

1     **A new statistical downscaling approach for global evaluation of the**  
2     **CMIP5 precipitation outputs: model development and application**

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23 **A new statistical downscaling approach for global evaluation of the**  
24 **CMIP5 precipitation outputs: model development and application**

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41

42 **Abstract:** Outputs of the Coupled Model Intercomparison Project Phase 5 (CMIP5)  
43 models have been widely used in studies of climate changes related to scenarios at  
44 global and regional scales. However, CMIP5 outputs cannot be used directly in analysis  
45 of climate changes due to coarse spatial resolution. Here, we proposed a new statistical

46 downscaling method for the downscaling practice of the CMIP5 outputs, i.e. Bias-  
47 corrected and station-based Non-linear Regression Downscaling method based on  
48 Randomly-Moving Points (BNRD). And up to now, there are only two global  
49 downscaled CMIP5 precipitation datasets, i.e. NASA daily downscaled CMIP5  
50 precipitation product and BCSD-based (Bias Correction Spatial Disaggregation)  
51 monthly downscaled CMIP5 precipitation product available online, which are both  
52 based on BCSD downscaling method. Hence, we evaluated downscaling performance  
53 of BNRD by comparing it with the downscaled CMIP5 outputs using the BCSD method  
54 in this current study. The results indicate that: (1) during the period for development of  
55 the model (1964-2005), the error between downscaled CMIP5 precipitation and GPCC  
56 ranges between -50mm~50mm at monthly scale. When compared to BCSD-  
57 downscaled CMIP5 precipitation, BNRD-downscaled CMIP5 precipitation well  
58 reduces errors and avoids underestimation and overestimation of GPCC by BCSD-  
59 downscaled CMIP5 precipitation; (2) during period for verification of the downscaling  
60 models (2006-2013), the maximum (182 mm), minimum (15 mm) and average (68 mm)  
61 RMSEs between BNRD-downscaled CMIP5 precipitation and GPCC are all lower than  
62 those between BCSD-downscaled CMIP5 precipitation and GPCC at continental scales.  
63 Besides, from the average precipitation viewpoint, BNRD-downscaled CMIP5  
64 precipitation is in higher correlation (around 0.75) with GPCC than BCSD-downscaled  
65 CMIP5 precipitation under RCP4.5 and RCP8.5 scenarios at continental scales; (3)  
66 BNRD resolved the negative relation to GPCC in the areas near equator, including north  
67 part of the South America, southern Africa, northern Australia. In all, BNRD

68 downscaling method developed in this study performs better in describing GPCC  
69 changes in both space and time when compared to BCSD and can be used for  
70 downscaling practice of CMIP5 and even potentially CMIP6 precipitation outputs over  
71 the globe.

72

73 **Key words:** Statistical downscaling; BCSD; BNRD; CMIP5; Precipitation changes

74

## 75 **1. Introduction**

76 Global warming and relevant impacts on hydrological cycle have aroused growing  
77 human concerns in recent decades (Allen and Ingram, 2002; Zhang et al., 2013).  
78 Substantial evidences tend to demonstrate intensified precipitation-related extreme  
79 events such as drought and floods in both frequency and magnitude (Swain et al., 2018;  
80 Nangombe et al., 2018; Samaniego et al., 2018; Fischer et al., 2015). Assessment of  
81 potential future changes in water resources and hydrological extremes at regional and  
82 global scales is a critical step in understanding impacts of climate changes on  
83 hydrological cycle (Li et al., 2016). The outputs of the Coupled Model Intercomparison  
84 Project Phase 5 (CMIP5) models have been widely used for this purpose by a range of  
85 researches (Taylor et al., 2013; Donat et al., 2016; Li et al., 2017; Song et al., 2018).

86 However, evaluation of impacts of climate change cannot use outputs of CMIP5  
87 directly due to coarse representation of orography and other elements (Schoof, 2015;  
88 Drijfhout et al., 2015). Original version of the outputs of CMIP5 is subject to  
89 overestimation and/or underestimation of the attributes (e.g. intensity, frequency and so

90 on) of climatic indicators (such as temperature, precipitation) at global and regional  
91 scales and at regional scale in particular (Fyfe et al., 2013; Su et al., 2013; Jiang et al.,  
92 2015; Su et al., 2017; Polade et al., 2017; Ham et al., 2018) which necessitate  
93 downscaling procedure for CMIP5 outputs. Actually, there stands a range of  
94 downscaling methodologies and these methods can be classified into two categories,  
95 i.e. dynamical downscaling methods (Hemer et al., 2013; Emanue 2013; Knutson et al.,  
96 2015; Jury et al., 2015; Zhang et al., 2018) and statistical downscaling methods  
97 (Villarini and Vecchi 2012; Timm et al., 2015; Boisier et al., 2015; Chen et al., 2016;  
98 Fyfe et al., 2017; Eum and Cannon 2017). The dynamical and statistical downscaling  
99 methods have their own strengths and weaknesses. For example, the dynamic  
100 downscaling methods tend to cost considerable computation power (Harding et al.,  
101 2013; Glotter et al., 2014; Erler et al., 2015). Statistical downscaling methods can  
102 produce similarly accurate outputs when compared to those by dynamical downscaling  
103 techniques (Le et al., 2018). Hence, when it comes to downscaling workload at larger  
104 spatial scale such as continental and even global scale, statistical downscaling methods  
105 are preferred.

106       There are various downscaled CMIP5 datasets with focus on continental and  
107 regional scales (i.e. U.S.), e.g. the ClimateNA developed by AdaptWest, NASA NEX-  
108 DCP30 developed by NASA, MACAv2-LIVNEH developed by Livneh's team  
109 (Livneh et al., 2013), and these datasets are all for the North America (Jiang et al., 2018).  
110 So far, only one published downscaled CMIP5 dataset (<https://gdo-dcp.ucllnl.org>) was  
111 produced by the U.S. Department of the Interior, Bureau of Reclamation, using the Bias

112 Correction Spatial Disaggregation (BCSD) method. To enhance availability of the  
113 downscaled CMIP5 dataset and also availability of new downscaling technique, here  
114 we proposed a new statistical downscaling technique, i.e. Bias-corrected Non-linear  
115 Regression Downscaling method using Station-based Randomly-Moving Points  
116 (BNRD). Different from previous grid-by-grid statistical downscaling methods, we  
117 considered the altitude of randomly-generated spatial points and classified them into 4-  
118 6 groups with moving window of size of  $9^{\circ} \times 9^{\circ}$ . From the viewpoint of computation  
119 cost, in comparison with dynamical downscaling methods, statistical downscaling  
120 methods, i.e. BNRD, own particular strengths in computation speed, which has been  
121 widely evidenced (Harding et al., 2013; Glotter et al., 2014; Erler et al., 2015; Le et al.,  
122 2018). Besides, BNRD is based on sample points that are selected by locations  
123 (longitude and latitude) and altitude attributions within all of sub-windows that cover  
124 the continents over the globe. In this way, we only need to conduct the downscaling  
125 procedure for every single sample point, and then interpolate the sample-based  
126 downscaling results to grid scale with required spatial resolution. Hence, in comparison  
127 with downscaling for every single grid cell, BNRD, based on sample points with  
128 particular attributions, will save computation time.. Meanwhile, we also included the  
129 altitude information into the downscaling procedure and hence the downscaled  
130 precipitation data will involve impacts of topography on spatial patterns of precipitation  
131 changes. This point constitutes the major advantage of the newly-proposed downscaling  
132 method in this study over the standing downscaling methods. Besides, downscaling  
133 performance of the BNRD was verified by comparisons between downscaled

134 precipitation datasets by the BCSD, GPCP precipitation data (precipitation dataset  
135 produced by the Global Precipitation Climatology Centre) (Rudolf et al., 2009; Sun et  
136 al., 2018) and the BNRD.

137 Therefore, the major objectives of this study are to (1) propose a new statistical  
138 downscaling method considering impacts of altitude and also reduction of cost power;  
139 (2) to verify the downscaling performance of the BNRD in comparison with  
140 downscaled precipitation datasets by BCSD and GPCP precipitation dataset; and (3) to  
141 produce a new version of the global downscaled CMIP5 precipitation datasets under  
142 RCP4.5 and RCP8.5 scenarios. This study can help to provide a new theoretical angle  
143 in downscaling analysis and also new downscaling procedure for downscaling practice  
144 of precipitation at global scale.

145

## 146 **2. Data**

147 In this study, 25 raw CMIP5 precipitation outputs (Table 1) (<http://data.ceda.ac.uk>)  
148 by the Centre for Environmental Data Analysis (CEDA) were included in the analyses  
149 (<https://gdo-dcp.ucllnl.org/>) with coarse spatial resolution and monthly temporal  
150 resolution. Besides, we also collected gauge-based reanalysis precipitation product  
151 produced by Global Precipitation Climatology Centre (GPCP), with spatial resolution  
152 as  $0.5^{\circ} \times 0.5^{\circ}$  and temporal resolution as month (<https://www.esrl.noaa.gov>). And 25  
153 BCSD downscaled CMIP5 precipitation outputs have been developed by the U.S.  
154 Department of the Interior, Bureau of Reclamation, Technical Services Center and  
155 published online (<https://gdo-dcp.ucllnl.org/>). Up to now, global-downscaled CMIP5

156 precipitation products are rare. And there are NASA daily downscaled CMIP5  
157 precipitation product and aforementioned BCSD-based monthly downscaled CMIP5  
158 precipitation product available online. And they are all based on BCSD downscaling  
159 method, which demonstrates BCSD downscaling method is more practical than other  
160 methods. Hence, we directly employed this dataset as comparison group to verify and  
161 intercompare the performance and accuracy of BNRD downscaled CMIP5 precipitation  
162 on detecting the observed precipitation. The historical period in this study refers to the  
163 period of 1964-2005, and the validation period refers to the period of 2006-2013.

### 164 **3. Development of the new statistical downscaling method**

165 The developed BNRD technique includes the following modules: the randomly-  
166 moving-points module, the station-based downscaling module and the bias correction  
167 module. Besides, we evaluated the downscaling performance of the BNRD using the  
168 Pearson correlation analysis and the root mean square error (RMSE) methods (Geil et  
169 al., 2013; Sheffield et al, 2013; Gagen et al., 2016; Aloysius et al., 2016; Lovino et al.,  
170 2018).

