m tall and 3 m wide created 172 by rodents (Ashenafi, Leader-Williams, & Coulson, 2012), are also a common feature at Guassa 173 and are often covered with tall graminoids and shrubs (Fig. 1B). Only three human structures 174 exist on Guassa: a campsite (Gelada Camp: 10°20'N, 39°49'E, Elev: 3438 m) consisting of 5-7 175 closely spaced tents occupied by Guassa Gelada Research Project (GGRP) researchers and staff, 176 a small ecotourist lodge (Wolf Lodge) operated by the local community 5 km south of Gelada 177 Camp, and a small elementary school (School) 1 km south of the Wolf Lodge (Fig. 2). 178 The observations described here are part of the GGRP, an ongoing long-term study of 179 gelada behavioral ecology that began in December 2005 (systematic continuous data collection 180 began in January 2007: Nguyen et al., 2015). Five of the seven gelada-leopard interactions 181 described herein occurred over the course of a single year, from May 2017 to May 2018, and the

182 remaining two were observed prior to May 2017 (02 October 2012 and 05 February 2015). 183 Researchers have collected data on geladas belonging to the "Steelers Band" (totaling ~220 184 individuals in 15 OMUs in January 2007 and ~150 individuals in 13 OMUs in May 2018) on 2 185 of every 3 days on average since the start of continuous data collection in January 2007. All 186 geladas in the study band are individually recognizable and well-habituated to the presence of 187 researchers. Their mean daily travel distance is 3,496 m, with a mean annual home range of 9.3 188  $km^2$  (95% fixed kernel estimate; n = 5 years) (Moua, 2015). The fluidity of gelada social 189 organization (Snyder-Mackler et al., 2012) means that on any given day, not all Steelers Band 190 OMUs were necessarily present together, and that non-Steelers OMUs often traveled with the 191 band for hours, days, weeks, or even months at a time. As such, herd counts, conducted at least 192 once per observational day, typically consisted of both Steelers and non-Steelers individuals.

#### 193 Behavioral Data Collection

194 As part of the regular data collection protocol of the GGRP, researchers obtained GPS 195 points at 30-min intervals to track the gelada herd's daily movement patterns, beginning between 196 0700-0800 h and ending between 1730-1800 h (Moua, 2015). In the event of a leopard sighting, 197 other data collection was abandoned and all leopard and gelada behaviors and interactions were 198 recorded on an *ad libitum* basis (Altmann, 1974). Data gathered during these encounters included 199 a count of the number of geladas present in the herd, a description of the vegetation in which the 200 encounter occurred, an estimate of the distance between the leopard and the nearest gelada and 201 researcher, an estimated range of inter-individual spacing between geladas before and after the 202 leopard sighting, any observed gelada vigilance behavior including alarm calling, and all other 203 interspecific interactions between the leopard and the geladas. Researchers also recorded the age 204 class, sex, and where possible, identity, of the closest gelada to the leopard (adults were

designated to be of "prime age" if they appeared to be in early- to mid-adulthood and showed no
signs of advanced aging: Nguyen et al., 2015). Finally, where possible, researchers also recorded
GPS points at the locations of the researcher, the leopard, and the closest gelada to the leopard in
each encounter.

All protocols for this research were reviewed and approved by the Ethiopian Wildlife Conservation Authority and the IUCAC at California State University Fullerton. All research reported here also adhered to the legal requirements of Ethiopia and to the American Society of Primatologists Principles for the Ethical Treatment of Nonhuman Primates.

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#### 214 **RESULTS**

215 During the first 5.75 years (January 2007-September 2012) of continuous observation by 216 members of the GGRP, no encounters between leopards and geladas were recorded. Over the 217 subsequent 5.75 year period (October 2012-May 2018), 7 gelada-leopard encounters were 218 observed (Fig. 2). We do not believe this difference in leopard sightings between the periods 219 relates to changes in gelada habituation over time (by mid-2008, they were already habituated to 220 within 5-10 meters of observers) and our rates of gelada observation have not changed over the 221 course of the study (except in 2017-18 when an extra observer was in the field enabling more 222 frequent monitoring than 2 of every 3 days).

Six of the 7 encounters with leopards occurred on days when geladas were in herds smaller than their average size that month and year (Table 1). On average, gelada herd sizes during leopard encounters were 22% smaller than the average herd counts for the months, and 27% smaller than the average herd counts for the years, when these encounters occurred.

Furthermore, 4 of the 8 encounters with leopards occurred during 2018, when geladas formedmuch smaller average herds than in any previous year.

In encounters with leopards, geladas typically gave alarm calls (n = 7 of 7 encounters), decreased their inter-individual distances (n = 5), and collectively fled towards or remained at their sleeping cliffs (n = 7). Geladas did not engage in mobbing behavior towards leopards. Below, we provide detailed descriptions of each encounter's environmental context, and the behavioral interactions between leopards and geladas in each instance, starting with the three leopard attacks on geladas (see Table 2 for a summary).

