

1 Key Controls on the Hydraulic Properties of Fault Rocks in Carbonates

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14

15 **Abstract**

16 A significant knowledge gap exists when analysing and predicting the hydraulic behaviour of
17 faults within carbonate reservoirs. To improve this, a large database of carbonate fault rock
18 properties has been collected from 42 exposed faults, from 7 countries. Faults analysed cut
19 a range of lithofacies, tectonic histories, burial depths and displacements. Porosity and
20 permeability measurements from c.400 samples have been made, with the goal of identifying
21 key controls on the flow properties of fault rocks in carbonates. Intrinsic and extrinsic factors
22 have been examined, such as host lithofacies, juxtaposition, host porosity and permeability,
23 tectonic regime, displacement, maximum burial depth as well as the depth at the time of
24 faulting. The results indicate which factors may have the most significant influence on fault
25 rock permeability, improving our ability to predict the sealing or baffle behaviour of faults in
26 carbonate reservoirs. Intrinsic factors, such as host porosity, permeability and texture,
27 appear to play the most important role in fault rock development. Extrinsic factors, such as
28 displacement and kinematics, have shown lesser or, in some instances, a negligible control on
29 fault rock development. This conclusion is, however, subject to two research limitations: lack
30 of sufficient data from similar lithofacies at different displacements, and a low number of
31 samples from thrust regimes.

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37 Faults have been shown to exert significant control on fluid flow within the subsurface.
38 Research determining the conditions in which faults act as conduits, barriers or partial barriers
39 to flow in siliciclastic reservoirs has been widely documented (e.g. Knipe 1992; Caine et al.,
40 1996; Yielding et al., 1997; Fisher and Knipe 1998; 2001; Bretan et al., 2003; Flodin et al., 2005;
41 Yielding 2015). It is considered that faults within a sand-shale sequence containing a high
42 proportion of shale have a high potential for clay smear or gouge to be generated, lowering
43 the permeability to create a baffle or seal (Yielding et al., 1997; 2010; Fisher and Knipe 1998).
44 On the other hand, faults in clay-poor sandstones may have their permeability lowered by
45 cataclasis and post-faulting quartz cementation (Fisher and Knipe 1998). This understanding
46 can help to reduce uncertainty when estimating the hydraulic properties of fault zones in the
47 subsurface. However, limited research has been undertaken on the impact of faults on fluid
48 flow in carbonate reservoirs, despite their importance in global hydrocarbon reserves; around
49 60% of global oil reserves and 40% of global gas reserves are stored in carbonates (Al-Anzi et
50 al., 2003). Faulted carbonates have been documented as having a range of sealing potentials,
51 from barriers to conduits, or dual conduit-seal characters (Billi et al., 2003; Celico et al., 2006;
52 Agosta 2008; Michie et al., 2018). Despite this fact, there is currently no simple measure of
53 seal potential (analogous to the Shale Gouge Ratio) for faulted carbonates that lack shaley
54 interbeds.

55 Fault zone architecture, evolution and fracture patterns in carbonates have recently received
56 significant attention (e.g. Billi et al., 2003; Micarelli et al., 2006; Ferrill and Morris 2008;
57 Bastesen and Braathen 2010; Molli et al., 2010; Ferrill et al., 2011; Michie et al., 2014; Agosta
58 et al., 2015; Bussolotto et al., 2015; Fondriest et al., 2015; Rustichelli et al., 2016). Also,
59 research has recently been conducted on the deformation mechanisms and microstructures
60 of carbonate fault rocks (Bastesen et al., 2009; Rath et al., 2011; Michie 2015; Schröckenfuchs

61 et al., 2015; Cooke et al., 2018; Ferraro et al., 2018; Kaminskaite et al., 2019). However, there
62 is surprisingly little data on the porosity and permeability of carbonate fault rocks (e.g. Agosta
63 et al., 2007; Bastesen et al., 2009; Haines et al., 2016; Michie and Haines 2016; Tondi et al.,
64 2016; Cooke et al., 2020; Kaminskaite et al., 2020). By the time of this publication, authors
65 were aware of only one publicly available documented study where petrophysical data has
66 been used in a predictive sense for calculation of carbonate fault rock permeability and
67 transmissibility multipliers in a cellular model (Michie et al., 2018).

68 A variety of deformation mechanisms have been documented in faulted carbonates. It has
69 been shown that deformation bands cutting high porosity host rocks, form from a range of
70 mechanisms, including grain crushing, rotation and translation, cementation, pressure
71 solution, peloid disintegration and smearing (Tondi et al., 2006a; Rath et al., 2011; Cilona et
72 al., 2012; Antonellini et al., 2014; Rotevatn et al., 2016; Kaminskaite et al., 2019). The
73 mechanisms vary according to host texture and composition as well as the stress conditions
74 at the time of faulting (Kaminskaite et al., 2019). Despite the variation in mechanisms,
75 deformation bands generally show a decrease in porosity and permeability from the host,
76 varying as a function of evolution (Rath et al., 2011; Antonellini et al., 2014; Tondi et al., 2016;
77 Kaminskaite et al., 2019). Deformation mechanisms and microstructures of fault rocks in
78 highly porous carbonates, with throws larger than deformation bands, are less well
79 documented (e.g. Michie 2015; Cooke et al., 2018). In these examples, the deformation
80 mechanisms vary according to lithofacies, and range from grain-scale cataclasis to
81 brecciation, recrystallisation or purely cementation with no grain crushing, creating a variety
82 of fault rock fabrics. Consequently, the petrophysical properties of these fault rocks vary with
83 lithofacies and have been shown to also vary with how the lithofacies are juxtaposed at
84 different displacements (Michie and Haines 2016).

85 Fault rocks in low porosity carbonates are more widely documented, showing brittle
86 deformation mechanisms such as fracturing, veining and brecciation (Agosta and Kirschner
87 2003; Billi et al., 2003; Micarelli et al., 2006; Bussolotto et al., 2007; Molli et al., 2011;
88 Bussolotto et al., 2015; Schröckenfuchs et al., 2015; Bauer et al., 2016; Ferraro et al., 2018;
89 Ferraro et al., 2019; Ferraro et al., 2020; Kaminskaite et al., 2020). The porosity and
90 permeability of faults in low porosity carbonates are shown to gradually increase from the
91 host rock into the fault zone, with a decrease in porosity and permeability in the inner fault
92 core immediately surrounding the principal slip surface (Agosta et al., 2007). However,
93 porosity and permeability values of these fault core samples are often similar to the values of
94 the host.

95 To assess across-fault flow potential, and consequently, reservoir compartmentalisation, the
96 distribution and petrophysical properties of fault rock within a fault zone must be determined.
97 Accordingly, the research presented here works towards a predictive method to estimate
98 fault rock permeability in carbonate rocks based upon key lithological and fault parameters.
99 The data presented within this paper were collected as part of a consortium project with the
100 ultimate aim of establishing an algorithm to predict fault rock permeability in carbonates. We
101 present microstructural and petrophysical properties from a range of carbonates with varying
102 host textures, porosities and permeabilities, and from varying tectonic settings.

103

104 **Geological Background**

105 In this paper, we document sampled fault zones from multiple localities in seven different
106 countries, namely Germany, Greece, Italy, Malta, Oman, UAE and UK. Samples from
107 Germany, Italy, Oman, UAE are from lithofacies with low host porosity and permeability. The

108 majority of these samples have been recrystallized, occluding porosity, and have been buried
109 to significant depth, 1-6 km (Figure 1). The kinematics of these fault zones vary from normal
110 faulting (Germany, Italy, Oman and UAE), strike-slip (Italy and UAE) to thrust faulting (Oman
111 and UAE). Samples from Greece, Italy, Malta and UK are from hosts with relatively high host
112 porosity and permeability. The host lithofacies from these localities cover the majority of the
113 Dunham classification (Dunham 1962), including chalk, with the exception of mudstones
114 (Figure 2). These samples have been buried to shallower depths, <1 km. The kinematics of
115 these faults are primarily either low strain deformation bands (all four localities) or normal,
116 oblique and strike-slip faults (Maltese Islands). Fault displacement from all localities ranges
117 from millimetre offset, creating deformation bands, up to 5 km. Details for each locality have
118 been summarised into Table 1.

119

120 **Germany**

121 The Elbingerode complex, Central Harz Mountains, Germany, consists of Palaeozoic deposits
122 from the Rhenohercynian fold belt as part of deformation from the Eastern extent of the
123 Variscan orogeny (Brink 2011). Extensional tectonics then followed during the Cretaceous.
124 The studied outcrop consists of Devonian reef carbonates, capping three volcanic edifices,
125 creating a localised high-temperature gradient (Fuchs 1987; Weller 1991; Brink 2011). A c.100
126 m displacement normal fault cuts low porosity (c.1%), recrystallised packstones (Figure 1A)
127 and has been buried to a maximum depth of c.3 km, which is also estimated as the depth at
128 the time of faulting based on geological restoration (Stead 2018).

129

130 **Greece**

131 Samples were collected from deformation bands in Rhodes that formed due to the collapse
132 of the Aegean Sea during the Arabian-Eurasian plate collision in the Pliocene. Later, sinistral
133 strike-slip faulting occurred due to the increased curvature of the plate boundary (ten Veen
134 and Kleinspehn 2002). Depth at the time of faulting is estimated as 520 m, based on total
135 sea-level fall (Cornée et al., 2006). Deformation bands cut the Cape Arkhangelos calcarenite
136 formation; a high porosity (c.43%), bioclastic grainstone containing a high percentage (>50%)
137 of peloids (Figure 2C) (Hanken et al., 1996; Kaminskaite et al., 2019).

138

139 **Italy**

140 Several localities have been studied in both mainland Italy and Sicily: NW Sicily, SW Italy and
141 Gargano promontory.

142 *NW Sicily*

143 NW Sicily is part of the western edge of the Sicilian-Maghrabian fold-thrust belt, active during
144 the Cenozoic due to collision between the North-African margin and Sardinia-Corsica block,
145 composed of south-verging folds and thrusts. Deformation occurred by E-W trending
146 thrusting in the Early Miocene, followed by extensional faulting in the Late Miocene and
147 strike-slip faulting in the Plio-Pleistocene (Catalano et al., 1985; Giunta et al., 2000). Two very
148 different styles of deformation were examined in NW Sicily: deformation bands in high-
149 porosity (c.47%) Upper Pliocene-Lower Pleistocene bioclastic grainstones (Figure 2A; Table 1:
150 NW Sicily (a)) that have been shallowly buried to c.50 m (Abate et al., 1997; Kaminskaite et
151 al., 2019), and larger-scale faulting (metres to tens of metres offset) in low-porosity (<2%),
152 recrystallised packstones and dolostones, of Cretaceous and Triassic age, respectively

153 (Kaminskaite et al., 2020; Figure 1F, Table 1: NW Sicily (b)). Maximum burial depths for the
154 Triassic Pellegrino Quarry dolomite, Triassic Monte Cofano dolomite and Mid-Upper
155 Cretaceous San Vito Lo Capo packstones are 3100 m, 2910 m and 1970 m, respectively. Depth
156 at the time of faulting has been estimated as 2200 m at Monte Cofano, associated with the
157 Miocene thrust event (Tondi et al, 2006b), and 290 m and 200 m at Pellegrino Quarry and San
158 Vito Lo Capo, respectively, associated with the Plio-Pleistocene strike-slip events (Tondi et al,
159 2006b), based on geological restorations (Stead 2018; Kaminskaite et al., 2020).

160

161 *SW Italy*

162 Three main localities have been examined in SW Italy: Sala Consilina, Monte Alpi and Villa
163 D'Agri. All localities are found within the axial portion of the Southern Apennines, a NE-
164 propagating compression belt driven by the collision of Eurasian and African plates from the
165 Miocene to Early Pleistocene. These faults cut low-porosity (<10%) Jurassic-Cretaceous
166 Apulian and Apenninic platform limestones and dolomites (Figure 1B), ranging from
167 recrystallised mudstones to grainstones (Corrado et al., 2002; Van Dijk et al., 2000; La Bruna
168 et al., 2017; La Bruna et al., 2018). The faults are normal at Villa D'Agri and strike-slip at Sala
169 Consilina, and both normal and strike-slip at Monte Alpi, with displacements varying from 50
170 m up to 5 km, and depths of burial of c.1 km for Sala Consilina, c.1500 m for Villa D'Agri
171 samples, and c.6 km at Monte Alpi (Corrado et al., 2002; La Bruna et al., 2017; La Bruna et al.,
172 2018). Depth at the time of faulting is estimated as 390 m, 920 m and 3780 m for Sala
173 Consilina, Villa D'Agri and Monte Alpi, respectively (Stead, 2018).

