

1 **Investigating the downstream sediment load change by an index coupling**
2 **effective rainfall information with reservoir sediment trapping capacity**

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28 **Abstract**

29 Sediment load is a critical issue in hydrologic process analysis and river basin management. Many studies have
30 analyzed the impacts of rainfall or reservoir separately on the downstream sediment load; however, few researches
31 investigated the coupled effect of rainfall and reservoir on sediment load change. In this study, a rainfall-augmented
32 sediment trapping index (RSTI) that combines the impacts of effective rainfall and reservoir sediment trapping
33 capacity was developed to attribute the sediment load change in the Wujiang River Basin (WRB) during the period
34 of 1952-2017. Eight linear or nonlinear regression models were set up to investigate how to best utilize the
35 proposed RSTI to reveal the coupled effect of rainfall and reservoir on the downstream sediment load of WRB. It
36 is found that observed sediment load has a large decrease after 1984 when the Wujiangdu Reservoir was put into
37 full operation while rainfall had only a slight change in the same period. The nonlinear regression model with
38 RSTI as an explanatory variable (NSE = 0.837) has the best performance in simulating the observed sediment load
39 series. These results might be helpful for the downstream sediment management under a changing environment.

40 **Keywords:** sediment load; rainfall and reservoir; RSTI; eight linear or nonlinear regression models; Wujiang
41 River

43 **1 Introduction**

44 Sediment transport and deposition play a dominant role in the formation of geomorphology and the evolution
45 of the earth ecology. Sediment is defined as solid particles in natural water body, which mainly comes from the
46 erosion of water flow to the surface in the basin (Huang *et al.*, 2017; Pelletier, 2012). As a crucial factor of river
47 evolution, excessive sediment concentration may lead to problems such as the water quality deterioration of rivers,
48 channel filling and reservoir deposition, while deficient sediment concentration causes erosion and retreat in the
49 lower estuary delta (Singh, 1995; Syvitski and Milliman, 2007). In addition, sediment plays a very important role
50 in water and sediment resource management, water conservancy project construction, ecological environment
51 improvement, and national economic development (Miao *et al.*, 2011; Peng *et al.*, 2010).

52 Identifying the driving force leading to the change of sediment load has received more and more attention
53 from researchers around the world in recent years. The climate dominates the process of sediment yield (e.g.
54 sediment yield due to rainfall erosion and wind erosion) (Lal, 1994; Wu *et al.*, 2018a; Xu, 2003; Zhu *et al.*, 2008),
55 human activities (e.g. the reservoirs can intercept sediment; the effect of vegetation on sediment is wind proofing

56 and sand fixing) (Wu *et al.*, 2018b; Xu, 2007; Xu *et al.*, 2018; Yang *et al.*, 2002; Zhang *et al.*, 2018) directly
57 influence the process of sediment transport. Among the various driving factors for the production and transport of
58 sediment, rainfall erosion and reservoir interception are the most significant ones, which have been widely
59 concerned by scholars (Rossi *et al.*, 2009; Walling, 2006; Yang *et al.*, 2006). Sediment formation comes directly
60 from soil erosion, which generally goes through the following processes: raindrop splash, separation of soil
61 particles, runoff erosion and transportation, and soil loss. The changes of rainfall may have extensive effects on
62 sediment generation and transportation (Zhu *et al.*, 2008). Xu (2003) found that the dramatic reduction in sediment
63 flux from the Yellow River to the sea could be attributed to the change in rainfall. Lu *et al.* (2013) indicated that
64 every 1% change in rainfall could result in 2% change in sediment load. After the construction of many large
65 reservoirs, the Nile (Wiegel, 1996) and the Colorado (Carriquiry and Sanchez, 1999) rivers have lost almost 100%
66 of their sediment flux. Yang *et al.* (2002) illustrated that sediment discharge of the Yangtze River reduced by 34%
67 as a result of human activities, especially reservoir construction. And the sediment discharge decreased
68 significantly due to the construction of cascade reservoirs (Wu *et al.*, 2018b). In addition, rainfall directly affects
69 the regulation and storage management of reservoirs. Therefore, quantitative identification of the impacts of
70 rainfall and reservoir on the non-stationarity of the sediment load series is helpful to understand the dynamic
71 process of sediment production and transport in the basin under a changing environment (Lu *et al.*, 2013; Wen *et*
72 *al.*, 2019).

73 In studying the relationship between a hydrological dependent variable such as sediment load and its
74 explanatory variables or influencing factors, the regression method gets a widespread use for its simplicity and
75 applicability. Bezak *et al.* (2017) used the precipitation sum and the peak discharge as covariates to estimate the
76 suspended sediment load with regression models. Wen *et al.* (2019) established the multiple linear regression
77 equation of areal rainfall amount and annual sediment load to illustrate the effect of climate variability on sediment
78 load change. Uca *et al.* (2018) carried out the multiple linear regression analysis to correlate the measured
79 suspended sediment to the independent variables, namely discharge, rainfall, and water depth.

80 However, there are two concerns with the utilization of regression methods in studying sediment load. The
81 first concern is that they have focused more on establishing the linear relationship between the hydrological
82 dependent variables and the selected explanatory variables. It is obvious that the nonlinear model is more suitable
83 to describe the relationship between hydrological variable and its influencing factors due to the nonlinear
84 characteristics of hydrological system. The second concern is that the interaction between different factors in

85 controlling the sediment load was ignored. Hydrological processes are complex and dynamic processes influenced
86 by many factors simultaneously. So, it is especially necessary to consider the coupling effects between different
87 influencing factors such as rainfall and reservoirs. For example, Xiong *et al.* (2019) defined the rainfall-reservoir
88 composite index (RRCI) to carry out the non-stationarity frequency analysis of downstream flood, their results
89 indicate that the impact of reservoir on flood is related not only to static reservoir storage capacity but also to
90 dynamic reservoir operation influenced by multiday antecedent rainfall input. Similarly, the downstream sediment
91 load of dams is a coupled outcome of the antecedent rainfall and reservoir size. How to construct a composite
92 index to explain the coupled impact of rainfall and reservoir on the sediment load process is to be explored.

93 As the largest tributary of the upper reaches of the Yangtze River, the Wujiang River Basin (WRB) is one of
94 the main sediment sources of the Yangtze River (Xu, 2009), there are 22.5 million tons of sediment inflow to the
95 basin mouth every year. Xu (2007) studied the decreasing trend in grain size of suspended sediment load at Wulong
96 station of the Wujiang River. Then, Xu (2009) analyzed the impact of human activities including reservoir
97 construction on the variation of suspended sediment concentration qualitatively. Wu *et al.* (2018b) demonstrated
98 the cascade dam effects on sediment by observing the process of annual sediment discharge before and after the
99 reservoir construction. These studies emphasized the inter-annual variation of sediment in the Wujiang River
100 before and after the construction of large reservoirs, but didn't carry out quantitative identification of physical
101 causes for the variation of sediment in the WRB.

102 The major objectives of this paper are as follows: (1) analyze the variation of long-term historical records of
103 sediment load in the WRB; (2) develop the rainfall-augmented sediment trapping index (RSTI) that couples the
104 impacts of both effective rainfall and sediment trapping capacity of reservoirs; and (3) compare the simulated
105 sediment load of eight linear or nonlinear regression models to reveal the coupled effect of rainfall and reservoir
106 on sediment load, so as to obtain the optimal quantitative relationship between rainfall, reservoir and sediment
107 load.

108 The rest of this paper is organized as follows: the study area and data used in this study are introduced in the
109 next section; Section 3 describes the methodology used in this study; the results of this study are presented in
110 Section 4; in Section 5 some discussions are described, and the main conclusions are summarized in Section 6.

111 2 Study area and data

112 2.1 Study area

113 The Wujiang River Basin (WRB) is situated between 104°18'E-109°22'E and 26°07'N-30°22'N, covering a
114 total area of 87920 km² (**Figure 1**). It is the largest tributary in the upper reaches of the Yangtze River in China
115 measuring approximately 1050 km in length, so it has a great contribution to the water and sediment of the Yangtze
116 River. The Sancha River in the south source and the Liuchong River in the north source are considered as main
117 soil erosion areas in the WRB. The basin belongs to subtropical monsoon climate with the flood season from May-
118 October and non-flood season from November-April. The mean annual rainfall varies between 800-1700 mm
119 during the period of 1952-2017. The river has a mean annual runoff of 487×10^8 m³ and a mean annual sediment
120 load of 2252×10^4 t for the period of 1952-2017 at the Wulong hydrological station (with a drainage area of 83035
121 km²). Many reservoirs have been built in the basin, and the information of all 11 reservoirs on the main stream of
122 the WRB (Wu *et al.*, 2018b) has been listed in **Table 1**. Annual sediment trapping of reservoirs is obtained by
123 statistics of measured data (Chen *et al.*, 2008), among them, sediment trapping of Suofengying, Yinzidu and
124 Puding reservoirs is estimated based on the Brune method (Brune, 1953).

125 < Figure 1 >

126 < Table 1 >

127 2.2 Data

128 In this study, daily runoff and sediment load records (1952-2017) from the Wulong station and reservoir data
129 in the WRB are provided by the Hydrology Bureau of the Changjiang Water Resources Commission, China
130 (<http://www.cjh.com.cn/en/>). The rainfall data based on the records of 15 meteorological sites (shown in **Figure**
131 1) are obtained from the National Climate Center of the China Meteorological Administration
132 (<http://data.cma.cn/site/index.html>), mean rainfall data of 15 stations are presented in **Table 2**. Annual normalized
133 difference vegetation index (NDVI) (1982-2015) is downloaded from Landsat NDVI
134 (<https://ecocast.arc.nasa.gov/data/pub/gimms/>). Land-use and land-cover change (LUCC) for 1980 and 1990 are
135 obtained from Resource and Environment Data Cloud Platform, China (<http://www.resdc.cn/Default.aspx>).