235

#### 236 Attack 1. The unsuccessful pursuit of members of a gelada herd by a leopard

237 At 1430 on 05 February 2015, a herd of 224 geladas were traveling north up a ridge to a 238 plateau dominated by patches of giant lobelias, guassa, and other tall graminoids, occasionally 239 stopping to feed. The geladas were widely distributed (spaced 2-20 m apart), with the leaders of 240 the OMUs crossing the plateau 500 m ahead of the bachelor males, who had yet to ascend the 241 ridge at the back of the herd. The remaining geladas were spread out approximately 100 m across 242 the face of the ridge, making their way up to the plateau. Shortly after ascending the ridge, the 243  $\sim$ 80 geladas at the front of the herd turned around and started running south, past the researcher 244 (EKB), towards the bulk of the herd, which was still ascending the ridge. At this time, EKB 245 heard several alarm calls, and an adult leopard emerged from a stand of giant lobelias, chasing a 246 group of geladas. The leopard was 5 m away from the closest gelada in the herd, likely an adult 247 female, but after an ~8 m pursuit, the leopard noticed the researcher 30 m away and immediately 248 discontinued the chase and ran back into the stand of giant lobelias from which it had emerged. 249 The chase and retreat by the leopard lasted ~10 seconds. Following the attack, the geladas

remained in place and continued to alarm call for several minutes, at estimated inter-individual distances of 1-5 m, before travelling east towards a sleeping cliff. Several hundred meters east of where the attack occurred, the geladas again reached the top of the plateau, where they remained, 1-2 m apart, to graze, groom, and rest. Following the attack, the geladas spent the night at a different sleeping cliff than the one they had slept on the previous day, though these cliffs are spaced only a few hundred meters apart.

256

#### 257 Attack 2. The unsuccessful pursuit of an adult male gelada by a leopard

At 0833 on 20 February 2018, a herd of 72 geladas departed from their sleeping cliff, and gradually ascended 500 m up a steep rise and onto a short grass meadow plateau covered with mima mounds. Individual geladas, spaced 5-10 m apart, foraged as they made their ascent.

261 At 0915, several adult males moved northwest up a gradual rise consisting of several rock 262 outcrops, briar root shrubs (*Erica arborea* Ericaceae) and tall graminoids, and disappeared from 263 sight. At 0949, the males (~40 m from the closest herd members) bounded down the rise towards 264 the bulk of the herd, emitting loud alarm calls that the rest of the herd quickly emulated. A few 265 seconds later, Logan (LOG), the large, prime age adult leader-male of a study OMU (K-unit), 266 was seen leaping off a rocky outcrop towards the main herd, pursued by an adult leopard,  $\sim 2 \text{ m}$ 267 in length. The leopard was only 1-2 m behind LOG, but after a short pursuit (5-10 m), it saw the 268 observers and immediately aborted the chase, turned around, and fled back up the rise and out of 269 sight.

At its closest, the leopard was 15 m away from the observers (BL, IRF) and remained in sight for <10 seconds. During the pursuit, the main gelada herd fled 20 m, pausing ~50 m away from the leopard's closest point, and 10 m from the edge of a steep downgrade that eventually gave way to sheer sleeping cliffs 500 m below. The geladas continued to alarm call for 5 minutes

following the attack, and when LOG was seen in the herd shortly after, he had a fresh 1 x 3 cm wound above his left brow that was likely incurred while fleeing from the leopard.

At 0959, the herd began traveling east, back towards their sleeping cliffs, moving in tight formation with all individuals  $\leq 5$  m apart. After their descent from the plateau, at 1052, the gelada herd ventured onto farmlands below their sleeping cliff, and subsequently out of sight. The geladas were located at 0949 the next day (21 February 2018) at a sleeping cliff 2 km north of their original cliff.

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#### 282 Attack 3. The ambush capture of an adult male gelada by a leopard

283 At 1630 on 03 April 2018, a herd of 73 geladas was grazing in a sedge-dominated (Carex 284 monostachya Cyperaceae) tussock habitat 200 m east of the Wolf Lodge, dispersed 2-15 m apart 285 (Fig. 1C). At this time, You-Know-Who (YOU), a prime-age follower-male in a study OMU (V-286 unit), was obscured from view in the ~1 m tall tussocks. The next closest individual, an 287 unidentified sub-adult male, was ~10 m away. At 1631, many members of the herd suddenly 288 emitted intense alarm calls and sprinted ~100 m west, out of the tussocks and into a short 289 graminoid dominated habitat, in the opposite direction of their sleeping cliffs. The geladas 290 clustered together, <2 m apart, and faced the tussocks as they continued to alarm call and display 291 vigilance behavior, with a few individuals standing bipedally.