174

175 *Gargano promontory*

176 Deformation bands have been studied at the Gargano promontory, which has been subjected
177 to two kinematic events related to the Mattinata Fault System: a left-lateral event in the Late
178 Miocene – Early Pliocene, followed by a right-lateral motion in the Late Pliocene (Chilovi et
179 al., 2000). The deformation bands cut the Gravina calcarenite succession, which is a shallowly
180 buried (c.350-400 m), high-porosity (c.38%) bioclastic grainstone (Casolari et al., 2000;
181 Tropeano and Sabato 2000; Kaminskaite et al., 2019).

182

183 **Maltese Islands**

184 Faults on Malta and Gozo are generally oriented ENE-WSW and NW-SE, and formed during
185 the Pliocene-Quaternary as part of the transtensional system in the foreland of the Sicilian
186 Apennine-Maghrabian fold-thrust belt (Pedley et al., 1976; Dart et al., 1993). The faults cut a
187 range of formations with lithofacies varying from wackestones (25-36% porosity) (Figure 2E)
188 to packstones (20-35%) (Figure 2D) and algal packstones (10-15% porosity) (Figure 2F), which
189 have been shallowly buried to depths of 300 m to 1000 m, which are also estimated as the
190 depths at the time of faulting (Dart et al., 1993; Peacock 2001; Kim et al., 2003; Bonson et al.,
191 2007; Michie and Haines 2016; Cooke et al., 2018).

192

193 **Oman**

194 Samples have been collected from three main localities: Wadi Dayqah Dam, Wadi Nakhr and
195 Wadi Mistal. These localities are found across the Oman Mountains, which were formed as
196 part of the Alpine-Himalayan chain from a northeast-directed subduction of the Arabian Plate
197 below the Eurasian Plate (Searle 1985; Al Kindy and Richard 2014). Large faults cut low
198 porosity (<6%) recrystallised Cretaceous carbonates, ranging from mudstone to grainstone

199 lithofacies (Figures 1C and D) that have been buried to several kilometres, generating high
200 temperatures of up to around 250°C (Droste and Van Steenwinkel 2004; Holland et al., 2009;
201 Vandeginste et al., 2013; Richard et al., 2014; Grobe et al., 2016). Fault kinematics vary from
202 normal to thrust faulting.

203

204 **UAE**

205 The United Arab Emirates is located within the interior platform of the Arabian shelf, bounded
206 on the NW by the Qatar-South Fars Arch, and on the east and NE by the foreland basin, and
207 adjacent foreland fold-thrust belt of Oman (Alsharhan 1989). The studied faults occurred
208 both offshore UAE and also in the Oman Mountains in East UAE. The faults outcropping in
209 the Oman Mountains cut carbonates from the Permian to Cretaceous, which have been
210 recrystallised, creating low porosities (<7%) (Figures 1E). Maximum burial depth and depth
211 at the time of faulting are the same, and range between 1.5 and 4 km (Stead 2018). Offshore,
212 faults cut wackestones, packstones and grainstones with high porosities (>20%). Maximum
213 burial depth is c.2-3.5 km, with depth at time of faulting unknown. Faulting varies from small-
214 scale deformation bands to faults with tens of metres throw, with varying kinematics; from
215 normal to strike-slip and thrust faults.

216

217 **UK**

218 Deformation bands have been studied from the Isle of Thanet, SE England, consisting of a
219 monocline of Upper Cretaceous Chalk, which was exposed to deformation from E-W
220 extension, then later NE-SW extension in the late Cretaceous and Tertiary inversion
221 (Bergerate and Vandycke 1994; Ameen 1995; Vandycke 2002). The chalk has a high porosity,

222 from 39 to 45%, and is composed predominantly of a micritic matrix with a minor proportion
223 (c.15%) of bioclasts such as foraminifera (Figure 2B). It has been shallowly buried to a
224 maximum depth of c.300-500 m, which is also taken as the depth at time of faulting (Kennedy
225 and Garrison 1975; Welch et al., 2015).

226

227 **Method**

228 Outcrop and laboratory techniques have been undertaken on the studied faulted carbonates.
229 Microstructural analysis, used to identify the deformation mechanisms that form specific fault
230 rock fabrics, has been combined with measured porosity and permeability values to define
231 relationships of fault rock development, based on both intrinsic and extrinsic factors.
232 Intrinsic factors included lithofacies (texture), lithofacies juxtaposition, host porosity and host
233 permeability. The extrinsic factors considered were kinematics, fault displacement and depth
234 at the time of faulting. The identified relationships are used to analyse the across-fault fluid
235 flow potential of faults in distinct geological settings, improving our ability to predict the flow
236 properties of carbonate fault zones.

237

238 **Sample collection**

239 Over 600 oriented samples of both fault rocks and their respective host lithofacies have been
240 collected using a hammer and chisel from outcrops over several field campaigns. Samples
241 from subsurface cores from industry sponsors have also been gathered. These two sets of
242 samples (from outcrops and cores) were used to analyse fault rock development and
243 petrophysical properties.

244

245 **Microstructural analysis**

246 Oriented fault rock samples were used for optical thin-section and scanning electron
247 microscope-backscatter electron microscopy (SEM-BSE) analysis of deformation
248 microstructures to infer the mechanisms involved in producing each microstructure.

249 Fault rock samples were oriented parallel and perpendicular to fault dip. Samples were
250 impregnated with low viscosity resin containing blue epoxy dye, under vacuum on low
251 permeability samples, to make pore spaces more apparent when viewed using optical
252 microscopy. Thin sections of the host-rock, oriented perpendicular to bedding, were used to
253 examine the representative composition and textures of different lithofacies, as well as their
254 heterogeneity. Specifically, the types of pores and grains were examined. The associated
255 fault rock types can then be related to specific host textures.

256 Classification of whether fault rocks behave in a brittle or ductile manner are based on grain-
257 scale processes by deformation microstructures observed, rather than how they would
258 deform according to their stress-strain behaviour.

259

260 **Porosity and permeability measurements**

261 Petrophysical measurements have been made on c.400 samples. Core plugs were taken
262 adjacent to the representative thin sections, to accurately capture the porosity and
263 permeability of each varying fault rock and host rock microstructure. These core plugs were
264 cleaned to remove salts using deionised water saturated with carbonate sediment of the
265 same composition as the sample, and then dried at 65°C for between 3 and 7 days.

266

267 *Porosity*

268 Porosity, ϕ , was calculated by subtracting the grain volume, V_g , from the bulk volume, V_b
269 using:-

270
$$\phi = \frac{V_b - V_g}{V_b}$$

271 The grain volume was measured using a Quantachrome Stereopycnometer SPY-3 helium
272 pycnometer, by defining the ratio between load pressure and final pressure, based on Boyle's
273 law double-cell method. The measurements were repeated three times to reduce
274 experimental error, and the arithmetic mean values were taken. The bulk volume was
275 calculated from measurements of the length and diameter of the core plugs using a digital
276 calliper, with a precision of 0.01 mm.

277

278 *Permeability*

279 Single-phase helium permeability measurements were acquired using a CoreLab 200 PDP
280 pulse-decay permeameter, adapted to perform both steady-state and pulse-decay methods
281 for high (>1 mD) and low (<1 mD) permeability samples, respectively. Samples were loaded
282 into a rubber sleeve within a core holder. Confining pressures equivalent to the mean
283 effective stresses estimated for each locality when at its maximum burial depth were applied.
284 In pulse-decay permeability tests, the pore pressure was increased and allowed to equilibrate,
285 after which a differential pressure was introduced and both the absolute and differential pore
286 pressures were monitored until the pressure re-equilibrated. Permeability was calculated
287 using the methods of Jones (1997). For steady-state tests, constant upstream pressure was
288 applied while the downstream was vented through a flowmeter. The differential pressure
289 across the sample was monitored until it stabilised, where the flow rate, differential pressure

290 and pore pressure were recorded to calculate permeability at a certain pore pressure,
291 according to Darcy's Law.

292 The permeability was corrected for gas slippage at low pressures using the Klinkenberg
293 method (Klinkenberg 1941). A linear regression of the apparent permeability with the
294 reciprocal of the mean pore pressure, $1/P$, was plotted using several mean pore pressures (\geq
295 4 data points). The intercept on the permeability axis gives the Klinkenberg corrected
296 permeability.

297

298 **Controls on Fault Rock Development: Results**

299 The porosity and permeability of carbonate fault rocks show significant variation (Figure 3),
300 with porosity varying over 46% and permeability varying over 10 orders magnitude, from a
301 nanodarcy to over a Darcy. The petrophysical properties of fault rocks can also vary along-
302 strike and down-dip on a single fault surface. Trends to fault rock porosity and permeability
303 are observed based on factors which control deformation style, influencing the fault rock
304 development. The inferred mechanisms from observed microstructures creating the fault
305 rocks range from elasto-frictional to crystal-plastic deformation, and depend on factors such
306 as burial depth at the time of faulting and lithofacies.

307

308 **Lithofacies**

309 Deformation style has been observed to vary across the range of Dunham textures (*cf.*
310 Dunham 1962). Lithofacies with high micritic content, such as mudstones and wackestones,
311 which are characterised by a matrix-supported texture and can have a high porosity ($>10\%$),
312 have been shown to deform by disperse fractures. Increased fracturing leading to brecciation

313 can evolve further to create cataclasite fault rocks, similar to that described by Billi et al.
314 (2003) (Figure 4). This deformation style is observed in all matrix-supported lithofacies,
315 regardless of host porosity (Figure 4). Grain-supported lithofacies with high algal content,
316 such as algal-rich packstones, floatstones, rudstones or boundstones, and lithofacies that
317 have been heavily recrystallised creating a low porosity rock (<10%), also behave similarly,
318 deforming by disperse fracturing/brecciation evolving into cataclasis (Figure 4).

319 On the other hand, lithofacies with minimal micritic content, i.e. those that are grain-
320 supported, with low algal content, and high porosity (>10%), such as grainstones and
321 bioclastic packstones, are shown to deform by localised mechanisms breaking down
322 individual grains, progressing to grain-scale cataclasis.

323 It is important to note however, that the documented observations recorded above are grain-
324 scale brittle microstructures. At higher pressures and temperatures, grain-scale ductile
325 mechanisms are observed to dominate (discussed in the Burial Depth section below).

326 How each lithofacies deforms dictates which fault rocks are produced, and hence also
327 influences the hydraulic behaviour, as each fault rock type has varying porosity and
328 permeability. Generally, a decrease in the porosity and permeability of the fault rocks is
329 observed with increasing micritic content or crystallinity (Figure 5A). However, it is important
330 to also compare the fault rock permeability to that of the host, rather than simply examining
331 the current poroperm of the fault rocks, as it is the difference between the host and fault rock
332 permeabilities that controls whether the fault acts as a baffle or conduit. Plotting the
333 permeability contrast between the host and fault rock samples, we can see that some
334 lithofacies show an increase in the permeability of the fault rock *relative* to the host rock
335 values, while other lithofacies show a decrease in *relative* permeability (Figure 5B). Those

336 lithofacies that have a tendency to deform by grain-scale cataclasis show the largest decrease
337 in permeability with respect to the host values, namely grainstones and packstones. As
338 mentioned previously, lithofacies such as algal-rich packstones, wackestones and crystalline
339 carbonates, have the tendency to deform by through-going fracturing, evolving to brecciation
340 and then disperse cataclasis. While these deformation styles often leads to an increase in
341 **relative** permeability for crystalline samples, a decrease in **relative** permeability is recorded
342 for the majority of wackestone and algal packstone samples, albeit with a lower permeability
343 contrast than those samples that deform by grain-scale cataclasis (Figure 5B). Moreover,
344 although the studied fault rocks in crystalline lithofacies generally show an increase in **relative**
345 permeability from the host values, their respective host samples have very low **absolute**
346 matrix permeabilities (around 0.000001-0.01 mD), hence their **absolute** value remains low.
347 Chalk samples do not show a significant permeability change (Figure 5B). This lithofacies
348 deforms by breaking down large, isolated foraminifera that act to decrease the porosity,
349 whilst allowing the permeability to remain the same (Kaminskaite et al., 2019).