#### 171 **3.1 Randomly-moving-points mechanism**

172 Here, we proposed a new algorithm named Randomly-Moving Points (RMP),  
173 which is based on the spatial attributes of the points selected for computation such as  
174 longitude, latitude and altitude (Fig. 1). The first step of this algorithm is to extract a  
175 sub-window with size of  $9^{\circ} \times 9^{\circ}$  based on the DEM map. In this study, we separated the  
176 land and ocean by assigning NA, i.e. not available, to the DEM value of oceanic area.  
177 On the second step, within the sub-window, we generated 500 random points by



178 generating random longitude and latitude values using `rnorm` function within R  
179 (Johnson and Kotz, 1970; Kinderman and Monahan, 1977), which obeys Gaussian  
180 distribution (Thomas et al., 2007), within the scale of sub-window. To screen out the  
181 points located in the oceanic regions, we selected the points with available altitude  
182 information. Further, considering relations between altitude and precipitation and poor  
183 performance of CMIP5 outputs in describing precipitation changes in mountainous  
184 zones (Su et al., 2013; Mehran et al., 2014), we grouped the land points within the sub-  
185 window into four to six categories with equal step calculated based on difference  
186 between the maximum and minimum altitude value within the sub-window. However,  
187 the absolute maximum and minimum altitude values shift from one sub-window to  
188 another, therefore, altitude intervals were determined for each individual sub-window  
189 respectively. Final step is to select the points from each group with certain altitudes and  
190 the total number of points was limited to 7-10 for each sub-window. The sub-windows  
191 move along the latitudinal direction with steps of  $3^\circ$  and the total number of sub-  
192 windows is 552 with exception of the sub-windows full of the oceanic regions.

### 193 **3.2 Station-based non-linear regression downscaling (SNRD) analysis**

194 In this study, the GPCP precipitation during 1964-2005 was used for model  
195 development and GPCP precipitation during 2006-2013 for model validation. The  
196 CMIP5 outputs during same periods were also used for model development and model  
197 validation. Preliminary analysis of relations between CMIP5 outputs and GPCP  
198 precipitation shows a nonlinear behavior. Therefore, we proposed a station-based non-

199 linear regression (SNR) model to downscale CMIP5 precipitation outputs to the scale  
200 of sample point:

$$201 \quad \text{Pred}_{pr(i,j,z,t)} = a_{(i,j,z,t)} \times \frac{\text{CMIP5}_{pr(i,j,z,t)}^2}{1 \text{ mm}} + b_{(i,j,z,t)} \times \text{CMIP5}_{pr(i,j,z,t)} + \varepsilon_{(i,j,z,t)} \quad (1)$$

202 where  $\text{Pred}_{pr(i,j,t)}$  denotes the predictand of the  $z$ th raw CMIP5 precipitation output  
203 at the point  $j$  on the  $t$ th month under the  $i$ th RCP scenario and the unit is mm;  
204  $\text{CMIP5}_{pr(i,j,z,t)}$  is the  $z$ th original CMIP5 precipitation output at the point  $j$  on the  $t$ th  
205 month under the  $i$ th scenario (including historical scenario for model development and  
206 RCP4.5 and RCP8.5 scenarios for model validation), with unit as mm;  $\varepsilon_{i,j,z,t}$  denotes the  
207 residual and the unit is mm;  $a$  and  $b$  refer to the parameters of the function.

### 208 **3.3 Bias correction**

209 In bias correction analysis, we defined and used the monthly precipitation pattern.  
210 Based on the occurrence time of the maximum precipitation amount within a given year,  
211 we classified the monthly precipitation patterns into four types: January to March, April  
212 to June, July to September and October to December (Fig. 2). We compared the  
213 precipitation pattern of GPCC during 1964-1999 at aforementioned four types of  
214 sample points with that of CMIP5 precipitation outputs during 2064-2099 under  
215 RCP4.5 and RCP8.5 scenarios. It is interesting to find no significant differences in  
216 monthly precipitation pattern and monthly precipitation amount under historical,  
217 RCP4.5 and RCP8.5 scenarios for all sample points (Figs. 3-4). We can use historical  
218 monthly precipitation differences between GPCC and 25 CMIP5 indices to project the  
219 spatial and temporal pattern of the monthly precipitation differences between future in  
220 situ precipitation observations and 25 CMIP5 precipitation indices. Therefore, we can

221 generate the bias correction matrix based on the monthly precipitation pattern of the  
222 historical GPCC and 25 CMIP5 precipitation in this study.

223 The procedure of the bias correction includes the following steps: (1) monthly  
224 historical precipitation pattern analysis of GPCC and 25 SNRD-processed CMIP5  
225 precipitation outputs to compute the monthly precipitation index from January to  
226 December during 1964-2005 at the sample points; (2) generation of bias correction  
227 vector using the difference between GPCC precipitation index and 25 CMIP5  
228 precipitation outputs reprocessed by the SNRD at the sample points; (3) generation of  
229 the bias correction matrix for the validation period (2006-2013) by iterating the 25-  
230 CMIP5 bias correction vectors for all sample points; (4) bias correction by applying 25  
231 SNRD-processed CMIP5 precipitation indices and 25 CMIP5 bias correction matrices  
232 accordingly. Taking SNRD-processed ACCESS1-0 for an example (subB-a, c, e, g in  
233 Fig. 1), we firstly analyzed monthly GPCC and SNRD-processed ACCESS1-0  
234 precipitation patterns for all four types of sample points on behalf of aforementioned  
235 four specific precipitation patterns. Then, we assumed that the monthly precipitation  
236 patterns would not shift with time under different RCP scenarios and used the difference  
237 between GPCC monthly average precipitation during 1964-2005 and the SNRD-  
238 processed monthly average precipitation under ACCESS1-0 to generate the bias  
239 correction vector within a year (from January to December). In addition, according to  
240 the time span for the validation period of BNRD downscaling model (2006-2013,  
241 monthly), we assumed that the bias correction vector would not change annually and  
242 then we generated the bias correction matrix (subB-b, d, f, h in Fig. 1 denote the bias

243 correction matrices of four sample points respectively) by repeating the aforementioned  
244 bias correction vector row by row with number of rows equals to the time span of  
245 validation period (2006-2013). Finally, we added the bias correction matrix to each  
246 SNRD-processed ACCESS1-0 precipitation index and the entire bias correction  
247 procedure was done. After the bias correction section, downscaling results were  
248 spatially interpolated to downscaled resolution ( $0.5^{\circ} \times 0.5^{\circ}$ ) using Kriging interpolation  
249 method (Timm et al., 2015).

### 250 **3.4 Downscaling performance evaluation using statistical methods**

251 To evaluate the modeling accuracy of the BNRD-based downscaling precipitation  
252 results for 25 CMIP5 precipitation outputs, BCSD-based globally-downscaled  
253 precipitation products for 25 CMIP5 precipitation outputs have been taken as control  
254 group. Root mean square errors (RMSE) and Pearson correlation analysis method have  
255 been accepted to evaluate precipitation downscaling accuracy of the BNRD method  
256 (Geil et al., 2013; Sheffield et al, 2013; Aloysius et al., 2016; Gagen et al., 2016; Lovino  
257 et al., 2018).

258

## 259 **4. Results and discussions**

### 260 **4.1 BNRD-downscaled CMIP5 precipitation outputs across the continent during** 261 **1964-2005**

262 To evaluate the performance of the downscaling models considered in this study  
263 for 25 CMIP5 precipitation outputs, i.e. SNRD, BNRD and BCSD, we firstly calculated  
264 the average precipitation for each continent such as Africa, Asia, Europe, North

265 America, Oceania and South America based on 25 raw CMIP5 precipitation outputs  
266 and downscaled precipitation outputs by SNRD, BNRD and BCSD, respectively.  
267 Modeling accuracy of the downscaled 25 CMIP5 precipitation outputs can be evaluated  
268 based on the difference between the average CMIP5 precipitation minus GPCC  
269 precipitation. We can find overestimation and/or underestimation of the GPCC by the  
270 CMIP5 precipitation outputs due to coarse spatial resolution of the CMIP5 precipitation  
271 outputs (Fig. 5). Therefore, CMIP5 precipitation outputs cannot be used directly for  
272 climate variability analysis (Drijfhout, 2005; Schoof, 2015). In this sense, downscaling  
273 procedure of the CMIP5 precipitation outputs is technically critical.

274 Here, we intercompared the precipitation biases of the downscaled precipitation  
275 outputs by three downscaling methods, i.e. SNRD, BNRD and BCSD during 1964-  
276 2005 when compared to GPCC on the continent scale. The precipitation biases by the  
277 SNRD method tend to enlarged during certain months and those by BNRD method  
278 distribute evenly from one month to another in Africa, Asia, Europe, North America  
279 and South America. Besides, Fig. 5 also indicates the reduced precipitation bias by  
280 BNRD within -50 mm and 50 mm across continents with exception of the Oceania, and  
281 in Asia and North America in particular with precipitation bias of nearly 0 mm.  
282 Different from BNRD is the significant overestimation (Oceania and South America)  
283 and/or underestimation (Africa and Asia) of GPCC by the BCSD. BNRD method  
284 greatly reduces overestimation of the GPCC precipitation during May to September and  
285 produces statistically good estimation of the GPCC during January to April. In contrast,  
286 BCSD method enlarges overestimation tendency of the original CMIP5 precipitation

287 outputs from 0-75 mm to 100-150 mm during April-September in Oceania (Fig. 5). In  
288 this sense, BNRD performs better than BCSD in downscaling the original CMIP5  
289 precipitation outputs during 1964-2005 at continental scale.

#### 290 **4.2 Intercomparison of RMSE between original and downscaled CMIP 5** 291 **precipitation outputs during 2006-2013 on the continent scale**

292 We computed the RMSE between the 25 raw CMIP5 precipitation outputs, BNRD-  
293 and BCSD-downscaled CMIP5 precipitation outputs, and GPCC data within each  
294 continent during 2006-2013 under both RCP4.5 and RCP8.5 scenarios. Within each  
295 continent on the point scale, RMSEs have been analyzed for minimum, maximum and  
296 mean values. Fig. 6 indicates intercomparison of the RMSEs between the GPCC and  
297 the downscaled CMIP5 precipitation outputs using BCSD and BNRD, and the original  
298 CMIP5 precipitation outputs respectively under RCP4.5 and RCP8.5 scenarios. The  
299 RMSEs between BNRD-downscaled CMIP5 precipitation outputs and the GPCC reach  
300 the lowest values, e.g. around 15 mm, 182 mm and 68 mm under both RCP scenarios,  
301 which are far less than the RMSEs between GPCC and the original CMIP5 outputs, i.e.  
302 around 30 mm, 901 mm and 121 mm under both RCP scenarios, and the RMSEs  
303 between GPCC and the BCSD-downscaled CMIP5 outputs, i.e. around 164 mm, 420  
304 mm and 241 mm under RCP4.5 scenario and around 165 mm, 516 mm and 280 mm  
305 under RCP8.5 scenario. Therefore, BNRD has the better downscaling performance  
306 when compared to BCSD.