From 1631 to 1633, YOU's body was seen being moved, but was almost entirely obscured from view by the tall tussocks. During this time, the gelada herd ran east back towards the sleeping cliffs and out of sight, passing within 150 m of YOU's location in the tussocks. A few moments later, YOU's body reached the edge of the tussocks and into the short grass, and the adult leopard carrying it, ~2 m in length, was clearly seen for the first time.

The leopard continued walking northeast along the edge of the tussocks, intermittently carrying and dragging YOU's body by the neck towards the nearby cypress plantation (Fig. 4). At 1634, having moved ~30 m from the attack site, the leopard stopped, turned around, and upon seeing the observer (BL) ~100 m away, immediately abandoned YOU's body and fled into the cypress plantation. There were no other geladas in sight by this time.

302 By the time BL approached YOU's body at 1640, YOU was already dead and children 303 from the school south of Wolf Lodge had begun gathering around YOU's body, probably 304 because they heard the geladas' alarm calls. As a result, BL decided to carry YOU back to the 305 Gelada Camp for a post-mortem examination. The next day, the geladas were found at a 306 different sleeping cliff, a few kilometers north of the one from the day before.

307 On 04 April 2018, BL and IRF dissected YOU. Blood stains were noted on YOU's 308 muzzle and anus, and his body was in *rigor mortis* with bloating around his midsection. YOU 309 weighed 17.75 kg and his presumed cause of death was a broken neck. Six additional injuries 310 attributed to the leopard attack were also present: a scratch on his left breast, and puncture 311 wounds on his right breast, the center of his throat, under his chin, and on the left side of his 312 lower jaw (Fig. 3). YOU also had a large parasitic swelling (10 x 8 x 5 cm in size) on his right 313 breast caused by the tapeworm *Taenia serialis* (Nguyen et al., 2015), which had not seemed to 314 impede his movement or behavior prior to his death. After the post-mortem was completed, 315 YOU was buried at Gelada Camp for future excavation and donation to the Comparative 316 Mammalogy Lab at the National Museum of Ethiopia.

In addition to the three leopard attacks, we witnessed four additional gelada interactionswith leopards that did not culminate in attempted predation.

319

#### 320 Interaction 1. Gelada-leopard encounter in a valley

321 At 1300 on 02 October 2012, a herd of 135 geladas, most of whom had been foraging 322 moments earlier, began issuing loud alarm calls and looking across the small valley where they 323 were located. Following their gaze, one of the two observers (BSK) saw a single unidentified 324 adult male gelada ~200 m away. Spotting movement ~100 m below the unidentified male, BSK 325 looked through binoculars to see a leopard moving rapidly up the valley slope, away from both 326 the lone gelada male and the gelada herd. The leopard paused behind a bush, and then 327 disappeared into tall graminoids. Based on the location where it was first spotted and its direction 328 of movement, the leopard probably emerged from a dense microhabitat of giant lobelias and 329 shrubs located between the gelada male and the herd shortly before the first alarm call was 330 uttered. After the leopard sighting, the gelada herd reversed their direction of travel, moving 331 away from the leopard's last location and back towards their sleeping cliffs.

332

#### 333 Interaction 2. Gelada-leopard encounter at a cliff

At 0803 on 28 September 2017, four gelada OMUs (~50 geladas) were ascending from their sleeping cliff onto the plateau above. Half were resting or feeding on the cliff edge, dominated by rocks and *guassa*, and half were resting on large boulders ~300 m below the cliff edge, dispersed over 40 m with individuals 0-10 m apart. At the base of the cliff were large boulders, tall shrubs, giant lobelias, and large succulents.

At 0840, geladas at the base of the cliff began alarm-calling, followed shortly by the geladas at the top of the cliff. At the bottom of the ~200 m tall cliff, an adult leopard (~2 m in length) was seen traveling across the boulders, passing within 60 m of the closest gelada, an unidentified adult male, near the cliff base. The leopard paused briefly and looked in the direction of the alarm-calling geladas, but did not alter its course, and remained in view as it
headed south, away from the geladas, from 0842 to 0845. The leopard did not seem to notice the
observer (CMM) at any point during the encounter, and once it was out of sight, the geladas
continued to alarm call for five minutes until 0850, when they unhurriedly resumed ascending
the cliff and began their day's ranging on the plateau above. During the interaction, geladas
exhibited no noticeable change in inter-individual spacing.

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### 350 Interaction 3. Gelada-leopard encounter below a cliff

At 0900 on 19 March 2018, a herd of ~50 geladas was grazing at the bottom of their sleeping cliff, dispersed over ~80 m, spaced 5-10 m apart. This habitat consisted of thick vegetation, a mix of shrubs, short graminoids, and giant lobelias, which transitions into private farmlands ~100 m east from the cliff base. *Guassa* and other tall graminoids predominated higher up on the cliff.

