

1121 **Table 9**

1122 Quantifying human influence on drought and drought termination characteristics in  
 1123 disturbed period.

Case study	Predominant human activity	Changes in drought characteristics (%)				Changes in drought termination characteristics (%)		
		Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ )	Mean drought deficit ( $D_{def}$ )	Mean maximum intensity (MI)	Mean termination duration ( $DT_{dur}$ )	Mean termination deficit ( $DT_{def}$ )	Mean termination rate ( $DT_{rate}$ )
Xiquan	—	+3	-4	-3	-3	-5	-3	-2
Xiaochengzi	Human water withdrawal	-63	<b>+601</b>	<b>+1376</b>	<b>+209</b>	<b>+230</b>	<b>+865</b>	<b>+35</b>
Dianzi	Reservoir regulations, Human water withdrawal	+29	+121	+208	+106	+73	+170	+26
Taipingzhuang	Land use change, Human water withdrawal	-15	+248	+619	+128	+192	+404	+21

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**An approach for identification and quantification of  
hydrological drought termination characteristics of natural  
and human-influenced series**

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21 **Abstract**

22       Although many previous studies have analysed the impacts of human activities  
23 on hydrological drought, studies that analysed these impacts from the perspective of  
24 drought termination, a critical re-wetting phase of hydrological drought, are limited. A  
25 deeper understanding on how human alter hydrological drought termination phase is  
26 essential for improving drought recovery prediction and performance of the drought  
27 early warning system. In this study, a comprehensive approach for identifying  
28 hydrological drought termination characteristics and quantifying the impact of human  
29 activities on drought termination was proposed. This approach, which combines the  
30 concept of drought termination (DT), an ‘observed–simulated’ comparison approach,  
31 and the variable threshold level method (TLM<sub>v</sub>), consists of the following steps: (1)  
32 reconstruction of natural streamflow using a hydrological model, (2) identification of  
33 hydrological drought termination characteristics using TLM<sub>v</sub> method and the concept  
34 of drought termination, and (3) quantification of human influence by comparison of  
35 the hydrological drought termination characteristics of human-influenced (observed)  
36 series and those of natural (simulated) series. The Laohahe basin, consists of four  
37 catchments (Xiquan, Xiaochengzi, Dianzi, and Taipingzhuang) in northern China, was  
38 evaluated using the proposed procedure. The study demonstrated that the proposed  
39 approach is efficient in quantifying human influence on hydrological drought  
40 termination phase. The results revealed that human activities have significant impacts  
41 on the hydrological drought termination phase in the Xiaochengzi, Dianzi, and

42 Taipingzhuang catchments. All the average drought termination duration ( $DT_{dur}$ ),  
43 deficit ( $DT_{def}$ ), and rate ( $DT_{rate}$ ) in the human-influenced series of the three  
44 catchments (Xiaochengzi, Dianzi, and Taipingzhuang) increased in comparison to  
45 those in the natural series, with maximum increases of 230%, 865%, and 35%,  
46 respectively. The seasonality of the drought termination phase starts ( $DT_{start}$ ) and ends  
47 ( $DT_{end}$ ) for the three catchments exhibited obvious shifts due to human influence. The  
48 preferred seasons for  $DT_{start}$  and  $DT_{end}$  were shifted to summer and autumn,  
49 respectively. The proposed approach and findings of this study may help to gain a  
50 deeper understanding of how human activities alter hydrological drought termination  
51 severity (drought termination duration, deficit, and rate) and time (drought termination  
52 starts or ends).

53

54 **Keywords:** Hydrological drought; Human activities; Drought termination; Variable  
55 threshold level method

56

## 57 **1. Introduction**

58 Drought is recognised as a natural disaster and occurs in most parts of the world,  
59 even in wet and humid regions (Forzieri et al., 2014; Van Lanen et al., 2013; Sheffield  
60 et al., 2012; Dai, 2011; Mishra and Singh, 2010; Fleig et al., 2006; Li et al., 2020a,b;  
61 Jiang et al., 2020). Dry periods lasting from years to decades occurred many times in  
62 regions all over the world during the last millennium, and global aridity has increased

63 substantially since the 1970s (Dai, 2011; Zargar, 2011; Krysanova et al., 2008; Palmer  
64 et al., 2008; Sheffield, 2012; Watts et al., 2012). Because of the increasing demand for  
65 water stimulated by population growth and expansion of the agricultural, energy and  
66 industrial sectors, drought events have attracted the attention of environmentalists,  
67 ecologists, hydrologists, meteorologists, geologists, and agricultural scientists (Mishra  
68 and Singh, 2010; Van Loon, 2015; Lettenmaier and Gan, 1990; Andreadis et al., 2006).  
69 According to different types of water deficits, droughts are commonly classified into  
70 four types: meteorological drought, hydrological drought, agricultural drought, and  
71 socioeconomic drought (Ma et al., 2014; Mishra and Singh, 2010; Jiang et al., 2019;  
72 Dehghani and Zargar, 2019; Yeh, 2019; Chen et al., 2019).

73 Hydrological drought, which is manifested by abnormally low streamflow in  
74 rivers and abnormally low levels in lakes, reservoirs, or/and groundwater (Tallaksen  
75 and Van Lanen, 2004; Van Loon and Van Lanen, 2013), is susceptible to human  
76 activities (Ahmadi et al., 2019; Xia et al., 2019). Many studies have analysed how  
77 different human influences (e.g., human water withdrawal, reservoir regulations, and  
78 land cover change) affect hydrological drought process (Jiang et al., 2019; Wu et al.,  
79 2019; Yuan et al., 2018; Van Loon and Van Lanen, 2013; Lin et al., 2017; Zou et al.,  
80 2018).

81 However, a critical re-wetting phase of hydrological drought, termed  
82 hydrological drought termination, has been relatively neglected (Parry et al., 2016).  
83 Lack of knowledge of this process hinders water managers to decide how the

84 transition from depleted to replenished water supplies is operationally handled  
85 ([Hannaford et al., 2011](#); [Bell et al., 2013](#)). Therefore, the focus of this study is to  
86 explore how hydrological drought termination phase (including duration, deficit, rate,  
87 and time at which drought termination start or end) changes under human influence,  
88 which is crucial for improving the forecasting of drought recovery and establishing a  
89 reliable drought monitor system.

90 Just like drought defined in many different ways, different drought assessment  
91 methods or indices based on one or more variables (e.g., precipitation, streamflow, or  
92 soil moisture) also have their own drought termination criteria ([Heim Jr. and Brewer,  
93 2012](#); [Parry et al., 2016](#)). The earliest researches assessed drought termination  
94 according to climatological probability ([Byun and Wilhite, 1999](#)), applying indices  
95 such as the Palmer Drought Severity Index (PDSI) and the Standardised Precipitation  
96 Index (SPI). Since then, many methods or indices were proposed to calculate the  
97 amount of rainfall required to terminate a drought under given meteorological inputs  
98 ([Bell et al., 2013](#); [Pan et al., 2013](#); [Antofie et al., 2014](#); [Parry et al., 2018](#)). These  
99 studies focus on meteorological or soil moisture drought termination, whereas there is  
100 a need to address hydrological drought termination more holistically ([Margariti et al.,  
101 2019](#)). [Parry et al. \(2016\)](#) pointed out that a number of studies have attempted to  
102 characterize the drought termination phase and reviewed a number of questions and  
103 knowledge gaps regarding drought termination that remain unanswered. And then,  
104 they proposed a definition of drought termination, and applied this new concept to a

105 case study of the 2010–2012 drought in the UK, and the propagation of drought  
106 termination between river flows and groundwater levels. In this study, we followed  
107 the definition proposed by Parry et al. (2016), who defined drought termination as a  
108 period between the maximum negative anomaly and a return to above-average  
109 conditions. It is not only a point in time describing when a drought is said to have  
110 ended but also a quantifiable event with a temporal profile. Once this phase has been  
111 delineated, the duration, deficit, rate and seasonality of hydrological drought  
112 termination can be derived.

113 Threshold level method (TLM) (Van Loon and Van Lanen, 2013; Sarailidis et al.,  
114 2019; Jiang et al., 2019) is a commonly used method for extracting propagation  
115 characteristics of hydrological drought (e.g. drought duration and deficit) and drought  
116 termination (e.g. drought termination duration and deficit). The threshold level  
117 method (TLM), proposed by Yevjevich (1967), considers a hydrological drought  
118 events to occur when the variable of interest (e.g., streamflow or groundwater level)  
119 falls below a predefined threshold. When this method is applied to quantify the impact  
120 of human activities, the threshold values are usually calculated based on the full  
121 length of naturalised (simulated) streamflow and then are used to identify drought  
122 events in both natural series and human-influenced series. Differences between the  
123 two series reflect human influence on hydrological drought and drought termination.

124 Many comparative analysis methods (Van Loon et al., 2019; Wu et al., 2019)  
125 have been used to quantify the impact of human activities on hydrological drought.

126 Van Loon et al. (2019) proposed the ‘paired basin comparative’ approach and selected  
127 two different basins to represent disturbed and undisturbed situations. However, it is  
128 usually difficult to find two watersheds that have similar physical characteristics other  
129 than specific human influences. The ‘upstream–downstream’ approach, introduced by  
130 Rangelcroft et al. (2019), used observation data only, including disturbed downstream  
131 and undisturbed upstream portions, to analyse human influence. The  
132 ‘pre–post-disturbance comparative’ approach (Liu et al., 2016; Rangelcroft et al., 2019)  
133 is usually employed to assess the impact of reservoirs on hydrological drought.  
134 However, these two comparative analysis methods are always limited to the analysis  
135 of specific human influence. Compared with the above methods, the  
136 ‘observed–simulated comparison’ method has more modest data requirements,  
137 including meteorological forcing as input and hydrological data of the ‘undisturbed  
138 period’ for calibration (Van Loon and Van Lanen, 2013). This is the first advantage of  
139 this method. In addition, many basins are affected by complex and diverse human  
140 activities, and naturalised data (e.g., reservoir regulation records, human water  
141 withdrawal records) are often sensitive or unreliable. Application of different methods  
142 of data naturalisation to different basins also introduces uncertainty into cross-basin  
143 comparisons. The ‘observed–simulated’ comparison method uses hydrological models  
144 to simulate near-natural hydrological variables for different basins and compares these  
145 variables with those of observed series to avoid the above uncertainties. This is  
146 another advantage of this method. Based on these advantages of this comparison



147 method and its successful applications, ‘observed-simulated’ comparative approach  
148 was used in our study. Nowadays, an increasing number of hydrological models have  
149 been used to quantify human influences on hydrological drought, including the  
150 Variable Infiltration Capacity (VIC) (Liu et al., 2016; Jiang et al., 2019), the Soil  
151 Water and Assessment Tool (SWAT) (Wu et al., 2019), and the HBV (Van Loon and  
152 Van Lanen, 2013) model.

153 A few recent good studies have focused on human influence to hydrological  
154 drought termination phase. Margariti et al. (2019) assessed if and how human  
155 modifications affect the drought termination phase by considering the human  
156 activities of reservoirs, abstraction, urbanisation and water transfer. Wu et al. (2019)  
157 used the Standardized Streamflow Index to assess the impact of human regulations on  
158 hydrological drought development and recovery based on a ‘simulated–observed’  
159 comparison. However, these studies either used different approaches to reconstruct  
160 natural streamflow (which may introduce some inconsistency into cross-catchment  
161 comparisons), or did not consider the start and/or end time characteristic of  
162 hydrological drought termination phase. Therefore, a comprehensive assessment  
163 considering the reconstruction of natural streamflow, identification of hydrological  
164 drought termination characteristics, and quantification of human influence on  
165 hydrological drought termination phase is valuable to understand how human  
166 activities alter hydrological drought termination phase, especially in this  
167 “human-influenced era” (Van Loon et al., 2016).

168 This study proposed a comprehensive assessment approach for identifying and  
169 quantifying drought termination characteristics of natural series and  
170 human-influenced series, which consist of three steps: (1) using a hydrological model  
171 to reconstruct the natural streamflow of human-influenced regions; (2) extracting  
172 hydrological drought events using the variable threshold level method and identify  
173 hydrological termination characteristics through the concept of drought termination;  
174 and (3) quantifying impact of human activities on hydrological drought termination  
175 using the ‘simulated-observed’ comparison method. The proposed approach differs  
176 from previous studies with the following features: (1) to maintain consistency and  
177 uniformity in the reconstructed natural streamflow between the catchments, the same  
178 hydrological model was used for flow simulation in all four catchments, (2) special  
179 attention was paid to low flows in model calibration and simulation, (3) to ensure  
180 reliability and validity of the reconstructed natural streamflow, we used a ‘nature  
181 catchment’ (relatively not influenced by human activities) to validate the model, and  
182 (4) the proposed approach can identify both drought termination severity  
183 characteristics (e.g. drought termination duration, deficit, and rate) and drought  
184 termination time characteristics (e.g. seasons when the drought termination phase  
185 starts and/or ends). The Laohahe basin in northern China, was chosen to perform the  
186 study because its quantity of available water resources has decreased significantly and  
187 hydrological drought within the basin has occurred frequently under intense human  
188 influence (Yong et al., 2013; Jiang et al., 2011; Liu et al., 2009). The results of this

189 study will be helpful for formulating appropriate responses to drought conditions and  
190 improving the effectiveness of local drought monitor.

191

## 192 **2. Study area and data**

### 193 *2.1. Study area*

#### 194 *2.1.1. The Laohahe basin*

195 The Laohahe basin is located at the junction of the Hebei and Liaoning provinces  
196 and the Inner Mongolia Autonomous Region in north-eastern China ( $118^{\circ}15'-120^{\circ}E$ ,  
197  $41^{\circ}-42^{\circ}15'N$ ) (Fig. 1). It covers an area of  $7,720 \text{ km}^2$ , with the Taipingzhuang  
198 hydrological station ( $42^{\circ}12'N$ ,  $119^{\circ}15'E$ ) at the basin outlet (Liu et al., 2009). The  
199 elevation within the basin ranges from 478 m to 1808 m above mean sea level,  
200 decreasing from the southwest to the northeast (Yong et al., 2013). Because of the  
201 uneven spatial and temporal distribution of precipitation (approximately 88% of the  
202 annual precipitation occurs from May to September), streamflow of the Laohahe basin  
203 exhibits strong seasonality (Jiang et al., 2012).

204 In this study, four catchments of the Laohahe basin (Fig. 1) were selected,  
205 including Xiquan, Xiaochengzi, Dianzi, and Taipingzhuang catchments. The  
206 geographic and hydrological characteristics of these catchments are listed in Table 1.  
207 The range of average annual precipitation of these catchments is 438–573 mm, and  
208 the range of average annual streamflow is 26–127 mm. Spatial variation of  
209 precipitation caused both precipitation and streamflow of the basin decrease gradually  
210 from the southwest to the northeast.

211

212 *2.1.2. Human activities in the Laohahe basin*

213 Previous studies have indicated that there has been a significant decrease in  
214 streamflow of the Laohahe basin (Jiang et al., 2019; Liu et al., 2016) as a result of the  
215 influence of human activities. Yong et al. (2013) found that change points for both the  
216 Taipingzhuang and Dianzi catchments occurred in 1979. Jiang et al. (2011) noted that  
217 human activities were the main factors (with contributions of 89–93%) in the  
218 streamflow decrease in the basin after 1979. Based on these studies, the  
219 Mann–Kendall (M-K) (Mann, 1945; Kendall, 1975) test method was firstly used for  
220 the trend analysis of the precipitation, potential evapotranspiration (PET) (calculated  
221 via the Penman–Monteith equation recommended by the Food and Agriculture  
222 Organization), and streamflow series of the four catchments in the Laohahe basin  
223 during the period 1964–2016. The M-K test results (Table 2) showed that there was no  
224 significant increasing or decreasing trend in precipitation and PET series for the four  
225 catchments, but streamflow series of the Xiaochengzi, Dianzi, and Taipingzhuang  
226 catchments (except for the Xiquan catchment) decreased significantly. Then, Pettitt  
227 (Pettitt, 1979) test method and the precipitation and streamflow double cumulative  
228 curve (DCC) method were used to identify change points of these hydrological  
229 variables for the four catchments. The Pettitt test results showed that the first  
230 streamflow change points ( $1-P > 0.99$ ) for Xiaochengzi, Dianzi, and Taipingzhuang  
231 catchments occurred in 1998, 1979, and 1979, respectively (Table 2). Results of the

232 DCC method (Fig. 2) also indicated that gradients of the streamflow accumulation  
233 curve were significantly different from those of the precipitation accumulation curve  
234 for the three catchments after 1998, 1979, and 1979, respectively. And there were no  
235 significant change points in precipitation and PET series of the four catchments.  
236 Therefore, the Mann-Kendall, Pettitt, and DCC test methods detected that 1998, 1979,  
237 1979 were the streamflow change points for the Xiaochengzi, Dianzi, and  
238 Taipingzhuang catchments of the Laohahe basin.

239 In addition, basic information about the study region, involving population, water  
240 utilization, land use changes, and large reservoirs was collected and analysed. Fig. 3  
241 showed land use changes of the study region for different periods (1980, 1990, 2000,  
242 2010, and 2015). Areas of cropland and urban increased significantly and those of  
243 forest land, grassland, water, and unused land decreased after 1980. Fig. 4 displayed  
244 changes of the socioeconomic data during 1964-2016. It was observed that the  
245 population of study region (Fig. 4(a)) was rapidly growing before the 21st century  
246 (1964-2000). The GDP (Fig. 4(b)) experienced rapid growth after the national  
247 economic opening policy was implemented in 1979. Food production (Fig. 4(c)) and  
248 number of livestock (Fig. 4(d)) also experienced rapid growth after 1979. Besides,  
249 there is a large reservoir in the study area (Yong et al., 2013), namely the Dahushi  
250 (storage capacity:  $1.2 \times 10^8 \text{ m}^3$ ), located in Dianzi catchment. The reservoir started to  
251 build in January 1976, completed in October 1979, and began to store water in  
252 November 1980. All of these human activities may impact the natural hydrological

253 processes of the basin directly or indirectly, and further influence the hydrological  
254 drought and drought termination phase.

255

### 256 *2.1.3. Selection of natural catchment for uncertainty analysis of hydrological models*

257 The Xiquan catchment, located at the headwaters of the Laohahe basin, has no  
258 significant trend change or change points (Table 2). Besides, it has a consistent  
259 relationship between precipitation and streamflow during the entire (undisturbed and  
260 disturbed) period (Fig. 2(a)), which means that the streamflow process in this  
261 catchment is closed to the natural streamflow process and is little affected by human  
262 activities. Thus, the Xiquan catchment was selected as a natural catchment in this  
263 study to carry out streamflow simulation and drought assessment together with the  
264 other three altered catchments, so as to test the accuracy of the results for the model  
265 simulation and drought metrics identification. Here, the calibration (1965-1974) and  
266 validation (1975-1979) periods of simulation for Xiquan catchment were as the same  
267 as those for the Dianzi and Taipingzhuang catchments.

268

### 269 *2.2. Data*

270 The data used in this study consisted of three components: (i)  
271 hydrometeorological data, (ii) geographic information data, and (iii) socioeconomic  
272 data. The details of the data are as follows.

273 (1) Daily meteorological forcing data for the period 1964-2016, including the  
274 wind speed, maximum and minimum air temperatures measured by six national

275 standard meteorological stations inside and around the Laohahe basin, were  
276 downloaded from the China Meteorological Data Sharing Service System  
277 (<http://data.cma.cn/>). Daily precipitation data measured by 17 rain gauges, daily  
278 streamflow records for the four catchments (1964–2016), and information of  
279 reservoirs were provided by the Water Resources Department of the Inner Mongolia  
280 Autonomous Region. The Inverse Distance Weighting (IDW) method was used to  
281 interpolate precipitation and meteorological data into grid data with a resolution of  
282  $0.0625^{\circ} \times 0.0625^{\circ}$  to drive the VIC hydrological model. For the purpose of  
283 comparison with the precipitation data, streamflow data were further converted to  
284 runoff (mm) by averaging the runoff amounts ( $\text{m}^3/\text{s}$ ) over each catchment area.  
285 Because monthly data were used for drought identification in this study, precipitation  
286 and streamflow values were further converted from daily to monthly amounts by  
287 calculating total monthly values.