307 Besides, we intercompared the averaged GPCC, the averaged 25 raw CMIP5  
308 precipitation outputs, and the averaged BCSD- and BNRD-downscaled CMIP5

309 precipitation outputs during 2006-2013 at the continental scale, i.e. the validation  
310 period for downscaling models considered in this study, under RCP4.5 and RCP8.5  
311 scenarios (Fig. 7). Fig. 7 shows that the averaged BNRD-downscaled precipitation data  
312 follow close to the GPCC for each continent. In contrast, BCSD-downscaled CMIP5  
313 precipitation outputs are close to the GPCC data in the North America and Europe only.  
314 When it comes to other continents, BCSD-downscaled CMIP5 precipitation outputs  
315 tend to significantly deviate the GPCC data, implying underestimation (Africa and Asia)  
316 and/or overestimation (Oceania and South America) of the GPCC. All these results  
317 clearly indicate better downscaling performance of BNRD than BCSD. Besides, BNRD  
318 has more reliable downscaling performance than BCSD.

319

#### 320 **4.3 Pearson correlation between GPCC and downscaled CMIP5 precipitation** 321 **outputs by BNRD and BCSD respectively during 2006-2013 on the continent scale**

322 Fig. 8 displays Pearson correlation coefficients (PCC) between BNRD- and BCSD-  
323 downscaled CMIP5 precipitation outputs and GPCC under RCP4.5 and RCP8.5  
324 scenarios. In this study, significance of the PCCs was tested at 0.05 significance level.  
325 It can be seen from Fig. 8 that the lowest PCCs between BNRD-downscaled and the  
326 GPCC over all the continents under RCP scenarios are around 0.750, which is  
327 significantly larger than the lowest PCCs between BCSD-downscaled and the GPCC  
328 over all the continents under RCP scenarios, i.e. 0.14 under RCP4.5 and 0.034 under  
329 RCP8.5. To compare the PCCs between BCSD- and BNRD-downscaled CMIP5  
330 precipitation outputs and the GPCC in a direct way, we used the PCC matrix obtained

331 by difference between PCCs between BNRD- downscaled CMIP5 precipitation outputs  
332 and the GPCC (PCC-BNRD), and PCCs between BCSD-downscaled CMIP5  
333 precipitation outputs and the GPCC (PCC-BCSD) (Fig. 9). Fig. 9 indicates the  
334 difference of PCCs as mentioned above reaches the low-value ranges ( $< 0.2$ ) in the Asia  
335 and the North America under RCP4.5 and RCP8.5 scenarios, implying that the BNRD  
336 method is similar to the BCSD in downscaling the tendency of the measured  
337 precipitation under RCP4.5 and RCP8.5 scenarios. However, PCC-BNRD values are  
338 greater than PCC-BCSD in the Oceania and South America, which demonstrates that  
339 BNRD-downscaled CMIP5 precipitation outputs can well capture changing properties  
340 of the measured precipitation as reflected by GPCC datasets.

#### 341 **4.4 Intercomparison of PCCs in spatial distribution**

342 To compare PCCs between BNRD- and BCSD-downscaled CMIP5 precipitation  
343 outputs and GPCC under RCP4.5 and RCP8.5 scenarios (simply BNRD-GPCC, and  
344 BCSD-GPCC in the subsequent text) in spatial distribution, we interpolated the BNRD-  
345 GPCC and BCSD-GPCC by Kriging interpolation method (Figs. 10-11 for RCP4.5  
346 scenario, Figs. 13-14 for RCP8.5 scenario). Further, comparison was done on the  
347 difference between BNRD-GPCC and BCSD-GPCC over the globe (Figs. 12 and 15).

348 Under RCP4.5 scenario, both BNRD-GPCC and BCSD-GPCC are significantly  
349 high, e.g. BNRD-GPCC is higher than 0.7 and BCSD-GPCC is higher than 0.5 in most  
350 areas of North America, Europe and Asia (Figs. 10-11). However, in northern parts of  
351 the South America, most areas of the South Africa and northern parts of the Australia,  
352 BCSD-GPCC values are negative (Fig. 11). In contrast, BNRD-downscaled CMIP5



353 precipitation outputs describe the GPCC changes in a right way with BNRD-GPCC  
354 values of higher than 0.75 (Fig. 10), which is also highlighted by remarkable difference  
355 (greater than 1.0) between PCC-BNRD and PCC-BCSD (Fig. 12). Besides, in central  
356 parts of the Greenland, BCSD-GPCC values are negative, i.e. -0.5 - 0. In contrast,  
357 BNRD-GPCC are not negative in these regions. Therefore, BNRD performs better than  
358 BCSD in downscaling CMIP5 precipitation in most regions. Under RCP8.5 scenario,  
359 spatial patterns of the BNRD-GPCC and BCSD-GPCC under RCP8.5 are in good  
360 agreement with those under RCP4.5 scenario (Figs. 10-15). In general, under RCP4.5  
361 and RCP8.5 scenarios, in comparison with BCSD, BNRD greatly improves the  
362 downscaling results of the CMIP5 precipitation outputs from global viewpoint and the  
363 downscaled CMIP5 precipitation outputs by BNRD can well describe GPCC  
364 precipitation changes over the globe.

365

## 366 **5. Conclusions**

367 In this study, we proposed the BNRD downscaling method and the downscaling  
368 performance of BNRD was verified and corroborated via comparison with downscaling  
369 performance of the BCSD. We obtained interesting and important findings and  
370 conclusions as follows:

371 (1) During 1964-2005, the period for model development, BCSD-downscaled  
372 CMIP5 precipitation is nearly the same as GPCC just in North America and Europe. In  
373 contrast, BNRD-downscaled CMIP5 precipitation can well describe the GPCC changes

374 over the globe and avoid overestimating (in South America and Oceania) and/or  
375 underestimating (in Asia and Africa) GPCC precipitation.

376 (2) During the period for the model validation, i.e. 2006-2013 under RCP4.5 and  
377 RCP8.5 scenarios, the maximum, minimum and average RMSEs between BNRD-  
378 downscaled CMIP5 precipitation and GPCC are respectively 182 mm, 15 mm and 68  
379 mm, and are all lower than that between BCSD-downscaled CMIP5 precipitation and  
380 GPCC. From the average precipitation viewpoint, during the period for model  
381 verification under RCP4.5 and RCP8.5 scenarios, the BNRD-downscaled CMIP5  
382 precipitation is in higher correlation with GPCC than BCSD-downscaled CMIP5  
383 precipitation. While, the BCSD-downscaled CMIP5 precipitation is in negative bias  
384 from GPCC across Africa and Asia and is in positive bias from GPCC across Oceania  
385 and South America. Therefore, BNRD-downscaled CMIP5 precipitation can better  
386 describe GPCC in both space and time when compared to BCSD.

387 (3) We found higher correlation between BNRD-downscaled CMIP5 precipitation  
388 and GPCC than between BCSD-downscaled CMIP5 precipitation and GPCC globally.  
389 From a viewpoint of the spatial distribution of GPCC-BCSD minus GPCC-BNRD, the  
390 difference between GPCC-BNRD and GPCC-BCSD is even larger than 1 over north  
391 part of the South America, southern Africa, northern Australia, implying negative  
392 relations between BCSD-downscaled CMIP5 precipitation and GPCC. While, BNRD-  
393 downscaled CMIP5 precipitation and GPCC is in positive correlation in these  
394 continents. All these results further corroborate greatly improved downscaling  
395 performance of BNRD when compared to that of BCSD. This study provides improved

396 downscaling technique for downscaling practice of CMIP5 and even CMIP6  
397 precipitation outputs over the globe.

