

1                   **The emergence and intensification of early hunter-gatherer niche construction**

2                   Jessica C. Thompson<sup>a\*</sup>, David K. Wright<sup>b,c</sup>, and Sarah J. Ivory<sup>d</sup>

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4   <sup>a</sup>Department of Anthropology, Yale University, New Haven, CT, 06511, USA,  
5   [jessica.thompson@yale.edu](mailto:jessica.thompson@yale.edu)

6   <sup>b</sup>Department of Archaeology, Conservation and History, University of Oslo, Oslo, N-0315,  
7   Norway, [david.wright@iakh.uio.no](mailto:david.wright@iakh.uio.no)

8   <sup>c</sup>State Key Laboratory of Loess and Quaternary Geology, Institute of Earth Environment,  
9   Chinese Academy of Sciences, Xi'an, 710061, China

10   <sup>d</sup>Department of Geosciences and Earth and Environmental Sciences Institute, Pennsylvania State  
11   University, University Park, PA 16802, USA, [sarah\\_ivory@psu.edu](mailto:sarah_ivory@psu.edu)

12   \*Corresponding author

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14   **Author Biographies:**

15   Jessica Thompson is Assistant Professor of Anthropology at Yale University. David Wright is  
16   Professor of Archaeometry at the University of Oslo. Sarah Ivory is Assistant Professor of  
17   Geosciences at Pennsylvania State University. They began to link their ideas between  
18   archaeology and paleoenvironments in Malawi in 2016.

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20   **Running Title:** Niche construction in early hunter-gatherers

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24 **Glossary:**

25 **Tipping point:** A threshold leading to a regime shift.

26 **Regime shift:** A change to a new condition or state in which controls and feedbacks that regulate  
27 the system have been altered.

28 **Subsistence intensification:** Modification of behavior or technology to obtain more net product  
29 from a given subsistence resource.

30 **Behaviorally Mediated Trophic Cascades:** Changes in ecological systems that occur when  
31 prey adjust their behavior in response to predators. Complimentary adjustments in microbial,  
32 plant and/or animal life beyond the direct predator-prey dynamic can ripple through space and  
33 time.

34 **N-driven (population size) systems:** A system in which the main impacts to a system's ecology  
35 can be measured through direct population reduction of prey by predators.

36 **μ-driven (fear) systems:** A system in which the main impacts to a system's ecology can be  
37 measured through prey avoidance of predation risk.

38 **Turnover:** A supplanting of one trophic complex with another following a regime shift.

39 **Intertropical Convergence Zone:** Earth's zone of atmospheric pressure convergence that  
40 migrates annually across the tropical latitudes in response to solar-heat flux.

41 **Climatic antiphasing:** Opposing climate conditions in different geographic regions that are a  
42 non-linear response to global or mesoscale forcing mechanisms.

43

44 **Abstract**

45 Hunter-gatherers, especially Pleistocene examples, are not well-represented in archaeological  
46 studies of niche construction. However, as the role of humans in shaping environments over long  
47 time scales becomes increasingly apparent, it is critical to develop archaeological proxies and  
48 testable hypotheses about early hunter-gatherer impacts. Modern foragers engage in niche  
49 constructive behaviors aimed at maintaining or increasing the productivity of their environments,  
50 and these may have had significant ecological consequences over later human evolution. In some  
51 cases, they may also represent behaviors unique to modern *Homo sapiens*. Archaeological and  
52 paleoenvironmental data show that African hunter-gatherers were niche constructors in diverse  
53 environments, which have legacies in how ecosystems function today. These can be  
54 conceptualized as behaviorally mediated trophic cascades, and tested using archaeological and  
55 paleoenvironmental proxies. Thus, large-scale niche construction behavior is possible to identify  
56 at deeper time scales, and may be key to understanding the emergence of modern humans.

57

58 **Keywords:** Middle Stone Age; Burning; Environmental Impacts; Foragers; Pleistocene; modern  
59 human

60

## 61 1. INTRODUCTION

62 Modern humans impact and alter their environments in ways that profoundly affect  
63 themselves and other organisms. Niche construction is a concept defined as the process by which  
64 organisms actively modify their own and each other's evolutionary niches <sup>1</sup>. Biologists,  
65 psychologists, and – more slowly – anthropologists have begun to appreciate its clear  
66 applicability as they seek to understand the long-term impacts of human-environment  
67 interactions <sup>2-6</sup>. One important question then becomes when, and by what processes, modern  
68 humans transitioned from a species where fitness was largely controlled by environment to one  
69 that primarily structures its own selective environment. This demands critical assessment of how  
70 these behaviors and their impacts can be detected, and with what fidelity they can be interpreted,  
71 at different points in human prehistory.

72 The 'Paleoanthropocene' refers to a conceptual period of anthropogenic impacts that pre-  
73 date the Industrial Revolution <sup>7</sup>. Similar to Glikson's <sup>8</sup> proposed division of the Anthropocene  
74 into an 'Early', 'Middle', and 'Late', it is a useful way to imagine the evolution of human  
75 impacts over a long period, rather than a sharp division marked by a 'Golden Spike'. The  
76 archaeological record is full of examples in which humans alter the ecological balance within  
77 their niche, but these become more controversial to identify as one moves back in time <sup>9</sup>. As the  
78 continent where human evolution can be traced to its roots, Africa is likely to possess the longest  
79 records of anthropogenic impacts on ecological systems. However, it may also be the place  
80 where such impacts are most difficult to resolve. This is because the very long co-evolution of  
81 hominins and other organisms does not provide an obvious 'before' and 'after' time for human  
82 presence.

83           Before the global spread of modern humans between ca. 100 – 50 thousand years ago  
84 (ka), the primary proxies for hominin impacts have been changes in animal community structure.  
85 For example, declines in carnivore diversity correspond to an increase in hominin brain size over  
86 the last ca. 4 million years, and may be linked to encroachment into more carnivorous niches <sup>10</sup>.  
87 In contrast, however, megaherbivore declines do not appear to be related to changes in the  
88 hominin lineage <sup>11</sup>. Later in time, there has been substantial controversy over the role of humans  
89 in the extinction of megafaunal species <sup>12</sup>. This leaves open the question of when humans and  
90 human ancestors began to implement niche-constructing behaviors at a scale that accelerated  
91 their impacts relative to other ecosystem constituents. Because one of the most prominent  
92 behaviors unique to hominins is the control of fire, tracking its use over more than a million  
93 years has been proposed as a way of tracking anthropogenic modifications <sup>13</sup>. This comes with  
94 the practical problems of identifying control of fire on site, and then extrapolating that control to  
95 broader off-site uses likely to have substantial environmental consequences.