288 (2) The geographic information data included digital elevation model (DEM)  
289 data, soil type data, and land use data. The 30-arcsecond global digital elevation  
290 model data were obtained from the U.S. Geological Survey (USGS) website  
291 (<https://www.usgs.gov/>). Soil types were derived from the Food and Agriculture  
292 Organization (FAO) data set. Land use data were obtained from the University of  
293 Maryland's 1-km Global Land Cover Production. All the geographic information data  
294 that are needed to drive the VIC model were resampled to a resolution of  $0.0625^{\circ} \times$   
295  $0.0625^{\circ}$ .

296 (3) Socioeconomic data consist of population, gross domestic product (GDP),  
297 food production, and number of livestock. Population and GDP data set were provided  
298 by Data Centre for Resources and Environmental Sciences, Chinese Academy of  
299 Sciences (RESDC) (<http://www.resdc.cn>). Data of food production and number of  
300 livestock were collected from a local statistical bureau website  
301 (<http://tjj.chifeng.gov.cn/>).

302

### 303 **3. Methods**

304 This section describes the proposed approach (illustrated in [Fig. 5](#)) for  
305 identifying hydrological drought termination characteristics and quantifying the  
306 impact of human activities on hydrological drought termination. The three main steps  
307 in the proposed approach are described below.

308 The first step focuses on natural streamflow reconstruction. According to the  
309 change points identification results, the entire study period can be divided into two  
310 periods, namely, the ‘undisturbed period’ (before the change point) and the ‘disturbed  
311 period’ (after the change point). The observed hydrological data for the ‘undisturbed  
312 period’ were used to calibrate the Variable Infiltration Capacity (VIC) hydrological  
313 model, which was selected for use in this study and its detailed description is provided  
314 in Section 3.1. And then, the meteorological forcing data for the ‘disturbed period’  
315 were used as input data to the calibrated model to reconstruct (simulate) the natural  
316 streamflow during the same period. We considered the simulated streamflow during



317 the ‘disturbed period’ to be the natural streamflow, which is only affected by climate  
318 factors. Difference between natural and observed streamflow therefore should be  
319 attributed to human activities.

320 The second step is to identify hydrological drought termination characteristics.  
321 The monthly variable threshold level method ( $TLM_v$ ) was selected as the  
322 identification method for hydrological drought events. And then, concept of drought  
323 termination was used to extract drought termination metrics, including the drought  
324 termination duration, deficit, rate, and the seasons at which drought termination starts  
325 or ends.

326 In the final step, the impacts of human activities on hydrological drought  
327 termination (i.e., changes in percentage terms) were quantified by comparing the  
328 characteristics (i.e.,  $DT_{dur}$ ,  $DT_{def}$ , and  $DT_{rate}$ ) of natural series with those of  
329 human-influenced (observed) series. The frequencies of the drought termination start  
330 and end months ( $DT_{start}$  and  $DT_{end}$ ) for human-influenced and natural series were  
331 calculated and summarised in terms of four seasons (spring, summer, autumn, and  
332 winter). Shifts in the seasonality of drought termination caused by human influence  
333 can be captured by comparing the natural and human-influenced series. Considering  
334 the different number of drought events in the two series, the frequency was calculated  
335 by converting the number of drought terminations starts or ends in each season to a  
336 percentage of the total number of drought events. The concept underlying this  
337 approach and the methods used are described in detail in the following sections.

338

### 339 3.1. VIC model

340 The VIC model (Liang et al., 1994) is a macro-scale semi-distributed  
341 hydrological model jointly developed by the University of Washington, the University  
342 of California at Berkeley, and Princeton University. The model balances water and  
343 surface energy budgets and has been widely used in hydrological process simulation  
344 around the world (Liang et al., 2004; Adam et al., 2007; Pan et al., 2008). Daily-scale  
345 or shorter-time-scale meteorological forcing data (e.g., precipitation, air temperature,  
346 wind speed, radiation, etc.) are often used as inputs to simulate land surface  
347 hydrological processes (e.g., streamflow, moisture storage, evaporation, etc.) over  
348 corresponding time scales.

349 According to previous studies, the model parameters can be classified into two  
350 categories (Liang et al., 2004). The first category consists of parameters that have  
351 clear physical meanings and can be determined directly from land use data and soil  
352 type data, such as the saturated soil potential  $\psi_s$  (m), soil porosity  $\theta_s$  ( $\text{m}^3/\text{m}^3$ ),  
353 saturated hydraulic conductivity  $k_s$  (m/s), and so on. The second category consists of  
354 seven conceptual parameters that need to be calibrated. The details of these  
355 user-calibrated parameters are listed in Table 3. The maximum sum value of  
356 Nash-Sutcliffe efficiency (NSE) and log-transformed NSE (LogNSE) (Merz, et al.,  
357 2011; Yuan et al., 2018), coefficient of correlation (CC), and relative error (BIAS),  
358 calculated using Eqs. (1) – (5), were used to evaluate the model performance (Jiang et

359 [al., 2018](#)). Although NSE is a good metric for hydrological model optimization, it  
 360 tends to provide high importance to high flows ([Yuan et al., 2018](#)). To make sure that  
 361 the model can capture both high- and low-flow processes, we used the maximum sum  
 362 of NSE and log-transformed NSE (LogNSE) as the objective function. Because  
 363 low-flow process is closely related to the onset and development stage of hydrological  
 364 drought, and high-flow process is often related to termination stage of drought.

$$365 \quad f = \max(NSE) + \max(\logNSE) \quad (1)$$

$$366 \quad NSE = 1 - \frac{\sum_{i=1}^n (Q_{sim}(i) - Q_{obs}(i))^2}{\sum_{i=1}^n (Q_{obs}(i) - Q_{obs}^-)^2} \quad (2)$$

$$367 \quad \logNSE = 1 - \frac{\sum_{i=1}^n (\log Q_{sim}(i) - \log Q_{obs}(i))^2}{\sum_{i=1}^n (\log Q_{obs}(i) - \log Q_{obs}^-)^2} \quad (3)$$

$$368 \quad CC = \frac{\sum_{i=1}^n (Q_{obs}(i) - Q_{obs}^-) \cdot (Q_{sim}(i) - Q_{sim}^-)}{\sqrt{\sum_{i=1}^n (Q_{obs}(i) - Q_{obs}^-)^2} \sqrt{\sum_{i=1}^n (Q_{sim}(i) - Q_{sim}^-)^2}} \quad (4)$$

$$369 \quad BIAS = \frac{\sum_{i=1}^n (Q_{sim}(i) - Q_{obs}(i))}{\sum_{i=1}^n Q_{obs}(i)} \quad (5)$$

370 Where  $Q_{obs}(i)$  and  $Q_{sim}(i)$  are the observed and simulated streamflows (mm/month)  
 371 at time step  $i$ ,  $Q_{obs}^-$ ,  $Q_{sim}^-$ , and  $\log Q_{obs}^-$  are the mean observed, simulated, and  
 372 log-transformed observed streamflow values (mm/month),  $\log Q_{obs}(i)$  and  
 373  $\log Q_{sim}(i)$  denote the log-transformed observed and simulated streamflows, and  $n$  is  
 374 the number of data points.

375

376 *3.2. Identification of hydrological drought propagation characteristics*

377 *3.2.1. The variable threshold level method*

378 In this study, we chose the threshold level method, proposed by Yevjevich (1967),  
379 for drought event identification. In this method, a hydrological drought event occurs  
380 when the streamflow is below a predefined threshold and continues until the threshold  
381 is exceeded again (Tallaksen and Van Lanen, 2004; Pereira et al., 2009; Seneviratne et  
382 al., 2012). The selection of the threshold is important, as it can influence the drought  
383 characteristics, such as the drought duration ( $D_{dur}$ ) and deficit volume ( $D_{def}$ ) (Hisdal et  
384 al., 2001; Fleig et al., 2006). Considering the strong seasonal variability of the study  
385 basins, the variable threshold level method (TLM<sub>v</sub>) was judged to be more appropriate  
386 for the purposes of this study than a fixed threshold method. We followed the  
387 approach proposed by Van Loon and Van Lanen (2013) to apply monthly thresholds  
388 derived from the 70th percentiles of the monthly duration curves. This implies that,  
389 for each month, the value of a flux or state variable was chosen, that was equalled or  
390 exceeded 70% of the time in that specific month. The variable threshold values were  
391 calculated based on the entire (undisturbed period and disturbed period) natural  
392 (simulated) streamflow series, and then the monthly variable threshold level was used  
393 to identify drought events for both the natural (simulated) and human-influenced  
394 (observed) series in the disturbed period.

395

396 *3.2.2. Concept of drought termination*

397 Fig. 6 shows a conceptual definition and statistical characteristics of the drought  
 398 termination phase (Parry et al., 2016; Margariti et al., 2019). In this study,  
 399 hydrological drought termination was mainly characterised by its starting and ending  
 400 time, duration, deficit volume, and rate of recovery. The drought maximum intensity  
 401 (MI) corresponds to the maximum monthly drought deficit volume during a drought  
 402 event, which divides a drought event into two parts, development and termination  
 403 phases, and is calculated with:

$$404 \quad MI(i) = \text{Max}[Threshold(t) - Q(t)], \text{ if } Q(t) < Threshold(t) \quad (6)$$

405 Where,  $MI(i)$  is the maximum intensity of a drought event  $i$ ,  $Q(t)$  is the streamflow  
 406 value for month  $t$  in drought  $i$ ,  $Threshold(t)$  is  $Q_{70}$  for the same month  $t$  in drought  $i$ .  
 407 The start month of the drought termination phase ( $DT_{start}$ ) for each drought event is  
 408 the month when the MI is reached, and the end month of the drought termination  
 409 phase ( $DT_{end}$ ) is the last month of the drought event. Therefore, a drought and its  
 410 termination phase end at the same point in time. The drought termination duration  
 411 ( $DT_{dur}$ ) is the number of months between  $DT_{start}$  and  $DT_{end}$  and is defined by:

$$412 \quad DT_{dur}(i) = DT_{end}(i) - DT_{start}(i) + 1 \quad (7)$$

413 Where,  $DT_{dur}(i)$  is the drought termination duration for drought  $i$ ,  $DT_{start}(i)$  and  $DT_{end}(i)$   
 414 are start and end months of drought termination phase for drought  $i$ . The drought  
 415 termination deficit volume ( $DT_{def}$ ) is the accumulated deficit volume between  $DT_{start}$   
 416 and  $DT_{end}$  for a given drought event and is calculated with:

$$417 \quad DT_{def}(i) = \sum_{t=DT_{start}(i)}^{DT_{end}(i)} D_{def}(t) \quad (8)$$

418 Where,  $DT_{def}(i)$  is the total drought termination deficit volume for drought  $i$ ,  $D_{def}(t)$  is  
419 the drought deficit volume for month  $t$  in drought  $i$ . The drought termination rate  
420 ( $DT_{rate}$ ) refers to the maximum intensity divided by the drought termination duration  
421 for each event and reflects the rate at which the basin system recharges and returns to  
422 non-drought levels. This calculation is illustrated in equation (9)

$$423 \quad DT_{rate}(i) = \frac{MI(i)}{DT_{dur}(i)} \quad (9)$$

424 Where,  $DT_{rate}(i)$  is the drought termination rate for drought  $i$ ,  $MI(i)$  and  $DT_{dur}(i)$  are  
425 the maximum intensity and termination duration for drought  $i$ .

426

### 427 3.2.3. Run theory

428 The run theory is a common approach used for identifying propagation  
429 characteristics from the drought time series (Yevjevich, 1967). The identification  
430 process mainly consists of three steps. The first step is to set three threshold levels,  
431 including  $X_0$ ,  $X_1$ , and  $X_2$  ( $X_1 > X_0 > X_2$ ). In this study,  $X_0$ ,  $X_1$ , and  $X_2$  were derived from  
432 the 70th, 50th, and 90th percentiles of the monthly duration curves. When streamflow  
433 of one month is lower than  $X_0$ , this month is initially judged to be drought. The second  
434 step is removing minor droughts. If only one month's streamflow is between  $X_0$  and  $X_2$ ,  
435 and no drought occurred before or after this month, it is not considered as a drought  
436 event and will be removed. The final step is to combine droughts. When two adjacent  
437 drought events are separated by only one month, and streamflow of that month is  
438 lower than  $X_1$ , then, these two droughts are merged into one drought event. Drought

439 duration is the sum of the two drought durations plus one month. Drought deficit is  
440 the sum of the two drought deficits. Otherwise, they are two independent drought  
441 events. It is worth noting that minor droughts (duration < 2 month) are removed in  
442 this study, because it is difficult to extract the drought development and drought  
443 termination phase for minor droughts (Wu et al., 2019).

444

### 445 3.3. Quantifying the impact of human activities on hydrological drought termination

446 Characteristics of drought termination (e.g.,  $DT_{dur}$ ,  $DT_{def}$ , and  $DT_{dur}$ ) can be  
447 extracted based on the  $TLM_v$  method and the conceptual definition of drought  
448 termination. To estimate the percentage changes in hydrological drought termination  
449 metrics due to human influence, the above-mentioned drought termination metrics of  
450 the human-influenced data ( $X_{obs}$ ) and those of the natural data ( $X_{sim}$ ) were compared  
451 using the follow equation:

$$452 \quad I_h = \frac{X_{obs} - X_{sim}}{X_{sim}} \times 100\% \quad (10)$$

453 Where  $I_h$  is the percentage change in a certain hydrological drought termination  
454 characteristic due to human activities,  $X_{obs}$ , and  $X_{sim}$  is the average value of a certain  
455 hydrological drought termination characteristic over all events. A value of  $I_h > 0$   
456 means that human activities aggravate hydrological drought termination; a value of  $I_h$   
457 < 0 means that human activities alleviate hydrological drought termination (Jiang et  
458 al., 2019).

459 Besides, in order to further explore the human influence on different duration

460 drought events, we divided drought events into two scenarios. Scenario 1: the shorter  
461 hydrological drought events, whose durations are shorter than value  $D$ . Scenario 2: the  
462 longer hydrological drought events, whose durations are longer than value  $D$ . Here,  $D$   
463 was calculated by averaging the total duration of the hydrological drought events from  
464 the natural (simulated) drought series of all the human-influenced catchments during  
465 disturbed period. The division was necessary, because human activities may have  
466 different influence on different kinds of drought events.

467

## 468 **4. Results**

### 469 *4.1. Natural streamflow reconstruction*

470 The comparison of the results for the natural streamflow series (simulated by  
471 VIC model) and the observed streamflow series showed that the accuracy of the  
472 reconstructed streamflow satisfied the requirements of this study (Table 4 and Fig. 7).  
473 Because of the different occurrence times of change points in different catchments,  
474 the corresponding calibration and validation periods were also different. The values of  
475  $NSE$ ,  $LogNSE$ ,  $BIAS$ , and  $CC$  for the Xiaochengzi catchment were 0.73, 0.75, 1.14%,  
476 and 0.90 during the calibration period (1964–1988) and 0.87, 0.74, 2.36%, and 0.95  
477 during the validation period (1989–1998). The  $NSE$  values for the Dianzi catchment  
478 during the calibration period (1964–1974) and the validation period (1975–1979)  
479 were 0.81 and 0.73, respectively; the  $LogNSE$  values were 0.77 and 0.75, respectively;  
480 the  $BIAS$  values were 1.81% and 7.27%; and  $CC$  values were 0.94 and 0.92



481 respectively. For the Taipingzhuang catchment, the values of  $NSE$ ,  $LogNSE$ ,  $BIAS$ , and  
482  $CC$  were 0.90, 0.72, 5.00%, 0.95 and 0.82, 0.80, 5.47%, 0.91 for the calibration period  
483 (1964–1974) and validation period (1975–1979) respectively.

484

#### 485 4.2. Identification of hydrological drought termination characteristics

486 The Dianzi and Taipingzhuang catchments (Fig. 8) were selected as examples to  
487 illustrate the process of identification of hydrological drought termination  
488 characteristics. The variable threshold method was first used to identify hydrological  
489 drought events, and then drought termination characteristics were extracted using the  
490 concept of drought termination. Drought and drought termination metrics, including  
491 the drought duration ( $D_{dur}$ ), drought deficit volume ( $D_{def}$ ), drought maximum intensity  
492 ( $MI$ ), drought termination duration ( $DT_{dur}$ ), drought termination deficit volume  
493 ( $DT_{def}$ ), and drought termination rate ( $DT_{rate}$ ) during disturbed period were  
494 summarised in Table 6 (natural series), Table 7 (human-influenced series), and Fig. 9.  
495 Differences of these metrics between observed and simulated series during  
496 undisturbed period were summarised in Table 5. In addition, the seasonality of the  
497 drought termination starts and ends of the two series in the four catchments was  
498 illustrated in Fig. 10. For the natural series (Table 6), the mean  $DT_{dur}$  values for the  
499 four catchments were 2.68, 2.12, 1.90, and 2.41 months, respectively. The mean  $DT_{def}$   
500 and  $DT_{rate}$  values for the four catchments were 10.11, 2.78, 7.52, 2.24 mm, and 2.78,  
501 1.56, 3.34, 0.88 mm/month, respectively.

502 For the three human-influenced series ([Table 7](#)), namely, the Xiaochengzi, Dianzi,  
503 and Taipingzhuang catchments (remember that the Xiqiun catchment was natural  
504 catchment), all of these metrics were greatly increased on average in comparison with  
505 the natural series. For example, the mean  $DT_{def}$  of the Xiaochengzi catchment in the  
506 natural series was 2.78, whilst this value reached 26.78 in the human-influenced series,  
507 increasing by more than 10 times. Therefore, it is necessary to compare the  
508 hydrological drought termination metrics of natural and human-influenced series to  
509 quantify the impact of human activities on the hydrological drought termination  
510 phase.

511 In addition, drought and drought termination characteristics of scenarios 1 and 2  
512 in natural (simulated) and observed series were identified and compared to consider  
513 difference of the impacts of human influence on shorter duration and longer duration  
514 droughts. For the sake of brevity, Dianzi and Taipingzhuang catchments were selected  
515 as examples ([Table 8](#) and [Fig. 11](#)) to show the results. For scenario 1 with the shorter  
516 droughts, number of shorter droughts in Dianzi catchment decreased from 15 in  
517 natural series to 3 in human-influenced series, similar changes also appeared in  
518 Taipingzhuang catchment, which means human activities led longer droughts.  
519 Drought and drought termination characteristics of human-influenced series were less  
520 than (Dianzi) or equal (Taipingzhuang) to those of natural series. For scenario 2 with  
521 longer droughts, number of longer droughts in Dianzi catchment increased  
522 significantly. Taipingzhuang catchment had the same number of longer droughts in

523 natural and human-influenced series. While, all drought and drought termination  
524 characteristics increased in human-influenced series, which means human activities  
525 aggravated the drought and drought termination severity. These results revealed that  
526 human activities mainly impact duration of shorter droughts and led more of them  
527 became longer droughts. As for the longer droughts, all their severity ( $DT_{dur}$ ,  $DT_{def}$ ,  
528 and  $DT_{rate}$ ) increased due to human influence. It is necessary, therefore, to classify  
529 drought events to analysis, because the impact of human activities on the shorter  
530 duration drought and longer duration drought may be quite different.

531

#### 532 *4.3. Quantification of the impact of human activities on hydrological drought* 533 *termination*

534 [Table 9](#) and [Fig. 9](#) show that human activities had considerable influences on  
535 drought termination for the three catchments, namely, Xiaochengzi, Dianzi,  
536 Taipingzhuang catchments. [Fig. 10](#) revealed that the seasonality of drought  
537 termination starting ( $DT_{start}$ ) and ending ( $DT_{end}$ ) was also shifted due to human  
538 activities.

539 [Fig. 9](#) shows that the median, upper quartile, and upper value of  $DT_{dur}$  in the  
540 three human-influenced catchments increased significantly. The overall increases in  
541 the  $DT_{dur}$  of the three catchments were 230%, 73%, and 192%, respectively ([Table 9](#)).  
542 An increase in the  $DT_{dur}$  means that it will take a longer time for a catchment to  
543 recharge and return to non-drought level. [Fig. 9](#) also shows that all quartiles values of

544  $DT_{def}$  in the three human-influenced catchments increased significantly. The overall  
545 increases in  $DT_{def}$  for the three catchments were 865%, 170%, and 404%, respectively  
546 (Table 9). An increase in  $DT_{def}$  means that a catchment system needs more water  
547 supply to end a drought event. Human activities aggravated drought termination, as  
548 also manifested in increasing drought termination rates. The Dianzi catchment had the  
549 largest increase of 35%, followed by the Xiaochengzi and Taipingzhuang catchments,  
550 which had increases of 26% and 21%, respectively (Table 9).