At 0925, the geladas began alarm-calling and looking towards the north side of the cliff, which drops into a V-shaped canyon. An unidentified adult male gelada ran in the direction in which the herd was alarm-calling, followed closely by a medium sized-juvenile ~5 m behind. At this point an adult leopard (~2 m in length) was observed ascending the cliff along a nearly vertical gradient (See Figure 1, Photo E), about 150 m away from the observer (CMM). The leopard was ~40 m from the nearest adult male gelada (previously seen running towards the leopard), who continued to alarm call and follow the leopard at this distance,

363 sprinting a short distance while intermittently stopping and looking back at the other herd

members. While the leopard looked in the direction of the alarm-calling geladas, it did not react

in any other way. The leopard continued to ascend the cliff and was lost from view at 0933

amidst the tall graminoids towards the clifftop. The geladas alarm-called for several minutes and reduced inter-individual spacing to  $\leq 2$  m. By 0945, the geladas ascended the south side of the cliff, traveling in the opposite direction, away from where the leopard was last seen traveling.

## 370 Interaction 4. Gelada-leopard encounter on the plateau

At 0830 on 12 April 2018, a herd of ~80 geladas was traveling away from their sleeping cliffs in a southerly direction to a small valley covered by mima mounds and dominated by tall graminoids. Conditions were foggy, resulting in poor visibility. The geladas moved in tight formation, dispersed over ~50 m with individuals spaced 2-5 m apart. By 1330, the geladas reached a rocky outcropping at the upper, southern edge of a valley, and they began grooming and huddling for warmth.

377 At 1345, the geladas began alarm-calling and looking in a northerly direction, back 378 towards the valley, and at 1355, the observer (CMM) saw an adult leopard's head briefly appear 379 above a mima mound and the tall graminoids growing atop it (together  $\sim 1.5$  m in height) before 380 disappearing from view. The leopard was ~40 m away from the observer and the nearest gelada, 381 an unidentified large juvenile male from a bachelor unit. After the leopard's disappearance at 382 1356, a small group of individuals, including the large juvenile male, alarm called for several 383 minutes spaced <2 m apart. At 1405, the gelada herd began traveling east, towards their sleeping 384 cliffs and in the opposite direction from where the leopard was seen. The herd descended to the 385 farmlands below the cliffs at 1515. The next morning (13 April 2018) at 1151, the herd was 386 found 1.5 km to the south of their sleeping cliff from the previous day.

387

#### 388 **DISCUSSION**

#### 389 Behavioral responses of geladas to leopards at Guassa

Here we provide some of the most detailed accounts to date of primate responses to leopards, offering insights into the strategies geladas use to cope with leopard predation risk in open grassland habitats where (often distant) cliffsides constitute the only source of refuge (Hunter, 2001; Moua, 2015). Upon encountering a leopard, geladas at Guassa typically engaged in a sequence of three behaviors: alarm-calling, group clustering, and fleeing towards or remaining at refugia (i.e., sleeping cliffs).

396 Geladas gave alarm calls in all seven encounters with leopards and reduced inter-397 individual spacing during at least five of these encounters. According to Hamilton's (1971) 398 "selfish herd hypothesis", each individual possesses a "domain of danger", the unoccupied space 399 around which they are at risk of random attack, so clustering should decrease this unoccupied 400 space and subsequently lower each individual's predation risk (Stankowich, 2003). After alarm 401 calling and clustering, geladas generally fled in unison towards their sleeping cliffs, sites they do 402 not return to until 1800 or later on a typical day. In the two instances when this flight did not 403 occur, the geladas were already close to their sleeping cliffs. In all other observations, early cliff 404 returns constituted a significant deviation from the herd's normal ranging patterns, likely 405 resulting in decreased foraging times and subsequent reductions in daily caloric intake. This 406 suggests that geladas may at least temporarily avoid areas in the landscape with high perceived 407 risk of predation (e.g., where leopards were most recently seen). Several savanna-woodland 408 dwelling primates have also been shown to alter their behavior or ranging in response to the 409 potential presence of predators (e.g., grivets, Chlorocebus aethiops: Coleman & Hill, 2014; 410 vervets, *Chlorocebus pygerythrus:* Willems & Hill, 2009; patas monkeys, *Erythrocebus patas*: 411 Burnham & Riordan, 2012).