350

351 **Lithofacies Juxtaposition**

352 Not only does lithofacies have significant control on fault rock development, but how the
353 lithofacies are juxtaposed is also a crucial factor that requires further examination. An
354 increased fault core heterogeneity has been observed when juxtaposition of different
355 lithofacies occurs. Specifically, several different fault rock types, with a variety of deformation
356 and/or diagenetic microstructures, are observed along fault-strike at juxtapositions of
357 different lithofacies. This leads to an increase in the range of permeability values. Conversely,
358 a relatively homogeneous fault core, with similar microstructures and permeability values, is

359 observed along fault-strike at either self-juxtapositions or juxtaposition of similar lithofacies
360 (Figure 6). However, it is important to note that despite the initial textural variation that can
361 occur in crystalline host rocks with low porosity/permeability, the overall mechanical and
362 petrophysical properties of these recrystallised lithofacies are very similar. Hence, at the
363 juxtaposition of two recrystallised lithofacies with different initial textures, similar
364 microstructures along fault-strike are observed.

365

366 **Mineralogy**

367 The influence of mineralogy on fault rock porosity and permeability has been examined,
368 dividing the data by calcite versus dolomite host rock and their respective fault rocks (Figure
369 7A), as well as the current mineralogy of the fault rock (Figure 7B). In order to compare only
370 similar materials, we have plotted faults that cut low porosity, low permeability calcite host
371 rocks, and omitted those with higher porosities and permeabilities, as only faults cutting low
372 porosity and low permeability dolomitic host rocks have been sampled. We can see that there
373 is significant overlap between fault rocks that cut calcite and dolomite, and both examples
374 can show an increase in both porosity and permeability from the host values (Figure 7A). The
375 only notable difference between fault rocks that cut calcite or dolomite host rocks is faults in
376 dolomite show a slightly higher average fault rock permeability (0.04 mD geometric mean)
377 when compared to those that cut calcitic host rocks (0.0075 mD geometric mean). However,
378 this could simply be a product of the low number of example faults in dolomite, and hence
379 the lack of ability to compare samples with constant external factors, such as displacement
380 and depth of burial. When comparing fault rocks with current differing mineralogy, we can
381 see significant overlap in the porosity and permeability values, with no discernible

382 relationships (Figure 7B). However, it is shown that some of the fault rocks with mixed calcite
383 and dolomite mineralogy have higher porosity values, regardless of whether the original
384 mineralogy was calcite or dolomite (Figure 7).

385

386 **Host Porosity and Permeability**

387 Dividing the data into faults that cut host rocks with an average low porosity, <10% (Figure
388 8A), and average high porosity, >10% (Figure 8B), allows us to better visualise the
389 relationships between the host porosity and permeability and the fault rock porosity and
390 permeability. Fault rock samples in low porosity carbonates do not show a decrease in the
391 porosity and permeability. Instead, the porosity and permeability values are often recorded
392 as being higher than their respective host samples (Figure 8C). Conversely, the porosity and
393 permeability values of fault rocks cutting high porosity carbonates generally show a
394 decreased value from the host samples (Figure 8D).

395 Further to the analysis above, we can also examine how the fault rock permeability, and the
396 permeability contrast between host and fault rock permeability, vary with host porosity
397 (Figure 9A, C, E) and host permeability (Figure 9B, D, F). Although significant scatter is
398 observed when examining individual fault rock permeability points with both host porosity
399 and host permeability (Figure 9A, B), this scatter is reduced when the geometric mean is taken
400 for fault rock permeability per lithofacies, per locality (Figure 9C, D). When the geometric
401 mean values are weighted based on number of raw data points, this acts to strengthen the
402 trend, and hence increases the R^2 value. An **absolute** increase in fault rock permeability is
403 shown with increasing host porosity (Figure 9C), however a decrease in the fault rock
404 permeability is observed **relative** to the host for the majority of samples with an average host

405 porosity >10%. Samples with an average host porosity <10% mostly show an increase in
406 permeability *relative* to the host (Figure 9E). Since host porosity and texture (lithofacies)
407 influences the host permeability, a similar relationship is also observed between host
408 permeability and fault rock permeability (Figure 9B, D, F). An *absolute* increase in fault rock
409 permeability occurs with increasing host permeability (Figure 9D), however a decrease in the
410 fault rock permeability *relative* to the host occurs for the majority of samples with an average
411 host permeability >0.1 mD (Figure 9F).

412

413 **Kinematics**

414 There is significant scatter to the porosity and permeability data for fault rocks formed in each
415 tectonic regime, with no patterns to particular kinematics influencing the fault rock
416 permeability in a similar manner. The only exception is that deformation bands generally
417 have higher porosity and permeability than all other, more evolved, fault rocks (Figure 10).
418 Moreover, similar deformation and diagenetic microstructures are observed regardless of
419 kinematics (Figure 10). In this example, two dolomitic recrystallised lithofacies deform
420 similarly in both a large strike-slip fault and a normal fault; this lithofacies shows disperse
421 fracturing, fracture-evolved cataclasis and cementation/veining (Figure 10).

422

423 **Displacement**

424 Absolute fault rock permeability values show a decrease from the protolith when
425 displacement exceeds 1 m (i.e. fault rock samples larger than deformation bands). However,
426 no discernible relationship to fault rock permeability is observed beyond 1 m displacement
427 (Figure 11A). Below 1 m displacement only deformation bands are observed, which show

428 higher absolute permeability values, associated with the lower strain creating these
429 deformation bands (Figure 11A). Plotting the permeability contrast between the host and
430 fault rock with displacement shows significant scatter, with no relationship observed between
431 the relative permeability of fault rocks and displacement, at displacements over 1 m (Figure
432 11B). There is, however, a decrease in *relative* permeability for almost all deformation bands
433 (shown at 0.01 m displacement), despite their *absolute* high permeability values. The
434 observed scatter may be exaggerated by other factors overprinting possible relationships.
435 Hence, we have furthered this analysis by examining how the permeability of similar
436 lithofacies varies with displacement (Figure 11C). In this example, we show fault rock
437 permeability cutting low permeability, recrystallised lithofacies at low (c.10 m) and high (c.100
438 m) displacement. We have observed that regardless of displacement, there is no obvious
439 trend showing how the permeability of fault cores may evolve; the median permeability in
440 this example is the same at both low and high displacements (Figure 11C).

441

442 **Burial Depth**

443 The maximum burial depth and depth when faulting occurred is observed to influence the
444 mechanisms active during creation of fault rocks, and hence also their petrophysical
445 properties. Generally, grain-scale brittle mechanisms such as fracturing, brecciation and
446 cataclasis are predominantly observed at shallower depths (Figure 12A, B). At greater depths,
447 ductile mechanisms are observed to prevail over brittle mechanisms, forming highly
448 recrystallized fault rocks with low permeability (Figure 12C, D, with a fault rock permeability
449 of 0.00022 mD in this example). Mechanisms such as twinning, grain boundary migration and
450 grain bulging are common in the samples from greater depths and temperatures (e.g. Figure

451 12D). Note that in this context, the terminologies brittle and ductile are not based on
452 mechanical behaviour derived from experimental strain-strain curves, but simply based on
453 observed microstructures.

454 Average fault rock permeability is observed to decrease with both increasing maximum burial
455 depth (Figure 13A) and depth at the time of faulting (Figure 13B), up to 1-2 km. Beyond 1-2
456 km, the trend of fault rock permeability decreasing with depth of burial and depth at the time
457 of faulting is shown to flatten off to mean values of around 0.01 mD (Figure 13). The range
458 of permeability is also observed to decrease with increasing depth at the time of faulting
459 (Figure 13B). However, it is important to note that very low fault rock permeability values
460 can occur at both shallow and greater depths of burial and depths at time of faulting, and that
461 the lowest permeability values are recorded at maximum burial depths of between 1-2 km
462 (Figure 13A) and <1 km depth at time of faulting (Figure 13B). Note that the R^2 value is higher
463 for trends with maximum burial depths when compared to depth at the time of faulting.

464

465 **Discussion**

466 Research into deformation surrounding faults in carbonates has received significant attention
467 in the last couple of decades (e.g. Tondi et al., 2006a; Ferrill and Morris 2008; Agosta et al.,
468 2010; Bastesen and Braathen 2010; Michie et al., 2014; Cooke et al., 2018; Kaminskaite et al.,
469 2020). However, the ability to predict the hydraulic behaviour of faults in carbonates was
470 largely unknown, with very few publications documenting our advances in carbonate fault
471 seal analysis (e.g. Solum and Huisman 2017; Michie et al., 2018). Here, we have attempted
472 to expand our understanding of the main controls on fault rock development and their

473 petrophysical properties to improve our ability to predict their hydraulic behaviour in the
474 subsurface.

475

476 **Intrinsic Factors**

477 Our observations within this study, based on a wide range of data, indicate that intrinsic
478 factors are the primary control on fault rock development. Host lithofacies plays a crucial role
479 in deformation style, creating specific fault rock types within different lithofacies. Each fault
480 rock type will have differing petrophysical properties. Hence, host lithofacies will influence
481 the permeability of the fault rock. Moreover, how different lithofacies are juxtaposed, and
482 what the overall succession is composed of, seems to also dictate the hydraulic behaviour of
483 the fault. However, further work is required to confirm this juxtaposition hypothesis due to
484 a relatively limited number of examples showing juxtaposition of different lithofacies in our
485 database.

486 Lithofacies with a high micritic content has shown to deform in a similar manner to those that
487 have been recrystallised. This is due to the relatively homogeneous mechanical properties
488 within these rocks, creating low/no mechanical discontinuities. Mechanical contrasts are
489 necessary for grain-scale fragmentation (Kranz 1983; Groshong 1988). Hence, fractures can
490 easily propagate throughout these matrix-supported lithofacies and create a variety of
491 breccias and cataclasites, depending on the evolution stage (Figure 4). Further, algal-
492 supported lithofacies also deform by fracturing and brecciation, as algae have been observed
493 to not cataclase; they protect the bioclast grain-grain contacts, preventing grain-scale
494 cataclasis. Conversely, grain-supported lithofacies commonly experience grain-scale
495 cataclasis due to the high mechanical discontinuities throughout the rock, e.g. between

496 bioclastic grains and pores (*cf.* Kranz 1983; Groshong 1988). The clast-confined fractures
497 nucleate at grain boundaries, creating impingement microcracks, which break down
498 individual bioclasts, and can evolve to cataclase the rock (Figure 4). Moreover, diagenesis,
499 specifically aggrading neomorphism, has been observed in grain-supported lithofacies
500 immediately surrounding slip surfaces, often with no other deformation microstructures such
501 as fracturing or fragmentation observed (Michie 2015). The increased cementation in grain-
502 supported lithofacies could simply reflect the higher initial permeability.

503 Similar observations have been documented in carbonate lithofacies by other authors, where
504 different microstructures are observed in carbonates with varying porosity, pore types,
505 textures and clay content (Solum and Huisman 2016; Delle Piane et al., 2017, and references
506 therein). For example, grain-scale cataclasites tend to be observed in high porosity
507 carbonates due to cementation and grain breakdown, which act to reduce the porosity and
508 alter the pore types, and hence decrease the permeability (e.g. Tondi 2007; Rath et al., 2011;
509 Tondi et al., 2016; Zambrano et al., 2017; Zambrano et al., 2018; Kaminskaite et al., 2019).
510 Whereas, through-going fracturing is prevalent in low porosity carbonates, which can evolve
511 to create a variety of breccia types and subsequently lead to cataclasite generation due to the
512 resulting lithons having an aspect ratio that allows for lithon rotation and cataclastic flow to
513 commence (e.g. Billi et al., 2003; Cilona et al., 2019). Further complexities due to textural
514 variations and different pore types have also been shown to influence the deformation styles
515 during faulting in carbonates (Michie 2015; Haines et al., 2016).