551 The impact of human activities was also seen in shifts in the seasonality of  
552 drought termination starting and ending. Fig. 10 shows that  $DT_{start}$  tended to occur  
553 more often in the summer for human-influenced series than that for natural series and  
554 the occurring probabilities of  $DT_{start}$  were 86%, 100%, and 76% for the three  
555 catchments. However, the above probabilities were only 25%, 67%, and 36% for the  
556 natural series. In addition, the occurring probabilities of  $DT_{end}$  in the three catchments  
557 in autumn increased from 25%, 47%, and 14%, respectively, for the natural data to  
558 57%, 63%, and 36%, respectively, for the human-influenced data. Fig. 11 shows  
559 human activities caused time of  $DT_{start}$  of both two scenarios for the three catchments  
560 (at the left of each figure in Fig.11) shifted to summer, with probabilities of 100%,  
561 100%, and 50% in scenario 1 (shorter duration droughts) and 85.7%, 100%, and 100%  
562 in scenario 2 (longer duration droughts). While, human influence on changes of  
563 timing of  $DT_{end}$  (at the right of each figure in Fig.11) of the two scenarios varied in  
564 different catchments.  $DT_{end}$  of scenario 2 of all the three catchments focused on

565 autumn, with probabilities of 60%, 71%, and 73%.  $DT_{end}$  of scenario 1 for  
566 Xiaochengzi catchment shifted from summer (50%) to autumn (100%) and that for  
567 Dianzi catchment focused on summer (100%). Different with the two catchments,  
568  $DT_{end}$  of scenario 1 for Taipingzhuang catchment mainly shifted to summer and winter,  
569 with frequency of 50% and 40%.

570

#### 571 *4.4. Relationship between changes in hydrological drought and those in drought* 572 *termination*

573 [Fig.12](#) shows that changes of drought termination characteristics ( $DT_{dur}$ ,  $DT_{def}$ ,  
574 and  $DT_{rate}$ ) have positive correlations with those in hydrological drought metrics ( $D_{dur}$ ,  
575  $D_{def}$ , and MI) on average.  $DT_{dur}$  (or  $DT_{def}$ ) is a sub-process of  $D_{dur}$  (or  $D_{def}$ ), so there  
576 will be a close relationship between changes in these two metrics (i.e.,  $D_{dur}$  and  $D_{def}$ ).  
577  $DT_{rate}$ , which is calculated by MI and  $DT_{dur}$  ([Fig. 6](#)), also kept consistent with the  
578 change of MI. Among all three altered catchments, the drought and drought  
579 termination characteristics of the Xiaochengzi catchment had the largest changes.

580 Further comparisons ([Fig. 12\(a\)](#), (e) and (i)) revealed that, ratio of changes in  
581 drought metrics (e.g.  $D_{dur}$ ) and those in drought termination metrics (e.g.  $DT_{dur}$ ) varied  
582 in different catchments. Climate and catchment characteristics may be a reason, and  
583 difference of predominant human activity (land use change, reservoir regulations, or  
584 human water withdrawal) within each catchment may also cause the above differences.  
585 Given that the number of catchments considered in this study was limited, more case

586 studies are needed to further explore the relationship between changes in drought  
587 termination metrics and those in drought metrics.

588

## 589 **5. Discussion**

### 590 *5.1. Human influence on hydrological drought termination*

591 In this study, a comprehensive approach was proposed for identification and  
592 quantification of hydrological drought termination characteristics of natural and  
593 human-influenced series. Comparison of these two series showed that human  
594 activities such as human water withdrawal, reservoir regulations, and land use change,  
595 influenced the hydrological drought termination phase directly or indirectly.

596 Human water withdrawal for agricultural irrigation, urban water supply, or  
597 industrial production significantly reduced the available water in rivers, and then led  
598 to longer drought termination durations and larger drought termination deficits (Fig. 8  
599 and 9, and Table 9). Fig. 4 shows that socioeconomic data of the study area increased  
600 sharply in the disturbed period (1980–2016). The rapid development of agriculture  
601 (Figs. 4(c) and (d)) consumed a large amount of water resources for irrigation,  
602 drinking water for livestock, and other applications. In 21st century, population of the  
603 study area has stopped increasing but remained at a high level (Fig. 4(a)), leading to a  
604 sustained increase in domestic water. The GDP of the study region (Fig. 4(b))  
605 experienced rapid growth after the national economic opening policy was  
606 implemented in 1979 (In 2016, the GDP was more than 200 times greater than that of

607 1979). The secondary and tertiary industries (agriculture belongs to the primary  
608 industry) that supported the rapid growth of GDP also caused a massive consumption  
609 of water resources. All of these human water withdrawals aggravated hydrological  
610 drought termination severity.

611 Reservoir regulations, as another factor affecting hydrological drought, has  
612 influence on hydrological drought termination time. Dahushi reservoir (storage  
613 capacity:  $1.2 \times 10^8 \text{ m}^3$ ), located in Dianzi catchment, began to store water in 1980 and  
614 focus on agricultural irrigation. Fig. 10(c) shows that probability of  $DT_{\text{start}}$  occurring  
615 during the summer period (from June to August) and  $DT_{\text{end}}$  occurring during the  
616 autumn period (September to November) increased significantly after the construction  
617 of Dahushi reservoir. The reason is that reservoir regulations usually maintained  
618 storage in spring and winter, and then released water in summer and autumn to  
619 guarantee agriculture irrigation (Yong et al., 2013; Ren et al., 2014). These regulations  
620 and the concentrated precipitation in June-September (summer and autumn) caused  
621 hydrological drought termination starting on summer and ending on autumn more  
622 frequently.

623 Shifts of the seasonality of drought termination starting and ending in the other  
624 two catchments, Xiaochengzi and Taipingzhuang catchments, were more related to  
625 changes of land use. Fig. 3 shows that areas of cropland and urban kept increasing,  
626 and those of forest land, grassland, water, and unused land decreased significantly  
627 after 1980. Increase of cropland means strengthening of agricultural activities. Yong et

628 al. (2013) pointed out that the study region (Fig. 1) belonged to semi-arid areas in  
629 northern China, which caused the water demand of agriculture production mainly  
630 relies on human irrigation instead of natural precipitation. The periodicity of  
631 agricultural activities (farmers generally plant seeds in May and harvest crops in  
632 October) led to the shifts of seasonality of hydrological drought termination. In  
633 high-flow years (drought events of these two catchments in 1990s, as can be seen in  
634 Figs. 8(b) and (d), streamflow could meet the water demand of crops, and  
635 hydrological drought event occurred less frequently. Whereas, in moderate- or  
636 low-flow years (drought events of these two catchments in 2000–2016, as can be seen  
637 in Figs. 8(b) and (d)), hydrological drought events occurred frequently and even lasted  
638 for several years.

639 In addition, we also analysed differences of human influence on drought  
640 termination time of the two scenarios (Fig. 11). Time of  $DT_{start}$  of both two scenarios  
641 (left column graphs in Fig.11) shifted to summer, while, changes of timing of  $DT_{end}$   
642 (right column graphs in Fig.11) of the two scenarios varied in different catchments.  
643 The reason why the  $DT_{start}$  shifts to summer is that, agriculture activities (May to  
644 October) and reservoir regulations were mainly concentrated on summer and may  
645 cause appearance of max drought intensity (MI), which also means the start of  
646 drought termination phase. While, different dominant human activities in different  
647 catchments led to different changes in  $DT_{end}$  time. In Xiaochengzi catchment (Fig.  
648 11(b)), agriculture irrigation (the dominant human activities) caused  $DT_{end}$  of scenario



649 1 shifting from other seasons to autumn, and that of scenario 2 focusing on summer  
650 and autumn. In Dianzi catchment (Fig. 11(c)), reservoir regulations (the dominant  
651 human activities) often finished in autumn, the release water helped for  $DT_{end}$  of  
652 scenario 2 shifting to this season. Whereas,  $DT_{end}$  of scenario 1 of Dianzi catchment  
653 only appeared in summer, because adequate precipitation in this season may quickly  
654 relieve hydrological drought events.

655

## 656 5.2. Uncertainties and limitations

657 Though the approach proposed in this study was used for identification and  
658 quantification of hydrological drought termination characteristics of natural and  
659 human-influenced series, this approach still has some uncertainties and limitations.

660 Different drought assessment methods or different types of drought have their  
661 own drought termination criteria, which led to a lack of a unified definition of drought  
662 termination (Heim Jr. and Brewer, 2012; Parry et al., 2016). Thus, different definitions  
663 may have different identification of the drought termination characteristics. It is worth  
664 to noting that the drought termination concept (proposed by Parry et al., 2016)  
665 followed by this study has already some applications. Wu et al. (2019) and Margariti  
666 et al. (2019) have used this concept to explore human influence on hydrological  
667 termination phase. Based on their studies, we also chose this concept for identification  
668 of drought termination characteristics. However, we should also pay attention to the  
669 uncertainty of this definition. River flows are integrative in space and time, so drought

670 termination as defined in this method could occur without fully compensating for the  
671 deficit accumulated during drought development (Parry et al., 2016), which will lead  
672 to uncertainty on identified drought termination characteristics (e.g. drought  
673 termination time). In addition, the drought termination process is a complex process  
674 driven by multiple mechanisms. The impact of the synergy or nonlinear caused by  
675 more than one human activity in a certain basin will also have uncertain influence on  
676 this definition of drought termination. Thus, a larger sample size of observed drought  
677 terminations and more applications are needed to improve the stability of this  
678 definition of drought termination.

679 The approach proposed in this study is based on VIC hydrological model and  
680 various hydrological model types can be chosen in this approach, such as a distributed  
681 or lumped model, a physically based model, a conceptual model, or even a stochastic  
682 model (Van Loon and Van Lanen, 2013). While, any study using a hydrological model  
683 will have associated uncertainties (Beven, 1993; Gupta et al., 1998; Walker et al.,  
684 2003). In this study, the Xiquan catchment was a natural catchment and was selected  
685 to verify the accuracy of the results for the VIC model simulation and drought  
686 characteristics identification. Table 4 and Fig. 7(a) show that NSE, LogNSE, BIAS  
687 and CC values were 0.78, 0.77, 9.19%, and 0.91 in the calibration period (1965-1974),  
688 0.79, 0.85, -5.8%, and 0.92 in validation period (1975-1979), and 0.77, 0.86, -0.14  
689 and 0.88 in simulation period (1980-2016) respectively. These results prove that the  
690 accuracy of the reconstructed streamflow during the disturbed period can satisfy the

691 requirements of this study.

692 Drought process is closely related to the low-flow process, and the simulation  
693 uncertainty of the low-flow process affects the identification results of the drought  
694 termination. Figs. 8(a) and (c), and Table 5 indicate that average deviations of drought  
695 metrics between observed and simulated series in undisturbed period were 1.55%,  
696 2.51%, and 3.39% for drought duration, deficit, and maximum intensity, and 0.41%,  
697 1.92%, and 2.62% for drought termination duration, deficit, and rate. Though the  
698 average deviations were far from those in disturbed period (e.g., 601%, 1376%, 209%,  
699 230%, 865%, and 35% in Xiaochengzi catchment), more works are needed to reduce  
700 uncertainty of simulation on identification results of the drought termination.

701 Meanwhile, re-wetting and recovery are not always simulated well in  
702 hydrological models and therefore the processes most relevant to drought termination  
703 time may have larger uncertainties (Birkel et al., 2011). Comparison of drought  
704 termination time between simulated and observed series in Xiquan catchment (a  
705 natural catchment) revealed simulation uncertainties led to some deviations on the  
706 identification of drought termination time. Fig. 10(a) shows that frequency of  $DT_{\text{start}}$   
707 in spring for simulated series was 3% lower than that for observed series. Fig. 11(a)  
708 also shows that, for drought of scenario 1, frequencies of both  $DT_{\text{start}}$  and  $DT_{\text{end}}$  in  
709 spring for simulated series were 4% and 5% lower than those for observed series. For  
710 drought of scenario 2 (Fig. 11(a)), underestimation and overestimation of frequencies  
711 of  $DT_{\text{end}}$  appeared in winter and spring seasons for simulated series, respectively.

712 Therefore, we must realize that simulation uncertainty will impact identification of the  
713 termination time of some drought events, and further work needs to improve the  
714 accurate capture of the drought termination time in the simulation series.

715 Finally, it is important to consider impact of non-stationarity in the future  
716 research. Non-stationarity of streamflow may affect the selection of the threshold  
717 level or the calculation of the standardized indices, and then lead to uncertainty on  
718 identification results of drought termination characteristics. Fortunately, in recent  
719 studies, some frameworks or methods that consider non-stationarity could more  
720 accurately and effectively reveal the impact of human activities on hydrological  
721 drought and drought termination ([Wanders and Wada, 2015](#); [Zou et al., 2018](#)). Future  
722 studies, therefore, should focus on building a more comprehensive framework that  
723 considers non-stationarity to evaluate the impact of human activities on the  
724 hydrological drought termination, which will help us gain a deeper understanding of  
725 how hydrological process changes in changing environment.

726

## 727 **6. Conclusions**

728 In this study, a comprehensive approach combining an ‘observed–simulated’  
729 comparison approach, the concept of drought termination, and the variable threshold  
730 level method was proposed and applied for identification and quantification of the  
731 hydrological drought termination characteristics of natural series and  
732 human-influenced series in Laohahe basin. The results showed that human water

733 withdrawal for agricultural irrigation, urban water supply, or industrial production led  
734 to longer drought termination durations and larger drought termination deficits and  
735 rates, with maximum increases of 230%, 865%, and 35%, respectively. Land use  
736 change and reservoirs regulations caused changes of seasonality of drought  
737 termination starting and ending. The preferred season for  $DT_{\text{start}}$  and  $DT_{\text{end}}$  was shifted  
738 to summer and autumn, respectively.

739 The findings of this study help understanding how human activities alter  
740 hydrological drought termination severity (drought termination duration, deficit, and  
741 rate), and time (drought termination start or end). Although the Laohahe basin was  
742 selected as a case study in this paper, the proposed approach can be applied in other  
743 regions as well. Systematic knowledge of the impacts of human activities on  
744 hydrological drought termination is crucial for improving the forecasting of drought  
745 recovery and establishing a reliable drought early warning system. Meanwhile, future  
746 studies should focus on constructing a comprehensive framework that considers  
747 non-stationarity, and then separating impacts of different kinds of human activities  
748 (land use change, reservoir regulations, and human water withdrawal) on the  
749 hydrological drought termination process, which will provide valuable information for  
750 adaptive responses to hydrological drought.

751

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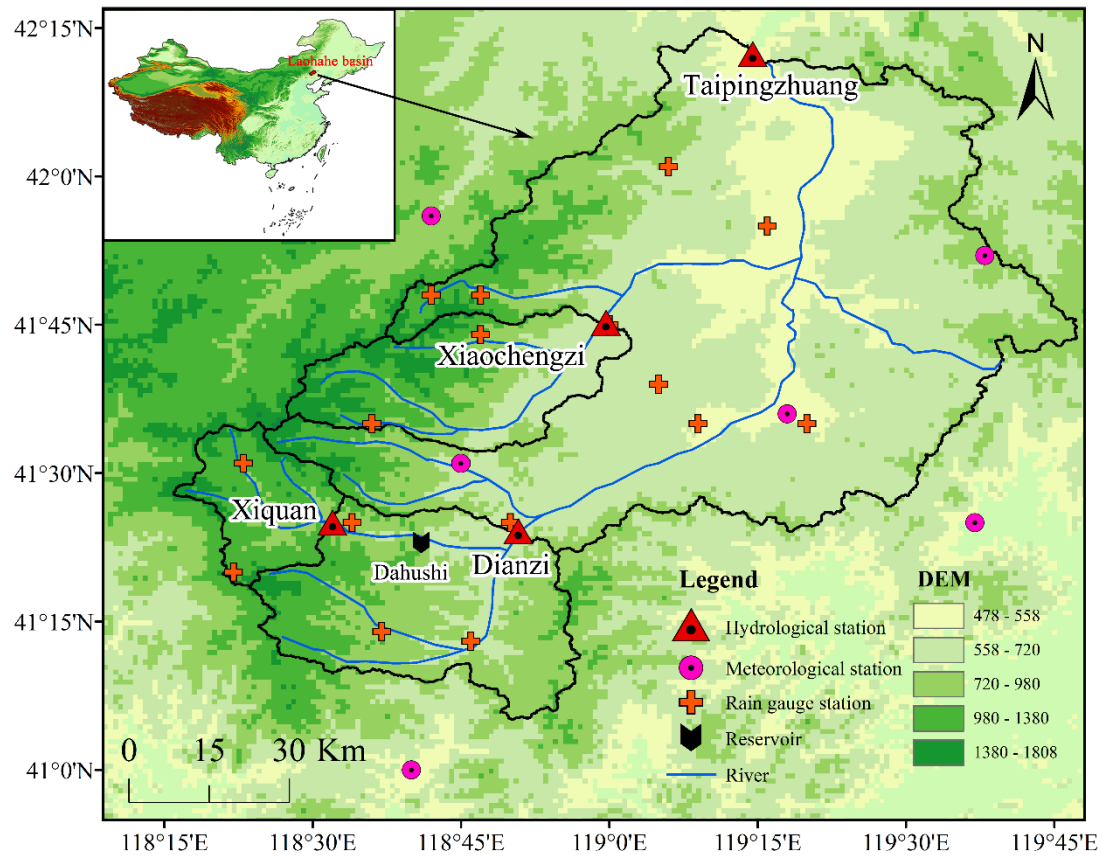
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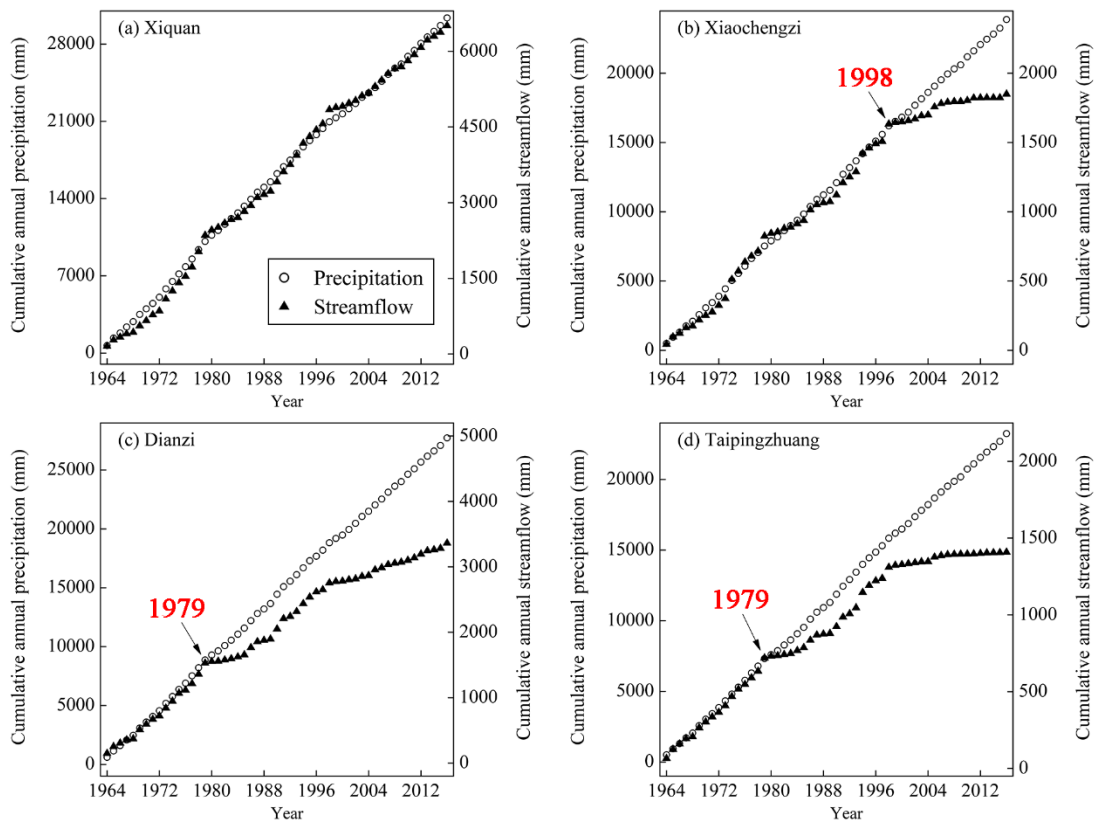
1010

1011 **Fig. 1.** Location of the study areas and distribution of the hydrological, meteorological,

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and rain gauge stations.