96           Here, we examine the evidence that early modern human niche construction had large-  
97 scale impacts on our species and other organisms long before the advent of more obvious  
98 transformations such as food production <sup>14</sup>. From this, we suggest that the emergence of our  
99 particular scale of niche construction represented a threshold-crossing event in both our own  
100 evolution and that of the ecosystems we inhabit. As with other eco-evolutionary feedbacks <sup>15</sup>,  
101 this adaptation was scaffolded by long histories of organism-environment co-evolution <sup>16</sup>.

102 Uniquely with humans, the end result has been niche construction of “unrivaled potency” <sup>17</sup>.

103 **Therefore, if we are to understand the emergence of human behaviors and how they**  
104 **continue to impact ecosystems today, then we must also devote more attention to detailing**  
105 **the course and evolution of early human niche construction.**

## 106 2. NICHE CONSTRUCTION AND THE HUMAN ADAPTATION

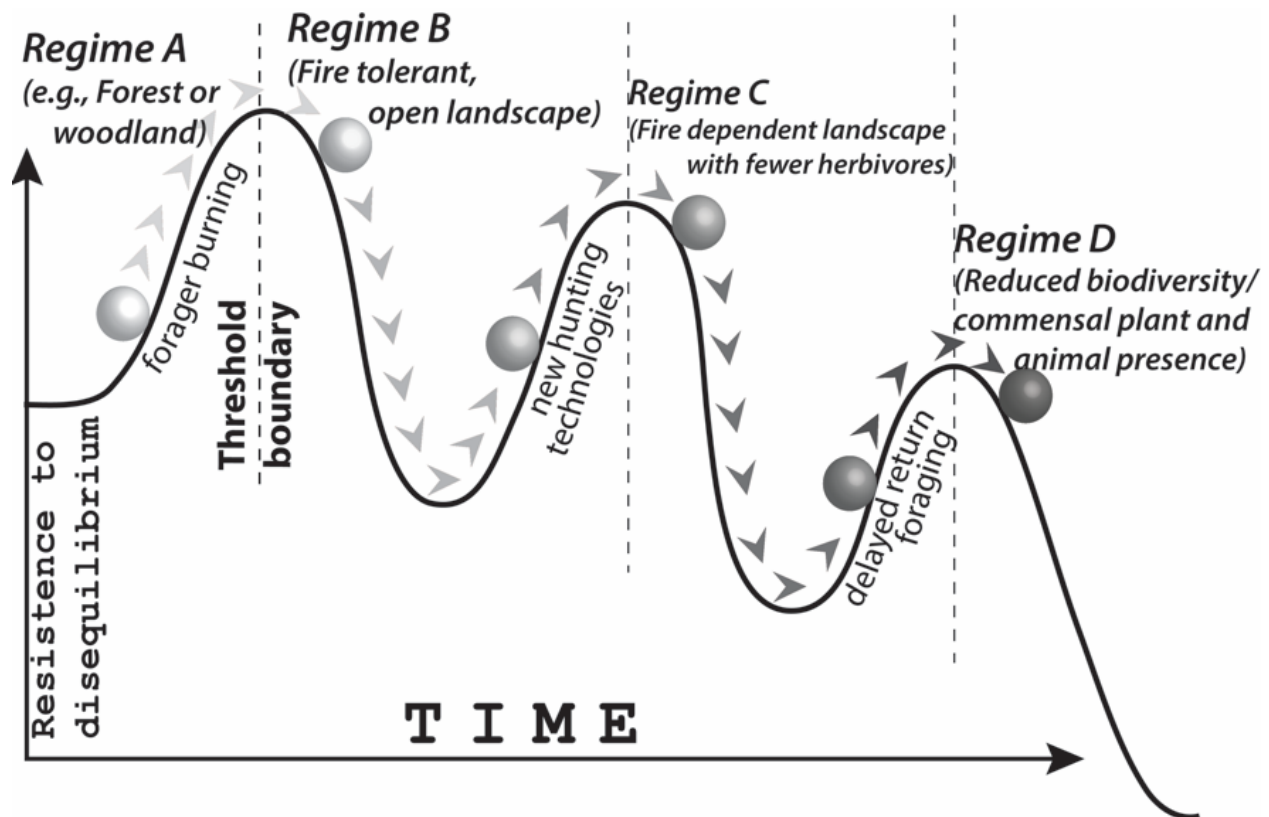
107 Attempts to understand the origin and pan-global distribution of our species emphasize  
108 finding those aspects of our biology and/or behavior that have underpinned our success. *Homo*  
109 *sapiens* occupy a larger range of habitats than any mammal species, and this global dispersion  
110 occurred when all humans were hunter-gatherers. Thus, we must understand the emergence of  
111 key adaptations under this form of subsistence. An interconnected web of technological, social,  
112 and cognitive aspects of human lifeways have resulted in an organism that is behaviorally  
113 flexible, and can draw on inter-generational and between-group knowledge systems to facilitate a  
114 skilled extractive foraging adaptation<sup>18-21</sup>. The interdependency of these relationships has led  
115 some researchers to conclude that culture *is* the human niche<sup>22,23</sup>, and that we should therefore  
116 pay particularly close attention to co-evolution of both genes and culture<sup>24,25</sup>. Understanding the  
117 roles of natural selection, niche construction, and culture therefore matters substantially in  
118 explaining the evolution of both our own species and the other organisms with which we share  
119 ecosystems<sup>26</sup>.