516 Since primary texture influences host porosity and permeability, a relationship is also
517 observed between host porosity/permeability and fault rock permeability due to contrasting
518 deformation style; we have observed that fault rock permeability decreases *relative* to the

519 host with increasing host permeability and porosity. The host porosity and permeability
520 influence how the rock deforms; rocks with high initial porosity, such as the grain-supported
521 lithofacies, are observed to deform at the grain-scale, resulting in cataclasis or cementation,
522 occluding pore spaces and decreasing the fault rock permeability *relative* to the host.
523 Conversely, a rock with low initial porosity, such as crystalline rocks, has shown to fracture
524 and brecciate, increasing the permeability *relative* to the host. This conforms to previously
525 published relationships, describing strain that usually reduces the porosity and permeability
526 in high porosity materials, but increases the porosity and permeability in low porosity
527 materials (Groshong 1988). This has been observed and documented previously in both
528 siliciclastic (e.g. Shipton and Cowie 2003) and carbonate rocks (Cooke et al., 2020), showing
529 the control of porosity on deformation style. Moreover, it is easier to reduce the permeability
530 of a high permeability rock than one with an initial low permeability.

531 The contrast between host and fault rock permeability defined in this study can be used to
532 qualify those scenarios where faults may act as seals, baffles or conduits. We have observed
533 that host rocks with high initial porosity and permeability will generate the largest contrast
534 with the fault rock, creating fault rocks with relatively low porosity and permeability.
535 Contrastingly, hosts with low initial porosity and permeability (e.g. recrystallised rock) have
536 been shown to create fault rocks with increased permeability from the host, thus potentially
537 acting as conduits. However, it is important to note that to be a valid reservoir, the rocks with
538 low porosity and permeability values will need to be fractured, which will increase the bulk
539 host permeability. It is likely that the matrix texture and petrophysical properties will remain
540 the dominant control on deformation style in these fractured examples. Therefore, despite
541 the increase in fault rock permeability *relative* to their host, the *absolute* permeability value
542 remains low in these examples, hence the fault rock could form a baffle or seal due to the

543 potential high contrast between the fractured reservoir permeability and fault rock
544 permeability. These relationships can be used as a starting point to generate algorithm(s) for
545 fault seal analysis in faulted carbonates (Figure 9).

546 We have observed that juxtaposing different lithofacies will lead to a variety of deformation
547 and/or diagenetic mechanisms to occur, due to the observed and previously discussed
548 differences in deformation style between varying lithofacies. This in turn will increase the
549 heterogeneity of the fault core. Since different microstructures have different poroperm
550 values, the greater variety of fault rock types is likely to increase the range of fault rock
551 porosity and permeability within a fault core. Conversely, juxtaposition of similar lithofacies,
552 with either similar textures or mechanical and petrophysical properties, will lower the range
553 of mechanisms active. This will form a relatively homogeneous fault core, composed of
554 similar fault rock types, all with similar porosity and permeability values, reducing the range
555 of porosity and permeability along the fault core. Very few papers have previously
556 documented this result, and those that do are from within the same research group (e.g.
557 Michie and Haines 2016; Michie et al., 2018). However, this is an crucial factor for fault core
558 development and requires further research. Although the increase in range of porosity and
559 permeability at juxtapositions of different lithofacies due to a heterogeneous carbonate
560 sequence may mean that the chances to reduce fluid flow may be decreased, the spatial
561 variability of fault rocks will be increased. This spatial heterogeneity may correspond to a
562 greater tortuosity and hence may increase the potential for the fault to baffle flow. However,
563 this is likely to be dicated by the permeability values of each fault rock, and the range between
564 each fault rock type. Further, it is also important to consider which lithofacies have previously
565 slid past another. Juxtaposing similar lithofacies may lead to the assumption of a
566 homogeneous, low permeability fault core. However, if different lithofacies have previously

567 slid past this location along the fault, it will likely introduce variations to the fault rock formed,
568 and hence may also vary the petrophysical properties. A heterogeneous sequence, with
569 significant variation in lithofacies and properties, is likely to create a heterogeneous fault core
570 with a variety of different fault rock types and porosity and permeability values. Further
571 research, however, is required to confirm such hypothesis, as we have no examples of this
572 scenario within our current database.

573 The influence of mineralogy on fault rock development has also been assessed. Although
574 mineralogy does not show any strong relationships with fault rock porosity and permeability
575 (Figure 7), it is likely to influence deformation style, not only because mineralogy influences
576 the porosity-depth trend (e.g. Schmoker and Halley 1982; Brown 1997), but also because it
577 has shown to create different mechanical properties (Hugman and Friedman 1979). This in
578 turn will influence the deformation style, and hence also the petrophysical properties (e.g.
579 Bauer et al., 2016; Ferraro et al., 2019; Cilona et al., 2019; Ferraro et al., 2020; Kaminskaite et
580 al., 2020). Dolomite has been recorded as acting in an increased brittle manner when
581 compared to calcite, leading to intensely fractured, pulverised rock at a faster rate than that
582 in limestones, which in turn creates a wide fault zone composed of anastomosing, multiple-
583 stranded cataclasite fault rock (Schröckenfuchs et al., 2015; Fondriest et al., 2015; Bauer et
584 al., 2016; Cilona et al., 2019; Kaminskaite et al., 2020). Further, we can see that those fault
585 rocks with a mixed mineralogy of calcite and dolomite have slightly increased porosity values
586 (Figure 7B). Since these examples cut both dolomite and calcite host rocks, this could be
587 associated with the increase in porosity that can occur with dolomitization for those that cut
588 calcite rocks (Warren 2000, and references therein), but also with fracturing and veining
589 introducing calcite-rich fluids to those that cut dolomite rocks. It should be noted, however,

590 that there are limited examples of faults cutting dolomite host rocks, and hence any definitive
591 conclusions cannot currently be drawn.

592

593 **Extrinsic Factors**

594 The initial observation of our results would indicate that the tectonic regime does not control
595 fault rock development in carbonates. However, it is important to note that, due to the limited
596 number of samples from thrust faults, we cannot definitively conclude the influence of
597 kinematics on the fault rock development. Moreover, kinematics may not show significant
598 influence on fault rock permeability in our samples because of the primary control exerted by
599 host lithofacies texture and host porosity. Hence, any relationships that may occur between
600 kinematics and fault rock permeability may be overshadowed by the overriding control from
601 lithofacies. It is, therefore, important to enhance our knowledge of how kinematics may
602 influence fault rock permeability by gathering more examples of the same/similar lithofacies
603 that have been subjected to different tectonics.

604 Displacement has been shown to exert significant control on fault rock thickness, where
605 relationships between displacement and thickness have been defined (e.g. Evans 1990; Childs
606 et al., 1996; Sperrevik et al., 2002; Shipton et al., 2006; Wibberley et al., 2008; Braathen et
607 al., 2009; Childs et al., 2009; Bastesen and Braathen 2010; Torabi and Berg 2011; Torabi et al.,
608 2019). Hence, fault rock thickness can be predicted from fault displacement. Similarly,
609 displacement has also been shown to influence fault rock continuity (e.g. Færseth 2006;
610 Cooke et al., 2018), which is crucial when considering whether fluids have the ability to flow
611 across the fault. Both the fault rock thickness and continuity are important parameters for
612 calculating the bulk fault core permeability, and hence are important when predicting and

613 calculating transmissibility multipliers for use in reservoir simulation. Further, fault rock
614 continuity is vital for static fault seal analysis, where areas of zero fault rock thickness will
615 have a massive influence on column height held back by the fault. With that being said, little
616 to no research has been done to identify the control that displacement has on the porosity
617 and permeability of carbonate fault rocks, despite the importance for predicting fault seal in
618 carbonates. In this study, our data shows that displacement has no significant control on the
619 fault rock permeability for fault rock samples created when displacement exceeds 1 m.
620 However, for deformation bands created at displacements of less than 1 m, the relative
621 permeability is decreased from the host rock such that these fault rocks may reduce or
622 impede across-fault fluid flow. The analysis of samples associated to fault displacements over
623 1 metre (i.e. those with higher strain than deformation bands) has shown that the
624 displacement at which the fault rocks are formed does not appear to influence the
625 microstructures and hence also the fault rock permeability, with mechanisms dependent on
626 host properties rather than strain. Low permeability fault rocks are able to form at low
627 displacements, as well as at higher displacements. A similar finding has also been recorded
628 by Michie and Haines (2016), where similar lithofacies show comparable microstructures and
629 permeability values at both low and high displacements. However, despite our observations
630 and interpretations using our current database, further analysis is required to definitively
631 conclude the impact of displacement on petrophysical properties, due to the low number of
632 examples of similar lithofacies at different displacements. Moreover, any diagenetic
633 overprinting may mask any relationship.

634 It is well known that the depth at the time of faulting and the maximum burial depth
635 influences the sealing potential of siliciclastic faults, due to increased temperatures and
636 stresses (e.g. Fisher and Knipe 1998; Sperrevik et al., 2002; Yielding et al., 2010). However,

637 little has previously been documented regarding how the fault rock permeability may vary
638 with depth in faulted carbonates. The trend of decreasing permeability, and decreasing range
639 of permeability, with increasing maximum burial depth and depth at the time of faulting may
640 suggest that ductile deformation mechanisms are dominant at greater depths, occluding pore
641 spaces and reducing the permeability and its range. Conversely, the range of fault rock types
642 produced by a variety of brittle mechanisms at shallower depths increases the permeability
643 and its spread, particularly because both low and high porosity host rocks can deform at low
644 burial depths, whereas the rocks deforming at greater burial depths within our database are
645 predominantly from low porosity hosts. This hypothesis is confirmed by examination of the
646 microstructures observed at different burial depths. Brittle microstructures, such as
647 brecciation and cataclasis, are observed to prevail at shallower levels, <1-2 km. We have
648 observed ductile deformation microstructures at depths over 1-2 km, creating recrystallised
649 textures, with little to no porosity and permeability. Other studies have also observed ductile
650 microstructures in carbonate fault rocks at relatively shallow depths of burial and/or low
651 temperatures. For example, plastic deformation has been documented at c.1 km (Michie
652 2015) and 4 km (Bauer et al., 2018) maximum burial depths. Further, it has been well
653 documented that calcite can deform at room temperature by processes such as mechanical
654 twinning, or r-, f- dislocation glide (Turner et al., 1954; Griggs et al., 1960; De Bresser and
655 Spiers 1997). Hence it is predictable that carbonate fault rocks can be formed by ductile
656 processes at shallower burial depths than siliciclastic rocks, which can aid predictions of fault
657 rock permeability. It should be noted that the higher R² value for fault rock permeability trend
658 with maximum burial depth, compared to the depth at the time of faulting, may be due to an
659 overriding relationship between porosity and depth (e.g. Schmoker and Halley 1982).

660

661 **Analysing Key Controls on Fault Rock Development**

662 Analysis using single and multiple regression of the controlling factors showing the greatest
663 influence on fault rock development (i.e. host porosity, host permeability and depth at the
664 time of faulting) has been performed to identify the combination of input parameters that
665 has the primary influence on fault rock permeability for our samples. Table 2 highlights which
666 controlling factors, and combination of controlling factors, have the more significant influence
667 on fault rock development. Surprisingly, it appears that host porosity alone has the greatest
668 influence on fault rock permeability. Moreover, including other factors not only adds no
669 further influence but, in fact, decreases the significance. Hence, trends between host porosity
670 and fault rock permeability could be the most useful input as algorithm(s) for predicting fault
671 hydraulic behaviour in carbonates (i.e. Figure 9A, C, E).