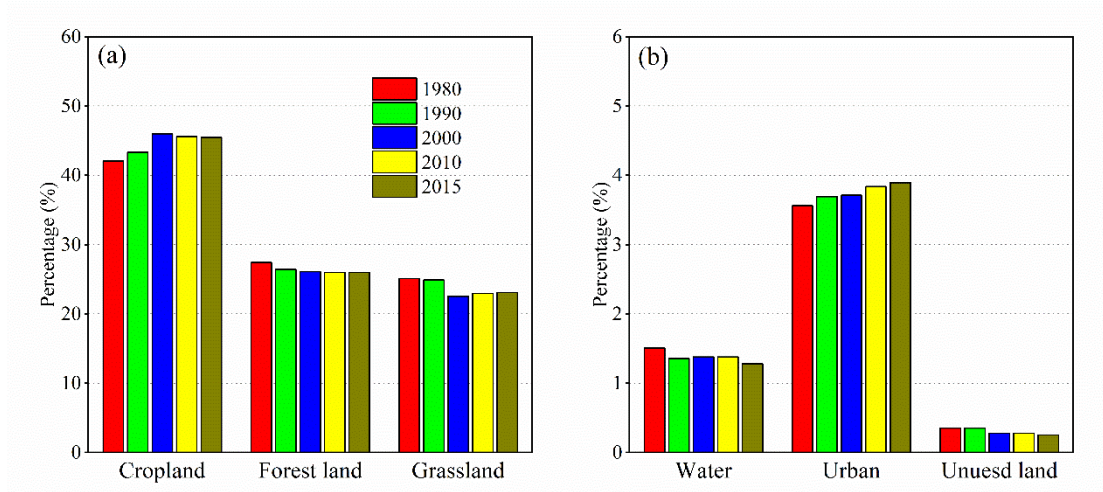
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1015 **Fig. 2.** Double cumulative curves of annual precipitation and streamflow in (a)

1016 Xiquan, (b) Xiaochengzi, (c) Dianzi, and (d) Taipingzhuang catchments.

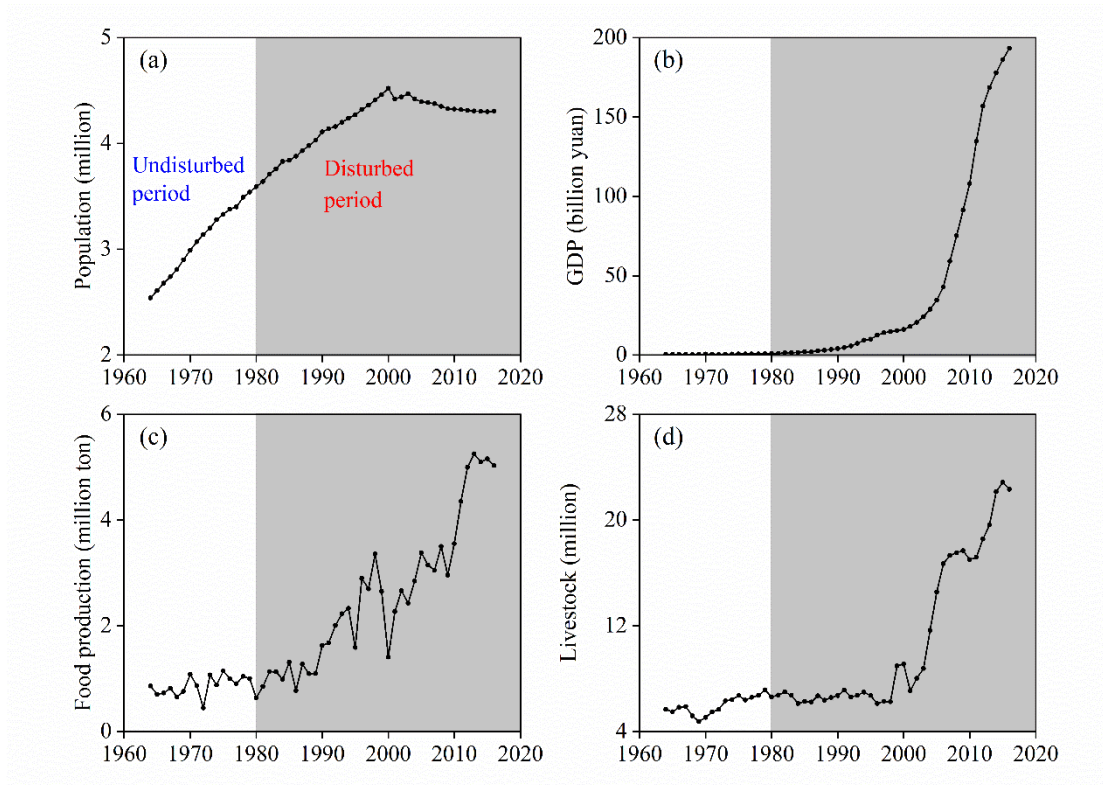


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1018 **Fig. 3.** Land use changes for the study area in the disturbed period: (a) Cropland,

1019 Forest land, and Grassland and (b) Water, Urban, and Unused land.



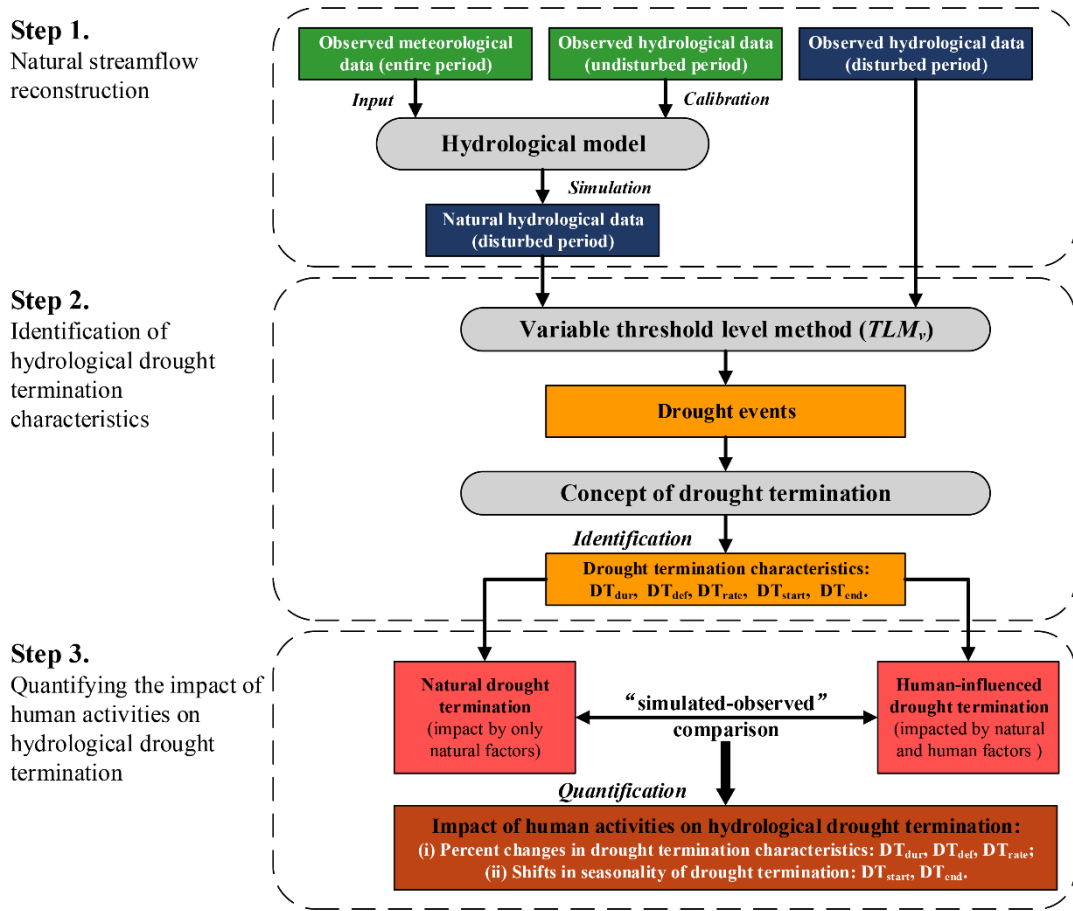


1020

1021 **Fig. 4.** Changes of socioeconomic data for the study area during 1964–2016

1022 (undisturbed period and disturbed period): (a) population, (b) GDP, (c) food

1023 population, and (d) number of livestock.

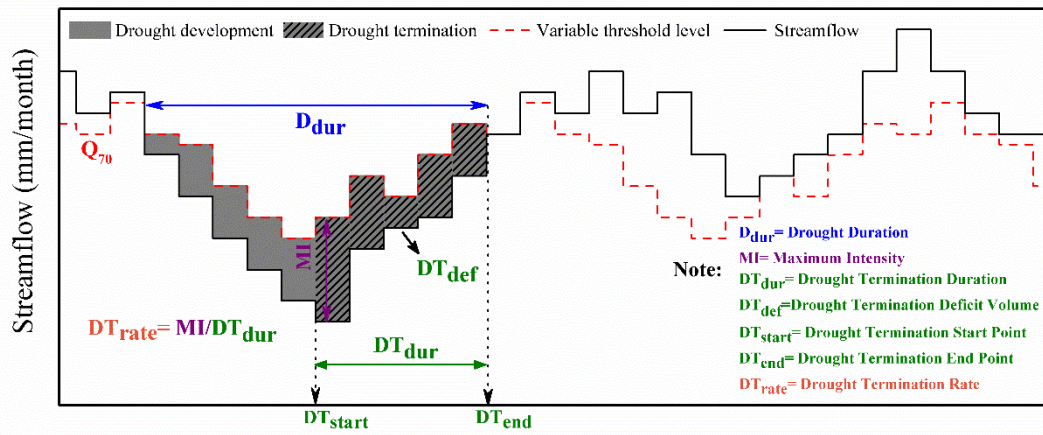


1024

1025 **Fig. 5.** Proposed approach for identifying hydrological drought termination

1026 characteristics and quantifying the impact of human activities on hydrological drought

1027 termination.

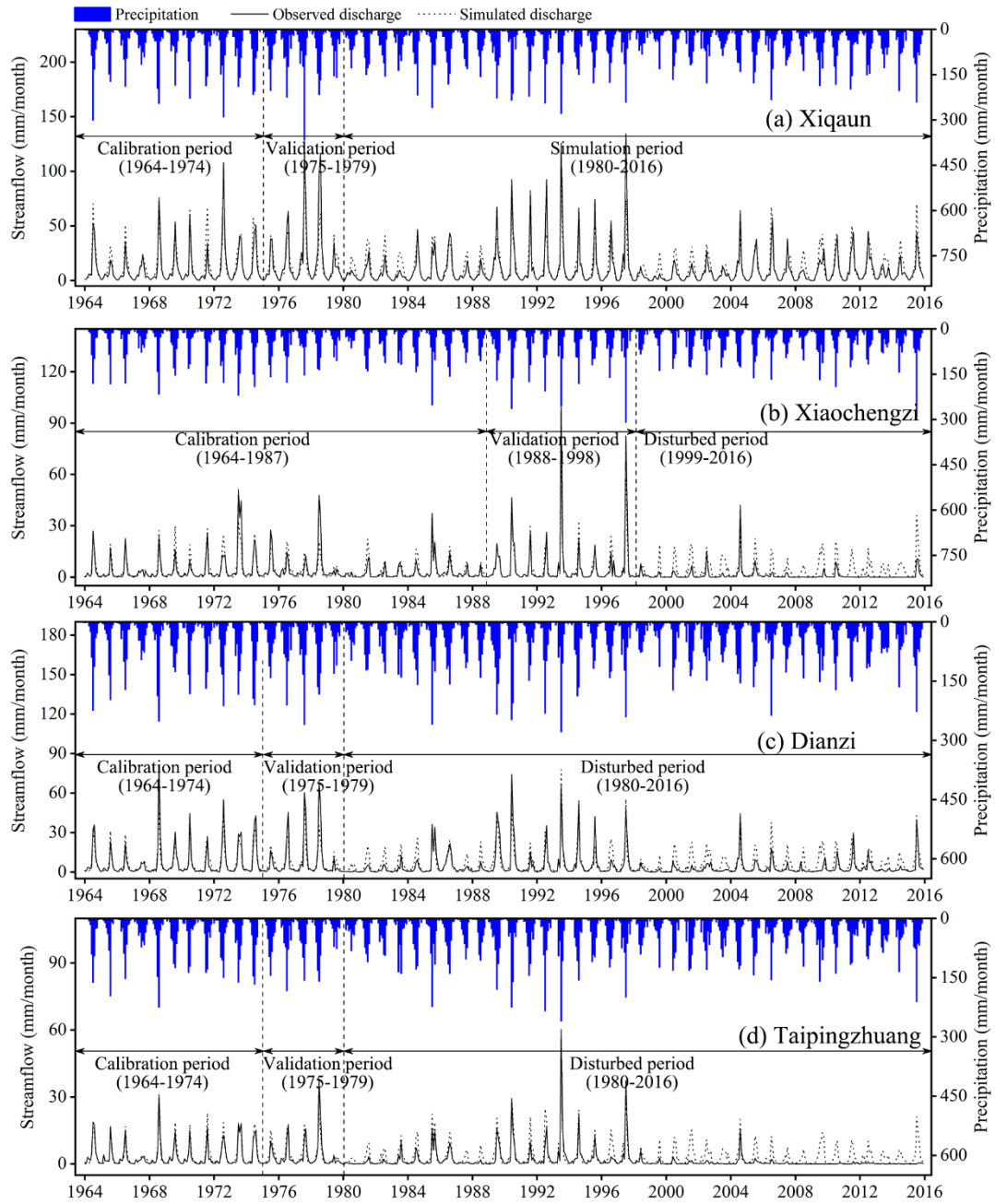


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**Fig. 6.** Definition of drought termination (modified from Parry et al., 2016; Margariti

1030

et al., 2019).

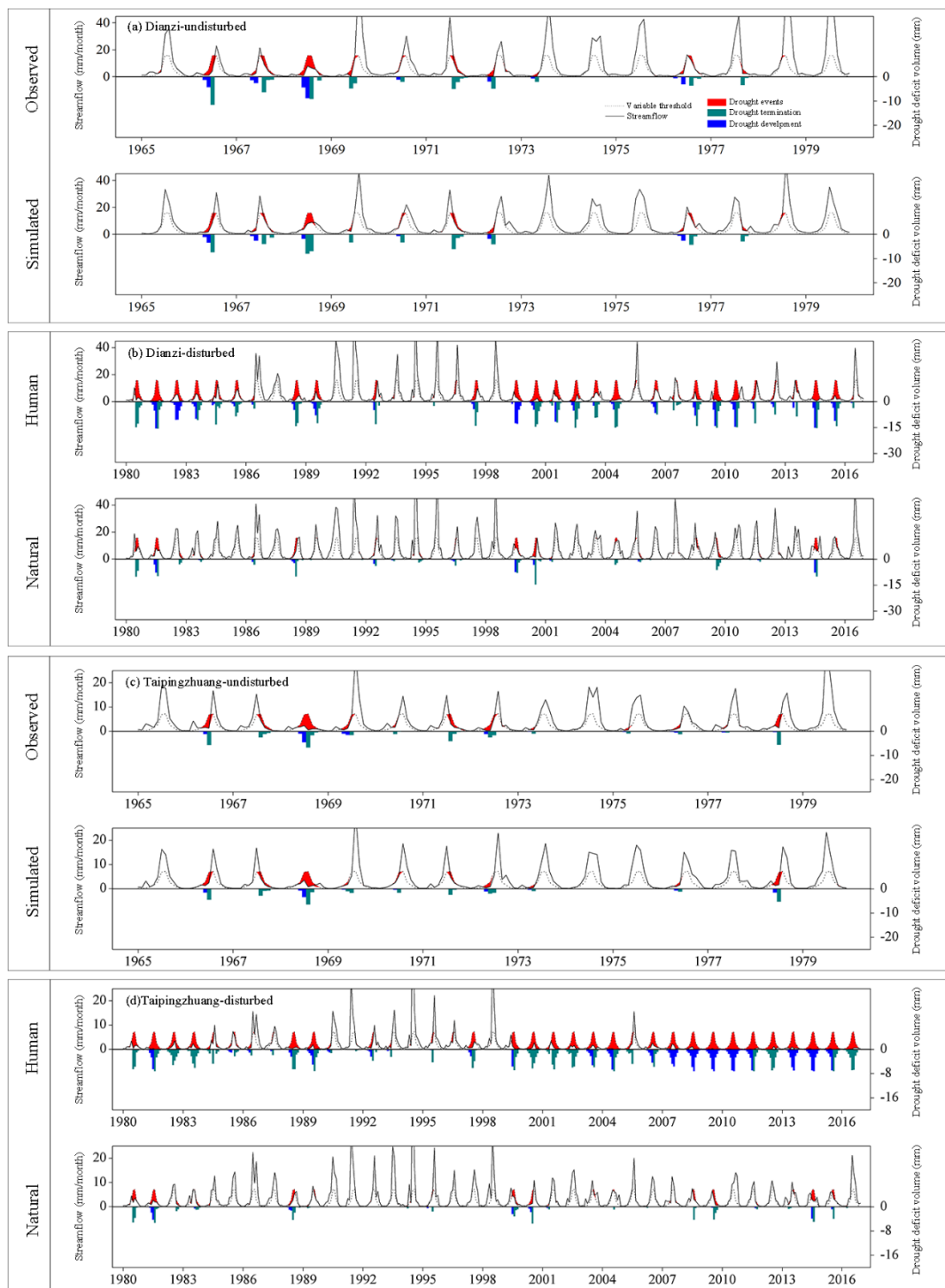


1031

1032 **Fig. 7.** Comparisons of VIC-simulated and observed monthly streamflow for Xiquan

1033 (undisturbed and simulation periods), Xiaochengzi, Dianzi, and Taipingzhuang

1034 catchments (undisturbed and disturbed periods).