398

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406

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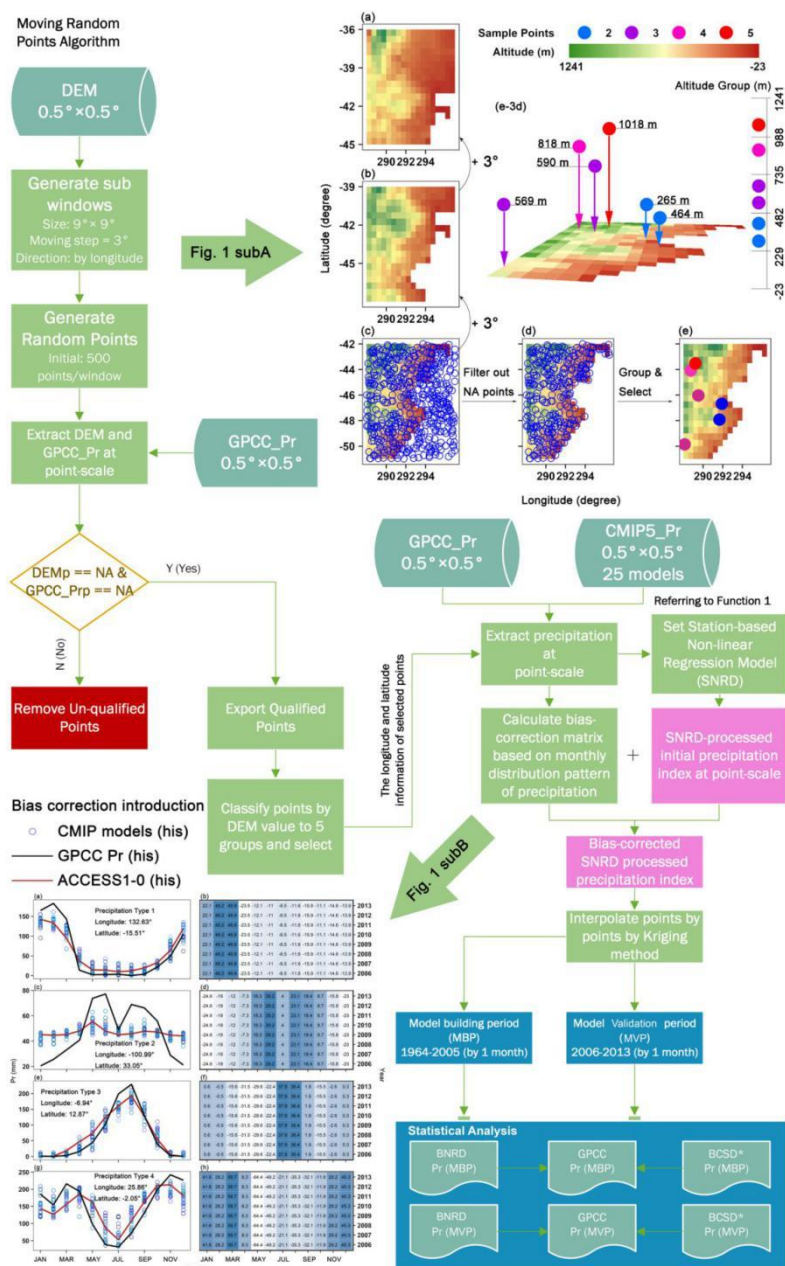
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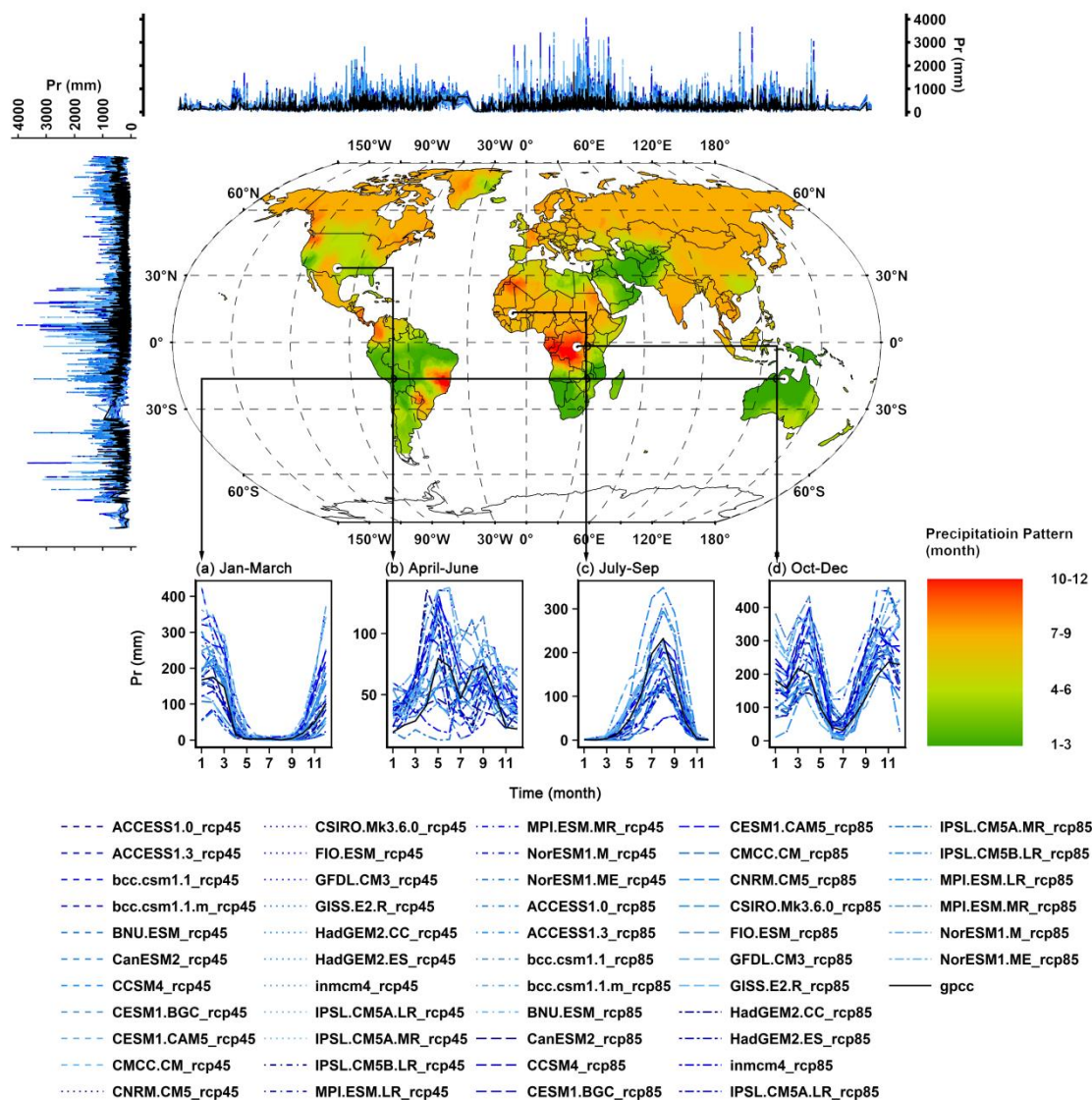
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569 Fig. 1 The algorithm frame of Moving-Random-Points generated and Bias-Corrected Station-based  
 570 Non-linear Regression Downscaling model (BNRD for short). DEM refers to the digital elevation  
 571 model and its spatial resolution is 0.5 degree. GPCC\_Pr refers to the gridded measured precipitation  
 572 datasets generated by the Global Precipitation Climatology Center (GPCC) and its spatiotemporal  
 573 resolution is month and 0.5 degree respectively. CMIP5\_Pr refers to the precipitation outputs of  
 574 Coupled Model Inter-comparison Project 5 (CMIP5). In this paper, the precipitation outputs of 25  
 575 CMIP5 models were majorly studied (detailed information refers to Table 1). DEMp refers to the  
 576 DEM value at point-scale and GPCC\_Pr refers to the GPCC\_Pr at point-scale. To display the  
 577 process of the Moving Random Points algorithm, Fig. 1subA was attached to Fig. 1. Besides, to  
 578 shed light on the mechanism of bias correction, Fig. 1subB was attached to Fig. 1. And within Fig.  
 579 1subB, 4-type of points were selected on behalf of 4-type of precipitation annual distributions  
 580 (including maximum precipitation happening during January-March, April-June, July-September  
 581 and October-December)



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583 Fig. 2 Global classification of monthly patterns of precipitation and spatial-comparison between the  
 584 annually maximum value of GPCC precipitation from 1964 to 1999 and the annually maximum  
 585 value of 25 CMIP5 model precipitation from 2064 to 2099 by longitude and latitude respectively.  
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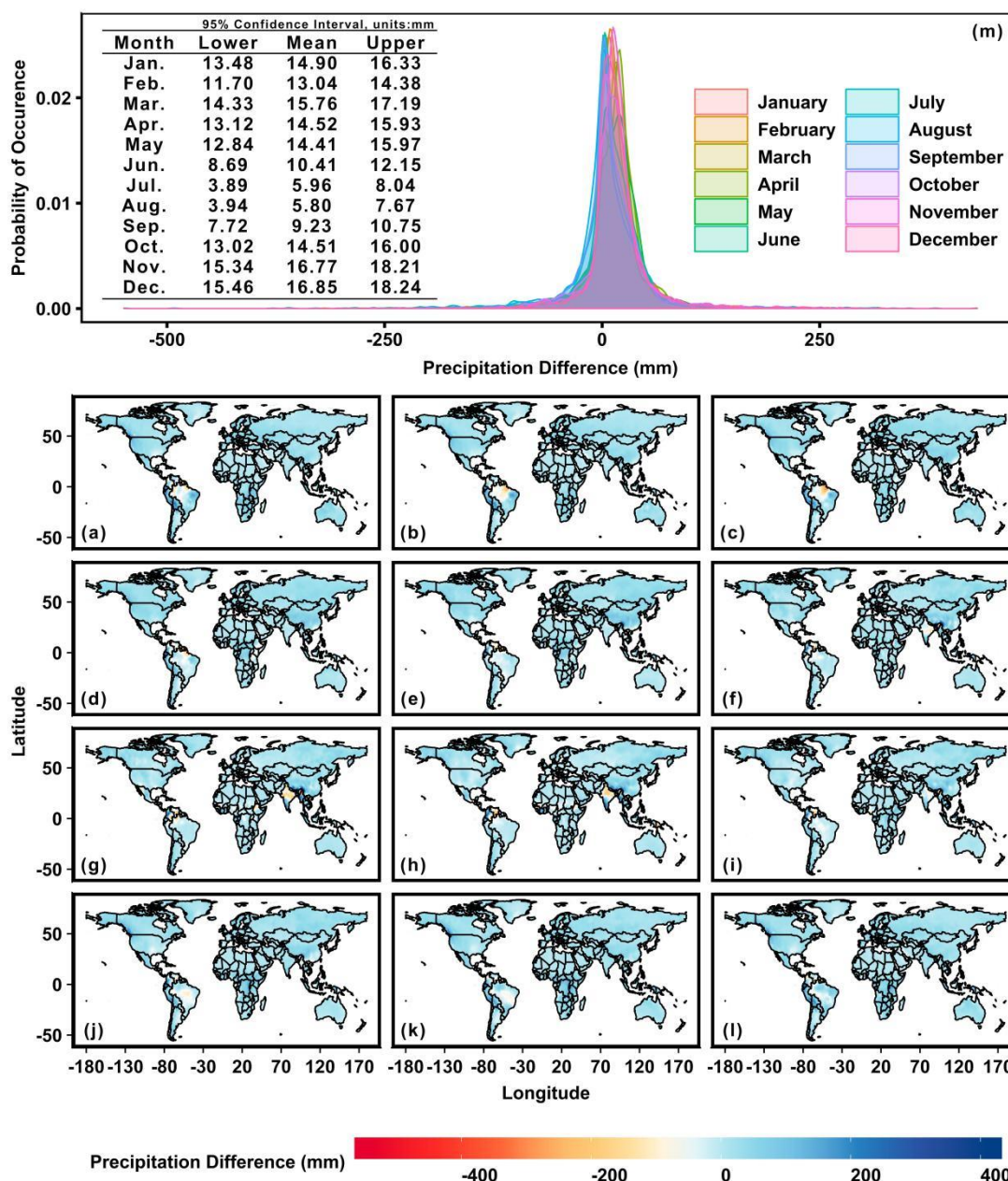
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599 Fig. 3 Precipitation difference between GPCC monthly-mean precipitation and average values of 25  
 600 CMIP5 monthly-mean precipitation outputs under RCP4.5. Figs. 3a-l exhibit spatial distribution of  
 601 the precipitation difference at the point scale from January to December respectively. Fig. 3m sheds  
 602 lights on the probability distribution considering precipitation differences of all sample points from  
 603 January to December respectively. Besides, the table within Fig. 3m displays the 95% confidence  
 604 interval of precipitation difference for each month.