120 **In placing any investigation of the emergence of modern humans within the**  
121 **framework of niche construction theory (NCT), however, there remains the pragmatic**  
122 **problem of which past human behaviors are actually archaeologically accessible.** The  
123 selective environments within the social and subsistence realms are to an extent visible through  
124 analyses of material culture and food remains. However, the resolution of this record coarsens  
125 going back in time, and preservation becomes a greater obstacle. This tends to telescope the  
126 problem, so that human niche construction is most frequently discussed in connection with  
127 dramatic and well-documented recent changes wrought by the advent of food production<sup>4,14,27,28</sup>.  
128 Compounding the problem of identifying earlier modifications is the fact that ecological

129 conditions cannot be tidily separated into distinct time-slices; they are always at least partially  
130 the legacy of past conditions. As humans began to take a more central role in transforming their  
131 ecologies, these legacy effects of their previous niche-constructing behaviors became more  
132 influential on their later ones.

133       **Although niche construction is not unique to modern *Homo sapiens*, a defining**  
134 **feature of our emergence has been our unprecedented ability to transform the ecology of**  
135 **the world around us.** This transition to ecological dominance represents a fundamental change  
136 in how rapidly and decisively human populations can facilitate ecological regime shifts, in which  
137 there is a demonstrably different change in state, often underpinned by changes in controls that  
138 regulate a system<sup>29</sup>. Tipping points are a useful concept for understanding anthropogenically  
139 induced regime shifts in the past not because they represent a simple “on/off” switch on human  
140 behavior<sup>30</sup>, but because they may be more visible over long time scales and within the coarse  
141 resolution of the Pleistocene paleoecological and archaeological records. Depending on the scale  
142 of analysis, accumulations of impacts may not result in clear tipping points, but sometimes  
143 tipping points can be reached rapidly and can be detected<sup>31</sup>. However, from a practical  
144 perspective, regime shifts facilitate our ability to ‘see’ changes in past systems by contrasting  
145 how they have changed through time. Tipping points can represent identifiable thresholds at  
146 which humans entered a new balance with their ecosystems, and where the previous state cannot  
147 be reconstituted without significant effort. Conceptually, once an ecological system has crossed a  
148 tipping point, the initial assembly of components in the new state, any underlying controls, and  
149 their proxy components in the paleoenvironmental and archaeological records, is rapid, but slows  
150 into an equilibrium or semi-equilibrium. However, new pressures (e.g., climate change,  
151 extinction events, changes in predation, changes in the influence of one component) can push the

152 system toward a new state of disequilibrium and closer to a new regime (Fig. 1). Ecological or  
 153 even social tipping points are not to be conflated with narratives of ‘revolutions’ in human  
 154 behavior, because they can occur after a long accumulation of impacts, and inherit legacies of  
 155 previous systems. An example again is control of fire, and the significant changes it wrought on  
 156 both social and ecological systems as they changed together<sup>32</sup>.



157  
 158 **Figure 1.** Model of ecosystem threshold crossing. As ecosystems cross multiple tipping points,  
 159 they inherit accumulated effects of the evolution of the system over long time periods. The x-axis  
 160 is time and the y-axis is a friction model of resistance of landscapes to change. Impacts are more  
 161 accumulative when more thresholds are crossed. Therefore, acceleration is more profound on  
 162 the way down than resistance is on the way up.

163



### 164 3. ENVIRONMENTAL MODIFICATION BY HUNTER-GATHERERS

165 We should not expect equivalent behaviors between hunter-gatherers in modern and  
166 ancient environments for four reasons: 1) Ecosystems have changed substantially with climate  
167 shifts; 2) Modern environments retain legacies of more recent human impacts; 3) Hunter-  
168 gatherers lived across a much wider range of environments than those in which ethnographically-  
169 documented groups survive; and 4) Ancient environments should also have had an evolving  
170 legacy effect from the niche-constructing activities of earlier organisms, including humans.  
171 However, observations of strategies used by hunter-gatherers in the present day can be used to  
172 build test expectations about what kinds of proxy evidence may be informative about the past <sup>33</sup>.  
173 Then, observations from the archaeological and paleoenvironmental records can be used to  
174 examine the evidence for such strategies.

175 The concept of subsistence intensification – the process by which more return is extracted  
176 from the same set of resources – is a useful framework for understanding shifts in hunter-  
177 gatherer strategies. It offers insight into how hunter-gatherers deal with changing abundances of  
178 resources, how their strategies lead to further changes in both subsistence and other behaviors,  
179 and how they can cascade across ecosystems <sup>34</sup>. In the past, population expansion likely had an  
180 underlying role in many of these changes, as an arms race between the increasing effectiveness  
181 of food acquisition and the need to further improve on those strategies, as increased human  
182 carrying capacity then promoted further expansion <sup>35</sup>. The outcome can be substantial  
183 environmental impacts.

184 Forager economies with greater input from delayed-return resources can trigger many of  
185 the same effects as food producers in that they foster environmental changes through decreased  
186 mobility and increased population growth <sup>36</sup>. More mobile foragers generally utilize larger areas

187 per unit of extracted food compared to farmers or pastoralists, although in areas with dense  
188 patches of resources, the disparity in resource yields is not great<sup>37,38</sup>. Technological and social  
189 solutions such as hunting with nets can close the disparity, by artificially increasing the density  
190 of resource patches or decreasing the effort required to exploit them<sup>39</sup>. Social environments in  
191 which non-related groups interact with each other may also facilitate or exacerbate  
192 intensification<sup>40</sup>. Thus, a shift in perspective to an NCT framework brings existing ancient  
193 subsistence data into sharper focus as an avenue for understanding fundamental shifts in human-  
194 environment interactions. For example, it may be more useful to examine how changes in diet  
195 breadth had recursive impacts on both humans and their ecosystems, rather than simply  
196 explaining them as a *response* to changing environments or population sizes.

197         Most work on hunter-gatherer niche construction has emphasized the transition away  
198 from foraging, via the management of wild resources prior to their domestication<sup>41</sup>. In some  
199 cases, it is also possible to identify structural modifications made by hunter-gatherers to  
200 landscapes to drive game<sup>27,42</sup>. However, both cases have limited utility for understanding the  
201 evolution of very early human niche construction, because they represent behaviors restricted to  
202 specific times and/or places. Here, we highlight fire use as a strategy that is both dramatically  
203 transformative of ecosystems and has the potential to be detected deeper in time and more  
204 universally across space as it was used to drive game, clear areas, and stimulate resource renewal  
205<sup>43</sup>.