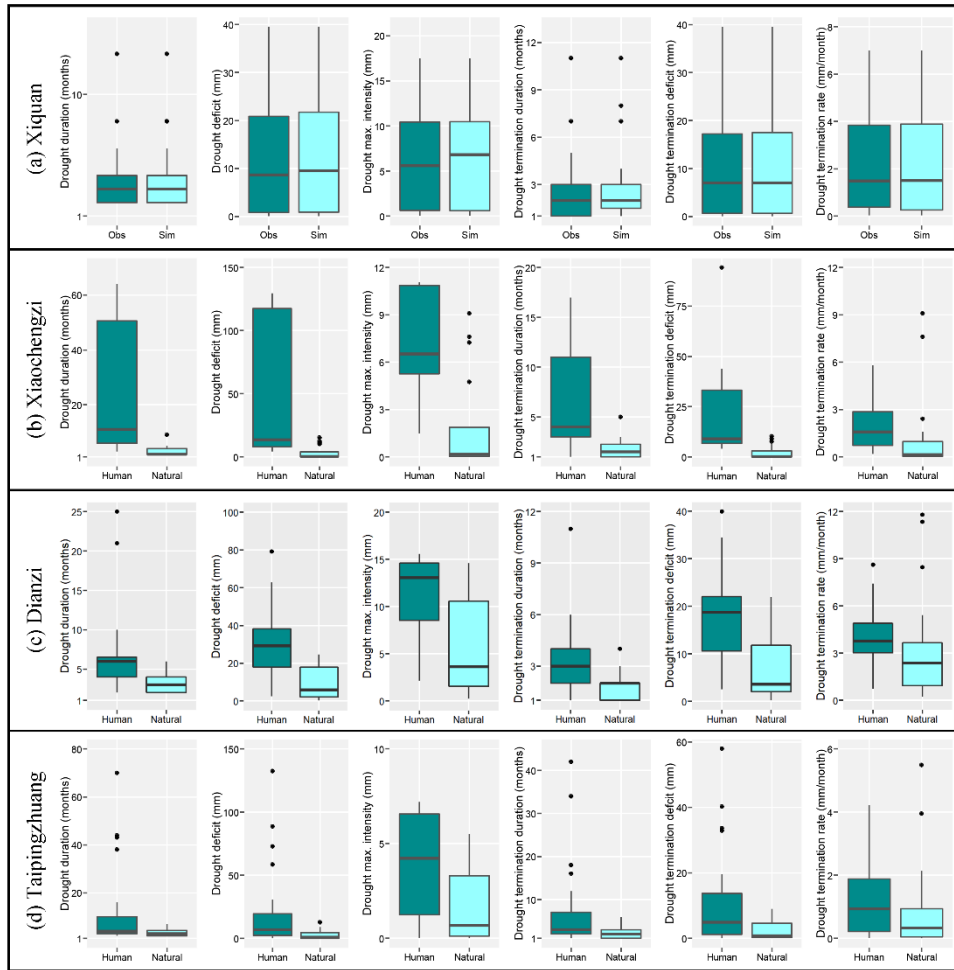
1035

1036 **Fig. 8.** Identification and comparison of drought termination characteristics for

1037 observed and simulated series during undisturbed period, and for human-influenced

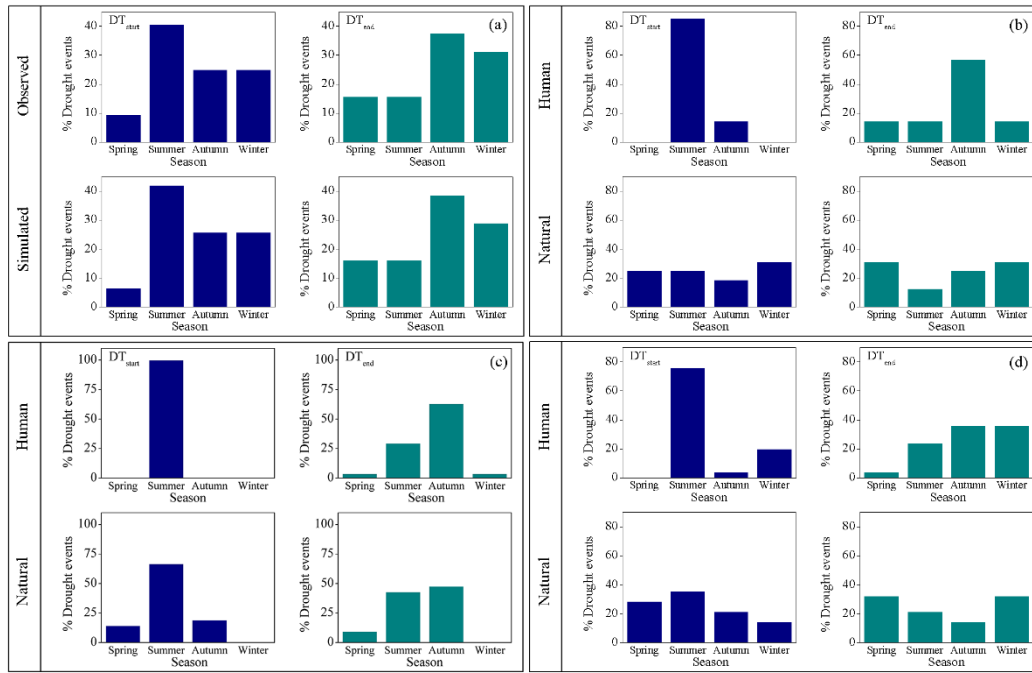
1038 and natural series during disturbed period in Dianzi and Taipingzhuang catchments.

1039



1040

1041 **Fig. 9.** Boxplots of drought and those of drought termination characteristics for (a)  
 1042 Xiquan (observed (left) and simulated (right) series), (b) Xiaochengzi, (c) Dianzi, and  
 1043 (d) Taipingzhuang catchments (human-influenced (left) and natural (right) series).



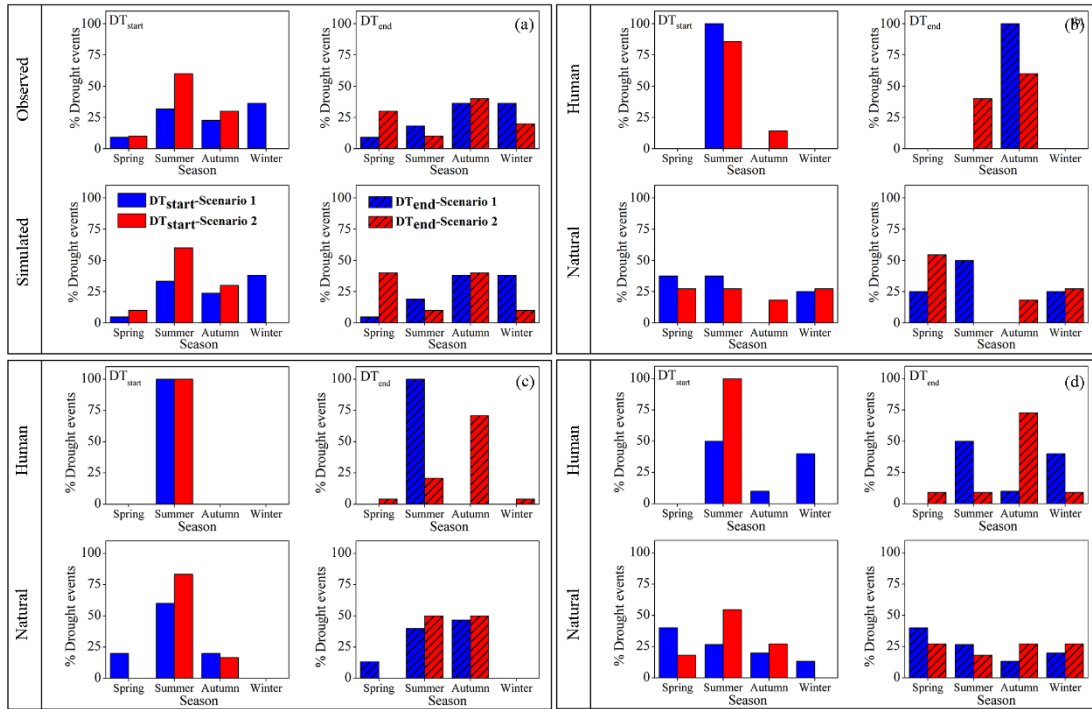
1044

1045 **Fig. 10.** Changes of seasonality of drought termination starting and ending for (a)

1046 Xiquan (observed (top) and simulated (bottom) series), (b) Xiaochengzi, (c) Dianzi,

1047 and (d) Taipingzhuang catchments (human-influenced (top) and natural (bottom)

1048 series) in disturbed period.



1049

1050 **Fig. 11.** Comparison of human influence on drought termination time of scenario 1

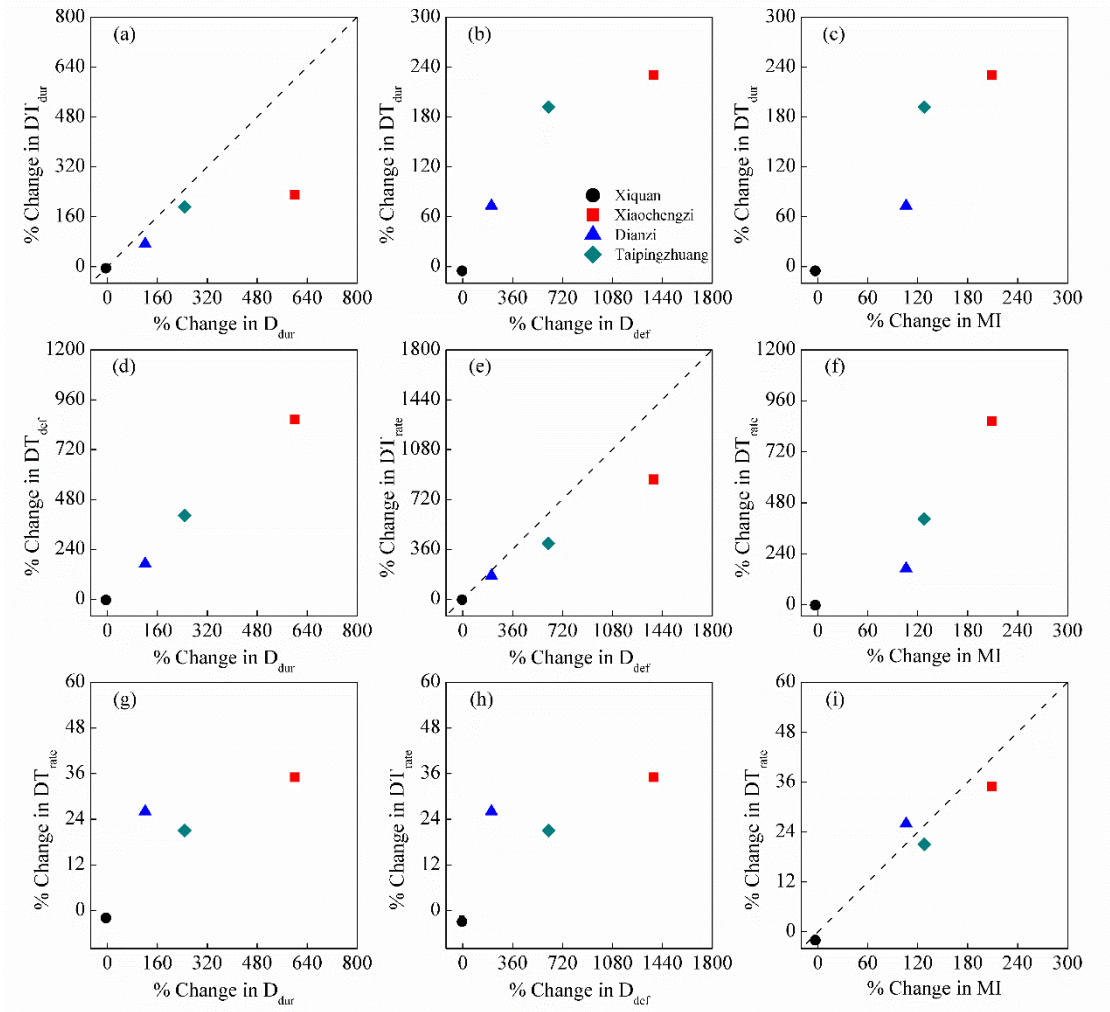
1051 ( $D_{dur} < 3.43$  months) and scenario 2 ( $D_{dur} > 3.43$  months) in (a) Xiquan (observed (top)

1052 and simulated (bottom) series), (b) Xiaochengzi, (c) Dianzi, and (d) Taipingzhuang

1053 catchments (human-influenced (top) and natural (bottom) series) during disturbed

1054 period.





1055

1056 **Fig. 12.** Relationships between changes in drought characteristics and those in  
 1057 drought termination characteristics.

1058 **Table 1**

1059 Basic information about the four study catchments.

Hydrological station	Area (km <sup>2</sup> )	Lon (E°)	Lat (N°)	Mean annual precipitation (mm)	Mean annual streamflow (mm)	Data period
Xiquan	419	118.53	41.42	572.88	126.45	1964–2016
Xiaochengzi	866	119.00	41.75	450.57	36.05	1964–2016
Dianzi	1643	118.83	41.42	523.26	63.43	1964–2016
Taipingzhuang	7720	119.25	42.20	438.53	26.57	1964–2016

1060

1061 **Table 2**

1062 Results of MK trend analyses and Pettitt change-point tests of annual precipitation,  
 1063 potential evapotranspiration (PET), and streamflow for the four selected catchments

Hydrological station	MK trend test (year)						Pettitt test for change-point (year)		
	Precipitation		PET		Streamflow		Precipitation	PET	Streamflow
	Z value	Trend	Z value	Trend	Z value	Trend			
Xiquan	-1.57	↓	-1.20	↓	-0.41	↓	—	—	—
Xiaochengzi	-0.77	↓	-0.18	↓	<b>-4.18**</b>	↓	—	—	1998**
Dianzi	-0.58	↓	-0.21	↓	<b>-2.48*</b>	↓	—	—	1979**
Taipingzhuang	-0.58	↓	0.31	↑	<b>-5.07**</b>	↓	—	—	1979**

1064 for the period 1964–2016.

1065

1066

1067 *Notes:* ‘↓’ and ‘↑’ indicate downward and upward trends, respectively. ‘\*’ and

1068 ‘\*\*’ denote significance at 95% and 99% confidence levels, respectively.

1069 **Table 3**

1070 Physical meanings and numerical ranges of the seven parameters commonly  
1071 calibrated in the VIC model.

1072

Parameter	Physical meaning	Unit	Numerical range
$B$	Infiltration curve parameter	N/A	0–0.4
$d_1$	Thickness of top thin soil moisture layer	m	0.05–0.1
$d_2$	Thickness of middle soil moisture layer	m	0–2
$d_3$	Thickness of lower soil moisture layer	m	0–2
$D_s$	Fraction of $D_{smax}$ where nonlinear baseflow begins	Fraction	0–1
$D_{smax}$	Maximum velocity of baseflow	mm/day	0–30
$W_s$	Fraction of maximum soil moisture where nonlinear baseflow occurs	Fraction	0–1

1073

1074 **Table 4**

1075 Performance of streamflow (mm/month) simulation for the four catchments using the

1076 VIC model.

Catchment/Period	Calibration period				Validation period				Disturbed (simulation) period			
	NSE	LogNSE	BIAS (%)	CC	NSE	LogNSE	BIAS (%)	CC	NSE	LogNSE	BIAS (%)	CC
Xiquan	0.78	0.77	9.19	0.91	0.79	0.85	-5.8	0.92	0.77	0.86	-0.14	0.88
Xiaozhengzi	0.73	0.75	1.14	0.90	0.87	0.74	2.36	0.95	—	—	—	—
Dianzi	0.81	0.77	1.81	0.94	0.73	0.75	7.27	0.92	—	—	—	—
Taipingzhuang	0.90	0.72	5.00	0.95	0.82	0.80	5.47	0.91	—	—	—	—

1077

1078

1079 **Table 5**

1080 Differences in drought and drought termination characteristics between observed and  
 1081 simulated series in undisturbed period.

1082

Case study	Differences in drought characteristics (%)				Differences in drought termination characteristics (%)		
	Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ )	Mean drought deficit ( $D_{def}$ )	Mean maximum intensity (MI)	Mean termination duration ( $DT_{dur}$ )	Mean termination deficit ( $DT_{def}$ )	Mean termination rate ( $DT_{rate}$ )
Xiquan	+3.6	+3.23	+0.50	+2.85	+4.76	-5.39	+2.65
Xiaochengzi	+6.90	+4.61	+3.44	+1.63	+3.57	+2.82	+1.83
Dianzi	+10.00	+0.65	-0.85	+1.96	+1.01	+3.05	+4.80
Taipingzhuang	+8.33	-2.26	+6.96	+7.13	-7.69	+7.20	+1.19
Mean value	+7.21	+1.55	+2.51	+3.39	+0.41	+1.92	+2.62

1083

1084 **Table 6**

1085 Drought and drought termination characteristics of natural (simulated) series in

1086 disturbed period.

Case study	Predominant human activity	Natural drought characteristics				Natural drought termination characteristics		
		Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ ) (months)	Mean drought deficit ( $D_{def}$ ) (mm)	Mean maximum intensity (MI) (mm)	Mean termination duration ( $DT_{dur}$ ) (months)	Mean termination deficit ( $DT_{def}$ ) (mm)	Mean termination rate ( $DT_{rate}$ ) (mm/month)
Xiquan	—	31	3.61	13.11	6.17	2.68	10.11	2.78
Xiaochengzi	Human water withdrawal	19	3.89	3.86	2.37	2.12	2.78	1.56
Dianzi	Reservoir regulations, Human water withdrawal	21	3.00	9.43	5.51	1.90	7.52	3.34
Taipingzhuang	Land use change, Human water withdrawal	26	3.45	2.91	1.70	2.41	2.24	0.88

1087

1088 **Table 7**

1089 Drought and drought termination characteristics of human-influenced series in  
 1090 disturbed period.

Case study	Predominant human activity	Human-influenced drought characteristics			Human-influenced drought termination characteristics			
		Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ ) (months)	Mean drought deficit ( $D_{def}$ ) (mm)	Mean maximum intensity (MI) (mm)	Mean termination duration ( $DT_{dur}$ ) (months)	Mean termination deficit ( $DT_{def}$ ) (mm)	Mean termination rate ( $DT_{rate}$ ) (mm/month)
Xiquan	—	32	3.47	12.70	6.00	2.53	9.80	2.73
Xiaochengzi	Human water withdrawal	7	27.29	56.90	7.33	7.00	26.78	2.11
Dianzi	Reservoir regulations, Human water withdrawal	27	6.63	29.03	11.36	3.30	20.34	4.22
Taipingzhuang	Land use change, Human water withdrawal	25	12.00	20.91	3.88	7.04	11.29	1.07

1091



1092 **Table 8**

1093 Drought and drought termination characteristics of natural and human-influenced

1094 series for scenario 1 ( $D_{dur} < 3.43$  months) and scenario 2 ( $D_{dur} > 3.43$  months) during

Catchment	Scenario	Series	Drought characteristics			Drought termination characteristics			
			Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ ) (months)	Mean drought deficit ( $D_{def}$ ) (mm)	Mean maximum intensity (MI) (mm)	Mean termination duration ( $DT_{dur}$ ) (months)	Mean termination deficit ( $DT_{def}$ ) (mm)	Mean termination rate ( $DT_{rate}$ ) (mm/month)
Dianzi	Scenario 1	Natural	15	2.33	6.63	4.23	1.73	5.43	2.80
		Human-influenced	3	2.33	4.34	3.42	1.33	3.45	3.01
	Scenario 2	Natural	6	4.67	16.44	8.73	2.33	12.74	4.68
		Human-influenced	24	7.17	31.89	12.24	3.46	19.99	5.36
Taipingzhuang	Scenario 1	Natural	15	2.27	1.82	1.26	1.53	1.30	1.04
		Human-influenced	10	2.50	1.75	1.26	1.60	1.31	1.22
	Scenario 2	Natural	11	5.09	4.78	2.39	3.45	3.70	0.84
		Human-influenced	11	13.64	25.50	5.79	8.36	14.76	1.18

1095 disturbed period.