672

673 **Summary**

674 We have analysed many tens of faults within carbonates from a range of lithofacies, tectonic
675 regimes, burial depths and displacements with the goal of finding trends to fault rock
676 development, in order to generate an algorithm for industry use. Around 400 samples have
677 been collected and analysed, with porosity and permeability measurements made. Intrinsic
678 and extrinsic factors have been analysed to assess their control on fault rock permeabilities.
679 We have observed that intrinsic factors are the dominant control on fault rock development
680 in carbonate faults, with host lithofacies texture and host porosity appearing to be the
681 primary control. Host porosity and texture controls deformation style, fault rock type and
682 hence fault rock permeability. Depth at the time of faulting can also somewhat control
683 deformation style, which in turn influences fault rock permeability. However, for
684 displacements over 1 m (i.e. larger than deformation bands), there is no obvious displacement

685 control on fault rock permeability. Further, kinematics do not show any control on fault rock
686 permeability within our dataset. This may indicate that the fault rocks formed are controlled
687 primarily by other factors, regardless of how and to what extent the rock has moved.
688 Collectively, the results can be used to aid prediction of fault seal behaviour in carbonate
689 sequences, particularly using relationships defined between host porosity and fault rock
690 permeability. Further sampling and analysis are required to confirm and enhance these
691 trends.

692

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700

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1002

1003 **Figure Captions**

1004 Figure 1. Optical (taken under plane-polarised light (PPL)) and scanning electron microscope-
1005 backscatter electron microscopy (SEM-BSE) photomicrographs of carbonate host rocks with
1006 low how porosities from a variety of localities, illustrating the range of recrystallised textures.
1007 A: Elbingerode, Germany, recrystallised pack-grainstone; B: Sala Consilina, Italy, recrystallised
1008 mud-wackestone; C: Wadi Dayqah Dam, Oman, recrystallised oolitic grainstone; D: Wadi Al
1009 Nakhr, Oman, recrystallised wackestone; E: Wadi Al Bih, UAE, recrystallised pack-grainstone;
1010 F: San Vito Lo Capo, Sicily, Italy, recrystallised packstone.

1011

1012 Figure 2. Optical (taken under plane-polarised light (PPL)) and scanning electron microscope-
1013 backscatter electron microscopy (SEM-BSE) photomicrographs of carbonate host rocks with
1014 high host porosities from a variety of localities, illustrating the range of carbonate lithofacies;
1015 from wackestones, to packstones and grainstones. A: Favignana, Sicily, Italy, Grainstone; B:
1016 Pegwell Bay, UK, Chalk; C: Kallithea, Rhodes, Greece, Grainstones; D: Gozo, Malta, Packstone;
1017 E: Malta, wacke-packstone; F: Gozo, Malta, Algal-packstone.

1018

1019 Figure 3. Porosity and permeability plot of all measured fault rock samples.

1020

1021 Figure 4. Schematic plot showing the main fault rock microstructures observed with varying
1022 porosity and lithofacies type (how the texture is supported). Fault rocks originating from
1023 dispersed fracturing, leading to brecciation and cataclasis are observed at both low and high
1024 porosities, in those rock samples that are matrix-supported and supported by algae. Grain-
1025 scale fracturing leading to cataclasis occurs in clast-supported, highly porous samples. Note
1026 that low porosity samples are generally <10%, and high porosity sample are generally >10%.

1027

1028 Figure 5. A: Poro-perm plot of fault rock subdivided into lithofacies, based on the Dunham
1029 classification (Dunham 1962). B: Plot showing the inverse permeability contrast (fault rock
1030 permeability divided by host rock permeability) with varying lithofacies. Lithofacies is ordered
1031 by Dunham classification. All samples above 1 inverse permeability contrast show an increase
1032 in permeability *relative* to the host, and those below 1 inverse permeability contrast show a
1033 decrease in permeability *relative* to the host. Note no samples of mudstones have been
1034 collected.

1035

1036 Figure 6. Schematic diagram showing the main microstructures and respective permeabilities
1037 observed at different lithofacies juxtapositions. Square boxes are host samples, round boxes
1038 are fault rock samples. Blue and green: fault rock microstructures observed at self-
1039 juxtapositions of different lithofacies. Red: fault rock microstructures observed at
1040 juxtapositions with different lithofacies. This is an example from a packstone juxtaposed
1041 against an algal-packstone.

1042

1043 Figure 7. A: Graph showing the porosity and permeability of fault rocks that cut host rocks
1044 with different mineralogy. B: Graph showing porosity and permeability of fault rocks divided
1045 by current mineralogy.

1046

1047 Figure 8. Plots showing the porosity and permeability of host rock and fault rock samples,
1048 divided into low (<10%) and high (>10%) host porosities. Arithmetic averages of host porosity
1049 from each lithofacies per locality have been used to define faults that cut low (<10%) and high
1050 (>10%) host porosity lithofacies. Geometric averaging used for permeability values. A: All
1051 host poroperm points, and their respective average values, for low (<10%) host porosities. B:
1052 All host poroperm points, and their respective average values, for high (>10%) host porosities.
1053 C: Average host poroperm values and their respective fault rocks, for low average porosities
1054 (<10%). D: Average host poroperm values and their respective fault rocks, for high average
1055 porosities (>10%).

1056

1057 Figure 9. A and B: Graphs showing raw data for fault rock permeability with host porosity (A)
1058 and host permeability (B). C and D: Graphs showing weighted, geometrically averaged fault
1059 rock permeability with host porosity (C) and host permeability (D). Size of point correlates to
1060 the number of samples used for averaging, averaged per lithofacies, per locality. E and F:
1061 Graphs showing inverse permeability contrast (fault rock permeability divided by the host
1062 rock permeability) with host porosity (E) and host permeability (F). The horizontal red line on
1063 E and F indicates no change in permeability from the host into the fault. The host porosity
1064 and permeability on each graph is the arithmetic and geometric average, respectively, per
1065 lithofacies, per locality. The correlation coefficient is shown for each trendline on each graph.

1066

1067 Figure 10. Top: Graph showing fault rock porosity and permeability split by kinematics
1068 (deformation bands, normal, oblique, strike-slip and thrust). Bottom: Microstructures of host
1069 and fault rocks from a strike-slip fault (left) and a normal fault (right).

1070

1071 Figure 11. A: Box and whisker plot showing fault rock permeability with binned
1072 displacements. Note that points binned at <1 m are deformation bands. B: Graph showing
1073 the inverse permeability contrast (fault rock permeability divided by host rock permeability)
1074 with displacement. Note that an arbitrary value of 0.01 m has been used for deformation
1075 bands, that show little to no displacement. C: Box and whisker plot showing fault rock
1076 permeability with displacement for an example of faults of varying displacement cutting a
1077 similar, low permeability, recrystallised host rock. Low displacement: c.10 m, high
1078 displacement: c.100 m. Box and whisker plots showing the minimum, maximum, interquartile
1079 and median values.

1080

1081 Figure 12. Optical photomicrographs showing host textures (A and C) and their respective
1082 fault rock textures (B and D). A: Plane polarised light photomicrograph of a recrystallised
1083 mudstone host sample from Sala Consilia, Italy. B: Plane polarised light photomicrograph of
1084 the associated fault rock from shallow burial depth (<1 km), showing brittle microstructures.

1085 C: Plane polarised light photomicrograph of a recrystallised mud-wackestone host sample
1086 from Wadi Nakhr, Oman. D: Crossed-polarised light photomicrograph of the associated fault
1087 rock from high depth of burial (>6 km), showing ductile microstructures.

1088

1089 Figure 13. Graphs showing the raw fault rock permeability and geometrically averaged fault
1090 rock permeability per lithofacies, per locality, with maximum depth of burial (A) and depth at
1091 the time of faulting (B).