206         Transport of fire from one part of the landscape to another for the purpose of increasing  
207 resource productivity may have been one of its earliest uses<sup>43</sup>. It is best studied in Australia,  
208 where it results in landscapes with diverse successional stages that especially promote  
209 acquisition of small game<sup>44</sup>. Notably, the efficacy of fire use in these contexts is dependent on

210 previous fire history in a landscape, implying that generational effects would have been  
211 important in the emergence of these behaviors. For example, the Martu hunter-gatherers in  
212 Australia preferentially occupy areas that have long histories of fire modification, as these have  
213 become more productive over time<sup>45</sup>. Because on-site fire use (for cooking, signaling, etc.) is so  
214 different from off-site fire use (for resource stimulation), proxies of off-site burning may be more  
215 readily discerned in the paleoenvironmental record, such as charcoal from lake cores, rather than  
216 the archaeological record. This may be a solution to seeking evidence of this behavior in the past  
217 in the form of hearths and terrestrial charcoal features, which will not uniformly preserve.

#### 218 **4. 'SEEING' THE AFRICAN PALEOANTHROPOCENE**

219 Although hominins have long been niche constructors<sup>46</sup>, material culture changes across  
220 the Middle-Late Pleistocene boundary (126 ka) attest to a fundamental shift in the way humans  
221 organized themselves and interacted with their environments. Even though chronological  
222 coarseness and preservation bias increase over time, the complexion of the material culture  
223 record independently changes as one moves forward in time. Direct evidence for social and  
224 symbolic behavior becomes increasingly common over the course of the Late Pleistocene, even  
225 where taphonomic variables are comparable, suggesting accretionary change across several  
226 realms of human behavior<sup>47</sup>. Early examples of complex behaviors such as long-distance trade  
227 networks<sup>48</sup>, pigment use<sup>49</sup>, stone-tipped projectile use<sup>50</sup>, and scheduled foraging<sup>20</sup> are  
228 increasingly apparent in the Middle Pleistocene African record between ca. 350 – 150 ka. These  
229 began to appear around the same time that the earliest skeletally modern humans have been  
230 identified from North Africa<sup>51</sup>. However, it was not until the Late Pleistocene that these initial  
231 indicators of an important behavioral adaptation began to make a more systematic appearance<sup>52</sup>.

232           **We interpret this shift in the archaeological record as reflecting a coalescence of**  
233 **ecologically impactful behaviors, built on social and technological complexity, that**  
234 **amplified during the Middle Pleistocene, and became realized across Africa by the Late**  
235 **Pleistocene**<sup>8,52-54</sup>. Because many of the changes in the Late Pleistocene record reflect new  
236 hunting technologies<sup>50</sup>, incorporation of novel resources into the diet<sup>55</sup>, complex applications of  
237 plant use and pyrotechnology<sup>56</sup>, and expansions into new ecological niches<sup>57</sup>, we should expect  
238 associated changes in the dynamics between humans and their environments. Thus, an important  
239 area of investigation should be how the impacts of human actions began to take on a new and  
240 significant scale in African ecosystems.

241           Some examples already exist with respect to encroachment into an increasingly diverse  
242 dietary niche. This demanded major changes in scheduling of foraging activities, development of  
243 inter-group connections, and identity-making<sup>20</sup>. The terrestrial faunal record shows that site use  
244 became more intensive, repetitive, and localized over time. In some cases these transformations  
245 were subtle; for example, at Rifle Range in Somalia, foragers decreased their emphasis on large,  
246 mobile herding mammals across the Pleistocene-Holocene boundary and focused instead on  
247 small, territorial antelope<sup>58</sup>. In North Africa, wild caprines (Barbary sheep) were first the target  
248 of specialized hunting. By ~8500-7500 BP, dung accumulations in caves in the Libyan Sahara  
249 suggest they were kept as a delayed-return food that could be exploited as needed<sup>59</sup>. Regions of  
250 northern and eastern Africa with early evidence of intensification also show some of the first  
251 interactions between hunter-gatherers and pastoralists. The line between the two is blurred,  
252 however, because hunter-gatherers had by that point already engaged for several thousand years  
253 with the incorporation of delayed return and intensification strategies, which has been argued to  
254 have enhanced open landscape formation of vast swaths of northern Africa<sup>60</sup>. These factors

255 combined may have contributed to the early adoption of pastoralism in this region compared to  
256 parts of Africa farther south <sup>61</sup>.

257         In multiple parts of Late Pleistocene Africa, aquatic resource exploitation speaks to a  
258 broadening of diet and intensification on these resources. This leaves a trail of evidence of niche  
259 constructing behaviors, as humans began to invade more fully into ecosystems in a way that  
260 demanded substantial changes in human technology and behavior. In central Africa, this may be  
261 apparent as early as ~95 ka, when barbed bone points (harpoons) occur at Katanda in the  
262 modern-day Democratic Republic of Congo <sup>55</sup>. Evidence of much more investment in aquatic  
263 resources then appears in a substantial way in both this region and across the Pleistocene-  
264 Holocene transition around the Great Lakes Region of East Africa, requiring even more  
265 investment in technology and transforming settlement patterns <sup>62</sup>. This implies a legacy effect of  
266 millennia of exploitation of these resources. In many cases, intensification anticipated and  
267 facilitated later changes to ecological systems that would occur with food production. Thus, food  
268 production can be viewed as part of a continuum of niche constructing behaviors that began with  
269 Pleistocene hunter-gatherers.