1096

1097 **Table 9**

1098 Quantifying human influence on drought and drought termination characteristics in  
 1099 disturbed period.

Case study	Predominant human activity	Changes in drought characteristics (%)				Changes in drought termination characteristics (%)		
		Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ )	Mean drought deficit ( $D_{def}$ )	Mean maximum intensity (MI)	Mean termination duration ( $DT_{dur}$ )	Mean termination deficit ( $DT_{def}$ )	Mean termination rate ( $DT_{rate}$ )
Xiquan	—	+3	-4	-3	-3	-5	-3	-2
Xiaochengzi	Human water withdrawal	-63	<b>+601</b>	<b>+1376</b>	<b>+209</b>	<b>+230</b>	<b>+865</b>	<b>+35</b>
Dianzi	Reservoir regulations, Human water withdrawal	+29	+121	+208	+106	+73	+170	+26
Taipingzhuang	Land use change, Human water withdrawal	-15	+248	+619	+128	+192	+404	+21

1100

**Credit Author Statement**

Wang Menghao: Conceptualization, Methodology, Software. Jiang Shanhu:

Conceptualization, Project administration. Ren Liliang: Funding acquisition. Xu

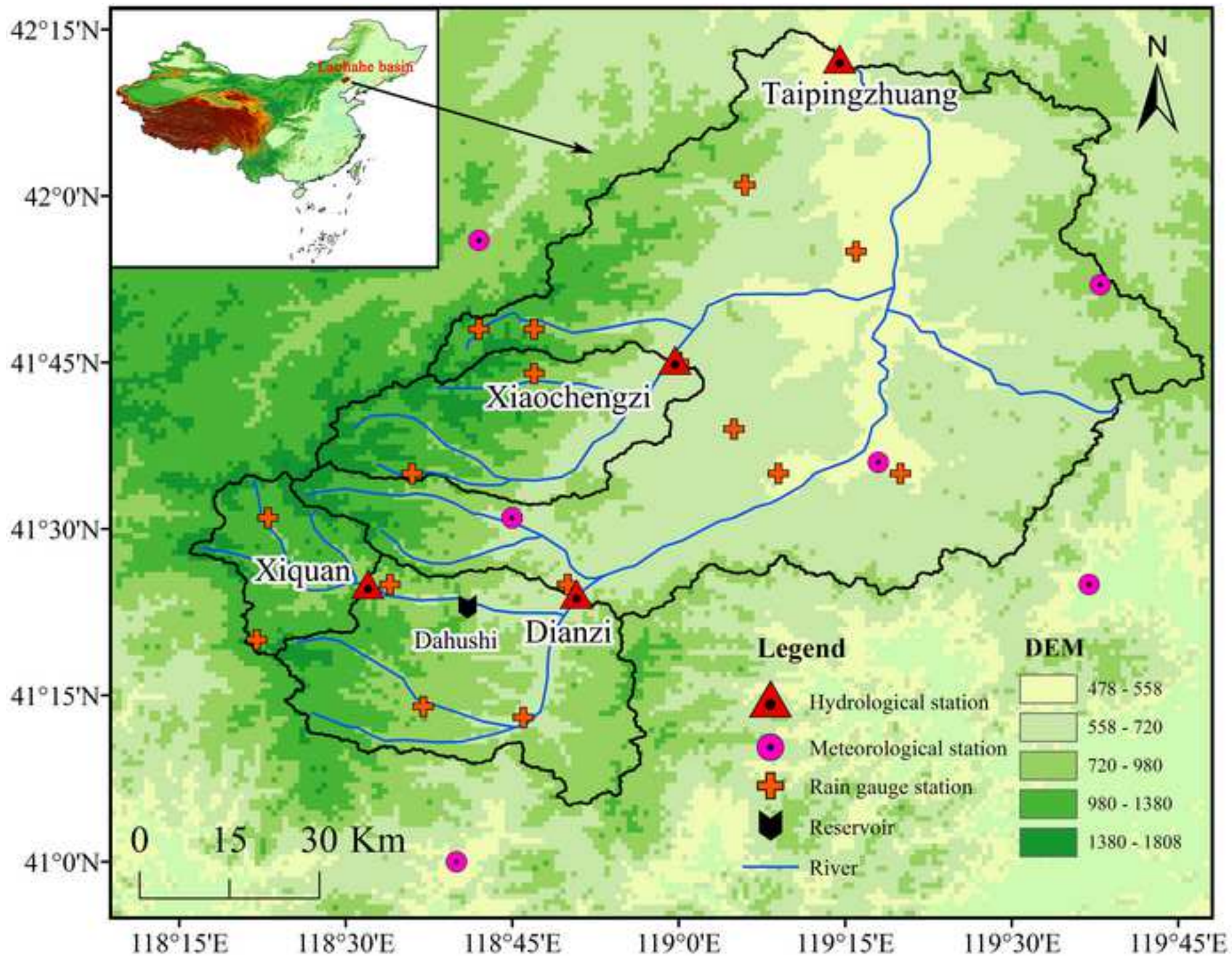
Chong-Yu: Writing-Review & Editing. Yuan Fei: Methodology. Liu Yi: Methodology.

Yang Xiaoli: Methodology.

**Declaration of Interest Statement**

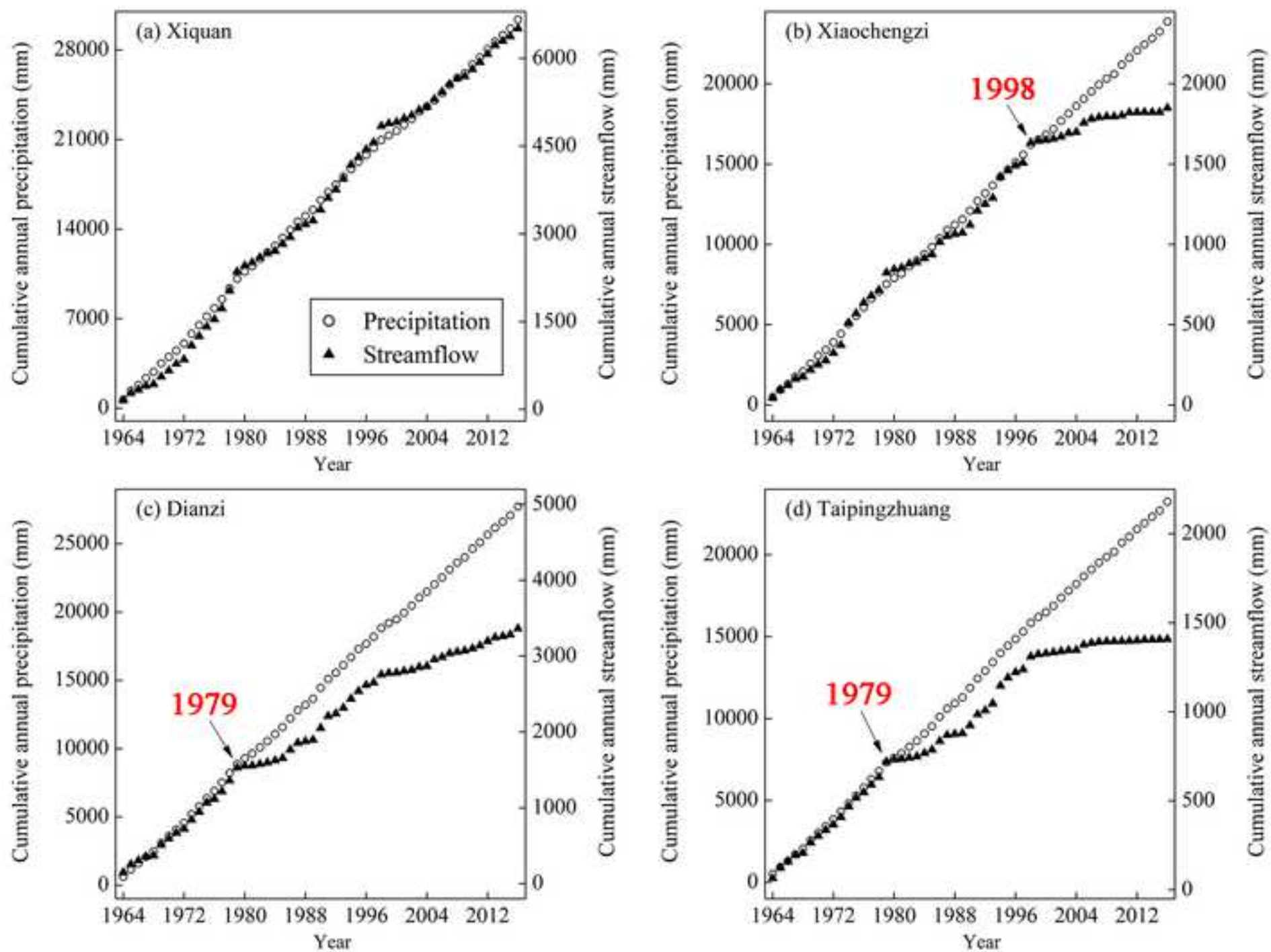
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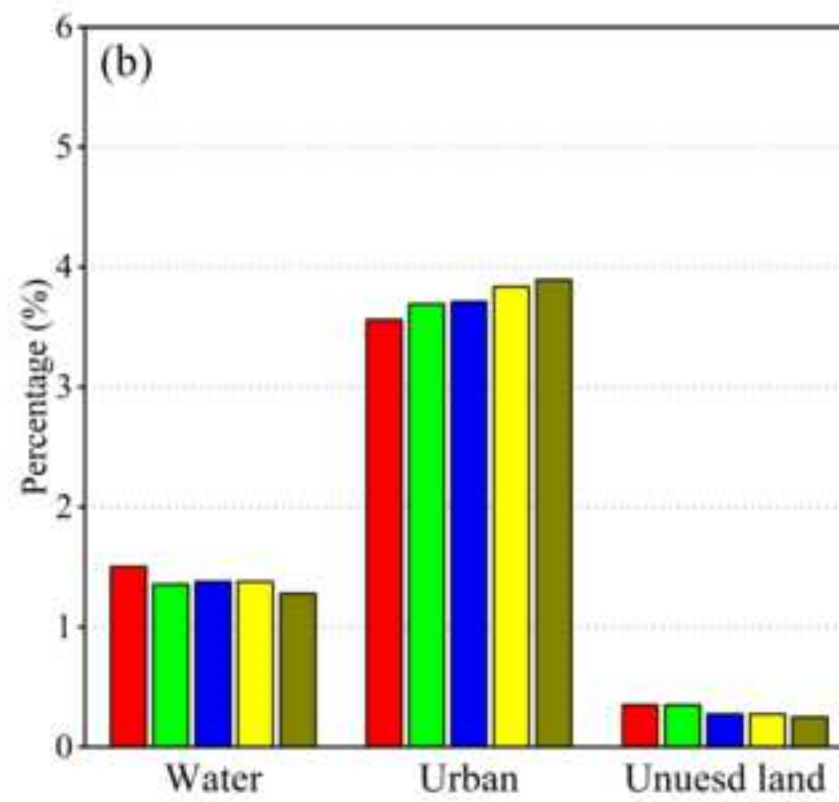
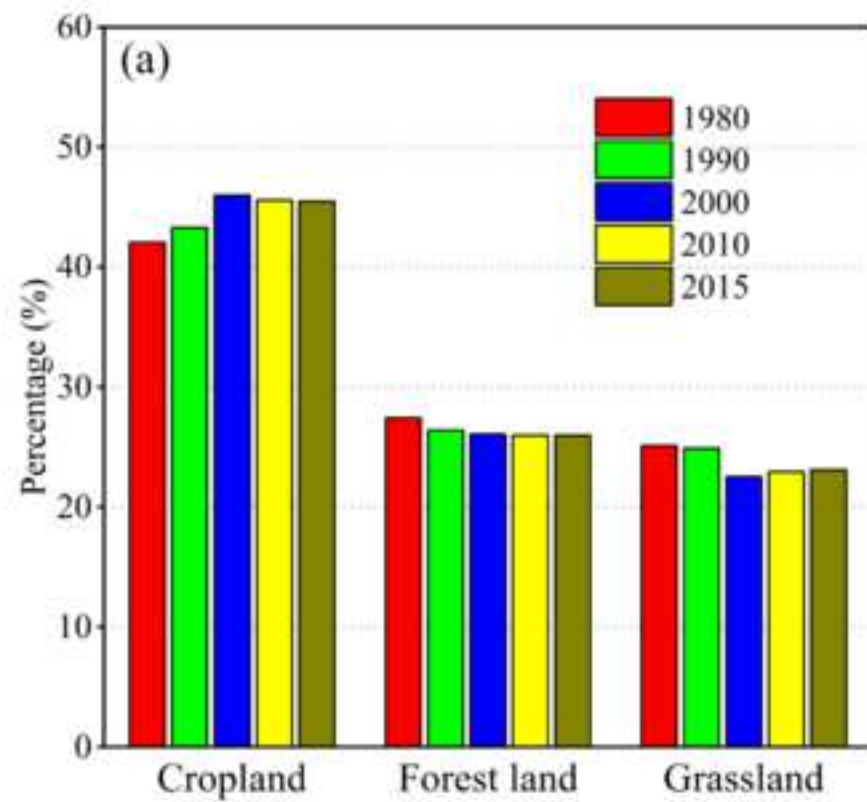
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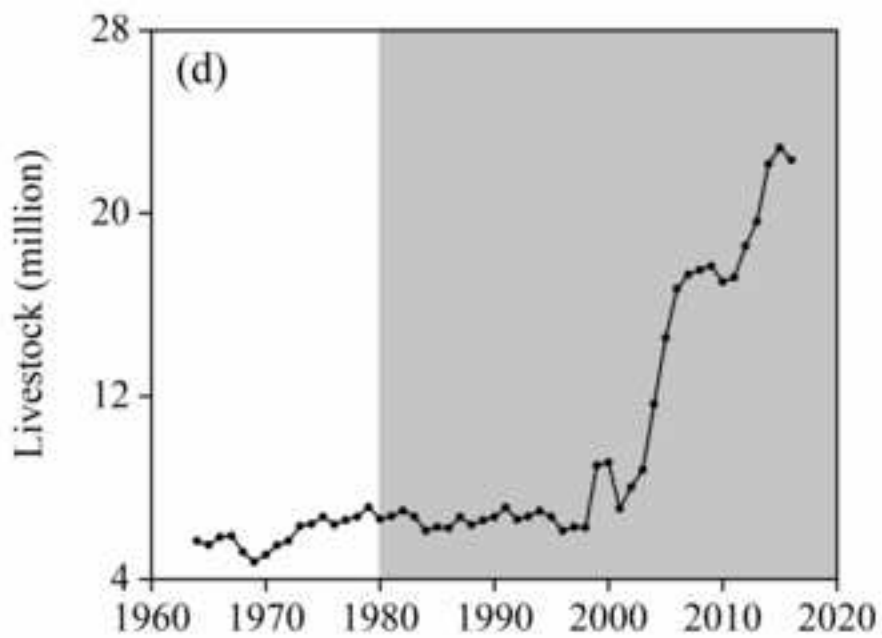
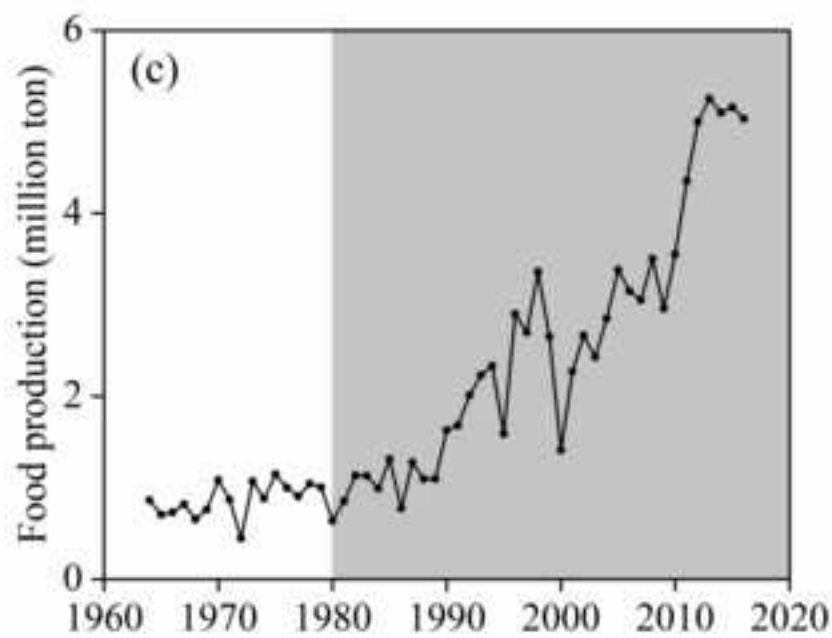
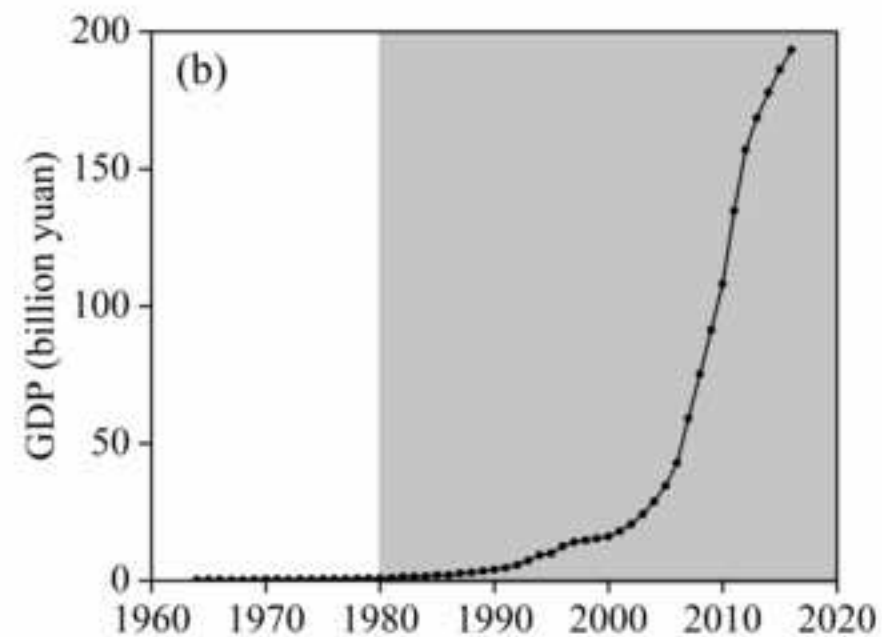
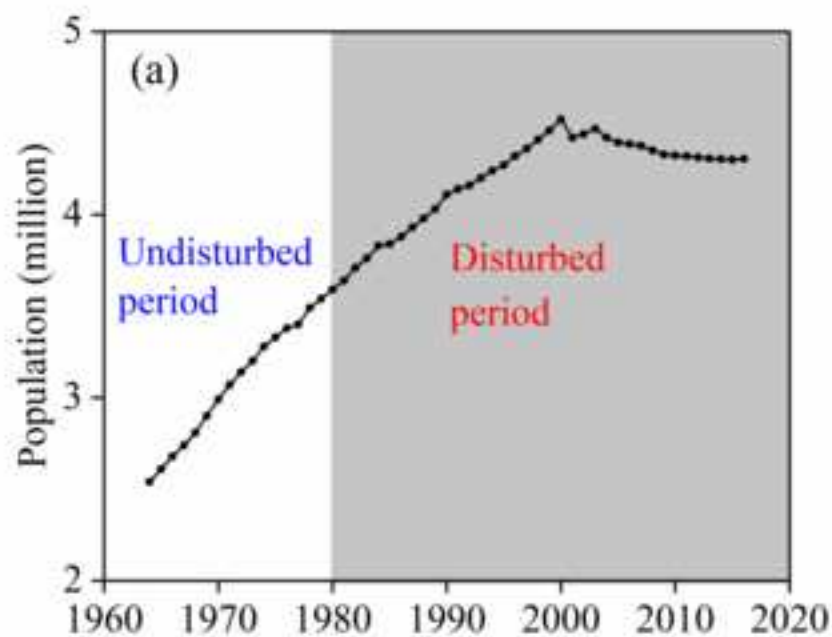
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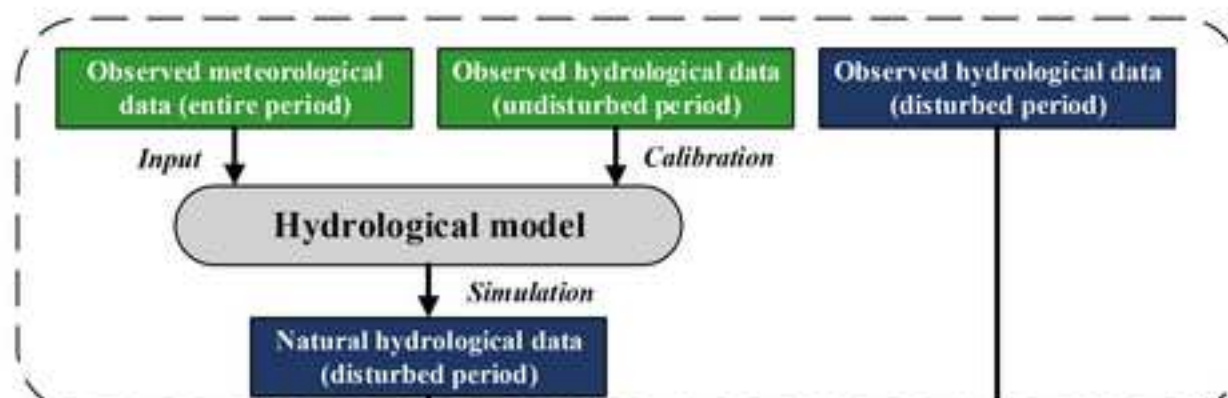


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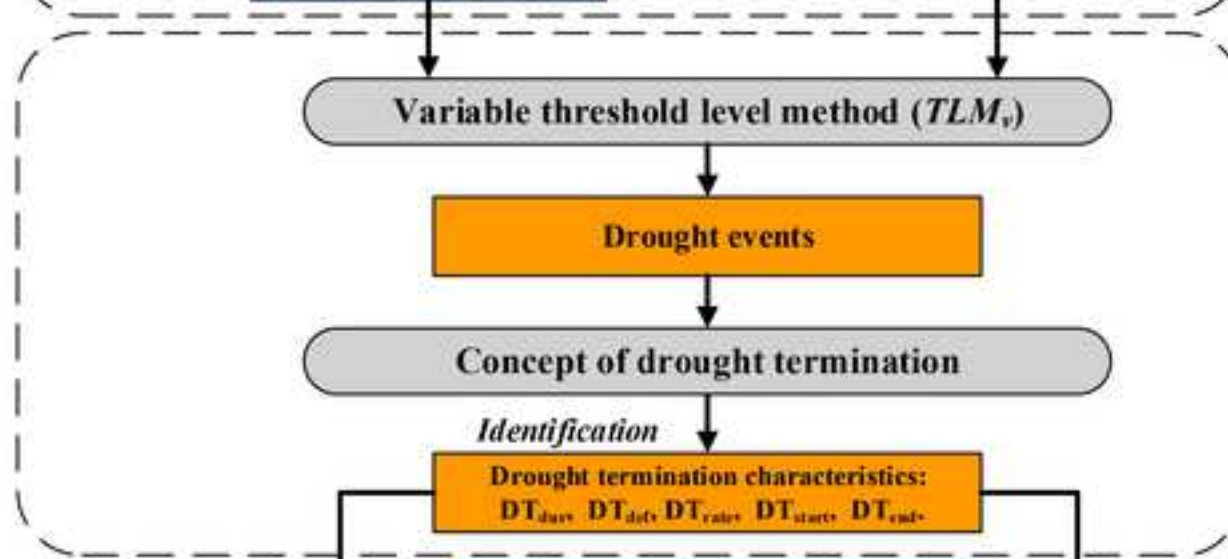
### Step 1.