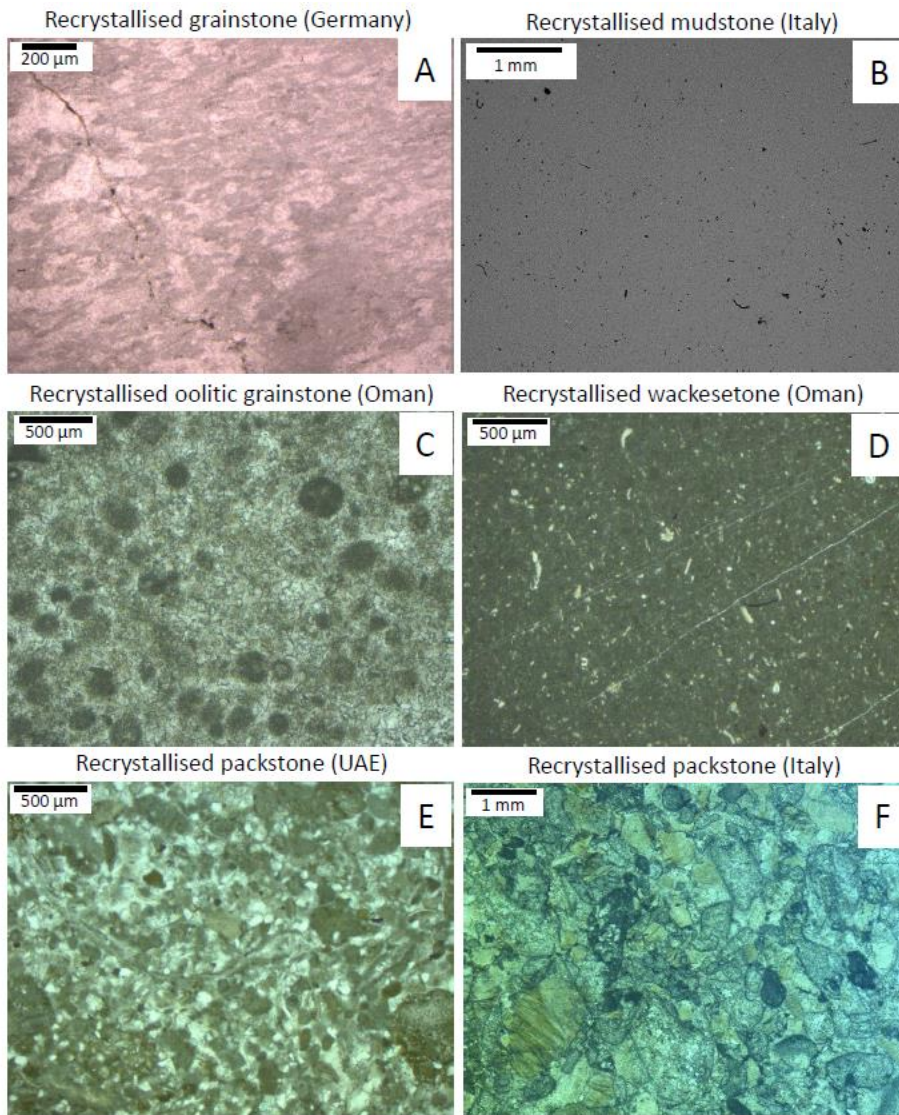
1092

1093 Table 1. Table summarising the key geological information of each main field locality.

1094

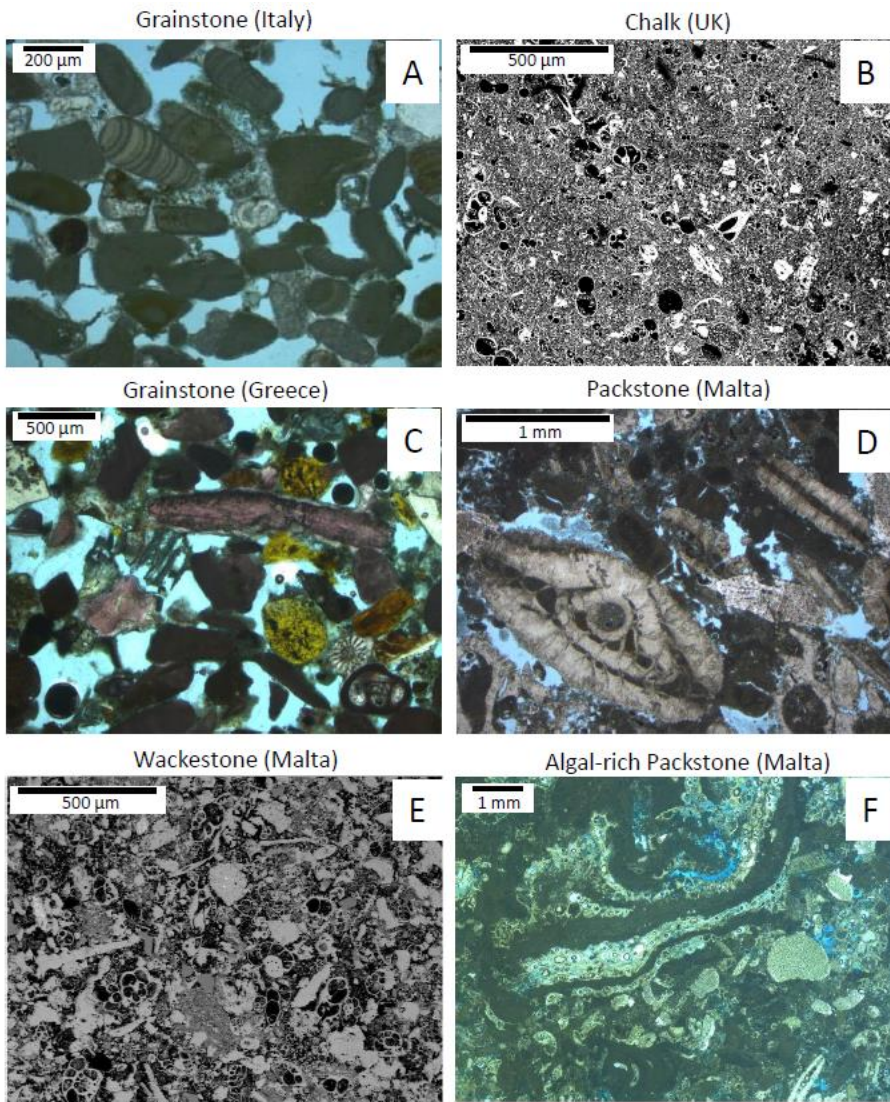
1095 Table 2. Single and multiple regression summary table using three main variable inputs: host
1096 porosity, host permeability and depth of burial, to assess which variable or combination of
1097 variables have the most significant control on fault rock permeability, where the higher the
1098 R^2 value and the lower the P-value, the higher the significance.

1099



1100

1101 Figure 1



1102

1103 Figure 2

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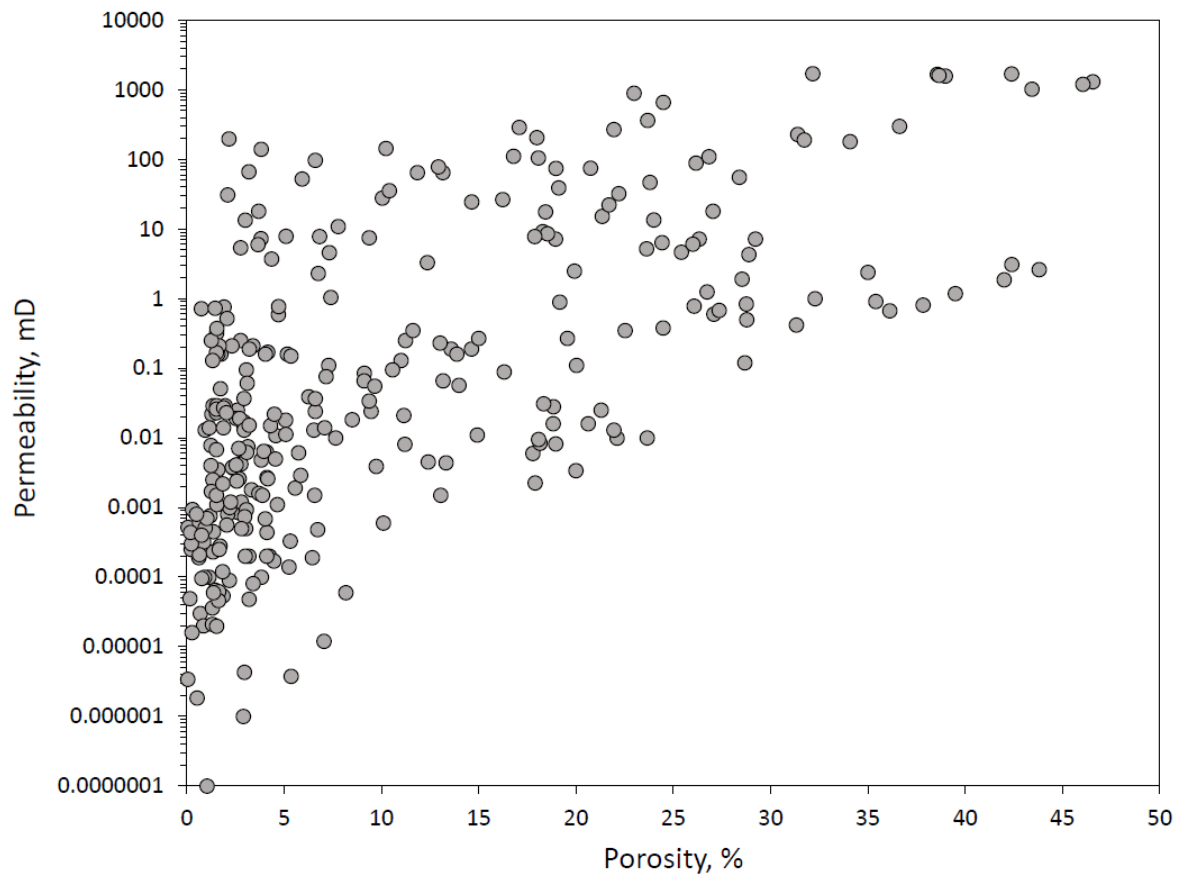
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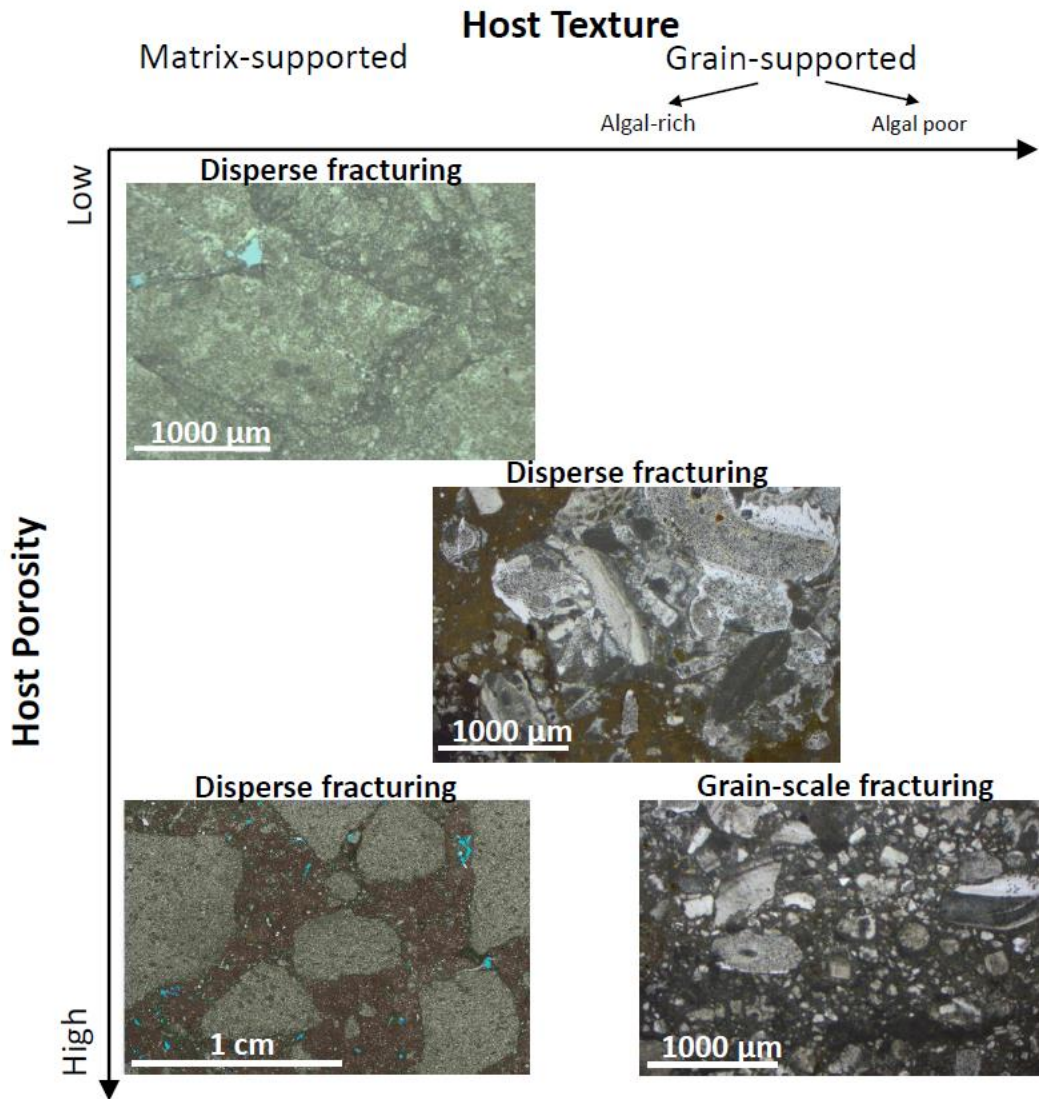


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1113 Figure 3

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1117 Figure 4

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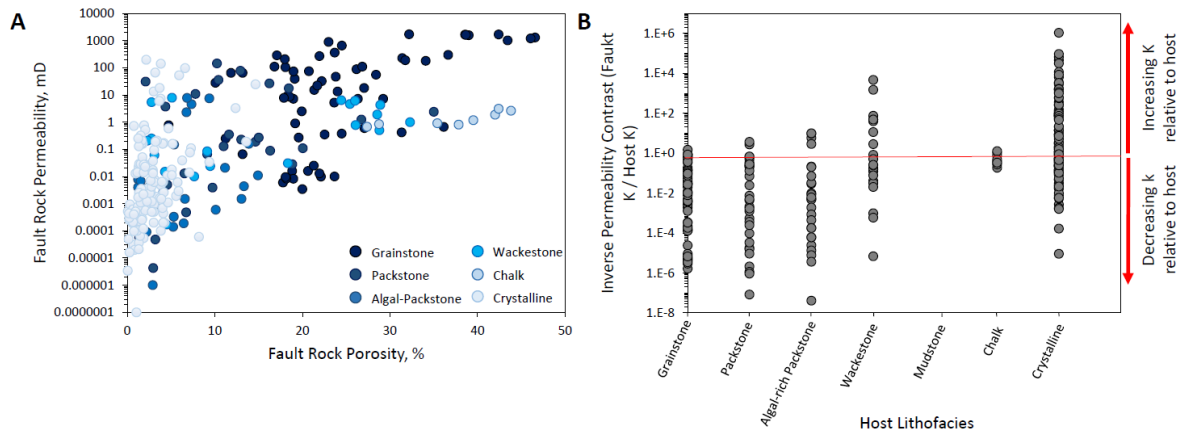
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1127 Figure 5