270         The pan-African pattern of increasing diet breadth and intensification of resources in  
271 Pleistocene hunter-gatherers speaks to common factors that shape niche constructing behaviors.  
272 These commonalities connect with, rather than conflict with, the predictions of optimal foraging  
273 theory <sup>63,64</sup>. As resources become less profitable per unit of time investment, diet breadth should  
274 expand to encompass new resources. Recalling that human fitness relies on both biological and  
275 cultural factors <sup>65</sup>, major changes in technological needs, acquired skillsets and knowledge, and  
276 social organization fundamentally alters the selective environments of humans. Thus, much in  
277 the same way that such feedbacks played a role in the development of food production and its

278 effects on human groups<sup>4,63</sup>, an expansion of diet breadth or intensification may have had a  
279 similar effect much earlier in time, with hunter-gatherers. There are significant challenges,  
280 however, to identifying examples of intensification in the Pleistocene. Not all sites preserve  
281 artifacts or ecofacts that are useful for reconstructing subsistence, and changes in technology and  
282 sociality may not always carry over into the types of artifacts that most readily preserve<sup>52</sup>. Other  
283 indicators, such as anthropogenic fire used to reconfigure resources across the landscape, may  
284 not be expected to preserve at archaeological sites at all. Fire used in this way is one of the most  
285 potent tools available to modern humans, yet it is one of the most elusive to identify<sup>66</sup>. We can  
286 expand our ability to identify such behaviors through careful attention to off-site proxy records,  
287 pairing of archaeological and paleoenvironmental data, and development of hypotheses within a  
288 theoretical framework that explicitly addresses the problem of ecological follow-on effects.

## 289 **5. AN ECOLOGY OF FEAR**

290 Organisms do not live in isolation, and the introduction or removal of one component can  
291 push an ecological system across a threshold that is difficult to reverse. Using the concept of an  
292 ‘ecology of fear’, predators structure their environments in two ways: direct prey depletion and  
293 altering prey behavior. Behaviorally mediated trophic cascades (BMTCs) may occur if a predator  
294 alters the behavior of prey in a way that cascades through the ecosystem. Foraging theory  
295 establishes two primary contrasting needs among organisms to ensure survival: food and safety  
296<sup>67</sup>. Species that are typically ‘prey’ have evolved to elude predation and adopt avoidance  
297 strategies in order to maximize their reproductive success. The ecology of fear implies chain  
298 reactions in behavior and landscape responses in relation to stealth, vigilance and fear within  
299 trophic systems such that both food and safety are maximized<sup>68</sup>. Late Pleistocene humans had

300 extraordinary potential to initiate BMTCs as they spread around the globe and into novel  
301 environments<sup>21</sup>, rapidly becoming top predators in new ecosystems.

302         Within the context of the broader landscapes organisms inhabit, there is a continuum  
303 between N-driven (population size) versus  $\mu$ -driven (fear) systems<sup>68,69</sup>. In  $\mu$ -driven systems, the  
304 predator reduces the number of prey mainly by fear, driving them out of preferred habitat into  
305 suboptimal foraging patches rather than by killing them. This causes prey to aggregate or  
306 disperse in specific parts of the landscape, which in turn may lead to nutrient enrichment  
307 (through dropping dung) or habitat over-exploitation. In modern African savanna ecosystems,  
308 the presence of megaherbivores can change this dynamic by re-establishing nutrient equilibrium  
309 in parts of the landscape depopulated by mesoherbivores, which are more prone to predation<sup>70</sup>.  
310 Thus, the removal of megafauna or carnivores from a landscape – by direct human hunting,  
311 climate, or a combination of these – will make a total ecosystem far more vulnerable to the  
312 impacts of apex predators such as humans. BMTCs may also be mediated by other factors such  
313 as fire, which alters the distribution and abundance of browse as well as exposure to predation  
314 risk<sup>71</sup>. Humans may therefore alter the ecology of fear not only through direct predation on  
315 herbivores, but through their use of sophisticated communication and social cooperation, which  
316 force prey animals to move into zones in which humans are unable to see or hear one another as  
317 effectively. Uniquely, humans possess the power to modify landscapes, including these zones,  
318 through controlled fire.

319         Heretofore, fear-based ecological models have rarely been considered for the evolution of  
320 anthropogenic systems. An argument drawn from BMTC theory would posit that there are  
321 significant landscape effects within  $\mu$ -driven ecological systems, and humans are as capable as  
322 any another predator to induce cascading ecological influences across them. We argue here that

323 humans are not only capable, but also exceptional, because of their rapid ability to employ  
324 myriad complex behavioral, technological, and cultural strategies to enhance predation. The  
325 substantial technological and social shifts observed in the Late Pleistocene archaeological record  
326 can be linked to shifts in population densities and foraging returns through their impacts on prey  
327 choices and abundances, settlement patterns, and foraging efficiency. They may also be  
328 detectable through off-site paleoenvironmental records such as charcoal and polycyclic aromatic  
329 hydrocarbons (in the case of fire), fungal or organic biomarker records (as proxies for herbivore  
330 biomass), or pollen and leaf wax data (as proxies for vegetation changes).

331         According to BMTC theory, there should be recursive effects to the ecology of a region  
332 with the introduction of novel, significant trophic elements into a system, sometimes called  
333 ‘turnover’<sup>72</sup>. Trophic systems rely on relative stability of population dynamics, but when a new  
334 species or selective pressure (in this case, a new human behavior or technology) is introduced,  
335 there can be radical reorganization. We view human use of fire to alter resource abundances and  
336 distributions as a threshold-crossing form of niche construction that rapidly alters the ecology of  
337 fear. Within the context of savanna-forest mosaics, fire shifts the balance in favor of savanna<sup>73</sup>.  
338 This alters herbivore abundances and distributions, which have further impact on vegetation  
339 regimes that go beyond those incurred by the burning<sup>71</sup>. An ecology of fear promotes avoidance  
340 of open land as landscapes revegetate following a fire, and this allows floral succession to  
341 unfold; fire-tolerant savanna will eventually yield to fire-intolerant forest if burning does not  
342 interrupt the process<sup>74</sup>. **Thus, the impacts of human niche construction through burning or**  
343 **predation come from their immediate, conscious actions as well as from the downstream,**  
344 **ripple effects of the ecology of fear.**