136 < Table 2 >

137 **3 Methodology**

138 In this section, first, the Mann-Kendall test and Pettitt test are used to detect the trend and change-point of
139 sediment load. Second, the rainfall-reservoir composite index (RRCI) combining a rainfall index and a reservoir
140 index is introduced, and the modified RRCI (denoted as RRCI^S) for the attribution of sediment load change is
141 defined. Then, a rainfall-augmented sediment trapping index (RSTI) is developed by coupling the effective rainfall
142 with the reservoir sediment trapping capacity. Finally, eight different linear or nonlinear regression models are
143 established to simulate sediment load. The methods used in this study are described in detail in the following
144 subsections.

145 **3.1 Trend and change-point analysis**

146 **3.1.1 Mann-Kendall test**

147 The Mann-Kendall (MK) test has been widely used in the trend analysis of hydro-meteorological series such
148 as flow and rainfall as a typical non-parametric method recommended by the World Meteorological Organization
149 (Mann, 1945; Kendall, 1975), which is conducive to the preliminary identification of characteristics of
150 hydrological series.

151 For a series x_1, x_2, \dots, x_n that satisfies the independent identically distributed condition and as the number of
152 observations becomes large, the Mann-Kendall statistic S is defined as follows:

$$153 \quad S = \sum_{i=2}^n \sum_{j=1}^{i-1} \text{sign}(x_i - x_j) \quad (1)$$

154 where

$$155 \quad \text{sign}(x_i - x_j) = \begin{cases} 1 & x_i > x_j \\ 0 & x_i = x_j \\ -1 & x_i < x_j \end{cases} \quad (2)$$

156 The variance of S is $\text{Var}(S) = \frac{n(n-1)(2n+5)}{18}$, and the standard normal statistic Z is calculated as follows:

$$157 \quad Z = \begin{cases} (S-1) / \sqrt{\text{Var}(S)} & S > 0 \\ 0 & S = 0 \\ (S+1) / \sqrt{\text{Var}(S)} & S < 0 \end{cases} \quad (3)$$

158 The significance of trend can be tested by comparing Z with the standard normal variate at the significance
159 level α ($\alpha=0.05$ in this paper). The series has an upward trend when $S > 0$, while $S < 0$ indicates that it has a

160 downward trend.

161 3.1.2 Pettitt test

162 The Pettitt (PT) test has been commonly used in the change-point detection of continuous hydro-
163 meteorological series as a non-parametric test method proposed by Pettitt (Pettitt, 1979).

164 For a series x_1, x_2, \dots, x_n with n observations, the Pettitt statistic K_t is defined as:

$$165 K_t = \max |U_{t,n}| \quad (4)$$

166 in which,

$$167 U_{t,n} = \sum_{i=1}^t \sum_{j=t+1}^n \text{sign}(x_i - x_j) \quad (t=1, 2, \dots, n) \quad (5)$$

168 where, $\text{sign}(\cdot)$ is the sign function the same as the Equation (2). The change-point of the series is located at K_t ,
169 at the same time, Equation (6) is used to calculate the probability values (p_{PT}). If the p_{PT} is less than 0.05, the
170 change-point is significant.

$$171 p_{PT} = 2 \exp\left(\frac{-6K_t^2}{n^3 + n^2}\right) \quad (6)$$

172 3.2 Rainfall-reservoir composite index (RRCI)

173 Xiong *et al.* (2019) developed the rainfall-reservoir composite index (RRCI) to assess the impacts of
174 reservoirs on downstream flood frequency by coupling the effect of scheduling-related multivariate rainfall. The
175 multiday antecedent rainfall input (MARI) is a critical event to form the downstream extreme flow. Five variables
176 were used to describe the MARI for determining the peak, the total volume, the peak appearance time of inflow:
177 the maximum daily rainfall, the mean daily rainfall, the total daily rainfall, the end time of MARI during that year,
178 and the distance between the rainfall center and the outlet. The RRCI was derived from combining the reservoir
179 index (RI) and a rainfall index. It is defined as follows:

$$180 \text{RRCI} = \begin{cases} \left(P_{\text{MARI}}^{\vee} \left(\bigcup_{i=1}^d (X_i > x_i) \right) \right)^{\frac{1}{\text{RI}} - 1}, & 0 < \text{RI} \leq 1 \\ \text{RI}, & \text{RI} > 1 \end{cases} \quad (7a)$$

181 where, P_{MARI}^{\vee} is the OR joint exceedance probability that any one of the scheduling-related MARI variables
182 (denoted as X_1, X_2, \dots, X_d) will be exceeded and it acts as a rainfall index here. P_{MARI}^{\vee} is calculated by cumulative

183 distribution function ($F(x_1, x_2, \dots, x_d)$) that determines the dependence relationship of MARI variables, namely

$$184 \quad P_{\text{MARI}}^{\vee} \left(\bigcup_{i=1}^d (X_i > x_i) \right) = 1 - F(x_1, x_2, \dots, x_d) \quad (7b)$$

185 And a dimensionless indicator—reservoir index (RI) (López and Francés, 2013) reflecting the impact of
186 reservoirs on hydrological series in river basin is expressed as

$$187 \quad \text{RI} = \sum_{i=1}^N \left(\frac{A_i}{A_T} \right) \cdot \left(\frac{V_i}{\bar{Q}} \right) \quad (8)$$

188 where N is the total number of reservoirs upstream of the certain hydrological station; A_i is the catchment area
189 controlled by the i -th reservoir upstream, km²; A_T is the total catchment area controlled by the hydrological station,
190 km²; V_i is the total storage capability of the i -th reservoir upstream, m³; and \bar{Q} is the mean annual runoff at the
191 hydrological station, m³. The RI is generally less than 1 for a reservoir system consisting of small- and middle-
192 sized reservoirs, while it may be close to or greater than 1 for a reservoir system with some large reservoirs like
193 multi-year regulating storage reservoirs.

194 In this study, a modification is made to Equation (7). Effective rainfall is used to replace the multiday
195 antecedent rainfall input (MARI) to measure the impact of rainfall on sediment load rather than on floods.

$$196 \quad \text{RRCI}^s = \begin{cases} 0, & \text{RI} = 0 \\ (1 - F_n)^{\frac{1}{\text{RI}} - 1}, & 0 < \text{RI} \leq 1 \\ \text{RI}, & \text{RI} > 1 \end{cases} \quad (9)$$

197 where, F_n is the empirical cumulative distribution function of the dependence relationship of effective rainfall
198 amount and rainfall intensity introduced in Section 3.3.1.

199 **3.3 Construction of rainfall-augmented sediment trapping index (RSTI)**

200 RRCI is constructed for measuring the effects of antecedent rainfall and reservoir storage capacity on the
201 downstream flood regime. The capture of rainfall information and the consideration of reservoir effects in RRCI
202 are no longer applicable to the study of sediment. Therefore, in this subsection, a rainfall-augmented sediment
203 trapping index (RSTI) is derived from combining effective rainfall information (including effective rainfall amount
204 and rainfall intensity) and reservoir sediment trapping capacity (reflected by the indicator TE, i.e. sediment
205 trapping efficiency of reservoirs) for attributing the downstream sediment load change. The specific procedures
206 will be described in detail below.

207 3.3.1 Effective rainfall

208 Rainfall has a great impact on sediment load (Berger *et al.*, 2010), and it is the most important power factor
209 in causing soil erosion; however, not all rainfall events can produce floods and then lead to soil erosion, only
210 rainfall causing the generation of substantial runoff or floods can initiate and transport sediment (i.e. erosive
211 rainfall that forms sediment) (Xie *et al.*, 2002). Rainfall amount (denoted as A) and rainfall intensity (denoted as
212 I), as the main characteristics of rainfall, are highly correlated with soil loss (Lal, 1976; Pruski and Nearing, 2002;
213 Wu *et al.*, 2018a). Therefore, sediment yield is affected by both rainfall amount and rainfall intensity. In order to
214 identify the most effective rainfall events affecting sediment generation and transport in terms of both amount and
215 intensity, different threshold levels of rainfall intensity (mm/day) are set to calculate corresponding effective
216 rainfall amount (mm) and effective rainfall intensity (mm/day) for each year. In this study, 14 threshold levels (TL)
217 for daily rainfall intensity, i.e. TL= 2, 4, 6, 8, 10, 15, 20, 25, 30, 35, 40, 45, 50, or 55 mm/day, are first selected.
218 Then, for each year from 1952 to 2017, the annual effective rainfall amount for each given TL is calculated by
219 summing the amount of all daily rainfall events with an intensity higher than the given TL value and denoted by
220 $A_2, A_4, A_6, A_8, A_{10}, A_{15}, A_{20}, A_{25}, A_{30}, A_{35}, A_{40}, A_{45}, A_{50}$ and A_{55} , respectively. Next, for each year, the annual effective
221 rainfall intensity for each given TL is calculated by averaging all daily rainfall intensity with an intensity higher
222 than the given TL value and denoted by $I_2, I_4, I_6, I_8, I_{10}, I_{15}, I_{20}, I_{25}, I_{30}, I_{35}, I_{40}, I_{45}, I_{50}$ and I_{55} , respectively. In
223 determining a criterion for defining effective rainfall events and calculating annual effective rainfall amount, the
224 optimum threshold level of daily rainfall intensity is chosen to be the TL value that makes the Pearson correlation
225 coefficient between annual sediment load and annual effective rainfall amount maximum. Similarly, in determining
226 a criterion for calculating annual effective rainfall intensity, the optimum threshold level of daily rainfall intensity
227 is set to be the TL value that makes the Pearson correlation coefficient between annual sediment load and annual
228 effective rainfall intensity maximum.