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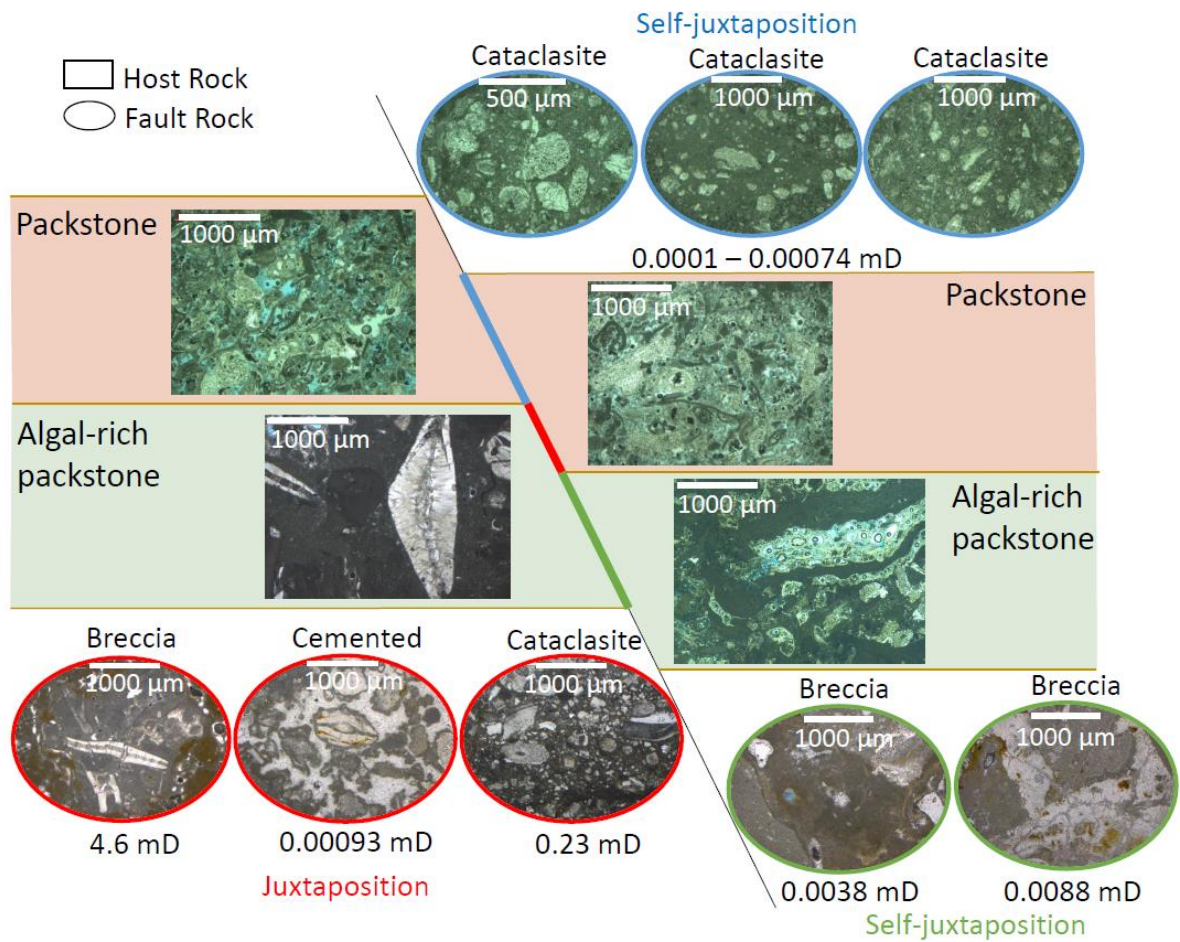
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1135 Figure 6

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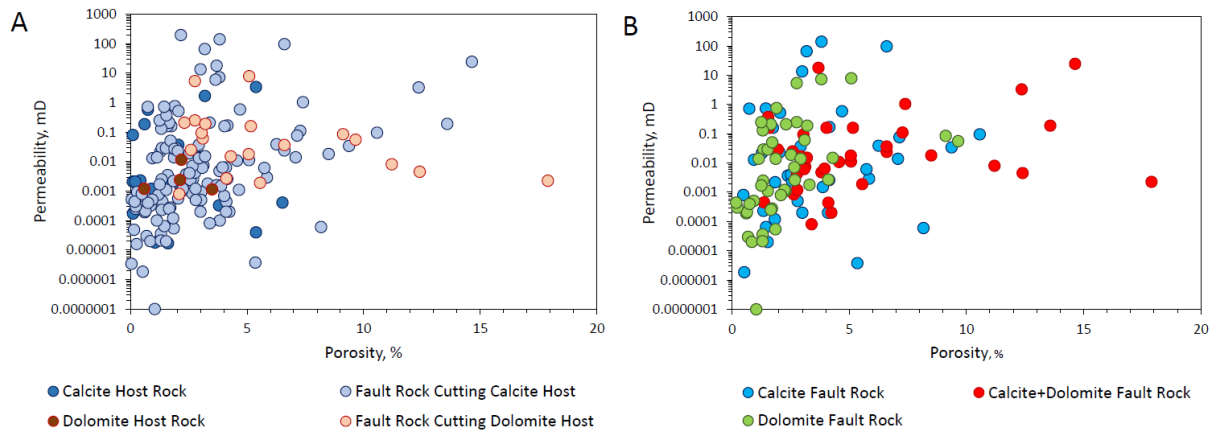
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1147 Figure 7

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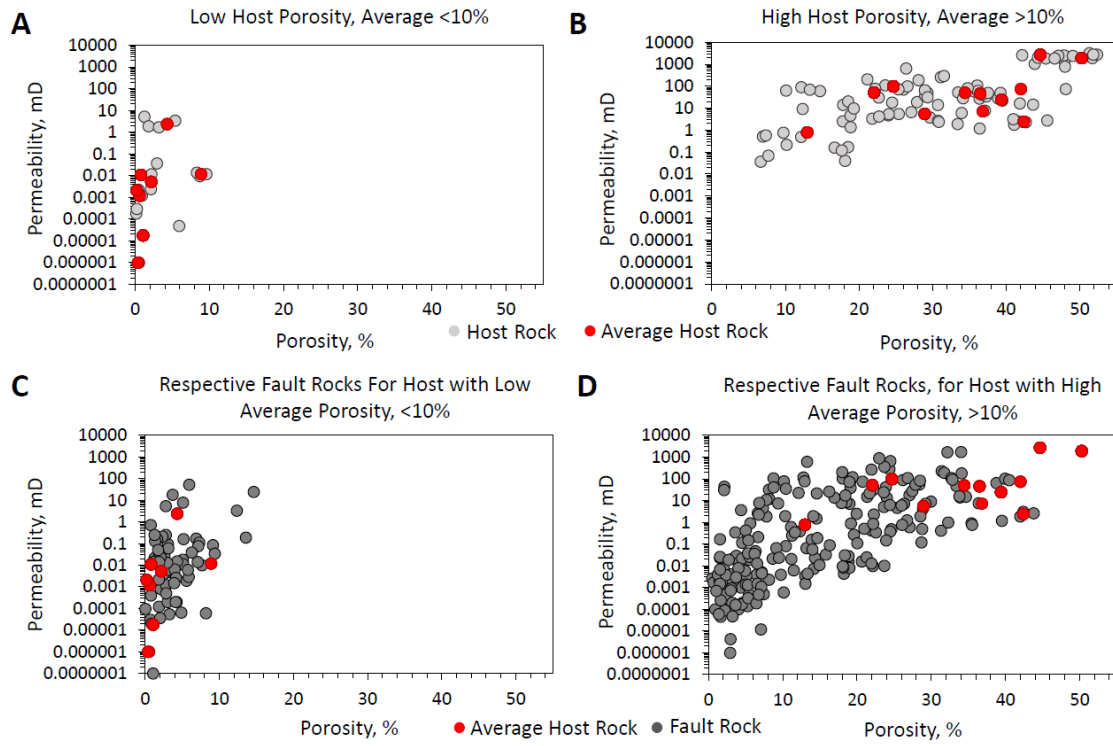
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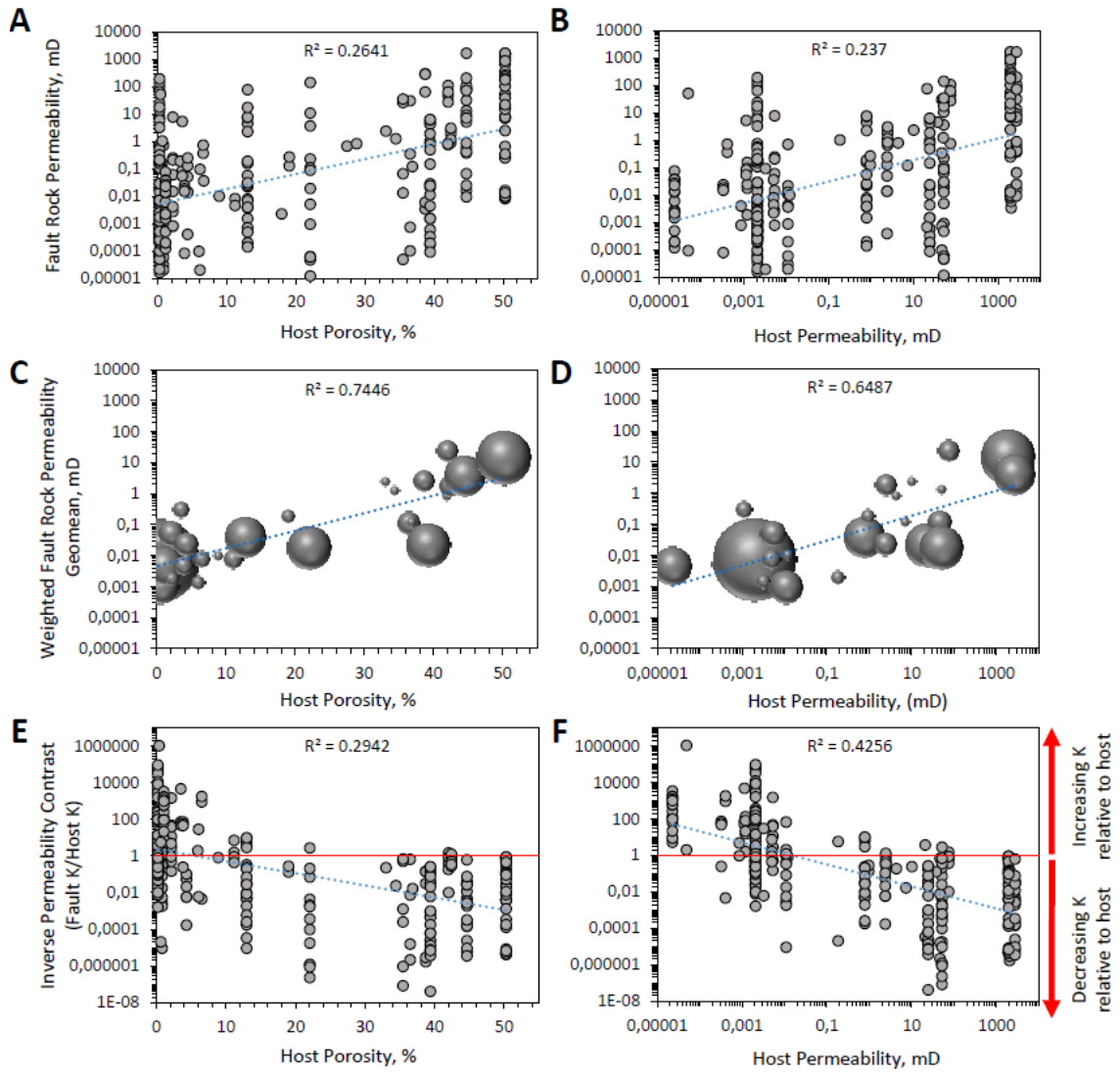
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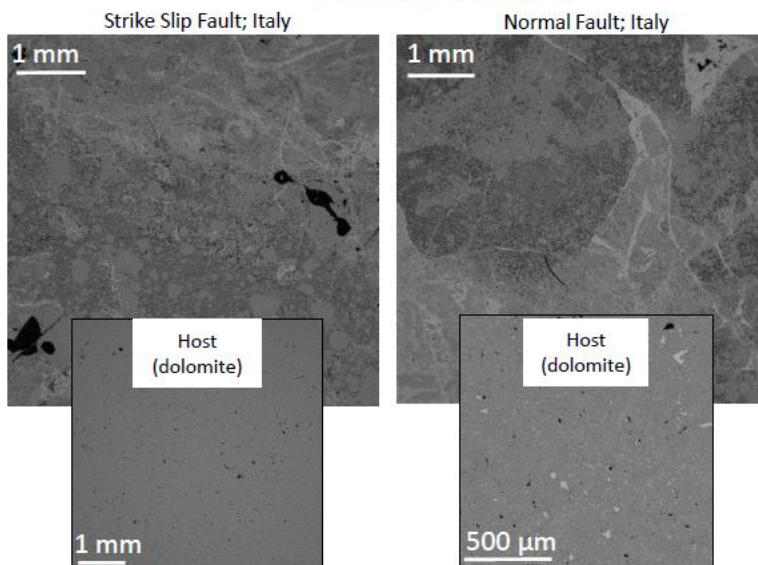
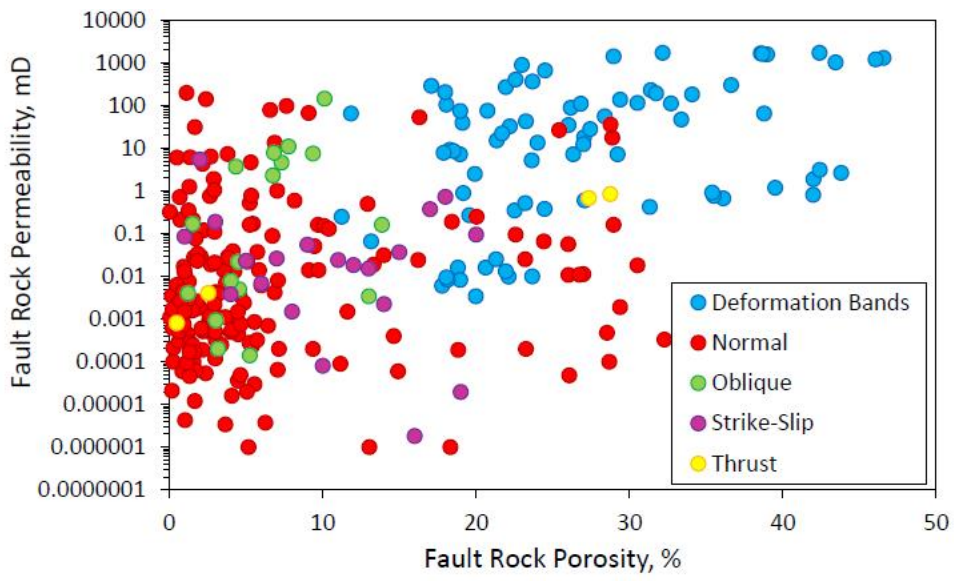
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1158 Figure 8