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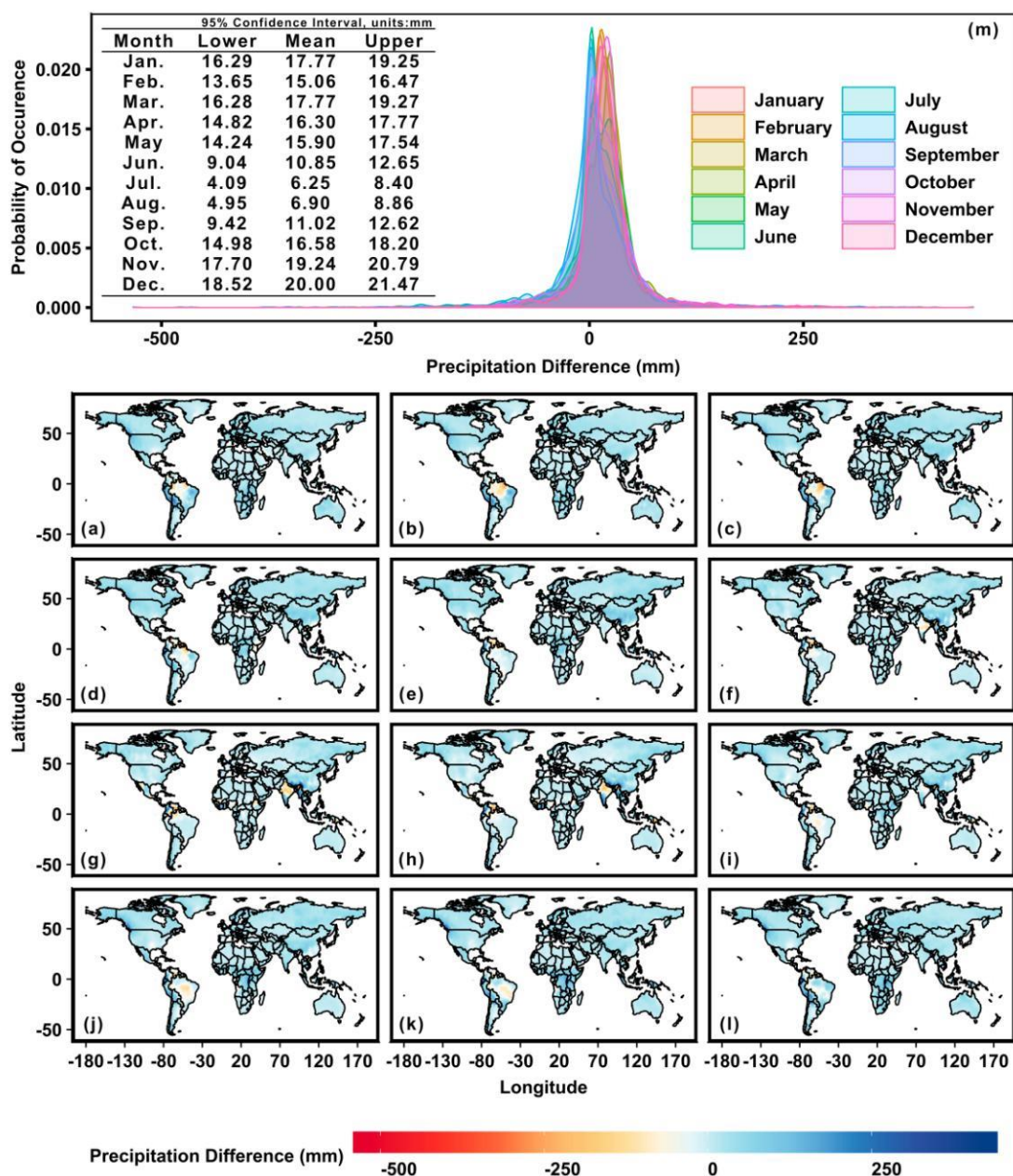
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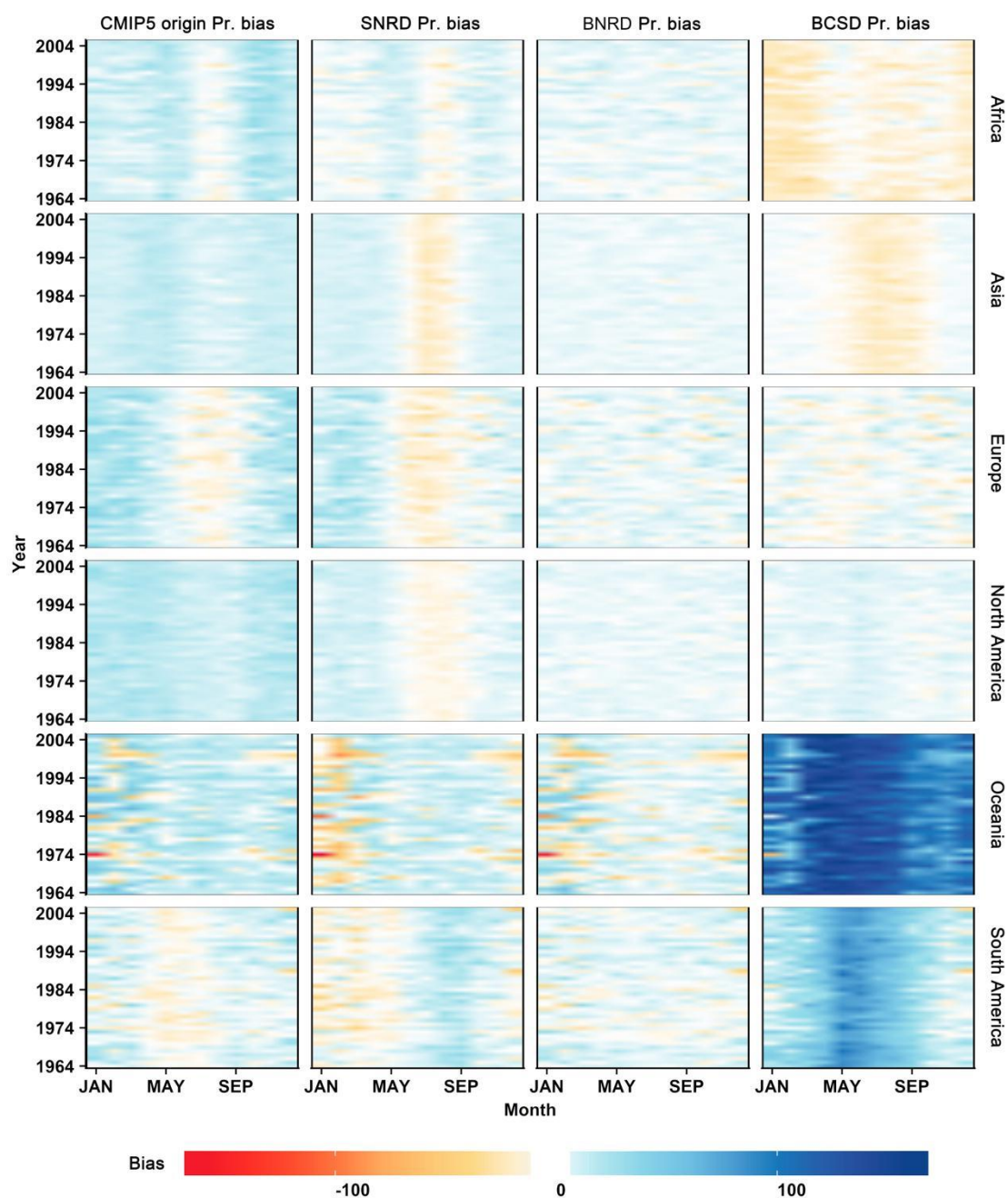
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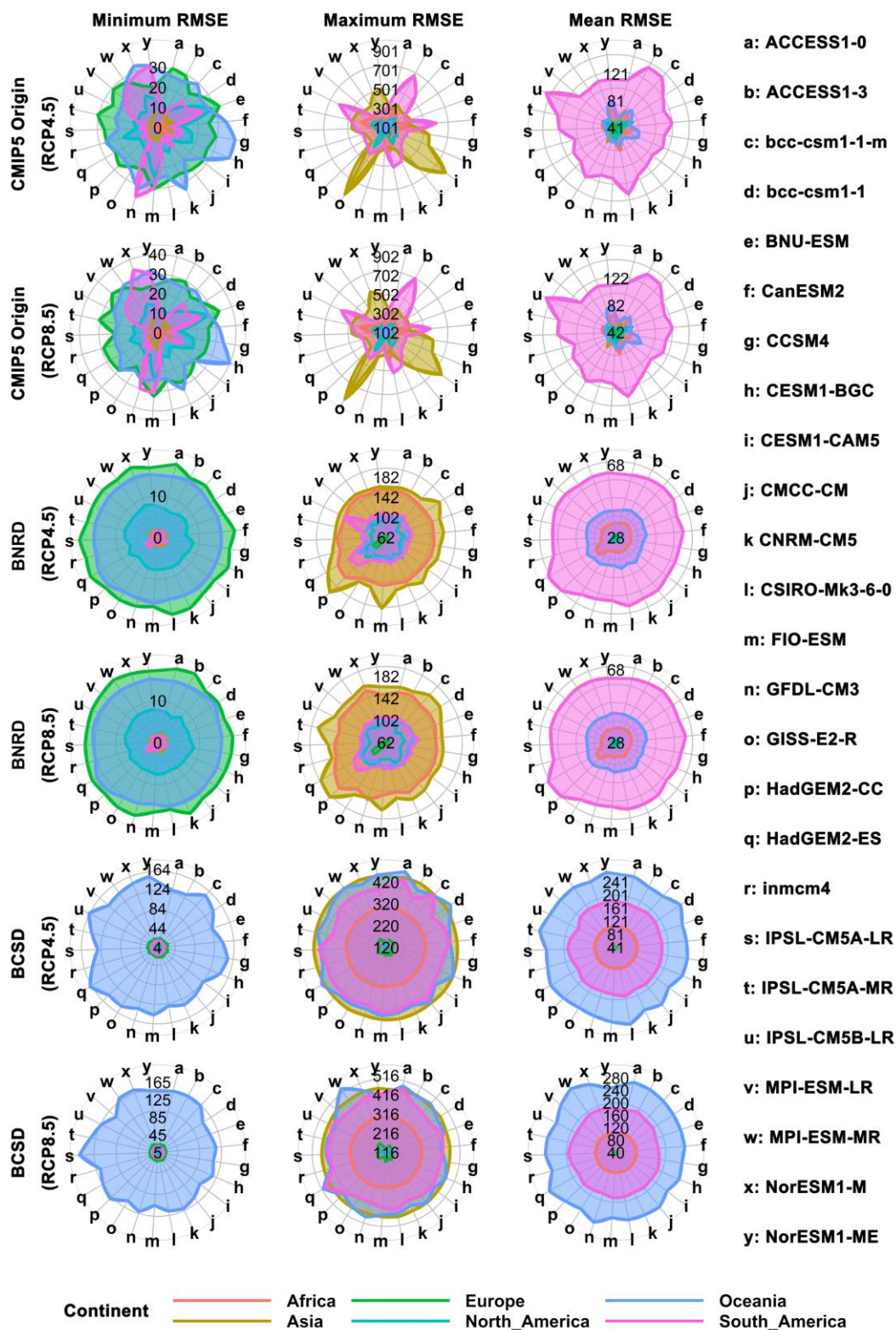
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612 Fig. 4 Precipitation difference between GPCP monthly-mean precipitation and average values of 25  
 613 CMIP5 monthly-mean precipitation outputs under RCP8.5. Figs. 4a-l exhibit spatial distribution of  
 614 the precipitation difference at the point scale from January to December respectively. And Fig. 4m  
 615 sheds lights on the probability distribution considering precipitation differences of all sample points  
 616 from January to December respectively. Besides, the table within Fig. 4m displays the 95%  
 617 confidence interval of precipitation difference for each month.