229 The joint non-exceedance probability acts as a rainfall index capturing effective rainfall information to
230 measure the rainfall effects on sediment, and it is the probability that neither rainfall amount nor rainfall intensity
231 exceeds. The higher this probability is, the greater the rainfall effects on sediment. The relationship between joint
232 distribution function of rainfall amount and rainfall intensity ($F(a,i)$) and non-exceedance probability
233 ($P(A \leq a, I \leq i)$) is expressed as follows:

$$234 F(a,i) = P\{(A \leq a) \cap (I \leq i)\} = P(A \leq a, I \leq i) \quad (10)$$

235 In order to increase applicability of rainfall index and related indicators in practice, the empirical copula is

236 used to calculate joint distribution function in this study.

$$237 \quad F_n(a_i, i_i) = \frac{1}{n} \sum_{i=1}^n \mathbf{1}\{\hat{A} \leq a_i, \hat{I} \leq i_i\} \quad (11)$$

238 where, $F_n(a_i, i_i)$ is the empirical distribution function of the dependence relationship of A and I ; \hat{A} and \hat{I} denote
239 the pseudo-observations of effective rainfall amount and rainfall intensity, respectively; n is the sample size.

240 3.3.2 Sediment trapping efficiency (TE) of reservoirs

241 Sediment trapping efficiency (TE) of reservoirs originally proposed by Brune (1953) is expressed as a
242 function of residence time ($\Delta\tau$) to predict a single reservoir trapping efficiency.

$$243 \quad \text{TE}_{\text{single}} = 1 - \frac{0.05}{\sqrt{\Delta\tau_{\text{single}}}} \quad (12a)$$

$$244 \quad \Delta\tau_{\text{single}} = \frac{V}{Q} \quad (12b)$$

245 Vörösmarty *et al.* (2003) developed a method for basin-wide sediment trapping efficiency calculations, which
246 is defined as

$$247 \quad \text{TE}_{\text{basin}} = 1 - \frac{0.05}{\sqrt{\Delta\tau_{\text{basin}}}} \quad (13a)$$

$$248 \quad \Delta\tau_{\text{basin}} = \frac{\sum_{i=1}^N V_i}{Q} \quad (13b)$$

249 Considering the differences in geographical location within the catchment, physical characteristics and
250 operation strategy of the reservoir, the Brune model is suggested to be modified by adding a correction coefficient
251 α (Fu and He, 2007; Kummu *et al.*, 2010; Li *et al.*, 2020), thus becoming.

$$252 \quad \text{TE}_{\text{basin}}^* = 1 - \frac{0.05\alpha}{\sqrt{\Delta\tau_{\text{basin}}}} \quad (14a)$$

253 In this paper, the correction coefficient α is proposed to be calculated by the following formula.

$$254 \quad \alpha = \frac{A_T}{A_L} \quad (14b)$$

255 where A_L is the largest upstream control area of all the reservoirs built in the basin, km²; and the other symbols
256 have the same meaning as Section 3.2. The influence of reservoir's geographical location within the total catchment
257 on TE is reflected by α ; and α is kept 1 when there is only one reservoir in the basin.

258 3.3.3 Rainfall-augmented sediment trapping index (RSTI)

259 In order to assess comprehensively the impacts of effective rainfall and sediment trapping capacity of the
260 reservoirs on sediment load, the rainfall-augmented sediment trapping index (RSTI) for a downstream gauging
261 station is defined in a similar way to RRCI as shown in Section 3.2 (Xiong *et al.*, 2019).

$$262 \text{RSTI} = \begin{cases} 0, & \text{TE} = 0 \\ (1 - F_n)^{\frac{1}{\text{TE}} - 1}, & 0 < \text{TE} \leq 1 \end{cases} \quad (15)$$

263 where, F_n is the empirical distribution function computed by Equation (11); TE is the sediment trapping
264 efficiency of the reservoirs in the basin calculated by Equation (14); the expectation of the RSTI is $E(\text{RSTI}) = \text{TE}$.

265 The relationships in Equation (9) and Equation (15) are illustrated in **Figure 2**, the shaded area of the left
266 panel is the variation range of RI, and the shaded area of the right panel represents the range of TE in the Wujiang
267 River.

268 < Figure 2 >

269 3.4 Regression models for simulating sediment load

270 The regression method is widely used to carry out change attribution analysis of hydrological series by
271 hydrologists, and it can directly reflect the relationship between the dependent variables and explanatory variables
272 (Bezak *et al.*, 2017; Jiang *et al.* 2011; Wang *et al.*, 2017; Xu, 2009). The different linear or nonlinear regression
273 models are constructed to simulate sediment load values in this subsection (**Table 3**). M1 and M2 separately take
274 into account the effects of the three explanatory variables (i.e. the most effective rainfall amount, the most effective
275 rainfall intensity and reservoir index) selected in this paper on sediment load change. M3 and M4 are constructed
276 by combining effect of rainfall amount and rainfall intensity based on M1 and M2. M5-M8 use piecewise fitting
277 method to simulate sediment load, only the impact of rainfall on sediment load is considered before 1984 (1984 is
278 the year when the first large-scale reservoir—Wujiangdu Reservoir was put into full operation), RRCI^s and RSTI
279 are used to assess the impacts of reservoirs on downstream sediment load by coupling the effect of effective rainfall
280 after 1984. Linear regression (denoted as LR) in additive form (including: M1, M3, M5, M7) and nonlinear
281 regression (denoted as NLR) in product form (including: M2, M4, M6, M8) are considered in the above models.
282 Among them, NLR takes into account the interaction between different explanatory variables very conveniently,
283 while it is difficult to add the correct interaction terms for LR and it usually takes a lot of time. At the same time,
284 NLR model avoids the possible negative values of simulated sediment load compared with the LR model. The

285 parameters in formulas (i.e. a , b , c , d) of all models are estimated by least-square estimation. The parameters of
 286 the NLR model are also estimated by least-square method, but the premise is variable transformation from
 287 nonlinear to linear model. The validity of the model and the significance of the model parameters are tested by F
 288 test and t test (Moore *et al.*, 2009) for the regression models.

289 < Table 3 >

290 In order to evaluate the performance of eight regression models displayed in **Table 3**, correlation coefficient
 291 (R), Nash-Sutcliffe efficiency coefficient (NSE; Nash and Sutcliffe, 1970), and relative error (RE) are introduced
 292 as follows, respectively:

$$293 \quad R = \frac{\sum_{t=1}^n (S_t^{obs} - \bar{S}^{obs})(S_t^{sim} - \bar{S}^{sim})}{\sqrt{\sum_{t=1}^n (S_t^{obs} - \bar{S}^{obs})^2 \cdot \sum_{t=1}^n (S_t^{sim} - \bar{S}^{sim})^2}} \quad (16a)$$

$$294 \quad NSE = 1 - \frac{\sum_{t=1}^n (S_t^{obs} - S_t^{sim})^2}{\sum_{t=1}^n (S_t^{obs} - \bar{S}^{obs})^2} \quad (16b)$$

$$295 \quad RE = \frac{\sum_{t=1}^n |S_t^{obs} - S_t^{sim}|}{\sum_{t=1}^n S_t^{obs}} \quad (16c)$$

296 where, S_t^{obs} is the observed sediment load in t year; S_t^{sim} is the simulated sediment load in year of t ; \bar{S}^{obs} and
 297 \bar{S}^{sim} are the mean values of the observations and simulations.

298 4 Results

299 4.1 Temporal variation of hydrological series

300 The Mann-Kendall test and Pettitt test are used for trend and change-point testing of annual rainfall, annual
 301 runoff and annual sediment load of the WRB, the results are shown in **Table 4**. According to the results of the
 302 Mann-Kendall test, rainfall and runoff of the Wujiang River have no obvious changing trend, sediment load is
 303 characterized by strikingly decreasing trend during the period of 1952-2017 at the 5% significance level. The
 304 results of the Pettitt test show that there is a significant change-point in sediment load, and its corresponding
 305 mutation year is 1984 when the Wujiangdu Reservoir was put into full operation (the Wujiangdu Reservoir is the
 306 first large-scale reservoir on the main stream of the Wujiang River; it was built from 1970, began to store water in

307 1979, and was completed in 1983; in addition, at the beginning of the construction of Wujiangdu reservoir, the
308 average annual sediment trapping was more than 20 million tons). Rainfall changes slightly in 1984, which is
309 consistent with the mutation year of sediment load.

310 The inter-annual time series of rainfall, runoff and sediment load are displayed in **Figure 3**. It can be seen
311 from the figure that sediment load presents a significant change and decreases obviously after 1984, while rainfall
312 and runoff fluctuate steadily near the mean, which further confirms the results of Mann-Kendall test and Pettitt
313 test. The mean annual rainfall is 1137 mm, and the mean annual runoff of the WRB is $487 \times 10^8 \text{ m}^3$ during 1952-
314 2017. Comparing the mean values of sediment load before and after the change-point, it plummets from 3299×10^4
315 t/a (during 1952-1983) to 1267×10^4 t/a (during 1984-2017), reducing by 62%.

316 < Table 4>

317 < Figure 3>

318 **4.2 Rainfall and reservoir effects on sediment load change**

319 **4.2.1 Identification of the most effective rainfall**

320 **Table 5** displays the Pearson linear correlation between annual rainfall characteristics (including rainfall
321 amount and rainfall intensity with 14 threshold levels as described in Section 3.3.1) and annual sediment load. It
322 was found that, A_6 and I_2 are the most relevant rainfall characteristics for sediment load. Therefore, A_6 and I_2 are
323 selected as the most effective rainfall explanatory variables to simulate sediment load. When the threshold level
324 of rainfall intensity is chosen to be greater than or equal to 30 mm/day, there are zero values in the time series of
325 both annual effective rainfall amount and annual effective rainfall intensity, which lead to a poor correlation
326 between rainfall characteristics and sediment load.