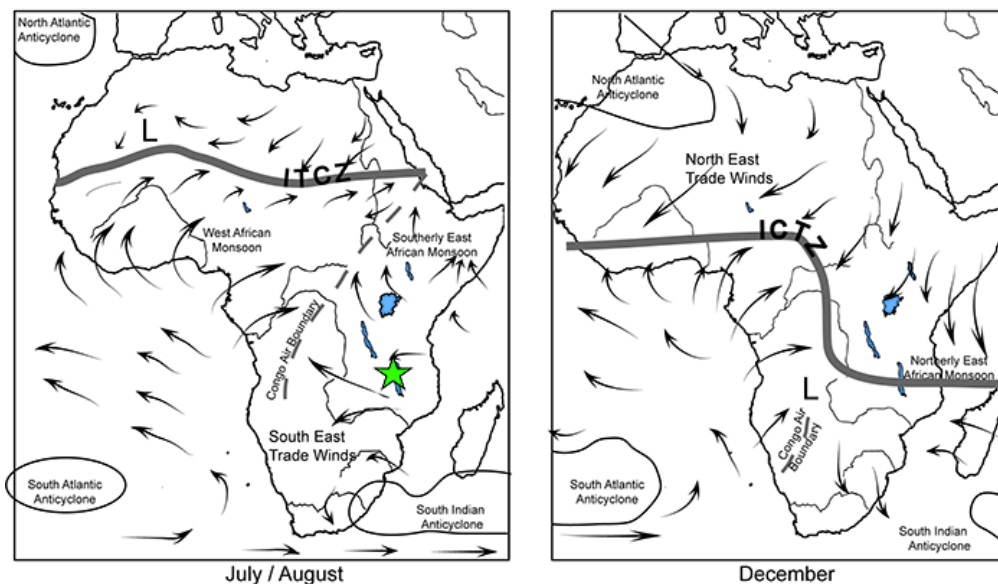


345 Environmental restructuring by humans opens novel predation opportunities for other  
346 predators<sup>75</sup>. In addition, our cooperative social behavior and extensive use of tools, including  
347 fire, has directly injected human intentionality into the lifecycles of plants and animals in a way  
348 that has amplified the capacity of humans to alter the latent ecology of fear. This amplification  
349 has led to tipping points in prehistory, beginning at least in the Pleistocene, in which there were  
350 temporal and spatial alterations in BMTCs that resulted from changes in the dimensions of an  
351 ecology of fear. Implicit in the BMTC model is that small disturbances can amplify through  
352 ecological systems, magnifying the scale of impacts. The introduction of new trophic dynamics  
353 into an ecological system undergoing extrinsically driven change (e.g., from climate change) has  
354 the potential to accelerate the race toward a tipping point<sup>76-78</sup>. Predictions drawn from BMTC  
355 theory show that indirect ecological impacts of fear-based systems can exceed direct impacts  
356 dependent on how fear is attenuated spatially, temporally, and according to ecological  
357 community structure<sup>79</sup>. In the case of the early human record, observed changes in one aspect of  
358 ecology can therefore be inferred to have impacts far beyond what might be preserved in the  
359 fossil or archaeological record.

## 360 **6. AN EARLY BMTC IN THE LATE PLEISTOCENE OF MALAWI**

361 A rare example of an explicit BMTC in the Late Pleistocene of Africa derives from  
362 northern Malawi, where both paleoenvironmental and archaeological data are available from the  
363 same region. Due to its long-axial position across the equator, the climate of the African  
364 continent is primarily governed by the north-south migration of the Intertropical Convergence  
365 Zone (ITCZ), which draws tropical, oceanic moisture inland and brings rain to the zone of  
366 maximum insolation (Fig. 2). Over multi-millennial timescales, changes in orbital configuration  
367 alter solar insolation and heat flux, which, in turn, change the meridional extent of the ITCZ.

368 Zonal changes to atmospheric circulation are driven largely by sea surface temperature, which  
 369 are dominated by dipole effects, such as the El Niño/Southern Oscillation or Indian Ocean  
 370 Dipole<sup>80</sup>. Over the last ~300 kyr, these effects combined with teleconnections to high-latitude  
 371 changes in ice volume associated with three glacial-interglacial transitions have made significant  
 372 changes in Earth's climate. These have affected the distribution of rainfall across Africa. Much  
 373 of what is known about African paleoclimates comes from marine offshore or inland lacustrine  
 374 drilling projects, which are temporally and spatially patchy<sup>81</sup>. Since at least the Pleistocene,  
 375 there is a well-documented phenomenon of climatic antiphasing between the northern two-thirds  
 376 and southern one-third of Africa, in which drier than modern conditions in one sector correlates  
 377 with wetter than modern conditions in the other sector<sup>82</sup>. Central Africa thus experienced  
 378 significant changes in climate that do not readily fit into either a broader northern or southern  
 379 African regime. The longest continuous record of these changes from the continent itself is  
 380 currently from the MAL05-1B lake sediment core from Lake Malawi, which offers a 1.3-  
 381 million-year sequence of hydrological and vegetation change<sup>83</sup>



382

**Figure 2.** Map of Africa showing the major influences on climate (ITCZ, Congo Air Boundary, trade winds, monsoon direction), major water bodies (blue), and the location of the case study (green star).

Adapted from Gasse<sup>102</sup>.

383           The Pleistocene archaeological record for the region is predominately in the form of  
384 stone tools found entrained within alluvial fan systems to the east of Lake Malawi in  
385 Mozambique<sup>84</sup> and to the northwest in the country of Malawi<sup>52</sup>. In both cases, age constraints  
386 indicate that the fan systems began forming in the Late Pleistocene and continued until the end of  
387 the epoch<sup>52,85-87</sup>. The tools themselves do not exhibit unusual complexity, but are largely  
388 assignable to technological systems attributed across Africa to the Middle Stone Age (MSA).  
389 MSA technology is known to have had its roots in the Middle Pleistocene<sup>48</sup>, with later additions  
390 of more complex elements in the Late Pleistocene<sup>53</sup>.

391           Data from the MAL05-1B core show several severe arid periods between 300-100 ka that  
392 would have resulted in a lake level decline of up to 95%<sup>88</sup>. These cycles corresponded to  
393 changes in vegetation as inferred from fossil pollen, in which forests expanded to the lakeshore  
394 during wet periods of high lake level and precipitation, and contracted in dry periods with  
395 decreasing lake level and precipitation<sup>89</sup>. Species richness as inferred from pollen also fluctuated  
396 with climate; during wet periods of forest expansion, species richness increased, and then  
397 decreased again during dry periods with falling lake levels<sup>90</sup>. However, ca. 85 ka, during a wet  
398 period following the last prolonged arid period, the long-term relationship between climate and  
399 vegetation was decoupled. Lake levels remained high for the last 85 kyr but species richness  
400 never recovered, and instead remained at low values previously associated with the driest  
401 intervals of the last 600 kyr. All four previous low points were associated with a severe arid  
402 period, whereas the Late Pleistocene collapse occurred in concert with consistently high rainfall  
403 conditions. By ca. 85 ka, vegetation composition also changed to a previously unobserved state,  
404 in which montane forest taxa were largely replaced by grasses and fire-tolerant trees and shrubs  
405<sup>89</sup>.