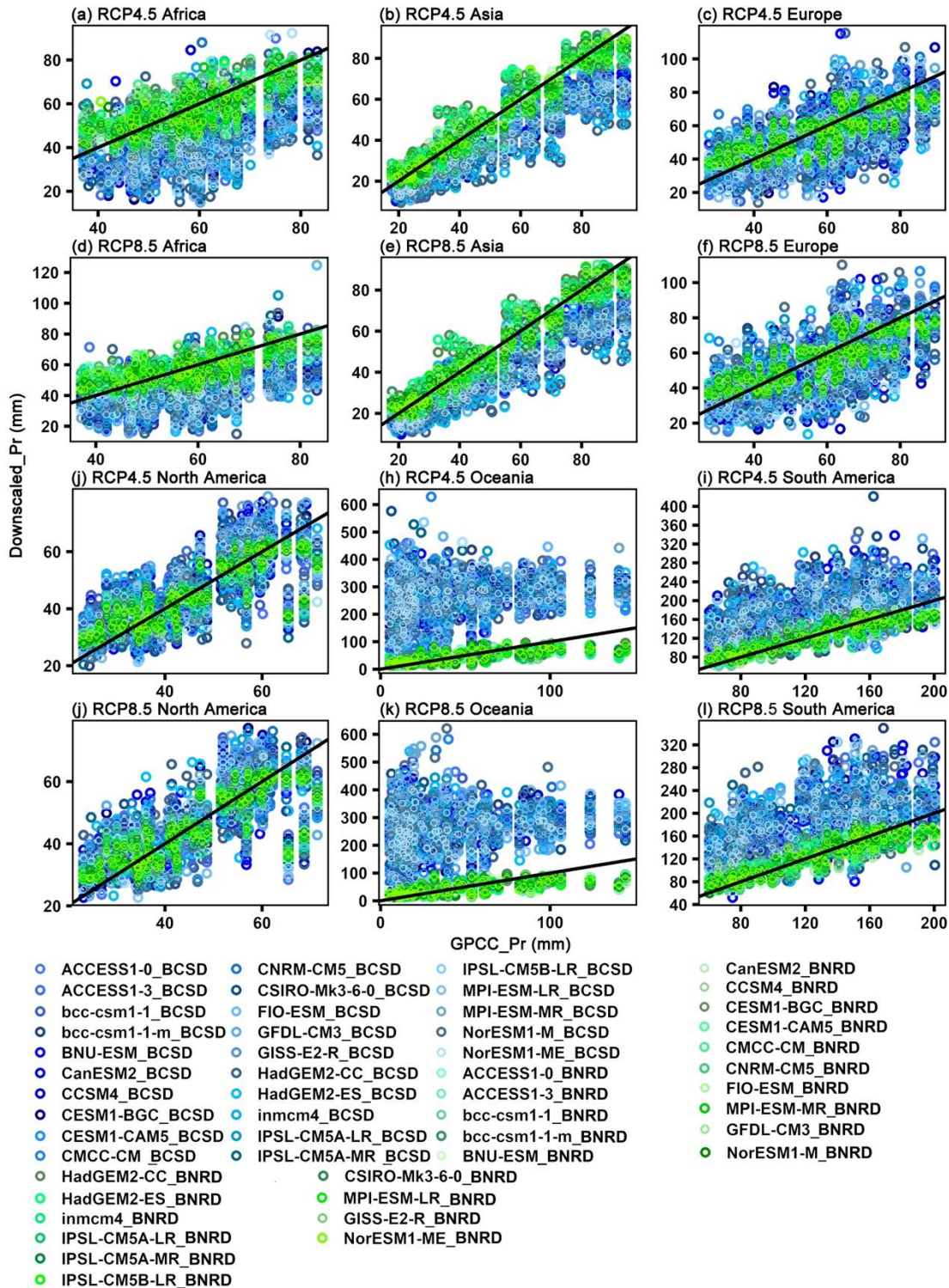
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Fig. 5 Intercomparison of bias between average precipitation indices of the original CMIP5 precipitation, the BNRD-processed CMIP5 precipitation, BCSD-processed CMIP5 precipitation and GPCC from 1964 to 2005 at monthly scale at the continental scale.



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 626 Fig. 6 Intercomparison of RMSE (root mean square error) among the original SMIP5 precipitation,  
 627 BNRD- and BCSD-downscaled 25 CMIP5 precipitation outputs on the continent scale under both  
 628 RCP 4.5 and RCP 8.5 (RCP refers to the Representative Concentration Pathway) scenarios.





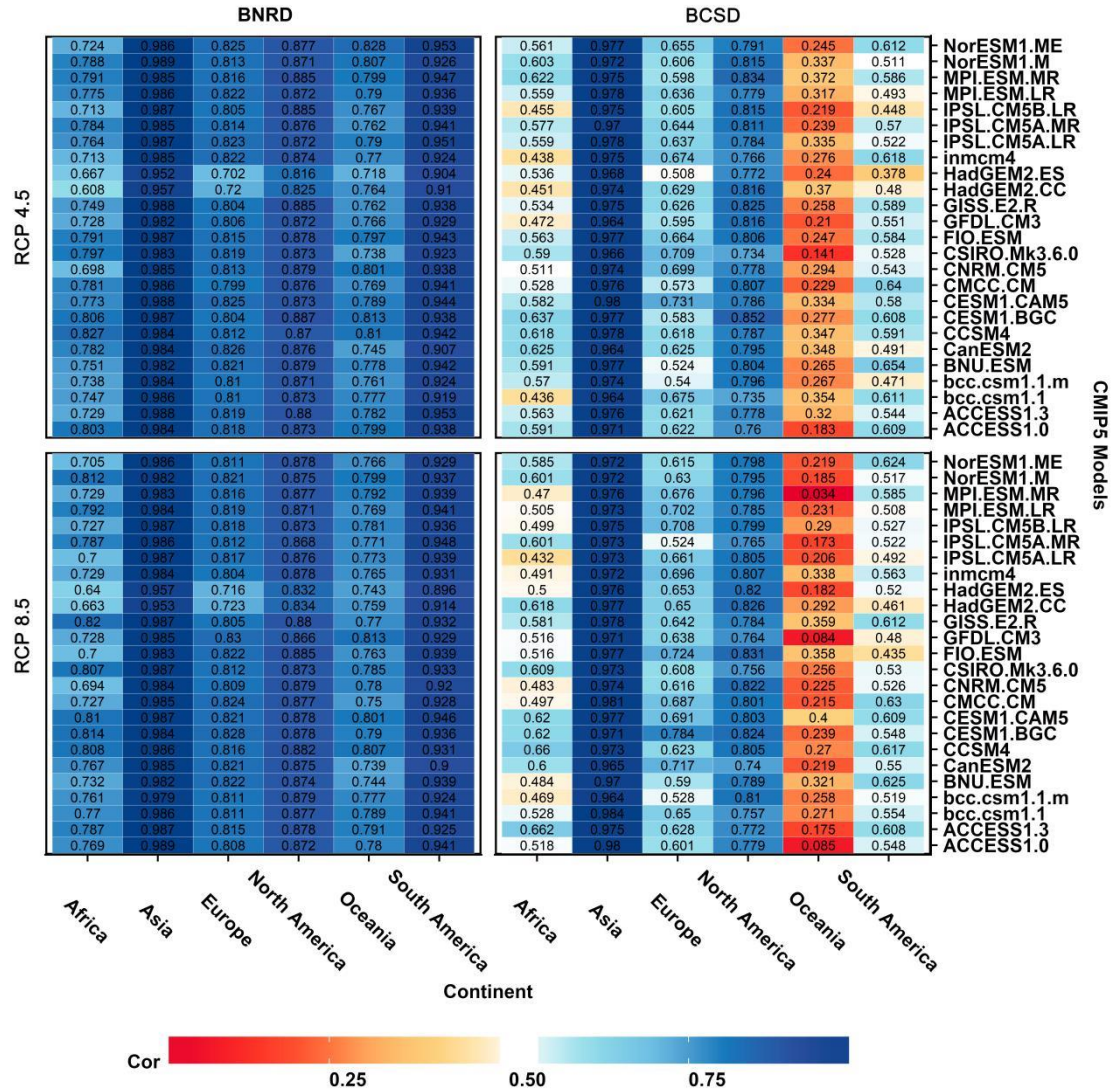
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630 Fig. 7 Intercomparison between the BNRD-downscaled 25 CMIP5 precipitation outputs and GPCP  
 631 indices and intercomparison between BCSD-downscaled 25 CMIP5 precipitation outputs and  
 632 GPCP indices on the continent scale from 2006 to 2013 (validation period) under both RCP4.5 and  
 633 RCP8.5 scenarios.

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638 Fig. 8 Intercomparison of the Pearson correlation coefficients between BNRD- and BCSD-  
 639 downscaled 25 CMIP5 precipitation outputs and GPCC on the continent scale from 2006 to 2013  
 640 (validation period) under both RCP4.5 and RCP8.5. Cor refers to correlation coefficients.

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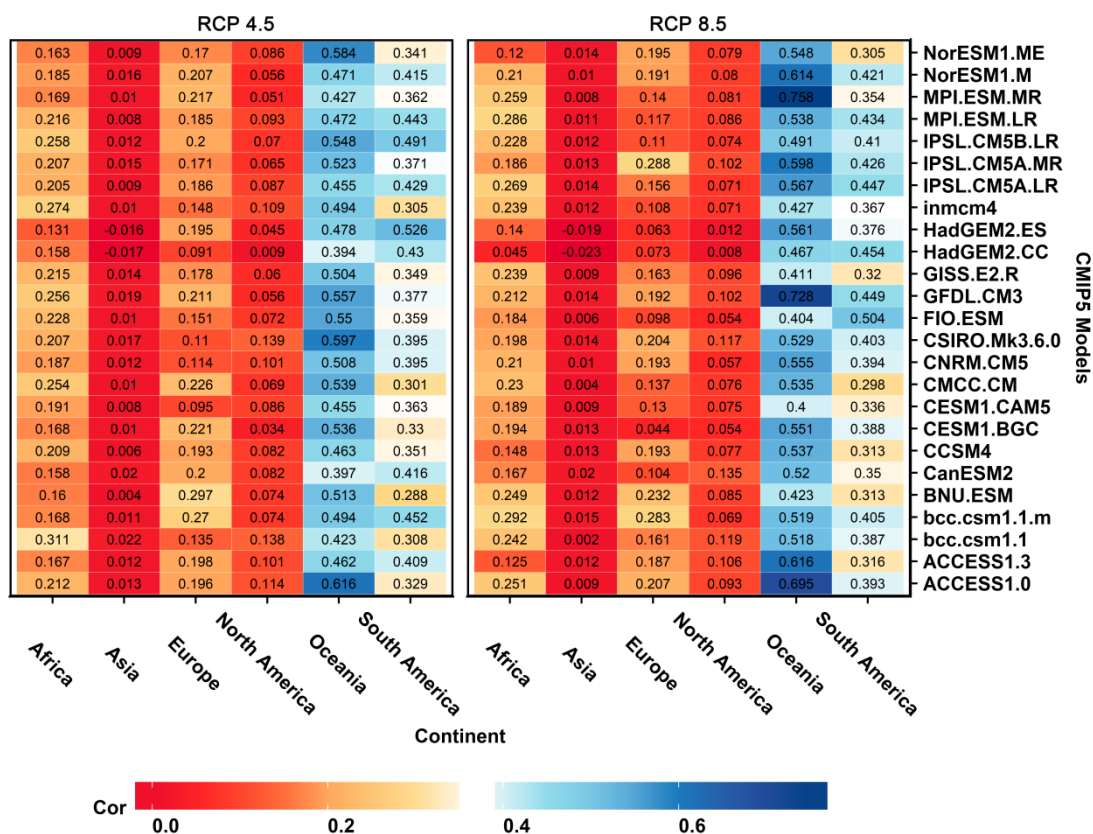
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651 Fig. 9 Difference of the Pearson correlation coefficients between BNRD- and BCSD-downscaled  
 652 25 CMIP5 precipitation outputs and GPCP on the continent scale from 2006 to 2013 (validation  
 653 period) under both RCP4.5 and RCP8.5. Cor refers to correlation coefficients.