327 < Table 5>

328 **4.2.2 Correlation between sediment load and explanatory variables**

329 The joint distribution function (F_n) is computed by substituting A_6 and I_2 into Equation (11). Then, RRCI^S
330 and RSTI are calculated based on the calculation of RI and TE, respectively. As shown in **Figure 4**, all explanatory
331 variables (including: A_6 , I_2 , RI, F_n , RRCI^S , RSTI) are significantly correlated with sediment load (S). Among which,
332 A_6 , I_2 and F_n are positively correlated with S ; RI, RRCI^S and RSTI have negative correlation with S , which is
333 reasonable. RSTI has the strongest correlation with sediment load because it integrates the effects of rainfall and
334 sediment trapping by reservoirs on S . By the way, the times series of all explanatory variables are presented in

335 **Figure 4.** The fluctuations of A_6 , I_2 and F_n are stable, and there is no obvious trend. While, RI increases year by
 336 year with the construction of reservoirs; $RRCI^S$ and RSTI are increasing dynamically due to the combination of
 337 rainfall and reservoir impacts.

338 < Figure 4 >

339 4.3 Simulating sediment load by regression models

340 M1-M8 presented in Section 3.4 are constructed based on the regression method, the testing results of the
 341 validity of models (F test) and the significance of model parameters (t test) are displayed in **Table 6**. It was found
 342 that all models passed the F test, and parameters of all models passed the t test except for M1 and M2. The Pearson
 343 correlation coefficient of A_6 and I_2 is 0.704, correlation coefficient between A_6 and RI is -0.186, and that between
 344 I_2 and RI is -0.025. Condition indices (Midi *et al.*, 2010) corresponding to three and four dimensions are 16.001
 345 and 36.663 for M1, 63.529 and 132.564 for M2, which are beyond the range of rule of thumb (i.e. 15) and indicate
 346 a serious collinearity problem. The existence of collinearity can cause inaccurate or unstable estimates of models
 347 (Midi *et al.*, 2010). In order to overcome the collinearity problem, we drop I_2 from the covariates in M1 and M2
 348 based on the fact that the correlation between A_6 and sediment load is stronger than that between I_2 and sediment
 349 load.

350 < Table 6 >

351 After dropping the covariate I_2 , improved models $M1^*$ and $M2^*$ are set up to replace M1 and M2. The formulas
 352 of $M1^*$ and $M2^*$ are as follows:

$$353 \quad M1^* : S^{sim} = a + b \cdot A_6 + c \cdot RI \quad (17)$$

$$354 \quad M2^* : S^{sim} = a \cdot (A_6)^b \cdot (1 - RI)^c \quad (18)$$

355 Both $M1^*$ and $M2^*$ passed the test of the validity of models (F test) and the significance of model parameters
 356 (t test). The co-existence of rainfall amount and rainfall intensity will lead to the multicollinearity, but removing
 357 rainfall intensity will lose part of the rainfall information, so coupling them is particularly necessary. Compared
 358 with independent consideration of the impacts of A_6 and I_2 on sediment load change, the joint distribution of A_6
 359 and I_2 can not only solve the collinearity problem of the model without losing rainfall information, but also improve
 360 the simulation effect of the model. The comparison between $M2^*$ and M4 indicated that the simulation considering
 361 the combined effect of rainfall amount and rainfall intensity is better than rainfall amount alone (**Figure 5**).
 362 Simulated sediment load of eight regression models is displayed in **Figure 5**. According to the results of model

363 evaluation indicators (i.e. R , NSE, RE), eight regression models can both simulate sediment load well, and the
364 model with the best simulation effect corresponding to M8. Compared with the linear regression (LR) model (M1*,
365 M3, M5, M7), the nonlinear regression model (NLR) with the same covariates (M2*, M4, M6, M8) has better
366 performance. The LR model is not reasonable because there are negative values (or abnormal values) in the
367 simulated sediment load, it can be seen from the crosses in M1*, M3, M5 of **Figure 5**. The performance of M8 is
368 better than M4, which demonstrates that rainfall-augmented sediment trapping index (RSTI) coupling the effective
369 rainfall with the reservoir sediment trapping capacity could better explain the change of sediment load than
370 separate consideration of rainfall and reservoir impacts. And the performance of M8 is better than M6, which
371 indicates that the explanatory power of RSTI proposed in this paper is greater than rainfall-reservoir composite
372 index (RRCI^S) coupling the effective rainfall with the reservoir index in the simulation of observed sediment load
373 series. The reason for the poor results about RRCI^S is that reservoir regulation on sediment load is underestimated
374 under heavy rainfall and overestimated under weak rainfall (**Figure 2**). For all models, M8 has the smallest
375 variation range of residual (the difference between observed and simulated sediment load, namely ΔS), followed
376 by M4 (**Figure 6**).

377 < Figure 5 >

378 < Figure 6 >

379 **5 Discussions**

380 **5.1 Effects of rainfall and reservoir on the sediment load**

381 Rainfall is a critical factor in sediment formation (Donjadee and Chinnarasri, 2012; Ran *et al.*, 2012), rainfall
382 information such as rainfall amount and rainfall intensity directly affects soil loss intensity, and the intensity of
383 soil loss is closely related to rainfall alteration (Pruski and Nearing, 2002; Lu *et al.*, 2013). Because of the
384 continuous rainfall process, even a small rainfall in humid areas (the Wujiang River Basin is a humid area) will
385 cause sediment generation on the slope (Ziadat and Taimeh, 2013), the effective rainfall amount (A_6 , rainfall
386 amount with an intensity higher than 6 mm/day) and rainfall intensity (I_2 , rainfall intensity higher than 2 mm/day)
387 selected in Section 4.2.1 conform to the rule.

388 Sediment trapping by reservoirs is the leading driving factor to the reduction of sediment load in rivers (Li *et*
389 *al.*, 2016; Wu *et al.*, 2018b; Xu, 2009), for example, the dam constructions in the Yangtze River have a significant
390 impact on the variation of the sediment in the basin (Li *et al.*, 2011). Sediment load in the Wujiang River Basin

391 changed dramatically in 1984, which corresponds to the full operation of the first large-scale reservoir on the main
392 stream of the basin (i.e. Wujiangdu Reservoir). With the increase of the number of reservoirs in the basin (or the
393 increase of the total storage capacity of reservoirs), the sediment load continues to decrease (**Figure 3**). This is
394 consistent with previous studies about the impact of reservoirs on the reduction of sediment load in the Wujiang
395 River (Wu *et al.*, 2018b).

396 In Section 4.3, the performance of M8, i.e. Equations (19a) and (19b), was significantly better than that of
397 the other seven models, thus M8 is selected to investigate the influences of rainfall erosion and reservoir
398 interception on sediment load, as shown in **Figure 7**. In **Figure 7**, the simulated sediment load of model M8 is
399 represented by the dotted red line, in which the simulation of sediment load was only related to effective rainfall
400 for the period of 1952-1983 when there wasn't any reservoirs built as shown by Equation (19a), and for the period
401 of 1984-2017 the simulation of sediment load was affected by both rainfall and reservoir as shown by Equation
402 (19b). The simulated sediment load of M8 is close to the observed sediment load (solid blue line) for the total
403 period of 1952-2017. In **Figure 7**, the dotted black line for the period of 1984-2017 is the simulated sediment load
404 computed by Equation (20), which is just an extension of Equation (19a) from period of 1952-1983 to the period
405 of 1984-2017, and represents the sediment load caused by only rainfall under the assumption of no reservoirs,
406 which means that the actual interception of reservoir on sediment load is not taken into account. Therefore, for the
407 period of 1984-2017, the simulated sediment load calculated by Equation (20) will be larger than that computed
408 by Equation (19b), and the difference between the dotted black line from Equation (20) and the dotted red line
409 from Equation (19b) is assumed to be the sediment load intercepted by reservoirs and displayed as gray columns
410 in **Figure 7**. From the height of the gray columns in **Figure 7**, we can observe that with the increase of the number
411 of reservoirs in the basin, the amount of sediment load intercepted by the reservoirs gradually increases. In addition,
412 the sediment load intercepted by reservoirs based on M8 (marked as *SM*) gets close to estimated sediment load
413 from reservoir interception (marked as *ES*) in most years, as displayed in **Figure 8**. Where *ES* is obtained by
414 superposition calculation according to the data of sediment trapping by all reservoirs in **Table 1**. This further
415 indicates that the sediment load simulated by model M8 is close to the observations. Rainfall erosion increases
416 sediment load, and reservoir interception reduces sediment load, the combined effect of the two can better explain
417 the change of the total sediment load in the Wujiang River Basin. Therefore, the RSTI constructed in this paper
418 considering the coupled impact of rainfall and reservoir on sediment load might be a useful index for evaluating
419 the effects of rainfall and reservoir on sediment load.

$$S^{sim} = \begin{cases} 4670.0 \cdot F_n^{0.4}, & 1952 \leq t \leq 1983 \\ 2828.4 \cdot (1 - RSTI)^{0.6}, & 1984 \leq t \leq 2017 \end{cases} \quad (19a)$$

$$S^{sim} = 4670.0 \cdot F_n^{0.4}, \quad 1952 \leq t \leq 2017 \quad (19b)$$

< Figure 7 >

Compared with the mean value of sediment load from 1952 to 1983 (3299×10^4 t), the reduction of observed sediment load (marked as *RS*) during the period of 1984-2017 (the period after the change point 1984) is shown in **Figure 8**. The values of *RS* (blue column) and *ES* (red column) are very close in most years, which demonstrates that the effect of reservoirs on sediment load is dominant. The abnormal changes of sediment load in certain years (e.g., 1996, 1998) may have been related to rainfall or flood of that year to a large extent (the peak discharge is 20000 m³/s in 1996 and 13100 m³/s in 1998 at Wulong station of the Wujiang River).