Natural streamflow reconstruction



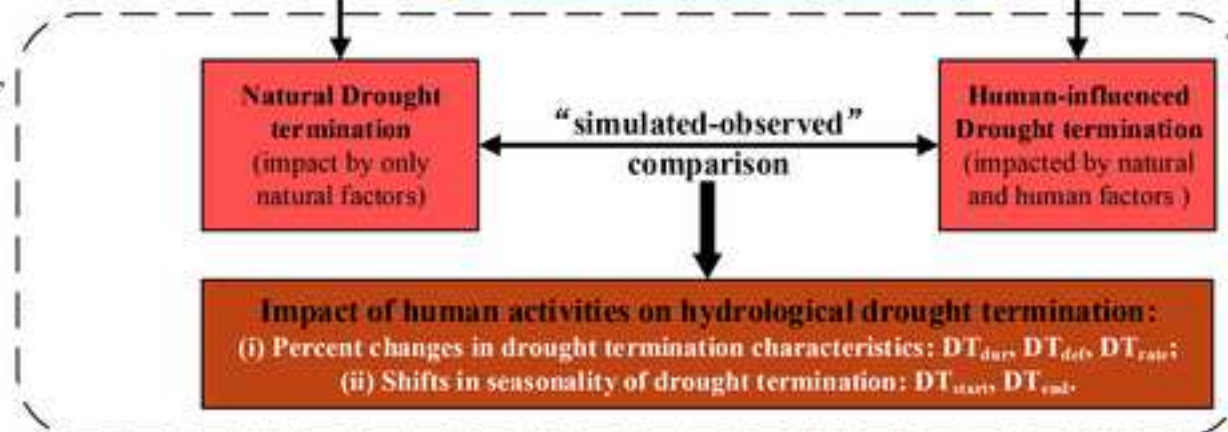
### Step 2.

Identification of hydrological drought termination characteristics



### Step 3.

Quantifying the impact of human activities on hydrological drought termination



Figure

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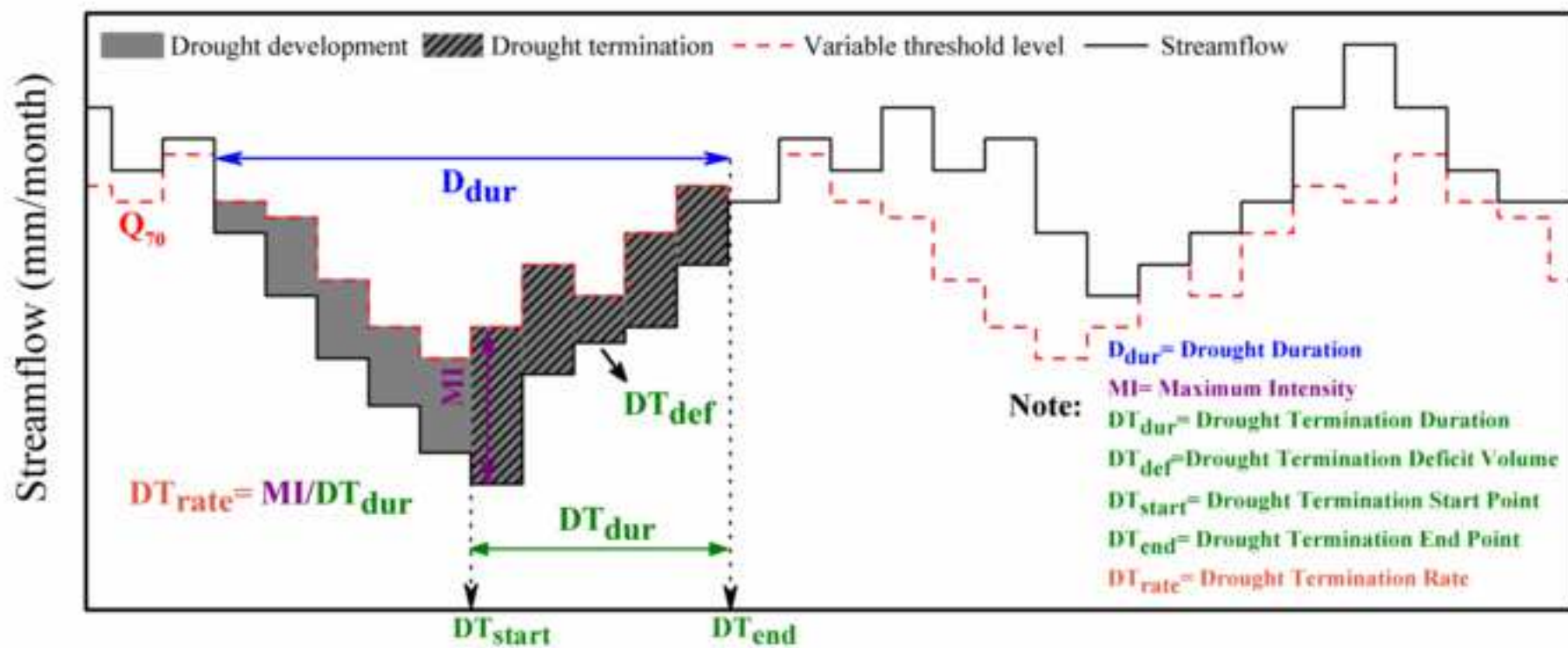


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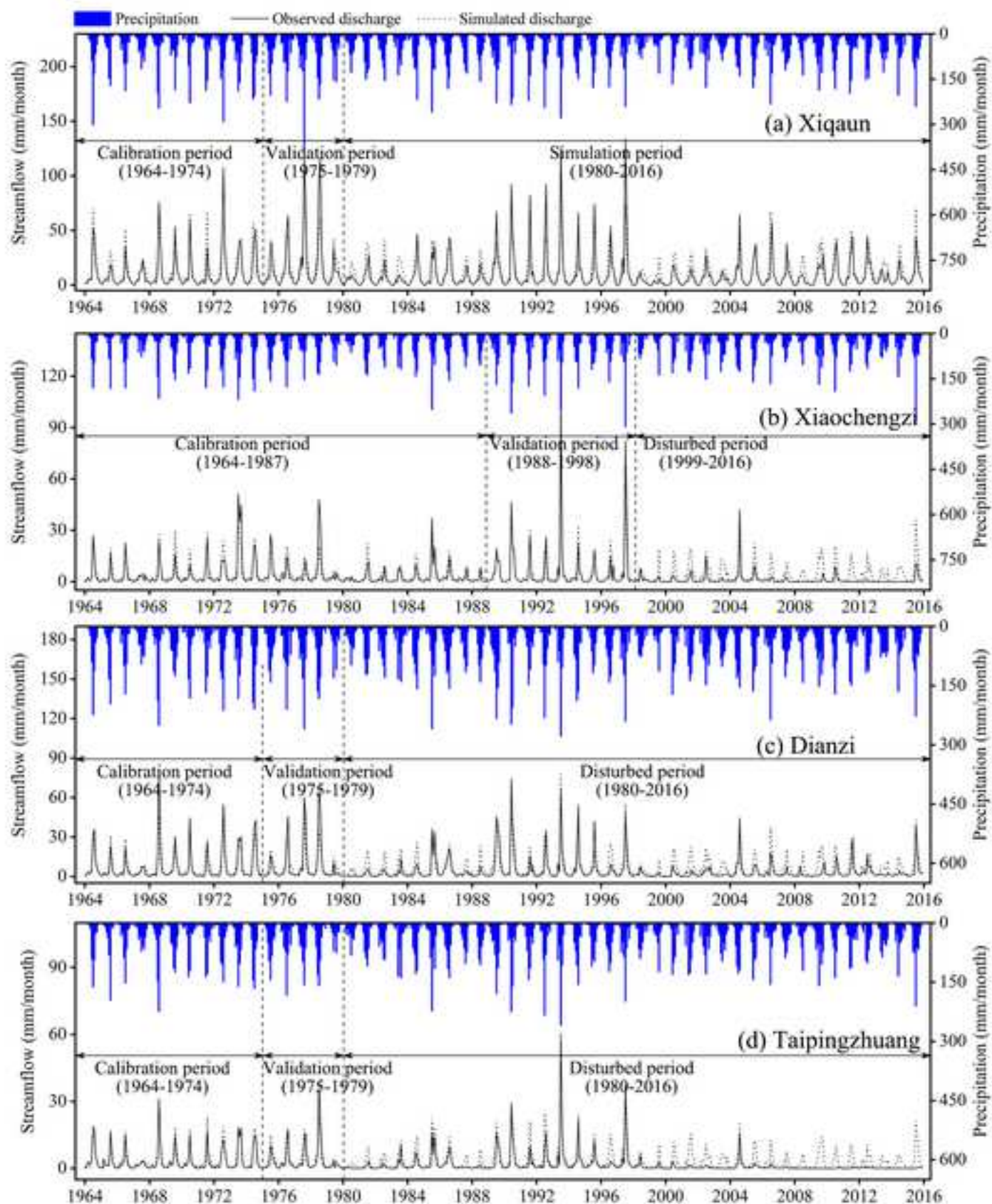


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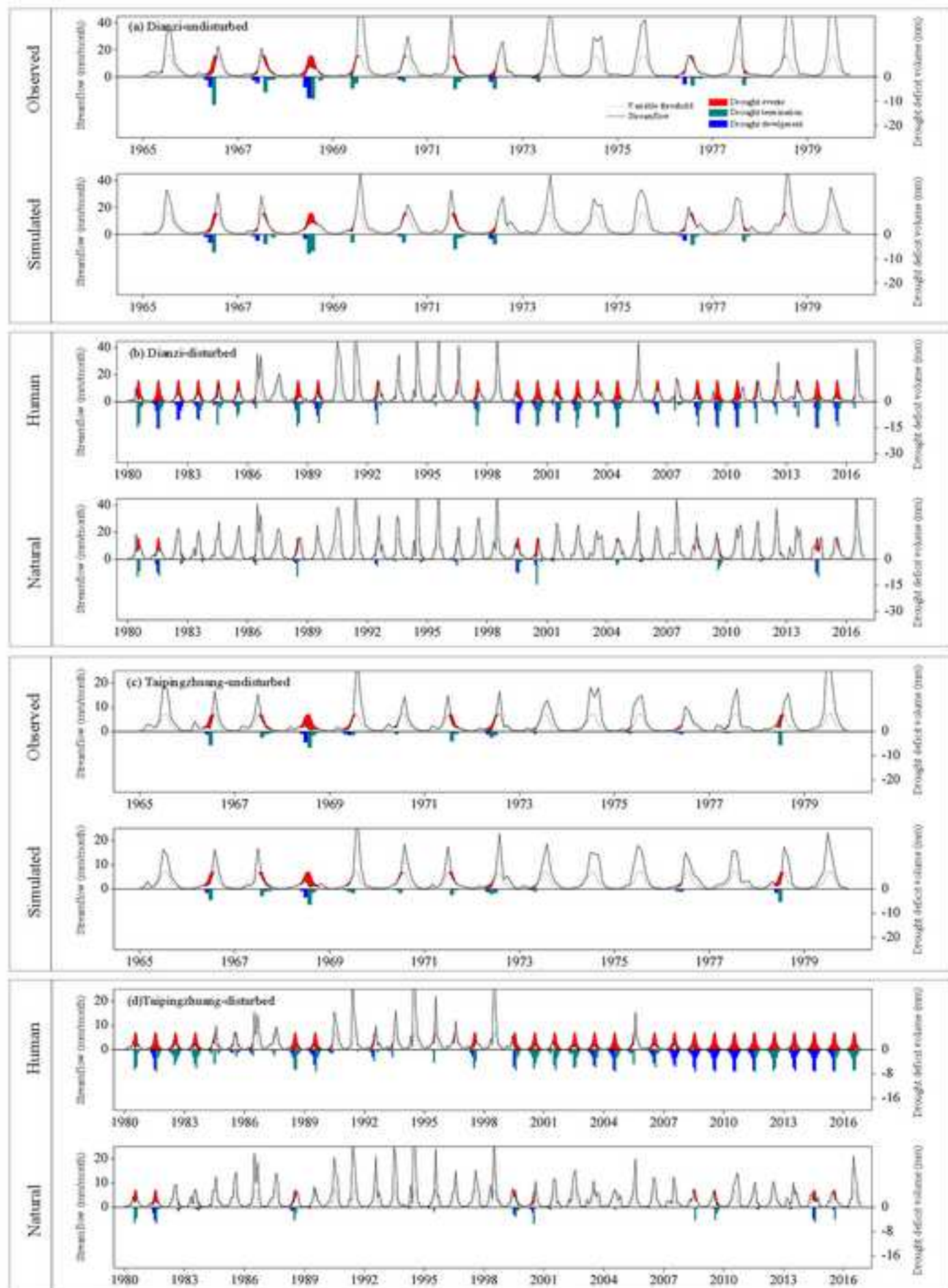
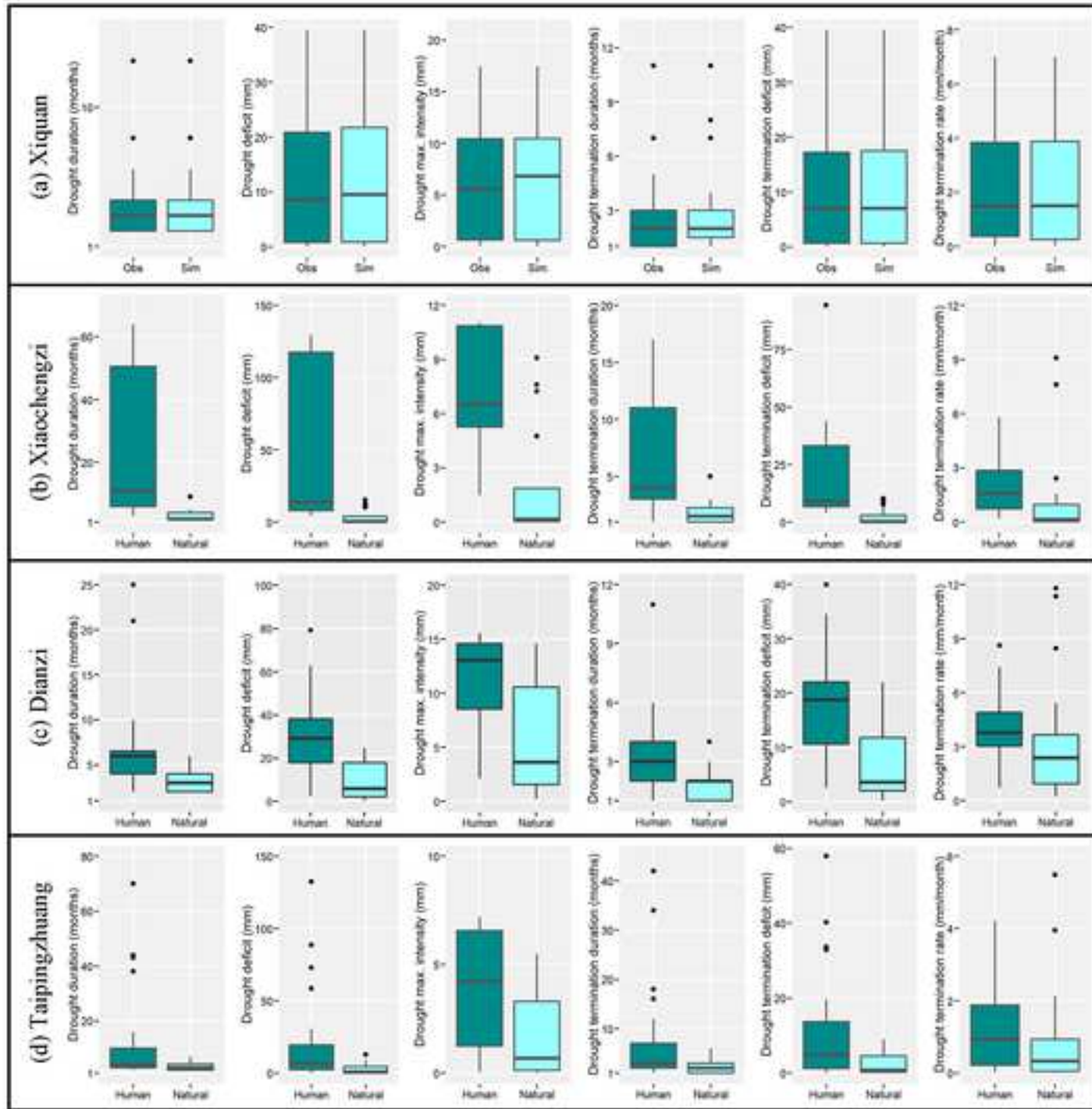
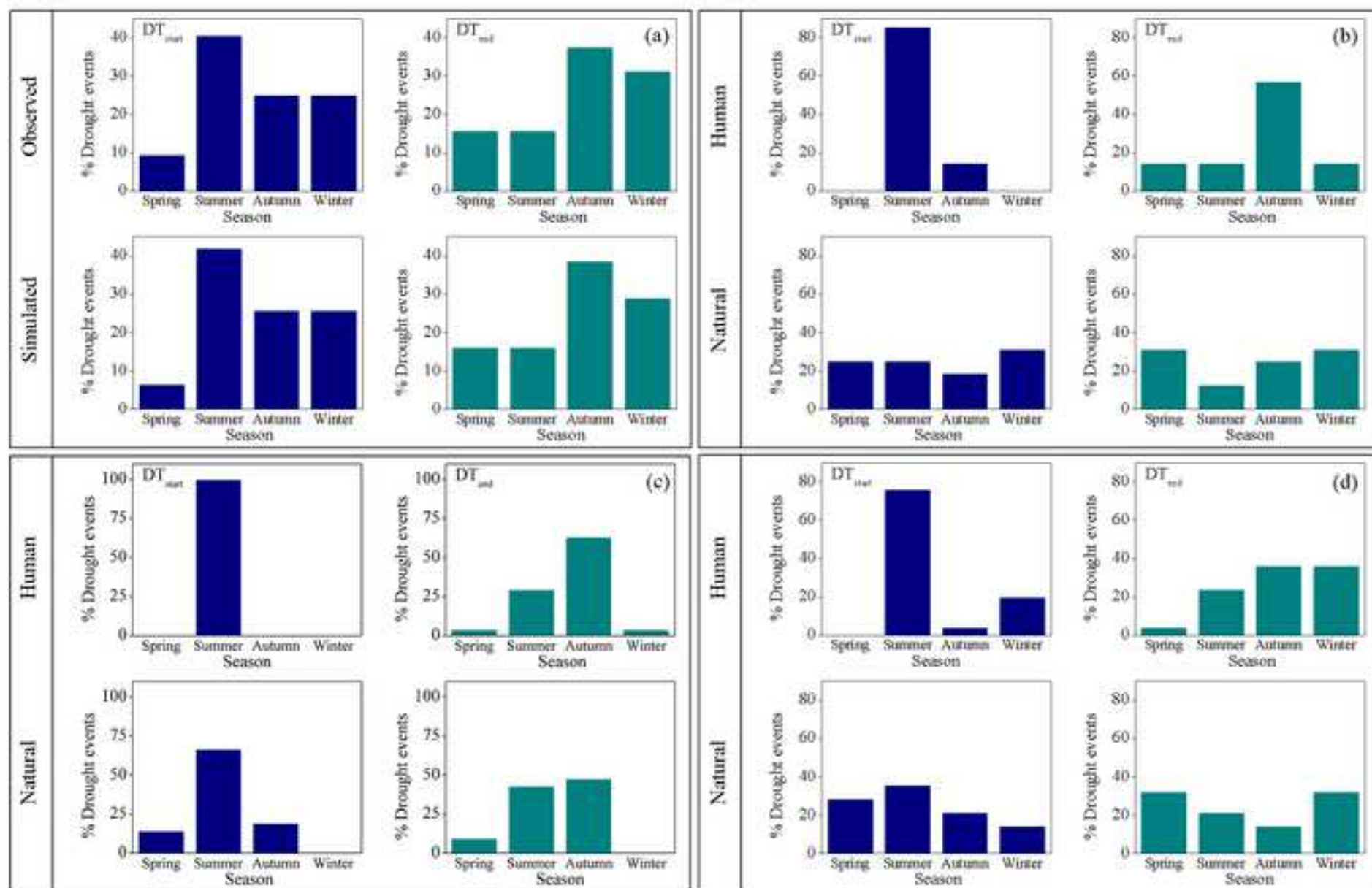