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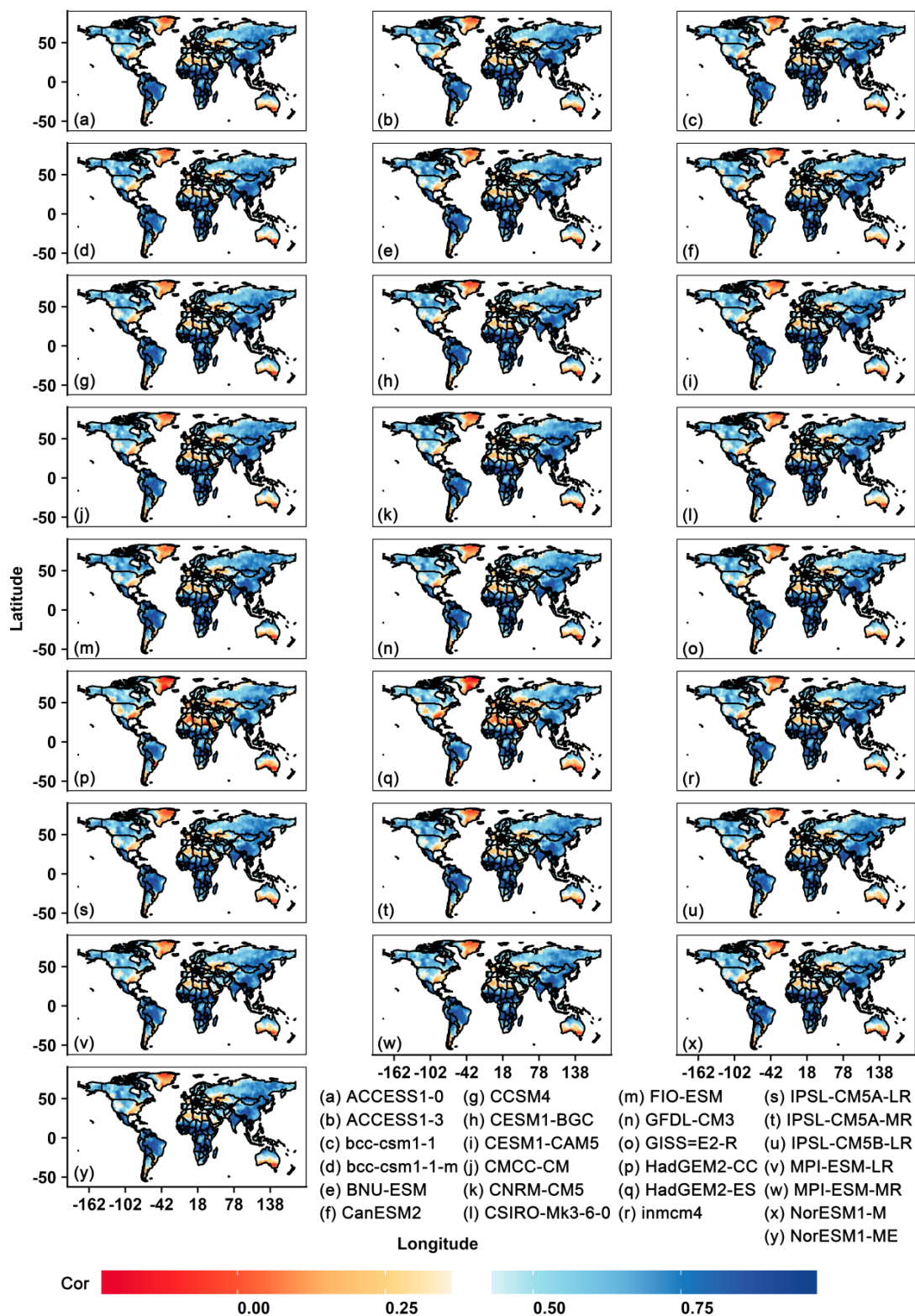
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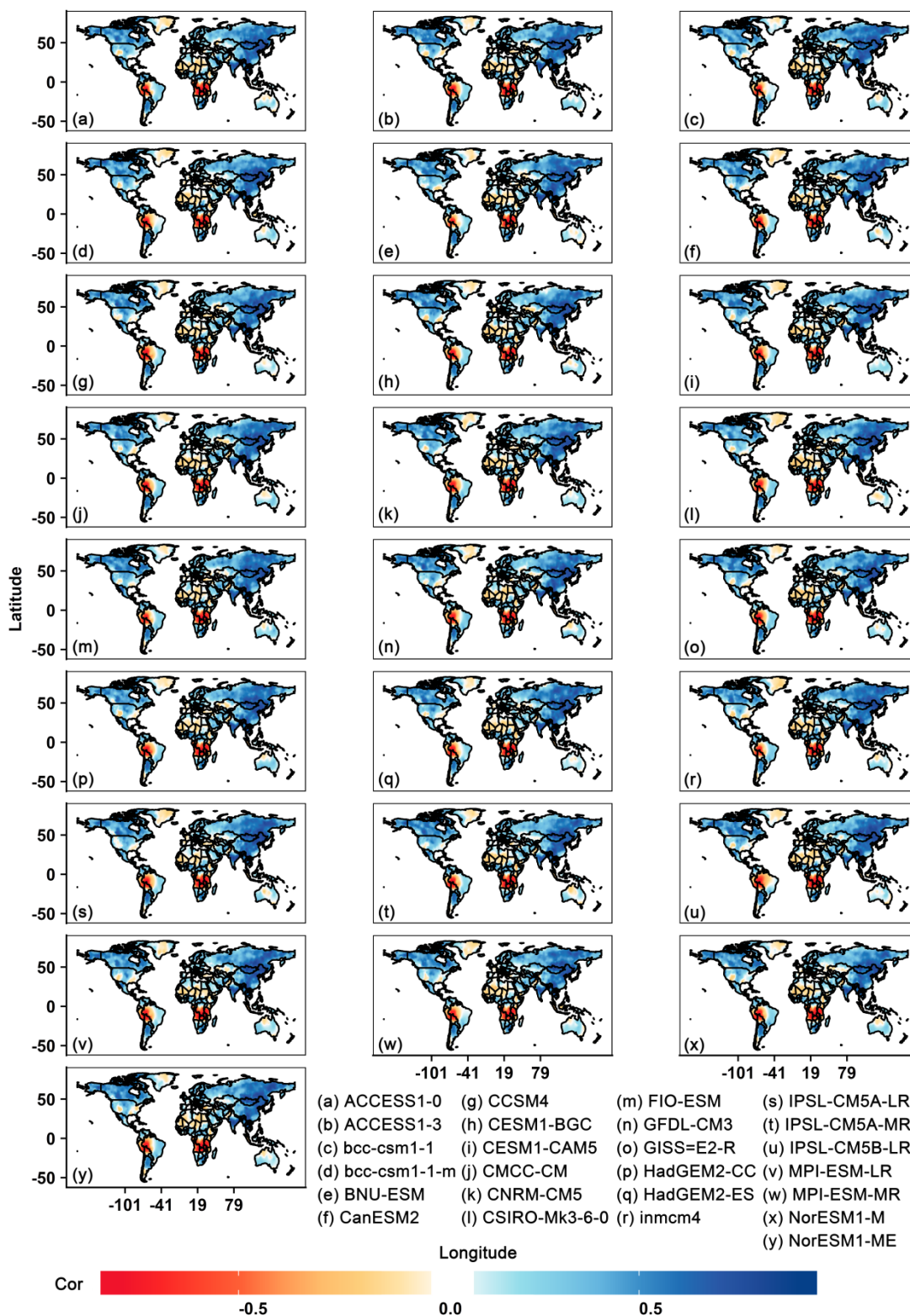
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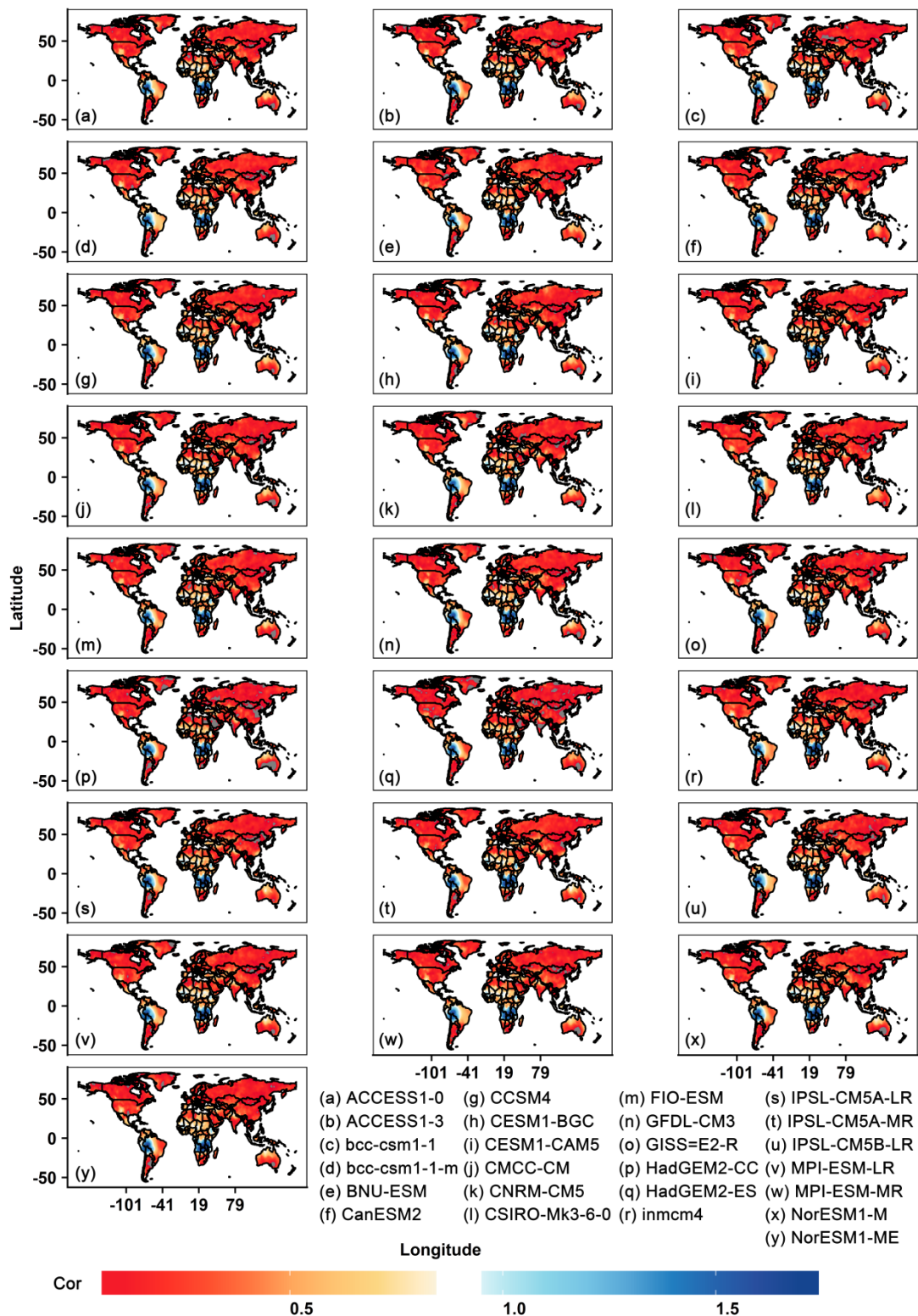
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Fig. 10 Spatial pattern of Pearson correlation coefficients between GPCP precipitation and 25 CMIP5 models precipitation downscaled by BNRD method under RCP4.5 during validation period (2006-2013).