< Figure 8 >

Finally, the estimation errors of the sediment trapping by reservoirs should be noted. Except for the impact of climate change including rainfall on sediment load, the differences between *RS* and *ES* are mainly due to the following reasons: (1) the lack of consideration that the capacity of sediment trapping by reservoirs decreases with the increase of reservoir construction years; (2) the change of underlying surface conditions, such as land cover and land use patterns change; (3) sand mining activities, and so on.

5.2 Contribution of underlying surface conditions to sediment load change

Change in underlying surface condition caused by human activities is another crucial factor directly affects the process of sediment yield (Xu *et al.*, 2018; Zhang *et al.*, 2018). Next, the impact of underlying surface condition on sediment load is analyzed based on the available data.

The time series of normalized difference vegetation index (NDVI) is shown in **Figure 9**. NDVI changes steadily from 0.55 to 0.65 during 1982-2015, which indicates that the vegetation coverage of the WRB has not changed significantly.

< Figure 9 >

Land-use and land-cover change (LUCC) of the Wujiang River in 1980 and 1990 is compared in **Figure 10**, it has no distinct change from 1980 to 1990. The area and percentage of every land use patterns are shown in **Table 7** by statistical calculation. The area of vegetation coverage (including forestland and grassland) occupied 69% in 1980 and 68% in 1990 of basin area, it decreased by 595 km², and urban land areas increased by 43 km². Cropland

447 and unused land areas increased by 561 km² and 4 km², respectively, and the area of water body decreased by 13
448 km². The weak change of LUCC suggests that it has no significant effect on abrupt change (1984) of sediment
449 load in the WRB. In the 1980s, the Chinese government began to pay attention to the problem of soil erosion and
450 water conservation, but the work of returning farmland to forest or grassland was slow, and a large number of steep
451 slopes above 25 degrees were still under cultivation in the WRB (Wu *et al.*, 2018c).

452 < Figure 10 >

453 < Table 7 >

454 To sum up, it can be inferred that vegetation cover and land use patterns have no significant impacts on
455 sediment load change in the Wujiang River. This result needs to be verified in more other river basins, and historical
456 data should be collected extensively to lengthen the time series of underlying surface so as to make the result more
457 reliable.

458 **6 Conclusions**

459 In this study, a rainfall-augmented sediment trapping index (RSTI) was developed to evaluate the coupled
460 effect of rainfall and reservoirs on downstream sediment load of the Wujiang River. Then, eight models were set
461 up to investigate how to best utilize the RSTI to simulate the observed sediment load based on the linear and
462 nonlinear regression methods. The primary conclusions are as follows.

- 463 (1) The sediment load in the Wujiang River is characterized by a decreasing trend and a significant abrupt change
464 in 1984 when the Wujiangdu Reservoir (the first large-scale reservoir on the main stream of the Wujiang River
465 Basin) was put into full operation, and the mutation year 1984 also corresponds to the year of rainfall abruptness.
- 466 (2) Both the linear regression model and the nonlinear regression model with RSTI as the covariate are superior
467 to the cases with other factors as the covariate. And the most effective rainfall characteristics are A_6 (i.e. rainfall
468 amount with an intensity higher than 6 mm/day) and I_2 (i.e. rainfall intensity higher than 2 mm/day) for
469 developing RSTI.
- 470 (3) According to the comparison of simulated sediment load of eight regression models, nonlinear regression can
471 better illustrate the effects of rainfall and reservoirs on sediment load. The nonlinear regression model with
472 RSTI (i.e. rainfall-augmented sediment trapping index, which couples the impacts of effective rainfall and
473 sediment trapping capacity of reservoirs) as a covariate can best simulate the sediment load, this indicates that
474 RSTI can more completely capture the non-stationarity of the downstream sediment load.

475 Therefore, in this study, we identified the impacts of rainfall erosion and reservoir interception on sediment
476 load change and provided a satisfactory quantitative relationship between rainfall, reservoir and sediment load
477 for downstream sediment management under a changing environment.

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483

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590

591 **Tables**592 **Table 1** Information of the reservoirs in the Wujiang River Basin

Reservoir	Longitude (E)	Latitude (N)	Catchment area (km ²)	Total capacity (10 ⁸ m ³)	Sediment trapping (10 ⁴ t/year)	Completion year
Yinpan	107.89	29.28	74910	3.20	6.6	2011
Pengshui	108.20	29.20	69000	14.65	460.0	2009
Shatuo	108.47	28.50	54508	9.10	97.3	2012
Silin	108.19	27.80	48558	15.93	97.5	2005
Goupitan	107.63	27.38	43250	64.54	273.0	2004
Wujiangdu	106.76	27.32	27790	23.00	2180.0(1984-1990) 1500.0(1991-1993) 13.0(1994-2015)	1983
Suofengying	106.37	26.97	21862	2.01	0.008	2005
Dongfeng	106.16	26.86	18161	10.25	1320.0(1994-2005) 528.0(2006-2015)	1994
Yinzidu	106.14	26.58	6422	5.29	327.7	2002
Hongjiadu	105.85	26.87	9900	49.47	600.0	2004
Puding	105.81	26.38	5871	3.99	250.0	1995

593

594

595 **Table 2** Mean annual rainfall data of 15 meteorological stations in the Wujiang River Basin during the period
 596 of 1952-2017.

Meteorological station	Altitude (m a.s.l.)	Longitude (E)	Latitude (N)	Mean annual rainfall (mm)
Lichuan	1074.1	108.56	30.17	1296
Enshi	457.1	109.28	30.17	1437
Fengdu	290.5	107.44	29.51	1052
Qianjiang	607.3	108.47	29.32	1189
Zhengan	679.7	107.27	28.33	1060
Youyang	826.5	108.46	28.49	1354
Bijie	1510.6	105.17	27.18	907
Renhuai	890.3	106.24	27.48	1006
Xifeng	1038.1	106.43	27.06	1119
Meitan	792.2	107.28	27.46	1118
Yuqing	622.1	107.53	27.14	1075
Sinan	416.8	108.15	27.57	1130
Qianxi	1231.4	106.01	27.02	962
Zhijin	1319.3	105.46	26.41	1381
Guiyang	1223.8	106.44	26.35	1119

597

598

599 **Table 3** Eight different linear or nonlinear regression models for simulation of the sediment load in the
600 Wujiang River Basin during the period of 1952-2017. The simulated sediment load is represented by S^{sim} . The
601 parameters a , b , c , and d were estimated by least-square method; 1984 is the year when the Wujiangdu Reservoir
602 (the first large-scale reservoir built on the main stream of the WRB) was put into full operation, and also the year
603 when the sediment load changed dramatically.

Model codes	The formulas of models
M1	$S^{sim} = a + b \cdot A + c \cdot I + d \cdot RI$
M2	$S^{sim} = a \cdot (A)^b \cdot (I)^c \cdot (1 - RI)^d$
M3	$S^{sim} = a + b \cdot F_n + c \cdot RI$
M4	$S^{sim} = a \cdot (F_n)^b \cdot (1 - RI)^c$
M5	$S^{sim} = \begin{cases} a + b \cdot F_n & t < 1984 \\ c + d \cdot RRCI^S & t \geq 1984 \end{cases}$
M6	$S^{sim} = \begin{cases} a \cdot (F_n)^b & t < 1984 \\ c \cdot (1 - RRCI^S)^d & t \geq 1984 \end{cases}$
M7	$S^{sim} = \begin{cases} a + b \cdot F_n & t < 1984 \\ c + d \cdot RSTI & t \geq 1984 \end{cases}$
M8	$S^{sim} = \begin{cases} a \cdot (F_n)^b & t < 1984 \\ c \cdot (1 - RSTI)^d & t \geq 1984 \end{cases}$

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Table 4 Trend and change-point tests of hydrological series

Series	Mann-Kendall (MK) test		Pettitt (PT) test	
	Trend	p_{MK}	Breakpoint	p_{PT}
Rainfall (mm)	↓	0.236	1984	0.222
Runoff (10^8 m^3)	↓	0.507	2004	0.493
Sediment load (10^4 t)	↓	0.000	1984	0.000

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Note: ↓ indicates a decreasing trend; p_{MK} and p_{PT} are the corresponding probability value of the Mann-Kendall test and Pettitt test, respectively. If p_{MK} or

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p_{PT} is less than 0.05, which means that there is a trend change or a change-point in the hydrological series at 5% significance level.

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Table 5 Pearson linear correlation between rainfall characteristics and sediment load

Rainfall amount	Sediment load		Rainfall intensity	Sediment load	
	r	p_r		r	p_r
A_2	0.608	0.000	I_2	0.420	0.000
A_4	0.622	0.000	I_4	0.250	0.043
A_6	0.623	0.000	I_6	0.091	0.468
A_8	0.605	0.000	I_8	0.074	0.556
A_{10}	0.532	0.000	I_{10}	0.158	0.206
A_{15}	0.483	0.000	I_{15}	0.029	0.816
A_{20}	0.428	0.000	I_{20}	-0.029	0.818
A_{25}	0.314	0.010	I_{25}	0.009	0.943
A_{30}	0.218	0.079	I_{30}	0.075	0.552
A_{35}	0.196	0.115	I_{35}	0.111	0.374
A_{40}	0.209	0.093	I_{40}	0.099	0.431
A_{45}	0.134	0.284	I_{45}	0.007	0.954
A_{50}	0.122	0.328	I_{50}	0.055	0.660
A_{55}	0.120	0.335	I_{55}	0.120	0.335

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Note: r denotes the Pearson correlation coefficient; p_r is the corresponding probability value of r , if it is less than 0.05, which means that there is a

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significant correlation between rainfall characteristic and sediment load.