412	Our findings suggest that microhabitat variability contributes to variation in predation
413	risk for geladas at Guassa. Even in an open-country habitat, areas dominated by low-visibility
414	vegetation (e.g., tussocks, mima mounds, shrubs, giant lobelias) likely provide hidden viewsheds
415	for ambush predation. This would increase predation risk (cf., Loarie, Tambling, & Asner, 2013)
416	and may create a "landscape of fear" (cf., Laundre, Hernandez, & Ripple, 2010; Willems & Hill,
417	2009) effect of leopards and other predators (e.g., hyenas, servals) for geladas. All three of the
418	leopard attacks observed in this study occurred in low-visibility microhabitats, a pattern
419	consistent with reports of predation on other primates, including by leopards (guinea baboons,
420	Papio papio: Cowlishaw 1994; chimpanzees, Pan troglodytes: Boesch, 1991).
421	Our results also suggest that leopards, as ambush hunters, preferentially stalk geladas in
422	smaller aggregations, when fewer eyes and ears make them less likely to be detected. Though
423	our sample size is small, six of the seven encounters with leopards occurred on days when
424	geladas were in herds smaller than their average size that month and year (Table 1). On the one
425	encounter day (05 Feb 2015) when herd size (224 individuals) slightly exceeded the averages for
426	that month (220) and year (205), the herd was spread over ~500 m and it was only ~80 geladas
427	that crested a hill ahead of the others that encountered and fled from the leopard. These results
428	are consistent with the long-held notion that the tendency of gelada OMUs to aggregate in large
429	numbers is aimed at reducing predation risk given their extreme vulnerability while foraging far
430	from the refugia of their sleeping cliffs (Crook, 1966; Dunbar & Dunbar, 1975). Although it has
431	been suggested that for primates in forested habitats, beyond a certain group size threshold,
432	additional individuals do not enhance predator detection (Grueter & van Schaik, 2010; Janson,
433	Monzón, & Baldovina, 2014), we posit that for geladas in open country habitats, more eyes and
434	ears may make a real difference in reducing the risk of predation from leopards and other large

carnivores. This reasoning has long been applied to explain why ungulates living in open habitats
form much larger aggregations than those inhabiting forests (Jarman, 1974; Brashares, Garland,
& Arcese, 2000). How a leopard hiding nearby might actually go about estimating the number of
geladas is unclear though geladas are very vocal primates, producing many and varied
vocalizations (Gustison, Johnson, Beehner, & Bergman, 2019), providing one possible means of
roughly assessing the size of a herd.

441 Finally, it should be noted that in all three observed leopard attacks, the presence of 442 researchers, once seen by leopards, resulted in an abrupt cessation of predatory behavior and 443 immediate flight of the leopard. Consistent with observations at other research sites (vervets at 444 Amboseli, Kenya: Isbell, 1994b; olive baboons (Papio anubis) at Laikipia, Kenya: Isbell et al., 445 2018; chacma baboons (*Papio ursinus*) at Moremi, Botswana: Busse, 1980; chimpanzees at Tai 446 Forest, Côte d'Ivoire: Boesch, 1991), large terrestrial predators may be discouraged from 447 pursuing potential primate prey by the presence of researchers, which would affect predation rate 448 and bias predation events towards non-study days (i.e., "the Nairobi effect": Isbell, 1994b). 449 Further, in the two instances in which researchers were spotted by a leopard in close pursuit of a 450 gelada, the natural outcomes of these interactions may have been altered. As such, the use of 451 GPS collars on both predators and prey (e.g., Isbell et al., 2018) and other non-invasive data 452 collection methods (camera trapping, fecal surveys, etc.) are promising avenues for 453 supplementing direct observations of predator-prey interactions. 454

### 455 Behavioral comparisons across gelada populations in Ethiopia

While a comparison of this study with previous work on canid-gelada interactions at
Guassa (Venkataraman et al., 2015) suggests that behavioral responses differ partly by the type

458 of potential predator, a comparison of gelada-leopard encounters at Guassa and at other gelada 459 study sites suggests that variable responses to the same predator species also occur. At Arsi, 460 which contains the only gelada population south of the Rift Valley, Iwamoto et al. (1996) 461 observed male geladas mobbing an adult leopard. Adult and adolescent males emitted loud barks 462 and bluff-charged to within 3 m of a leopard while females and smaller juveniles sheltered in 463 trees and bushes nearby. No incidents of such mobbing occurred throughout the seven gelada-464 leopard encounters observed at Guassa. While we observed gelada males alarm-calling without 465 immediately fleeing in four encounters with leopards, including one case where an adult male 466 followed a departing leopard, this only occurred when the leopard was first spotted at a safe 467 distance, and never culminated in any further defensive action. In Simien Mountains National 468 Park, the only observed gelada-leopard encounter resulted in alarm-calling and the gelada herd 469 fleeing 700 m, the greatest recorded distance the geladas fled from any predator during a one-470 year study period (Hunter, 2001).