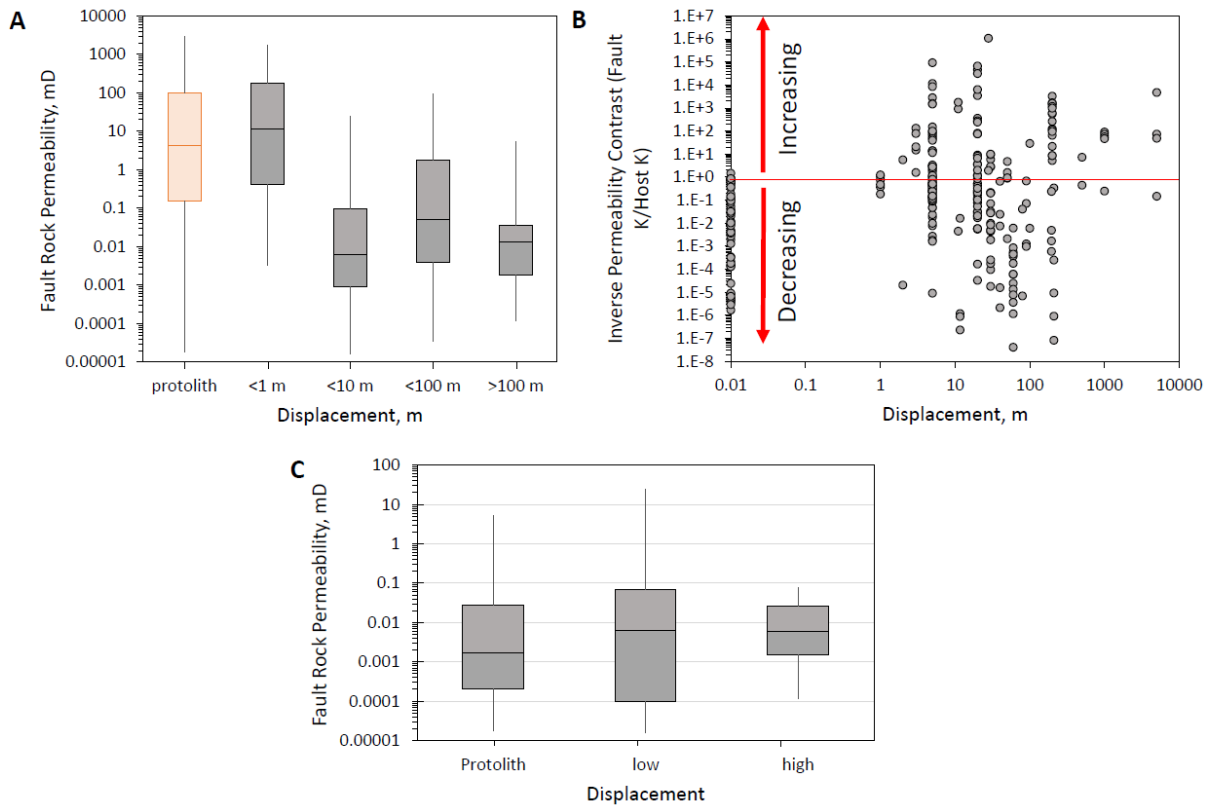
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1160 Figure 9



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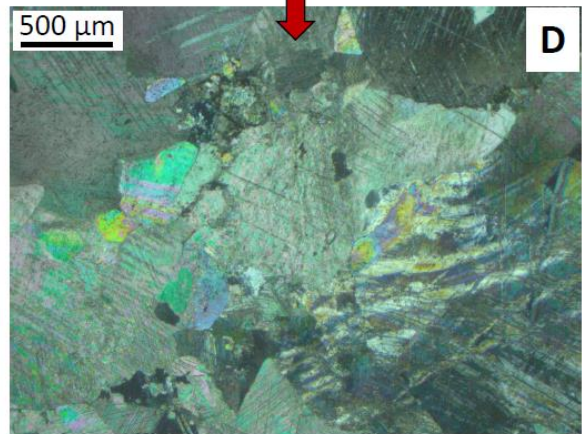
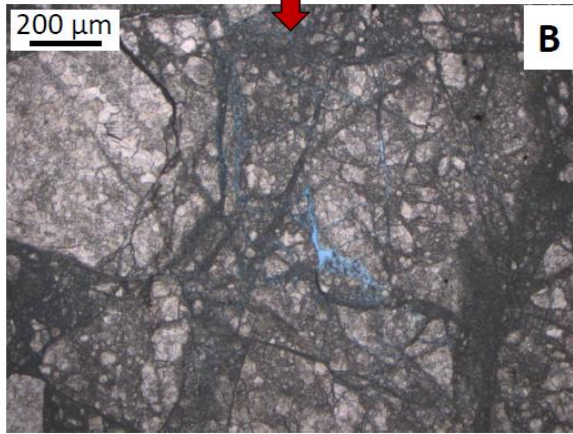
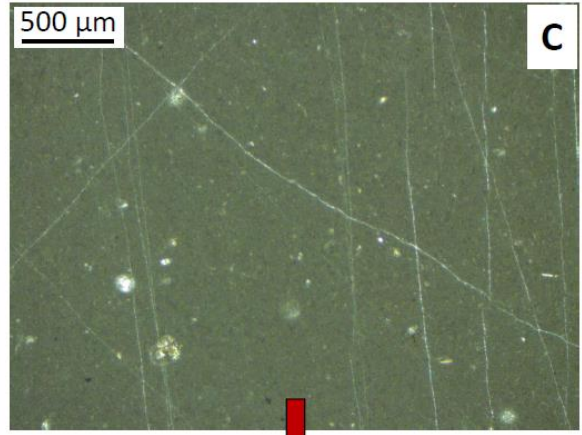
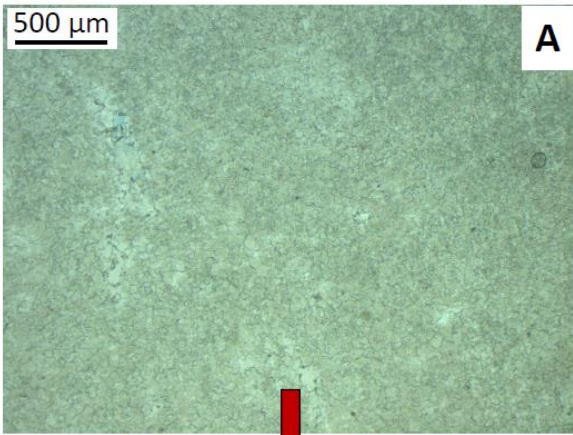
1162 Figure 10



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1164 Figure 11

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1167 Figure 12

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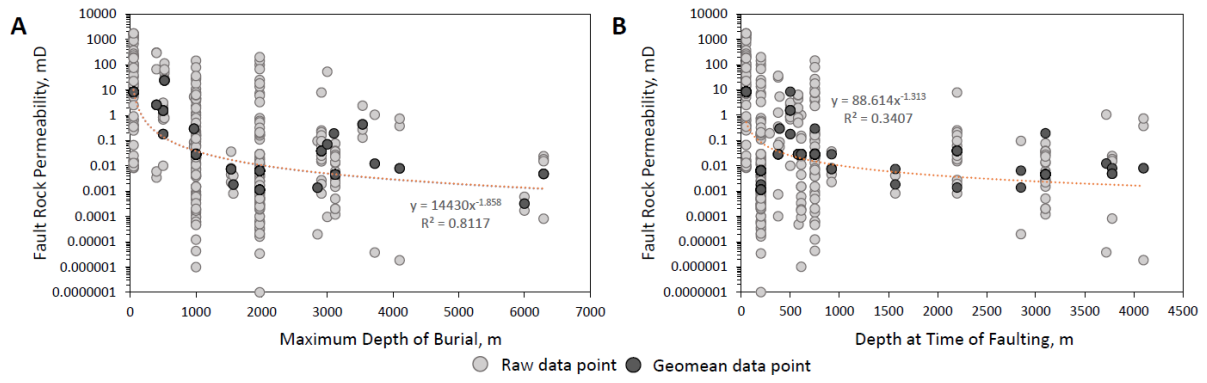
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1175 Figure 13

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Locality	Lithofacies	Average Host Porosity, %	Average Host Permeability, mD	Displacement, m	Kinematics	Approximate Maximum Depth of Burial, m	Approximate Depth at time of faulting, m
Germany	Recrystallised	1.05	0.00002	100	Normal	3120	3100
Greece	Grainstone	43	900	<1	Def bands	520	Unknown
Italy; NW Sicily(a)	Grainstone	47.5	2000	<1	Def bands	50	50
Italy; NW Sicily(b)	Recrystallised	0.5	0.003	1-10s	Normal, Strike Slip	1970 - 3100	200 - 2200
Italy; SW Italy	Recrystallised	6	0.001	50-5000	Normal, Strike Slip	970 - 6290	390 - 3780
Italy; Gargano	Grainstone	38	2000	<1	Def bands	400	Unknown
Maltese Islands	Wackestone, Packstone, Algal Packstone	33 35 13	2 50 0.8	<1 - 210	Normal, Oblique, Strike Slip	300 - 1000	300 - 1000
Oman	Recrystallised	2	0.00001	28 - 50	Normal, Thrust	3000 - 6000	Several kilometres, but uncertain
UAE onshore	Recrystallised	3	0.004	2 - 100	Normal, Strike Slip, Thrust	1570 - 4100	1570 - 4100
UAE offshore	Wackestone, Packstone, Grainstone	25	5	<1 - 20	Def bands, Normal	2000 - 3500	Unknown
UK	Chalk	42	2.5	<1	Def bands	500	500

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1190 Table 1

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Variable Input	Variable	R ² Value	P-Value	Significance Summary
Host Porosity	Host Porosity	0.68	6.03E-08	Very High
Host Permeability	Host Permeability	0.55	1.094E-05	High
Host Porosity and Permeability	Host Porosity	0.66	0.0033	Medium
Host Porosity and Permeability	Host Permeability	0.66	0.68	Low
Host Porosity and Depth	Host Porosity	0.66	0.00015	Medium
Host Porosity and Depth	Depth of Burial	0.66	0.6	Low
Host Porosity, Permeability and Depth	Host Porosity	0.65	0.0018	Medium
Host Porosity, Permeability and Depth	Host Permeability	0.65	0.69	Low
Host Porosity, Permeability and Depth	Depth of Burial	0.65	0.6	Low

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1194 Table 2