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616 **Table 6** The results of F test and t test for eight regression models (the values shown in table are the
 617 corresponding probability values of F test and t test; a , b , c , and d are model parameters). F test is used for the
 618 testing of model validity, the significance of model parameters is tested by t test.

Model codes	F test	t test			
		a	b	c	d
M1	0.000	0.021	0.000	0.340	0.000
M2	0.000	0.005	0.000	0.409	0.000
M3	0.000	0.000	0.000	0.000	–
M4	0.000	0.005	0.000	0.000	–
M5	0.001	0.000	0.000	0.000	0.001
M6	0.000	0.000	0.000	0.000	0.000
M7	0.000	0.000	0.000	0.000	0.000
M8	0.000	0.000	0.000	0.000	0.000

619 Note: if the probability value of F test is less than 0.05, which means that the model passed the validity test, and model parameters are significant when
 620 probability values of t test are less than 0.05, and vice versa.

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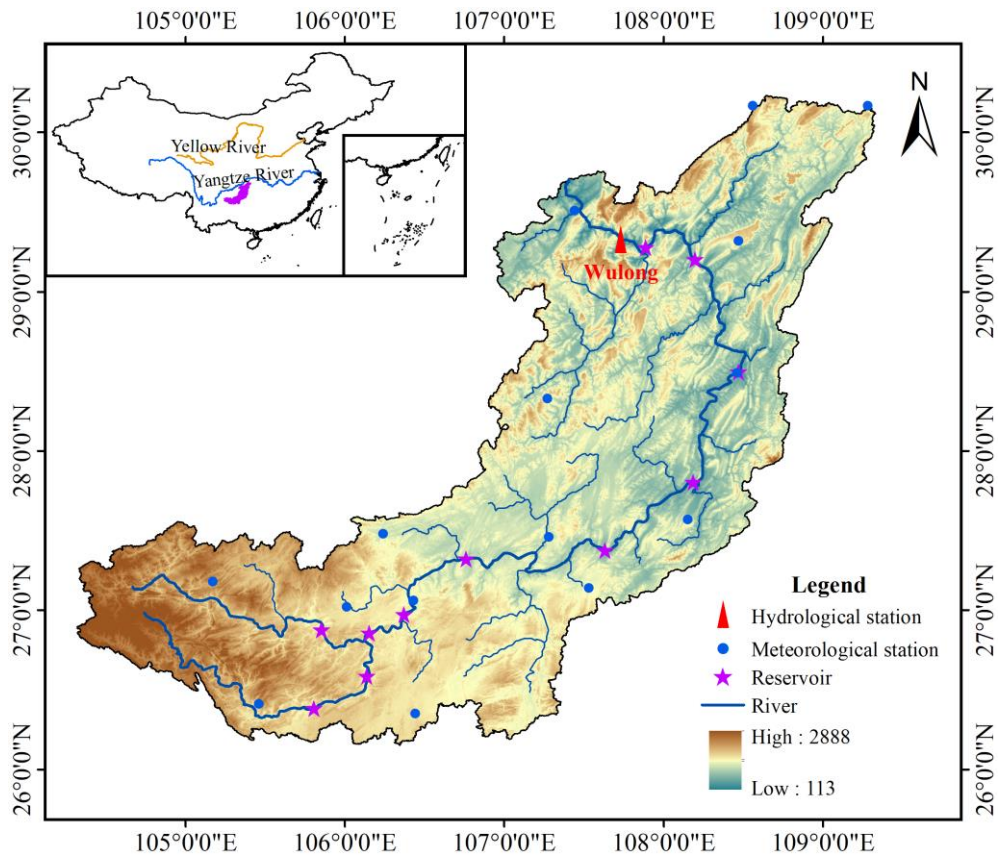
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Table 7 The area and percentage of land use patterns

Land use pattern	1980		1990	
	Area (km ²)	Percentage (%)	Area (km ²)	Percentage (%)
Cropland	26686	30.353	27248	30.992
Forestland	45128	51.875	43945	50.513
Grassland	14886	17.112	15483	17.797
Water body	289	0.329	276	0.314
Urban land	283	0.322	326	0.371
Unused land	8	0.009	12	0.014

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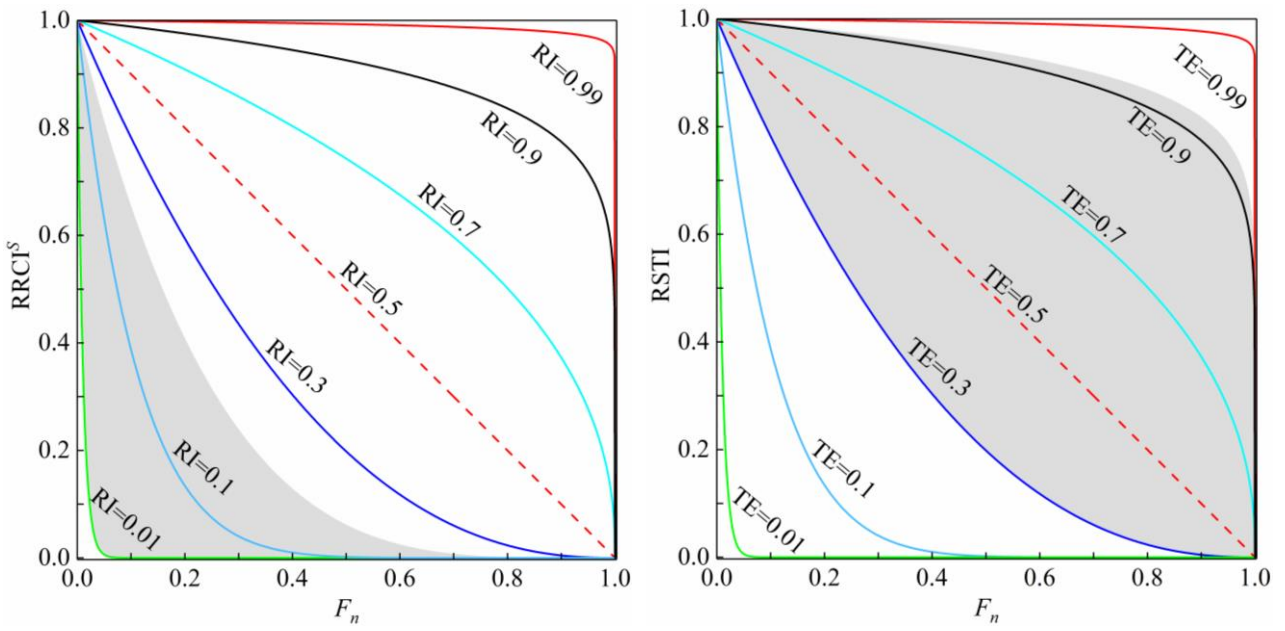
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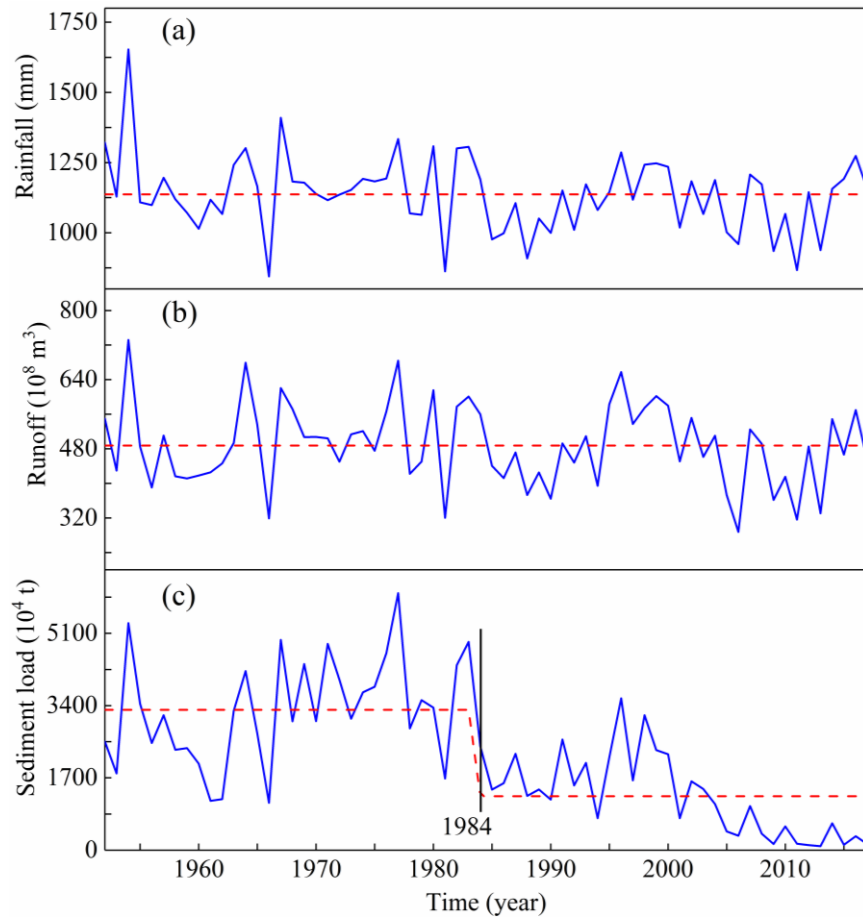
Figure 1 Location, hydro-meteorological stations and reservoirs of the Wujiang River Basin.