471 Habitat variation across sites may explain some of the observed differences in gelada 472 responses to leopards among populations. Arsi is significantly smaller (~30 km<sup>2</sup>: Abu, 473 Mekonnen, Bekele, & Fashing, 2018) than either Guassa (111 km<sup>2</sup>: Fashing et al., 2014) or the 474 Simien Mountains (169 km<sup>2</sup>: Hunter, 2001), and offers fewer sleeping cliffs and less expansive 475 and undisturbed plateau areas for foraging (Arsi farmlands begin just 20-200 m inland from the 476 gelada sleeping cliffs: Iwamoto et al., 1996). As such, flight to distant cliffs is not as viable an 477 option for predator avoidance at Arsi as it may be at Guassa and the Simiens, and Arsi geladas 478 may have no option but to confront leopards directly. This would be especially relevant if escape 479 to nearby cliffs did not fully protect geladas from leopards. Indeed, on one occasion, we 480 observed a leopard traversing a nearly vertical section of a gelada sleeping cliff at Guassa. Thus,

481 because geladas spend most of their time near their sleeping cliffs at Arsi, geladas may be
482 compelled to adopt a 'fight rather than flight' strategy of active defense at this site.

483

#### 484 Leopard predation on geladas and other primates

485 As in several other primates, adult male geladas appear to be particularly susceptible to 486 leopard attacks. This may be because males, rather than females, are more likely to physically 487 confront predators in an encounter (geladas: Iwamoto et al., 1996; chacma baboons: Busse, 1980; 488 Campbell's monkeys, *Cercopithecus campbelli*: Ouattara, Lemasson, & Zuberbühler, 2009; 489 proboscis monkeys, Nasalis larvatus: Matsuda, Tuuga, & Higashi, 2008; chimpanzees: Boesch, 490 1991). In addition, males, particularly bachelor males, often forage at the periphery of the group 491 (patas monkeys: Burnham & Riordan, 2012; geladas: Pappano, Snyder-Mackler, Bergman, & 492 Beehner, 2012), thereby increasing their vulnerability to predation, especially by ambush 493 predators. In our observations at Guassa, for example, gelada males were nearly always found on 494 the herd's periphery, and were the targeted prey of leopards in two of the three cases of 495 attempted predation. Similarly, an adult male was the victim in the leopard attack on a gelada 496 reported at Arsi (Iwamoto et al., 1996).

Leopards are capable of remaining hidden nearby for long periods before attacking or being detected by their primate prey (Zuberbühler, Jenny, & Bshary, 1999). However, once they have detected a leopard, many primates use alarm-calls to deter or thwart leopard attacks (Zuberbühler, Jenny, & Bshary, 1999). In our study, geladas always uttered alarm calls during encounters with leopards. These calls may have served to alert one another that a leopard was nearby or to alert the leopard that it had been seen (cf., Zuberbühler, Jenny, & Bshary, 1999; Price et al., 2015). In the one instance where we observed a leopard capture and kill a gelada,

alarm calls were uttered only after the attack had already occurred, raising the possibility that the geladas were unaware of the leopard's presence nearby prior to the attack. Whether leopards and other predators are actually deterred by gelada alarm calls may depend on the distance of the predator from the nearest gelada at the time an alarm call is uttered and the specific features of the habitat in which the encounter occurs.

509 If, as we suspect, concealment is important to a leopard's predatory success on geladas, 510 then the microhabitat occupied by geladas should influence their risk of predation. Indeed, many 511 primate species are known to increase vigilance rates in high-risk areas or avoid these areas 512 altogether (olive baboons: Matsumoto-Oda, 2015; white-faced capuchins, Cebus capucinus: 513 Campos & Fedigan, 2014; patas monkeys: Burnham & Riordan, 2012; red-tailed monkeys, 514 Cercopithecus ascanius: McLester, Sweeney, Stewart, & Piel, 2019). Additional observations of 515 gelada-predator interactions are needed to evaluate whether geladas alter their vigilance behavior 516 in high-risk microhabitats or their ranging patterns following encounters with predators.

517

#### 518 Evolution of multi-level societies in geladas, hamadryas baboons, and hominins

519 We have shown previously that geladas do not exhibit signs of fear towards some large 520 carnivores like Ethiopian wolves, with whom they appear to form commensal interspecific 521 associations (Venkataraman et al., 2015). In contrast, in this study we document strong fear 522 responses by geladas towards leopards and establish that leopards prey on geladas. These results 523 highlight how important the threat of leopard predation (though a rarely observed phenomenon) 524 remains in the lives of modern geladas, who are unusual among nonhuman primates in forming 525 multi-level societies. We suggest that this evidence supports the hypothesis that predation, 526 particularly by large felids, has been a selective factor for the formation of multi-level societies

in geladas, as it may also have been for their close hamadryas baboon relatives, as well as theirmore distant hominin relatives.