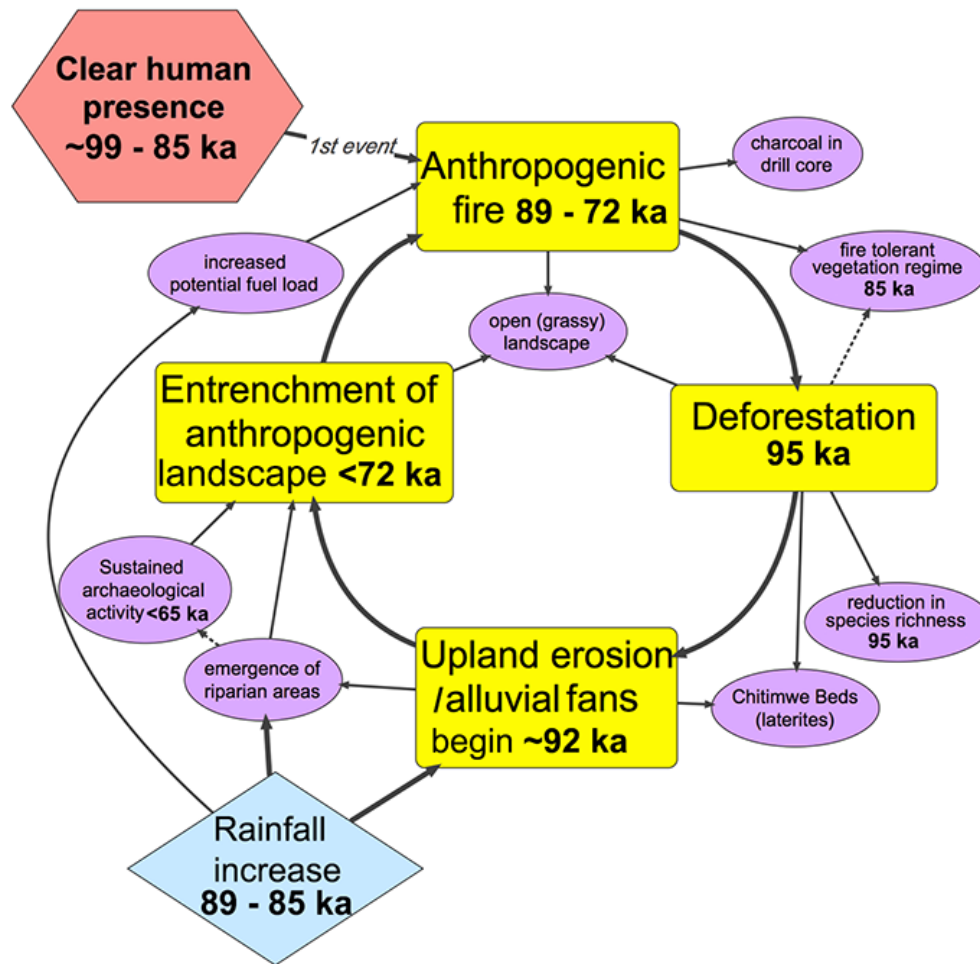
406 Analysis of the last ~600 kyr of this core shows important changes in fire activity  
407 indicated by charcoal that may explain alteration of vegetation complexation. Terrigenous charcoal  
408 occurred in core sediments at consistently low values until ~250 ka, when it began to rise  
409 slightly. This was followed by two periods between ~175-130 ka and ~100-85 ka when charcoal  
410 influxes experienced high values more than double the long-term background value. The  
411 increase to higher than background levels of charcoal between ~175-130 ka was followed by an  
412 arid period that made vegetation likely too sparse to sustain fires. This suggests the introduction  
413 of a new fire regime into the overall system within the Middle Pleistocene, but with climate still  
414 governing the dominant patterns. During a major arid interval ending ca. 85 ka, charcoal returned  
415 to high values as vegetation species richness dropped to some of its lowest levels over the last  
416 ~600 kyr<sup>91</sup>. After 85 ka, charcoal influx remained at higher baseline values than in preceding  
417 wet intervals.

418 These lines of evidence point to a series of recursive impacts, mediated by climate but  
419 ultimately following the introduction of widespread burning into the region, that drove a  
420 transition to a new vegetation state and a new ecological balance. Although people may have  
421 been present in the region during the Middle Pleistocene, their presence was not apparent in the  
422 archaeological record. The lake sediment core may instead offer the first indication of human  
423 occupation, with its changes in charcoal influxes after ca. 250 ka. Human activity is first  
424 manifested in the archaeological record between ca. 99 – 85 ka, based on the error range of the  
425 oldest date of both alluvial fan formation and archaeological occupation<sup>52</sup>. This occurred as lake  
426 levels began to recover and charcoal influx increase before ca. 85 ka. We argue that regional  
427 Late Pleistocene human populations began to grow as climate conditions became wetter, and this  
428 second series of charcoal maxima represent a simultaneous increase in human activity and fuel

429 load as higher precipitation encouraged both woodland regrowth and human occupation. Unlike  
430 their Middle Pleistocene counterparts, however, these humans used burning to halt the typical  
431 cycle of forest recolonization by producing large quantities of ignitions that were outside the  
432 normal seasonality of lightning strikes<sup>89,92</sup>.

433 Burning of vegetation by MSA people restructured the floristic composition of northern  
434 Malawi during the Late Pleistocene, filtering out fire-intolerant species, reducing the overall  
435 biodiversity of the landscape, but enhancing predation opportunities and stimulating resources  
436 beneficial to themselves. This catalyzed a BMTC, which extended to the landscape itself, where  
437 erosion regimes were altered by a novel combination of high precipitation and low forest cover.  
438 It was at this time, in the Late Pleistocene, that regional alluvial fans began to activate and  
439 entrain the first direct archaeological evidence of human presence (Fig. 3). A tipping point had  
440 been reached, and a new vegetation and burning regime was established by ca. 72 ka. **By the**  
441 **time they became archaeologically visible, MSA hunter-gatherers had been using fire as a**  
442 **resource management tool on those landscapes for thousands of years.** Later farming and  
443 pastoral activities then inherited a long ecological legacy sculpted by human niche construction  
444 that began in the Pleistocene.