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631 **Figure 2** Relationship in Equation (9) and Equation (15). The left panel shows the relationship between
 632 reservoir index (RI), joint distribution function of rainfall amount and rainfall intensity (F_n) and rainfall-reservoir
 633 composite index ($RRCS$), the shaded area is the variation range of RI in the WRB (0.01-0.20); the right panel
 634 displays the relationship between sediment trapping efficiency (TE), joint distribution (F_n) and rainfall-augmented
 635 sediment trapping index (RSTI), the shaded area is the range of TE in the WRB (0.30-0.92).
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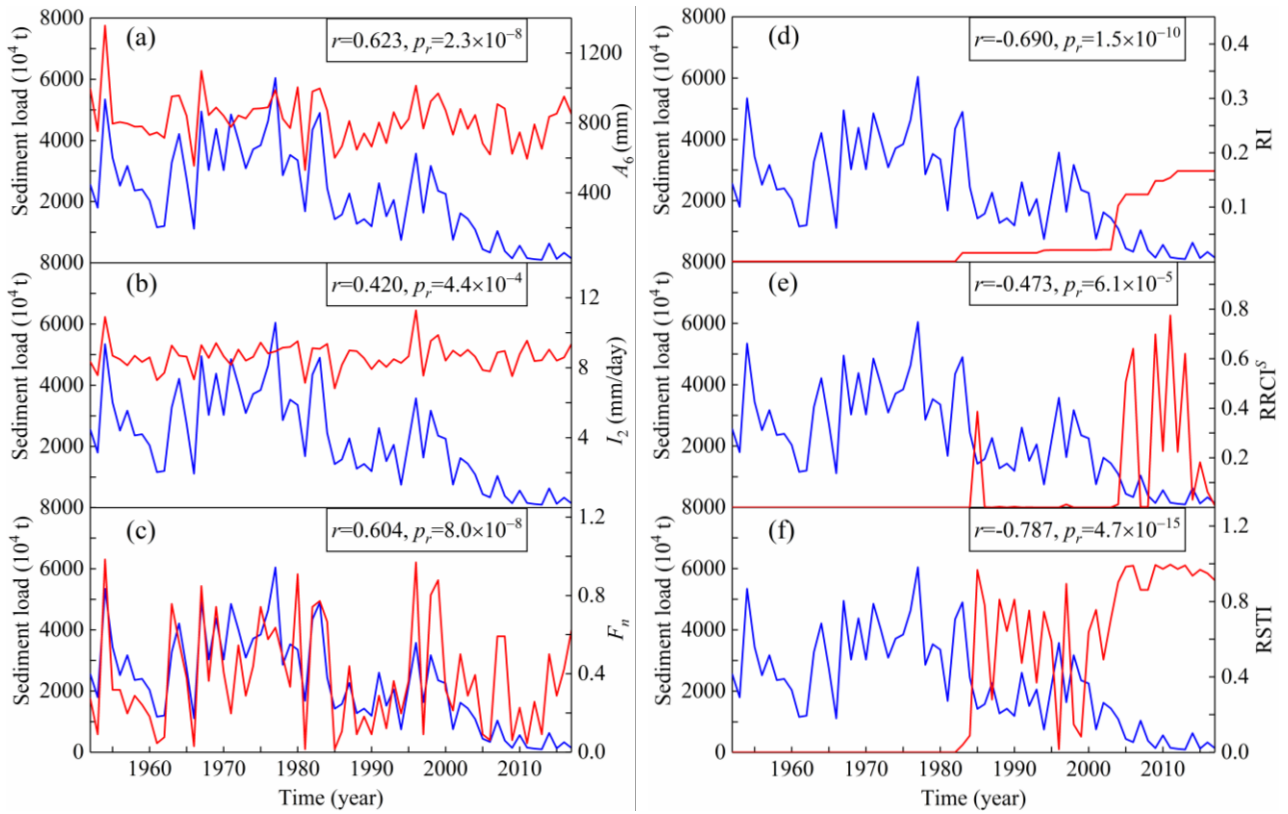


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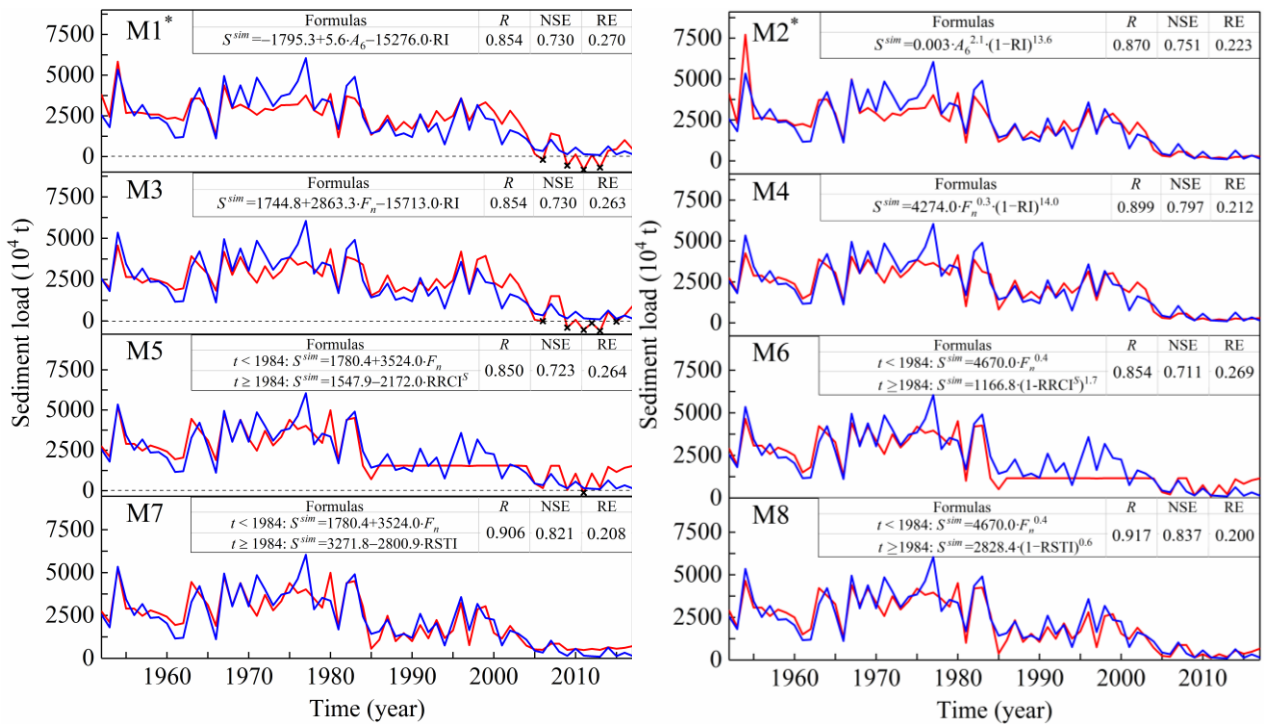
639 **Figure 3** Time series of (a) annual rainfall, (b) annual runoff and (c) annual sediment load of the Wujiang
 640 River during 1952-2017. The dotted red line is the mean value of them.

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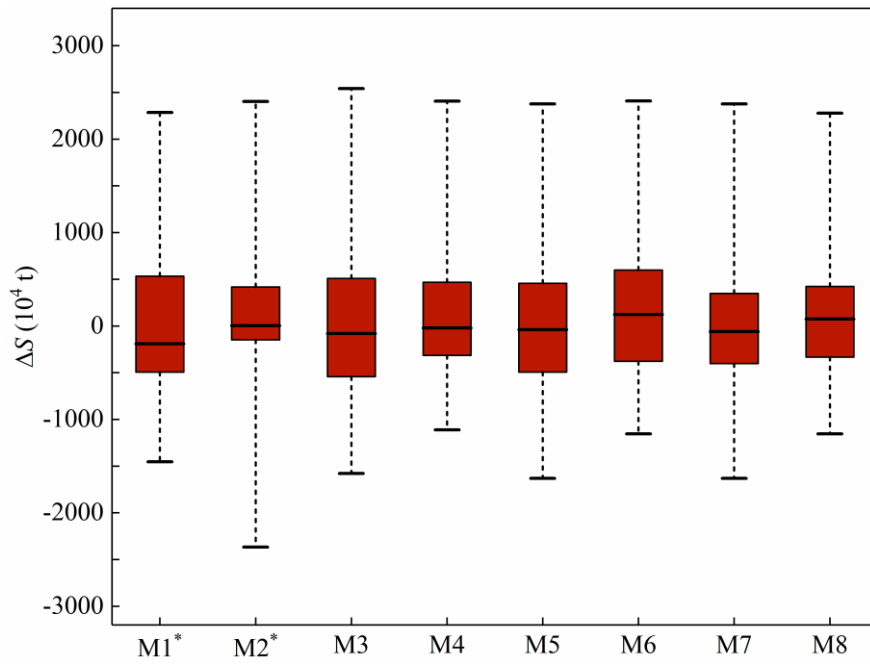
643 **Figure 4** The time series of sediment load and explanatory variables (including: A_6 , I_2 , RI, F_n , $RRCI^S$, RSTI).
 644 The solid blue line represents observed sediment load and solid red line presents explanatory variables. And r
 645 denotes the Pearson correlation coefficient between sediment load and the explanatory variable; p_r is the
 646 corresponding probability value of r .
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649 **Figure 5** The time series of simulated sediment load (S^{sim}) of different regression models. The solid blue line
 650 represents the observed sediment load and solid red line denotes the simulated sediment load. The crosses in M1*,
 651 M3, M5 are negative values (or abnormal values) of simulated sediment load. M1* and M2* are improved models
 652 for M1 and M2 discussed in Section 4.3.