529 The formation of multi-level societies in geladas likely represents an adaptation to 530 balance the conflicting pressures of feeding competition and predation. Geladas form large 531 aggregations that can disperse in modular sub-groups to range and feed (Crook, 1966; Bergman 532 & Beehner, 2013). Grouping facilitates predator detection (van Schaik, 1983) and diminishes 533 individual predation risk (Hamilton, 1971; Olson et al., 2013), so the high vulnerability of 534 geladas to predation in the open grassland habitats they occupy may favor the formation of very 535 large aggregations in this species. In contrast, feeding competition, especially when food is 536 scarce, favors smaller, more dispersed groups (Koenig, 2002; Wrangham, 1980). This 537 competition probably accounts for the frequent fissioning that occurs within gelada herds, 538 particularly during the dry season when food is less abundant (Hunter, 2001). 539 Evidence of a similar trade-off between vulnerability to predation and feeding 540 competition also exists for another open-country primate living in multi-level societies, 541 hamadryas baboons (Swedell, 2006; Schreier & Swedell, 2012). Aggregations formed by 542 hamadryas do not reach the enormous sizes of gelada herds, suggesting that their reliance on 543 patchier, higher-quality food items provokes feeding competition at much smaller sizes

544 (Schreier, 2010; Swedell & Plummer, 2012). Still, given that both geladas and hamadryas

545 occupy open-country habitats in which refugia are scarce, their modular social systems – which

546 enable the formation of large aggregations of hundreds of individuals – suggest that predation

547 was and remains a powerful selective pressure on their lives.

548 Several million years ago, both hominins and the theropith ancestors of modern geladas 549 transitioned from living in woodland-dominated habitats to more open-country environments, an

550 environmental shift that likely presented them with novel challenges (Bedaso, Wynn,

Alemseged, & Geraads, 2013; Cerling et al., 2013; deMenocal, 2011; Foley & Gamble, 2009;

Isbell et al., 2018; Jolly, 1970; Pickford, 1993). These presumed challenges included a reduction

in the availability of refugia (Isbell et al., 2018) and an increased reliance on resources in more

seasonal, open-country habitats, putatively including grasses and sedges (Cerling et al., 2011,

555 2013; Paine et al., 2018; Shapiro, Venkataraman, Nguyen, & Fashing, 2016). As a result,

556 hominins and theropiths are both hypothesized to have adopted a fission-fusion way of life –

557 dispersing and re-aggregating at irregular intervals as dictated by changing ecological conditions

558 – ultimately resulting in the formation of multi-level societies (Chapais, 2013; Dunbar, 1993;

559 Grove, Pearce, & Dunbar, 2012; Grueter, Chapais, & Zinner, 2012; Swedell & Plummer, 2012).

560 Perhaps if, as some scholars have suggested, early hominins were constrained by similar

561 foraging pressures to those of geladas and their ancestors (Cerling et al., 2011, 2013; Paine et al.,

562 2018), then the evolution of multi-level societies in hominins may have provided a viable

solution to handle predation risk in larger aggregations, while still offering the flexibility of

smaller unit foraging to cope with variations in the food supply.

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# 821 Figure Legend

- 822
- 823 Figure 1. Habitats where gelada-leopard encounters occurred at Guassa, Ethiopia: (A) Giant
- 824 lobelias atop plateau where *Attack 1* and *Interaction 4* occurred. (**B**) Mima mounds where
- 825 Interaction 3 occurred, representative of the habitat where Attack 2 occurred. (C) Field of
- 826 tussock grass where *Attack 3* occurred. (**D**) Cypress tree plantation near where *Attack 3* occurred.
- 827 (E) Cliffs on which Interaction 2 occurred. (F) Cliffs on which Interaction 1 occurred. Photos by
- 828 Carrie M. Miller (A, C, D, E) and Iris R. Foxfoot (B, F).
- 829



- **Figure 2.** Map of Guassa depicting the locations where gelada herds encountered lone leopards
- and the locations of sleeping cliffs (depicted with solid black lines) at Guassa, Ethiopia between2012-2018.



- **Figure 3.** Post-mortem injuries found on YOU, the adult male gelada killed by a leopard in
- 845 Attack 3. (A) 1.0 x 1.0 cm puncture wound under chin (*top*), 1.5 x 1.0 cm puncture wound to
- 846 center of throat (*middle*), 4.0 x 2.0 cm puncture wound in flesh below neck (*bottom*). (**B**) 1.0 cm
- scratch on right side of chest. (C) Puncture wound on lower left side of jaw. (D) 1.0 x 3.0 cm
- 848 puncture wound on left side of chest. Photos by Bing Lin.



- **Figure 4.** (A) A leopard carrying an adult male gelada, YOU, at Guassa, Ethiopia during *Attack 3.* (B) The same leopard, during the same attack, standing over YOU and turning its gaze
- towards the observer. Photos by Bing Lin.