445



446

447 **Figure 3.** Conceptual path model of recursive interactions between forager cultural activities  
 448 and ecosystem expressions during the Late Pleistocene of northern Malawi. The introduction of  
 449 anthropogenic burning between ~99 – 85 ka coincided with a recovery in lake levels after a  
 450 major arid period, resulting in collapse of forests and expansion of fire-tolerant species. After  
 451 ~72 ka the system had crossed a permanent tipping point and entered a new balance in which  
 452 open landscapes dominated even during sustained high rainfall conditions. Alluvial fans  
 453 catalyzed by these conditions continued to form. Yellow boxes indicate inferred effects of  
 454 anthrome creation. The blue diamond is the prime non-anthropogenic ecosystem driver. Purple  
 455 ovals are measured proxy data. Arrows temporally and conceptually connect events within the  
 456 path model.

457 **7. CONCLUSIONS**

458           The Paleanthropocene concept confounds formal definition in the ecological or  
459 geological record because the evidence is not global, nor synchronous across large regions.  
460 Recent discussions about the Anthropocene include a call from anthropologists to be included in  
461 its formal definition<sup>9</sup>. However, in most ways the archaeological and paleoenvironmental  
462 records do not meet the criteria necessary for a typical geological transition; i.e. that there be a  
463 type section that represents a globally discernable and temporally constrained phenomenon<sup>93</sup>.  
464 Ruddiman<sup>94</sup> has proposed that an informal ‘anthropocene’ is conceptually preferable in its utility  
465 to a hard geological boundary. Indeed, from at least the Late Pleistocene, humans have induced  
466 many regional anthropocenes. The pragmatic problem is how to identify them, and the  
467 theoretical problem is how to frame their impacts on our evolution<sup>8</sup>.

468           Scaling human impacts over geological timescales is difficult because separating the  
469 artificial from the natural aspects of selection is not always transparent. However, such  
470 separations are also not strictly necessary. Using indicators from artifactual and ecofactual  
471 assemblages, paleoenvironmental records, and explanations rooted in ecological co-evolutionary  
472 theory, early human niche construction can be traced to deeper points in time. In these cases,  
473 interpretation of records may seem reliant on an argument from circumstantial evidence:  
474 observable environmental change must coincide with observable anthropogenic activity.  
475 However, this may be a futile exercise when dealing with deep-time records where there are  
476 large temporal gaps between data points. **Even over higher resolution spans, time lags should**  
477 **be expected as the norm rather than the exception, since ecological change across taxa**  
478 **should not all occur concurrently**<sup>95</sup>. As ecosystems adjust to changing conditions,

479 environmental components with different longevities (for example, grass versus trees) should not  
480 all show consistent impacts until a new balance is achieved.

481 Inferences about anthropogenic fire from charcoal records have been applied to regions  
482 where there is a clear ‘before and after’ presence of humans, such as the Americas<sup>96</sup> and  
483 Australia<sup>97</sup>, but is more difficult to apply to Africa, where humans have had the longest presence  
484<sup>92</sup>. Because of this presence, however, Africa is the continent where we should predict that such  
485 behaviors first emerged and developed. **We have proposed that a useful time to examine in  
486 the context of the African record is when archaeological evidence shows a clear change in  
487 human behaviors across the social, subsistence, and technological realms, around the  
488 Middle-to-Late Pleistocene boundary.**

489 When paired with other paleoenvironmental proxies from the same cores such as pollen  
490 records, leaf waxes, and dung fungus (*Sporormiella*), charcoal from lake cores can speak to a  
491 complex set of interactions between fire and floral and faunal change. Interpretations from such  
492 proxies are unavoidably circumstantial, in that there is no definitive way to demonstrate that  
493 human behavior was the sole, or even primary, driver of regime change. However, modern  
494 hunter-gatherers are strongly predicted to use broadcast fire as a land management strategy in  
495 lightning-fire-prone environments as well as environments with few natural ignitions<sup>35,98</sup>. This  
496 demonstrates the fallacy of dichotomizing a lightning *versus* anthropogenic fire landscape, and  
497 instead emphasizes the evolving and contingent nature of fire regimes. Because of the ripple  
498 effect of BMTCs, evidence from paleoenvironmental proxies, even if the main connection to  
499 anthropogenic activity is circumstantial, is a prime source for identifying human niche  
500 construction. It also speaks directly to what makes humans unique.



501           **Landscapes are inherited and amplified legacies of past evolutionary interactions,**  
502 **and there is no disentanglement of the ecological present from the past.** However, there is  
503 evidence that at some point near the Middle-Late Pleistocene boundary humans underwent a  
504 threshold-crossing shift in their behavior that is detectably different from what came before. The  
505 sustained, transformative effects of these behaviors on sculpting ecosystem functions extend  
506 deep into the human past and the evolution of these systems are inextricably bound to the  
507 evolution of our species itself<sup>99</sup>. The end result has been a ratcheting up in both cultural  
508 complexity<sup>100</sup> and environmental changes<sup>101</sup> to accommodate new ecological realities. This has  
509 set off cascades of further adaptations within our species and others in the same ecosystems at an  
510 unprecedented scale of impact.

511

## 512 **ACKNOWLEDGEMENTS**

513 We thank the organizers of the symposium “The Extended Evolutionary Synthesis and Human  
514 Origins: Archaeological Perspectives”, organized by John Murray and Robert Benitez at the 84<sup>th</sup>  
515 annual Society for American Archaeology meeting in Albuquerque, New Mexico. We thank  
516 Grace Veatch and David Post for insightful conversation around the issue of niche construction  
517 theory, Steve Forman for discussion about the ecology of fear, and Erik Otárola-Castillo, Andy  
518 Cohen, and Ramón Arrowsmith for conversations around the path model. We also thank the  
519 Editor and two reviewers for their helpful comments and recommendations.

520

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