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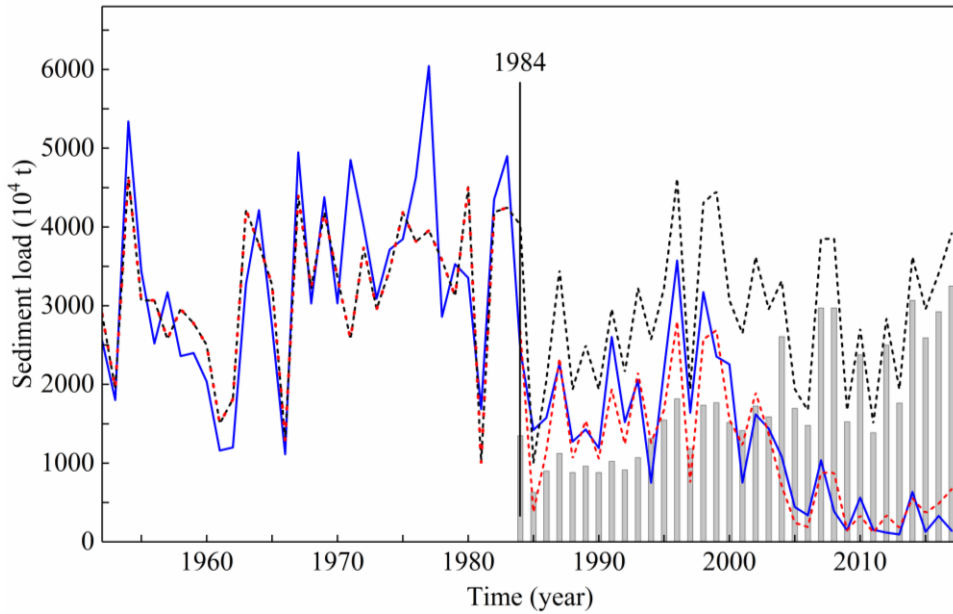
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Figure 6 Box-plot of residuals (the difference between observed and simulated sediment load, namely ΔS) of eight regression models.



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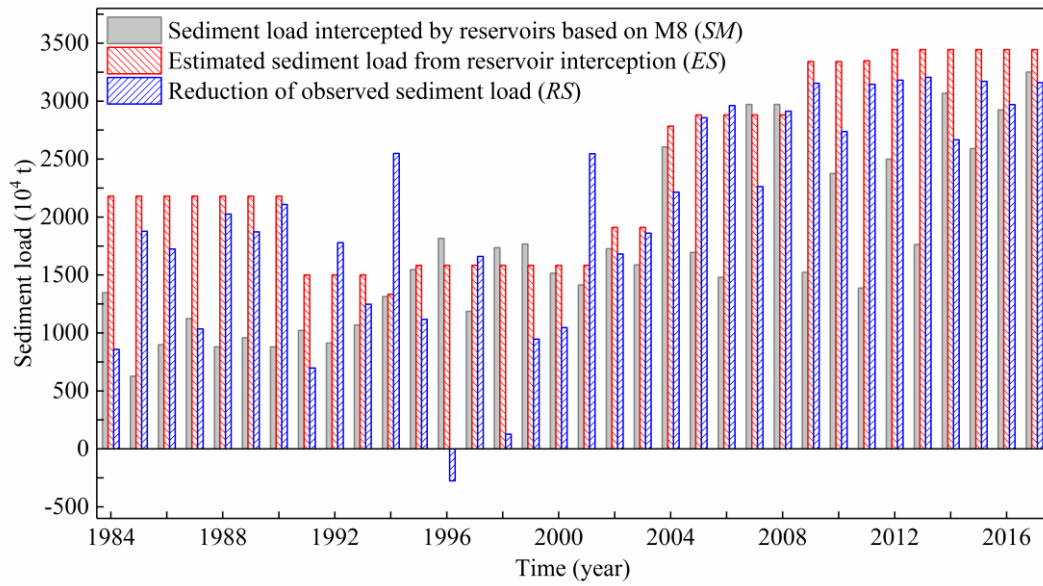
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Figure 7 The time series of observed sediment load and simulated sediment load during 1952-2017. The solid blue line represents the observed sediment load. The dotted red line is the simulated sediment load by Equations (19a) and (19b), i.e. model M8. The dotted black line for the period of 1984-2017 is calculated by Equation (20), representing the sediment load caused by only rainfall under the assumption of no reservoirs. During 1984-2017, the difference between the dotted black line and the dotted red line is assumed to be the sediment load intercepted by reservoirs and displayed as gray columns.

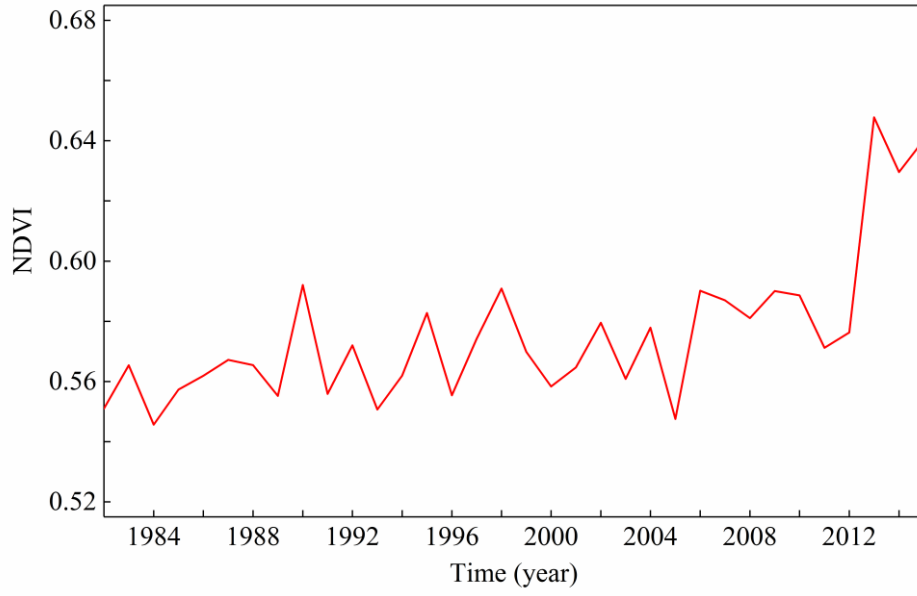


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670 **Figure 8** The sediment load intercepted by reservoirs based on M8 (*SM*), reduction of observed sediment
 671 load (*RS*) and estimated sediment load from reservoir interception (*ES*) during the period of 1984-2017 (the period
 672 after the change point 1984). *SM* is the difference between the dotted black line and the dotted red line for the
 673 period of 1984-2017 in Figure 7, *RS* is calculated by taking the difference between the mean value of sediment
 674 load from 1952 to 1983 (3299×10^4 t) and observed annual sediment load during 1984-2017. *ES* is computed by
 675 superposition of sediment trapping of all 11 reservoirs in Table 1.

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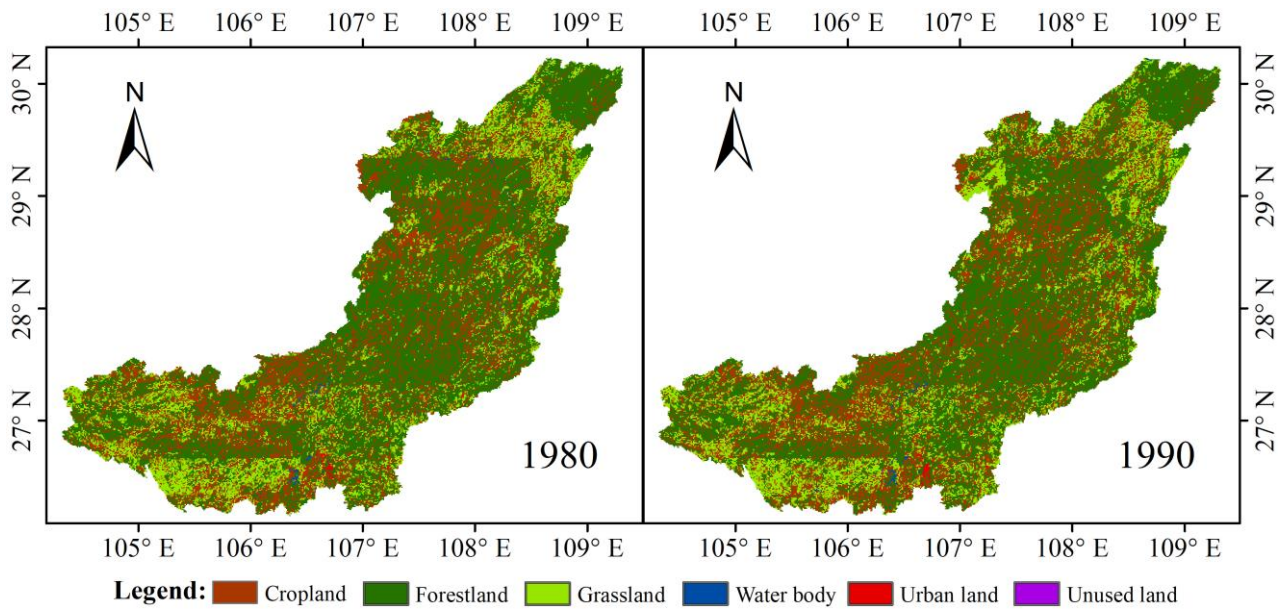
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679 **Figure 9** The time series of normalized difference vegetation index (NDVI) of the Wujiang River during

680 1982-2015.

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Figure 10 Land use and land cover change (LUCC) of the Wujiang River in 1980 and 1990.