DATE		HERD COUNT DURING	COMPARISION TO HER	D COUNT THAT MONTH	COMPARISION TO HERD COUNT THAT YEAR				
	TIVE	ENCOUNTER	mean ± S.E.	% difference	mean ± S.E.	% difference			
020CT12	1300	135	186 ± 25	↓27%	156 ± 5	↓13%			
05FEB15	1430	224	220 ± 18	个 2%	205 ± 7	个 9%			
28SEP17	840	50	76 ± 18	√34%	167 ± 9	√70%			
20FEB18	949	72	126 ± 16	√43%	92 ± 8	↓22%			
19MAR18	925	50	63 ± 10	↓21%	92 ± 8	√46%			
03APR18	1631	73	89 ± 25	↓18%	92 ± 8	↓21%			
12APR18	1345	80	89 ± 25	↓10%	92 ± 8	↓13%			

**Table 1.** Gelada herd counts at the time of leopard encounters relative to the mean ( $\pm$  S.E.) herd count for each encounter month and year.

**Table 2.** Summary of key characteristics of the seven encounters between gelada herds and lone leopards at Guassa, Ethiopia between 2012-2018.

GELADA- LEOPARD ENCOUNTER	DATE	TIME	LOCATION <sup>1</sup>	WEATHER <sup>2</sup>	MICROHABITAT <sup>3</sup>	#	GELADA NEAREST LEOPARD			GELADA INTER-INDIVIDUAL SPACING (m) <sup>5</sup>			DISTANCE FROM	DISTANCE	DID	DID	MIN. DISTANCE	
						GELADAS PRESENT <sup>4</sup>	AGE CLASS	SEX	MIN. DISTANCE TO LEOPARD (m)	AT TIME OF ENCOUNTER	AFTER ENCOUNTER	PURSUE GELADA(S)? <sup>6</sup>	NEAREST SLEEPING CLIFF (m) <sup>7</sup>	GELADAS RAN (m) <sup>8</sup>	ALARM CALL?	MOB LEOPARD?	OBSERVER(S) & LEOPARD (m) <sup>9</sup>	OBSERVER(S)
Attack 1	05FEB15	1430	N10°20.05458' E039°48.42882'	sunny, no fog	mima mound, mixed shrub, & giant lobelia	224*	adult	D	5	2-20	1-5	Y	1014	n/a	Y	N	30	ЕКВ
Attack 2	20FEB18	0949	N10°18.459' E039°48.168'	partly cloudy, light fog	mima mound & tall graminoid	72	adult	٥	2	5-10	0-5	Y	352	50	Y	N	15	BL & IRF
Attack 3	03APR18	1631	N10°17.809' E039°48.223'	partly cloudy, no fog	tall graminoid	73	adult	٥	0	4-15	0-2	Y	774	100	Y	N	100	BL
Interaction 1	020CT12	1300	N10°20.52636' E039°48.46344'	sunny, no fog	tall graminoid & mixed shrub	135	adult	٥	100	unk	unk	N	714	100	Y	N	200	BSK
Interaction 2	28SEP17	0840	N10°19.235' E039°48.578'	sunny, no fog	mixed shrub	50	adult	٥	60	0-10	0-10	N	50	n/a	Y	N	200	СММ
Interaction 3	19MAR18	0925	N10°20.581' E039°48.836'	cloudy, light fog	short graminoid	50	adult	٥	40	5-10	0-2	N	102	n/a	Y	N	150	CMM
Interaction 4	12APR18	1345	N10°18.763' E039°48.331'	cloudy, light to medium fog	mima mound & tall graminoid	80	juvenile	٥	40	2-5	0-2	N	86	n/a	Y	N	40	CMM

<sup>1</sup> GPS coordinates (latitude, longitude) of the leopard when first detected by observer(s)

<sup>2</sup> Weather at time of encounter: no fog = no fog present; light fog = fog present but observers can see objects at least 100 m in the distance;

<sup>3</sup> General categories of plants that predominate in the habitat where the encounter occurred

<sup>4</sup> Approximate number of geladas present at the time of the encounter

<sup>5</sup> Estimated range of inter-individual distances (in meters) between members of the gelada herd shortly before and immediately after encountering a leopard; "unk" indicates that this value is unknown

<sup>6</sup> Were any geladas actively pursued by the leopard? Y = yes, N = no

<sup>7</sup> The distance to the nearest sleeping cliff from where the geladas were located at the time of their encounter with the leopard

<sup>8</sup> The distance the majority of the gelada herd ran (continuously traveled without stopping) after the leopard was first detected

<sup>9</sup> The minimum distance between the leopard and the nearest observer(s)

\* Although the total herd size count at this time was 224 geladas, the leading contingent of ~80 geladas were the only ones to encounter the leopard