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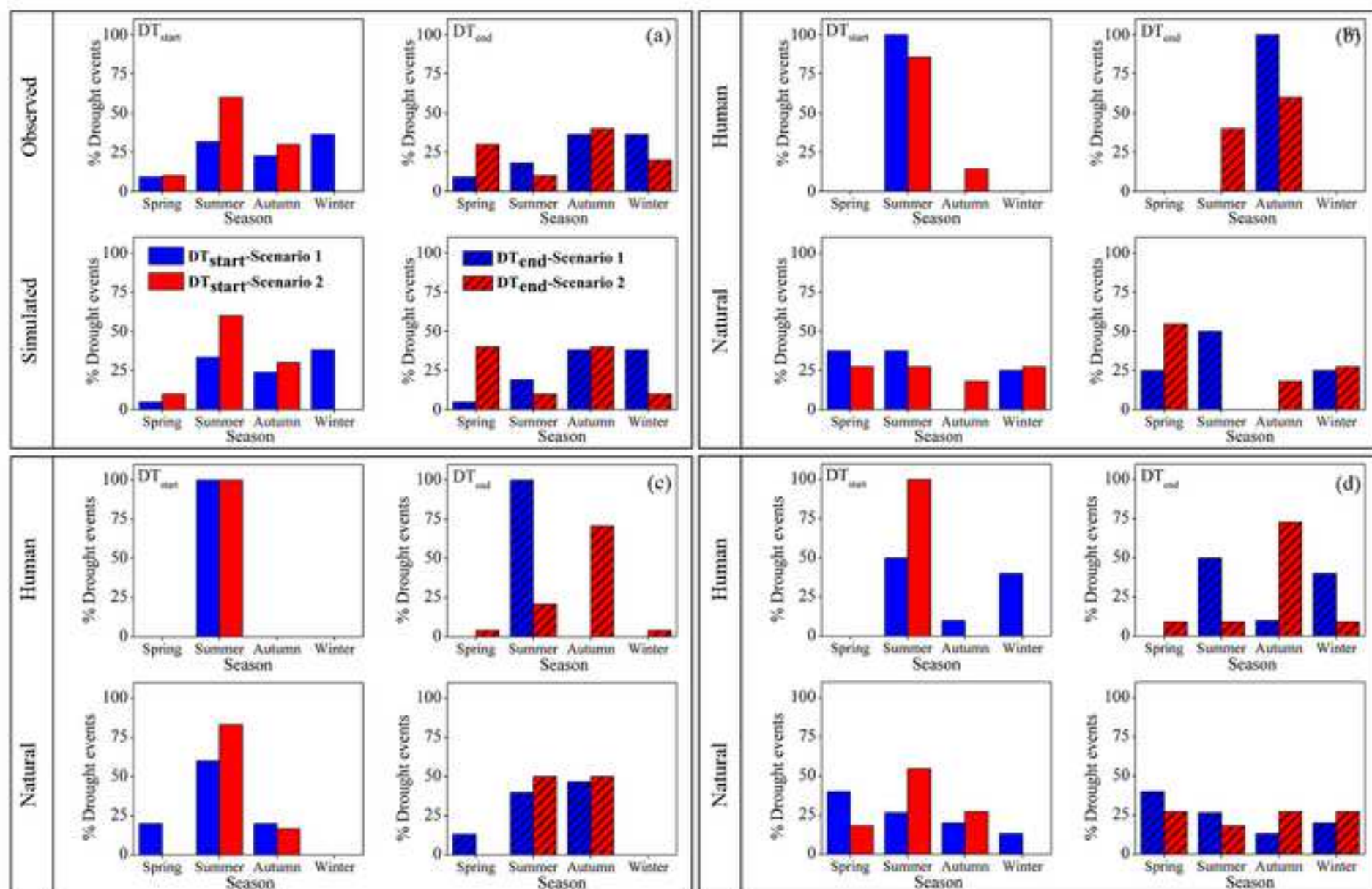
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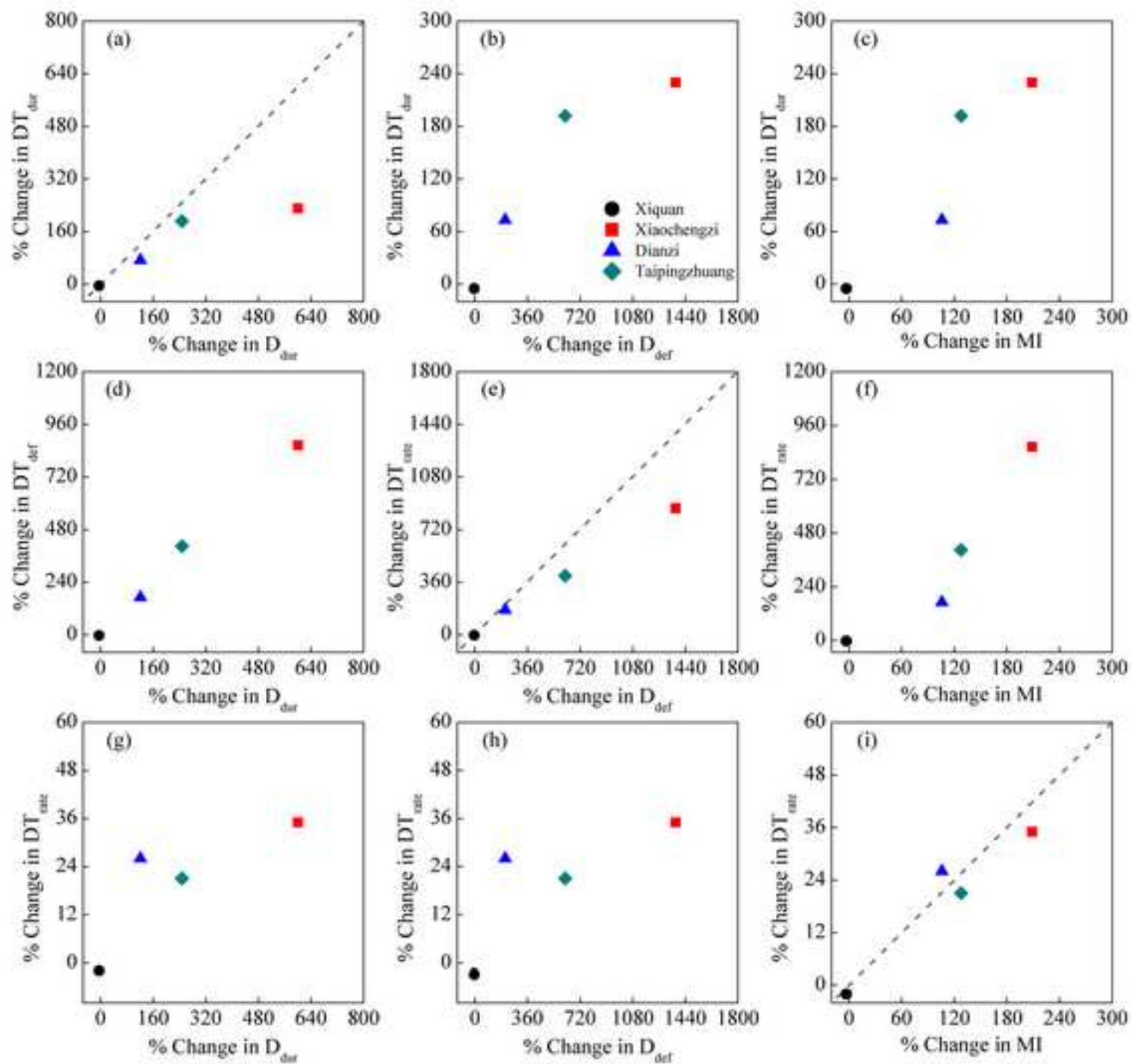


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1 **Table 1**

2 Basic information about the four study catchments.

Hydrological station	Area (km <sup>2</sup> )	Lon (E°)	Lat (N°)	Mean annual precipitation (mm)	Mean annual streamflow (mm)	Data period
Xiquan	419	118.53	41.42	572.88	126.45	1964–2016
Xiaochengzi	866	119.00	41.75	450.57	36.05	1964–2016
Dianzi	1643	118.83	41.42	523.26	63.43	1964–2016
Taipingzhuang	7720	119.25	42.20	438.53	26.57	1964–2016

3

4 **Table 2**

5 Results of MK trend analyses and Pettitt change-point tests of annual precipitation,  
 6 potential evapotranspiration (PET), and streamflow for the four selected catchments

Hydrological station	MK trend test (year)						Pettitt test for change-point (year)		
	Precipitation		PET		Streamflow		Precipitation	PET	Streamflow
	Z value	Trend	Z value	Trend	Z value	Trend			
Xiquan	-1.57	↓	-1.20	↓	-0.41	↓	—	—	—
Xiaochengzi	-0.77	↓	-0.18	↓	<b>-4.18**</b>	↓	—	—	1998**
Dianzi	-0.58	↓	-0.21	↓	<b>-2.48*</b>	↓	—	—	1979**
Taipingzhuang	-0.58	↓	0.31	↑	<b>-5.07**</b>	↓	—	—	1979**

7 for the period 1964–2016.

8 *Notes:* ‘↓’ and ‘↑’ indicate downward and upward trends, respectively. ‘\*’ and  
 9 ‘\*\*’ denote significance at 95% and 99% confidence levels, respectively.

10 **Table 3**

11 Physical meanings and numerical ranges of the seven parameters commonly  
 12 calibrated in the VIC model.

Parameter	Physical meaning	Unit	Numerical range
$B$	Infiltration curve parameter	N/A	0–0.4
$d_1$	Thickness of top thin soil moisture layer	m	0.05–0.1
$d_2$	Thickness of middle soil moisture layer	m	0–2
$d_3$	Thickness of lower soil moisture layer	m	0–2
$D_s$	Fraction of $D_{smax}$ where nonlinear baseflow begins	Fraction	0–1
$D_{smax}$	Maximum velocity of baseflow	mm/day	0–30
$W_s$	Fraction of maximum soil moisture where nonlinear baseflow occurs	Fraction	0–1

13

14 **Table 4**

15 Performance of streamflow (mm/month) simulation for the four catchments using the

16 VIC model.

Catchment/Period	Calibration period				Validation period				Disturbed (simulation) period			
	NSE	LogNSE	BIAS (%)	CC	NSE	LogNSE	BIAS (%)	CC	NSE	LogNSE	BIAS (%)	CC
Xiquan	0.78	0.77	9.19	0.91	0.79	0.85	-5.8	0.92	0.77	0.86	-0.14	0.88
Xiaozhengzi	0.73	0.75	1.14	0.90	0.87	0.74	2.36	0.95	—	—	—	—
Dianzi	0.81	0.77	1.81	0.94	0.73	0.75	7.27	0.92	—	—	—	—
Taipingzhuang	0.90	0.72	5.00	0.95	0.82	0.80	5.47	0.91	—	—	—	—

17

18

19 **Table 5**

20 Differences in drought and drought termination characteristics between observed and  
 21 simulated series in undisturbed period.

Case study	Differences in drought characteristics (%)				Differences in drought termination characteristics (%)		
	Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ )	Mean drought deficit ( $D_{def}$ )	Mean maximum intensity (MI)	Mean termination duration ( $DT_{dur}$ )	Mean termination deficit ( $DT_{def}$ )	Mean termination rate ( $DT_{rate}$ )
Xiquan	+3.6	+3.23	+0.50	+2.85	+4.76	- 5.39	+2.65
Xiaochengzi	+6.90	+4.61	+3.44	+1.63	+3.57	+2.82	+1.83
Dianzi	+10.00	+0.65	-0.85	+1.96	+1.01	+3.05	+4.80
Taipingzhuang	+8.33	-2.26	+6.96	+7.13	-7.69	+7.20	+1.19
Mean value	+7.21	+1.55	+2.51	+3.39	+0.41	+1.92	+2.62

22

23 **Table 6**

24 Drought and drought termination characteristics of natural (simulated) series in

25 disturbed period.

Case study	Predominant human activity	Natural drought characteristics				Natural drought termination characteristics		
		Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ ) (months)	Mean drought deficit ( $D_{def}$ ) (mm)	Mean maximum intensity (MI) (mm)	Mean termination duration ( $DT_{dur}$ ) (months)	Mean termination deficit ( $DT_{def}$ ) (mm)	Mean termination rate ( $DT_{rate}$ ) (mm/month)
Xiquan	—	31	3.61	13.11	6.17	2.68	10.11	2.78
Xiaochengzi	Human water withdrawal	19	3.89	3.86	2.37	2.12	2.78	1.56
Dianzi	Reservoir regulations, Human water withdrawal	21	3.00	9.43	5.51	1.90	7.52	3.34
Taipingzhuang	Land use change, Human water withdrawal	26	3.45	2.91	1.70	2.41	2.24	0.88

27 **Table 7**

28 Drought and drought termination characteristics of human-influenced series in

29 disturbed period.

Case study	Predominant human activity	Human-influenced drought characteristics			Human-influenced drought termination characteristics			
		Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ ) (months)	Mean drought deficit ( $D_{def}$ ) (mm)	Mean maximum intensity (MI) (mm)	Mean termination duration ( $DT_{dur}$ ) (months)	Mean termination deficit ( $DT_{def}$ ) (mm)	Mean termination rate ( $DT_{rate}$ ) (mm/month)
Xiquan	—	32	3.47	12.70	6.00	2.53	9.80	2.73
Xiaochengzi	Human water withdrawal	7	27.29	56.90	7.33	7.00	26.78	2.11
Dianzi	Reservoir regulations, Human water withdrawal	27	6.63	29.03	11.36	3.30	20.34	4.22
Taipingzhuang	Land use change, Human water withdrawal	25	12.00	20.91	3.88	7.04	11.29	1.07

30

31 **Table 8**

32 Drought and drought termination characteristics of natural and human-influenced

33 series for scenario 1 ( $D_{dur} < 3.43$  months) and scenario 2 ( $D_{dur} > 3.43$  months) during

Catchment	Scenario	Series	Drought characteristics			Drought termination characteristics			
			Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ ) (months)	Mean drought deficit ( $D_{def}$ ) (mm)	Mean maximum intensity (MI) (mm)	Mean termination duration ( $DT_{dur}$ ) (months)	Mean termination deficit ( $DT_{def}$ ) (mm)	Mean termination rate ( $DT_{rate}$ ) (mm/month)
Dianzi	Scenario 1	Natural	15	2.33	6.63	4.23	1.73	5.43	2.80
		Human-influenced	3	2.33	4.34	3.42	1.33	3.45	3.01
	Scenario 2	Natural	6	4.67	16.44	8.73	2.33	12.74	4.68
		Human-influenced	24	7.17	31.89	12.24	3.46	19.99	5.36
Taipingzhuang	Scenario 1	Natural	15	2.27	1.82	1.26	1.53	1.30	1.04
		Human-influenced	10	2.50	1.75	1.26	1.60	1.31	1.22
	Scenario 2	Natural	11	5.09	4.78	2.39	3.45	3.70	0.84
		Human-influenced	11	13.64	25.50	5.79	8.36	14.76	1.18

34 disturbed period.

35



36 **Table 9**

37 Quantifying human influence on drought and drought termination characteristics in  
 38 disturbed period.

Case study	Predominant human activity	Changes in drought characteristics (%)				Changes in drought termination characteristics (%)		
		Drought frequency ( $D_{freq}$ )	Mean drought duration ( $D_{dur}$ )	Mean drought deficit ( $D_{def}$ )	Mean maximum intensity (MI)	Mean termination duration ( $DT_{dur}$ )	Mean termination deficit ( $DT_{def}$ )	Mean termination rate ( $DT_{rate}$ )
Xiquan	—	+3	-4	-3	-3	-5	-3	-2
Xiaochengzi	Human water withdrawal	-63	<b>+601</b>	<b>+1376</b>	<b>+209</b>	<b>+230</b>	<b>+865</b>	<b>+35</b>
Dianzi	Reservoir regulations, Human water withdrawal	+29	+121	+208	+106	+73	+170	+26
Taipingzhuang	Land use change, Human water withdrawal	-15	+248	+619	+128	+192	+404	+21

39

40

**Fig. 1.** Location of the study areas and distribution of the hydrological, meteorological, and rain gauge stations.

**Fig. 2.** Double cumulative curves of annual precipitation and streamflow in (a) Xiquan, (b) Xiaochengzi, (c) Dianzi, and (d) Taipingzhuang catchments.

**Fig. 3.** Land use changes for the study area in the disturbed period: (a) Cropland, Forest land, and Grassland and (b) Water, Urban, and Unused land.

**Fig. 4.** Changes of socioeconomic data for the study area during 1964–2016 (undisturbed period and disturbed period): (a) population, (b) GDP, (c) food population, and (d) number of livestock.

**Fig. 5.** Proposed approach for identifying hydrological drought termination characteristics and quantifying the impact of human activities on hydrological drought termination.

**Fig. 6.** Definition of drought termination (modified from [Parry et al., 2016](#); [Margariti et al., 2019](#))

**Fig. 7.** Comparisons of VIC-simulated and observed monthly streamflow for Xiquan (undisturbed and simulation periods), Xiaochengzi, Dianzi, and Taipingzhuang catchments (undisturbed and disturbed periods).

**Fig. 8.** Identification and comparison of drought termination characteristics for observed and simulated series during undisturbed period, and for human-influenced and natural series during disturbed period in Dianzi and Taipingzhuang catchments.

**Fig. 9.** Boxplots of drought and those of drought termination characteristics for (a) Xiquan (observed (left) and simulated (right) series), (b) Xiaochengzi, (c) Dianzi, and (d) Taipingzhuang catchments (human-influenced (left) and natural (right) series).

**Fig. 10.** Changes of seasonality of drought termination starting and ending for (a) Xiquan (observed (top) and simulated (bottom) series), (b) Xiaochengzi, (c) Dianzi, and (d) Taipingzhuang catchments (human-influenced (top) and natural (bottom) series) in disturbed period.

**Fig. 11.** Comparison of human influence on drought termination time of scenario 1 ( $D_{dur} < 3.43$  months) and scenario 2 ( $D_{dur} > 3.43$  months) in (a) Xiquan (observed (top) and simulated (bottom) series), (b) Xiaochengzi, (c) Dianzi, and (d) Taipingzhuang catchments (human-influenced (top) and natural (bottom) series) during disturbed period.

**Fig. 12.** Relationships between changes in drought characteristics and those in drought termination characteristics.