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Fig. 11 Spatial pattern of Pearson correlation coefficients between GPCP precipitation and 25 CMIP5 precipitation downscaled by BCS D method under RCP4.5 during validation period (2006-2013).



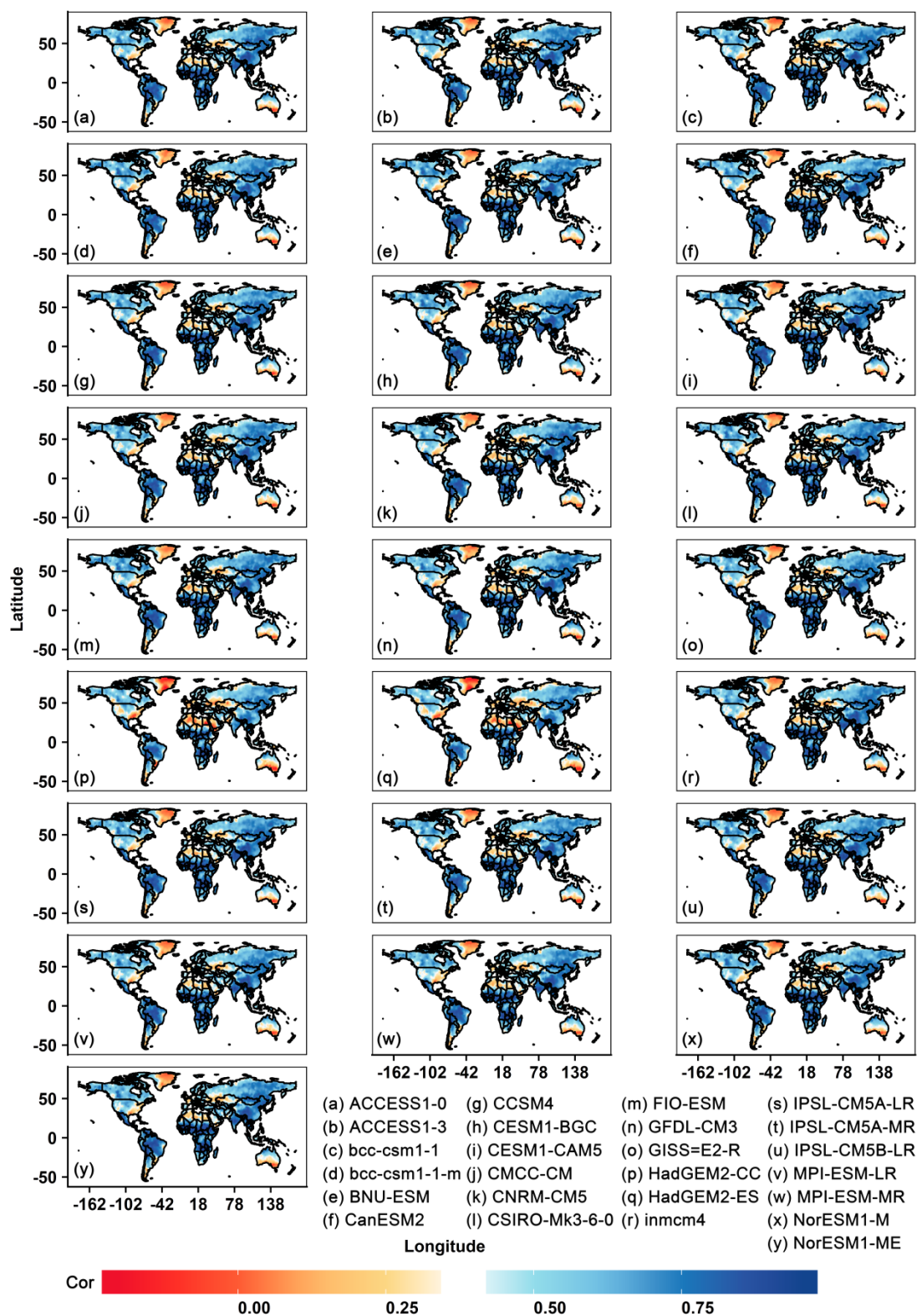
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681 Fig. 12 Spatial pattern of difference of the Pearson correlation coefficients between BNRD-  
 682 downscaled CMIP5 precipitation and GPCP minus that between BCSO-downscaled precipitation  
 683 and GPCP under RCP4.5 during the period for model validation (2006-2013).

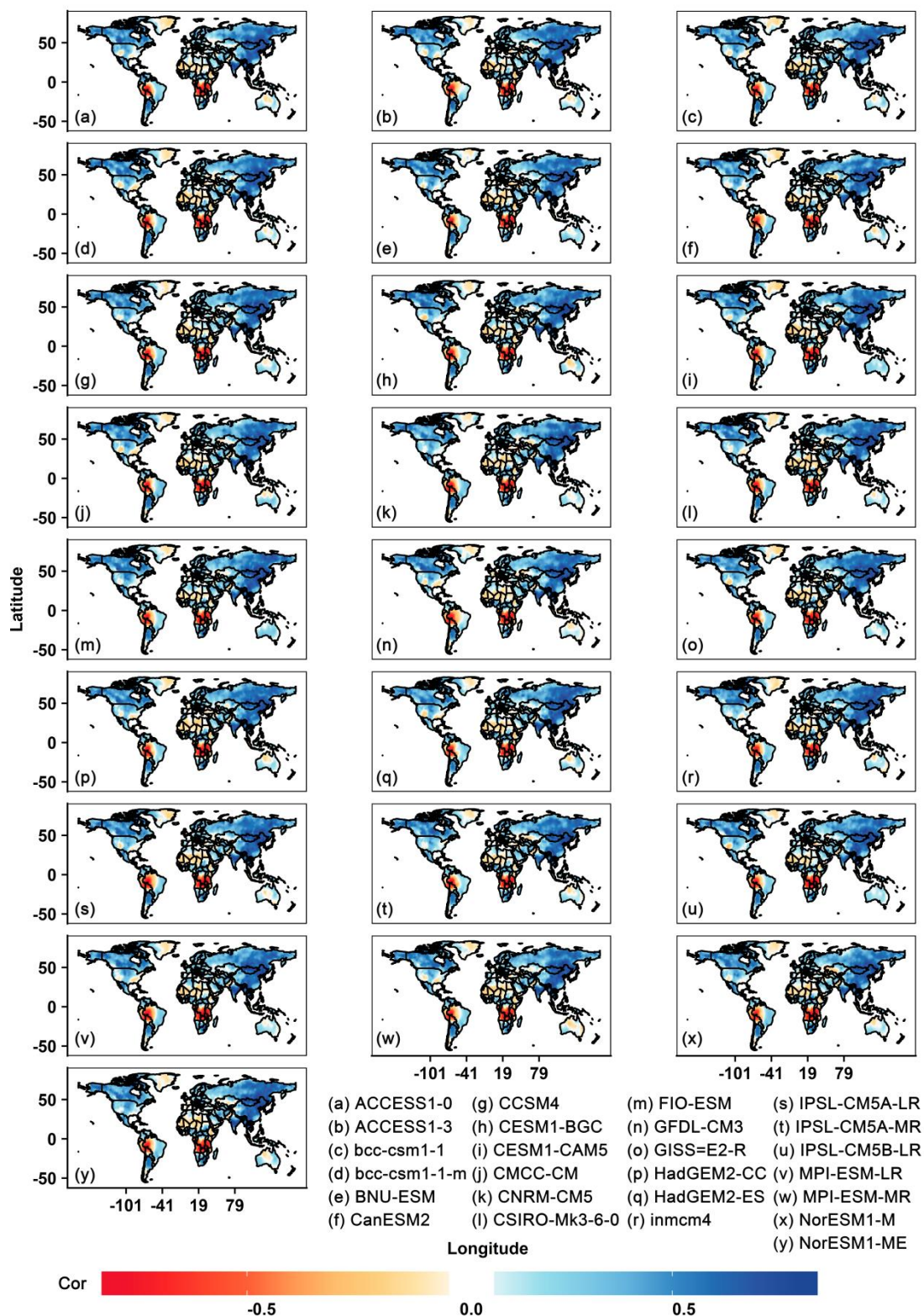
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 688 Fig. 13 Spatial pattern of Pearson correlation coefficients between GPCP precipitation and 25  
 689 CMIP5 precipitation downscaled by BNRD method under RCP4.5 during period for model  
 690 validation (2006-2013).  
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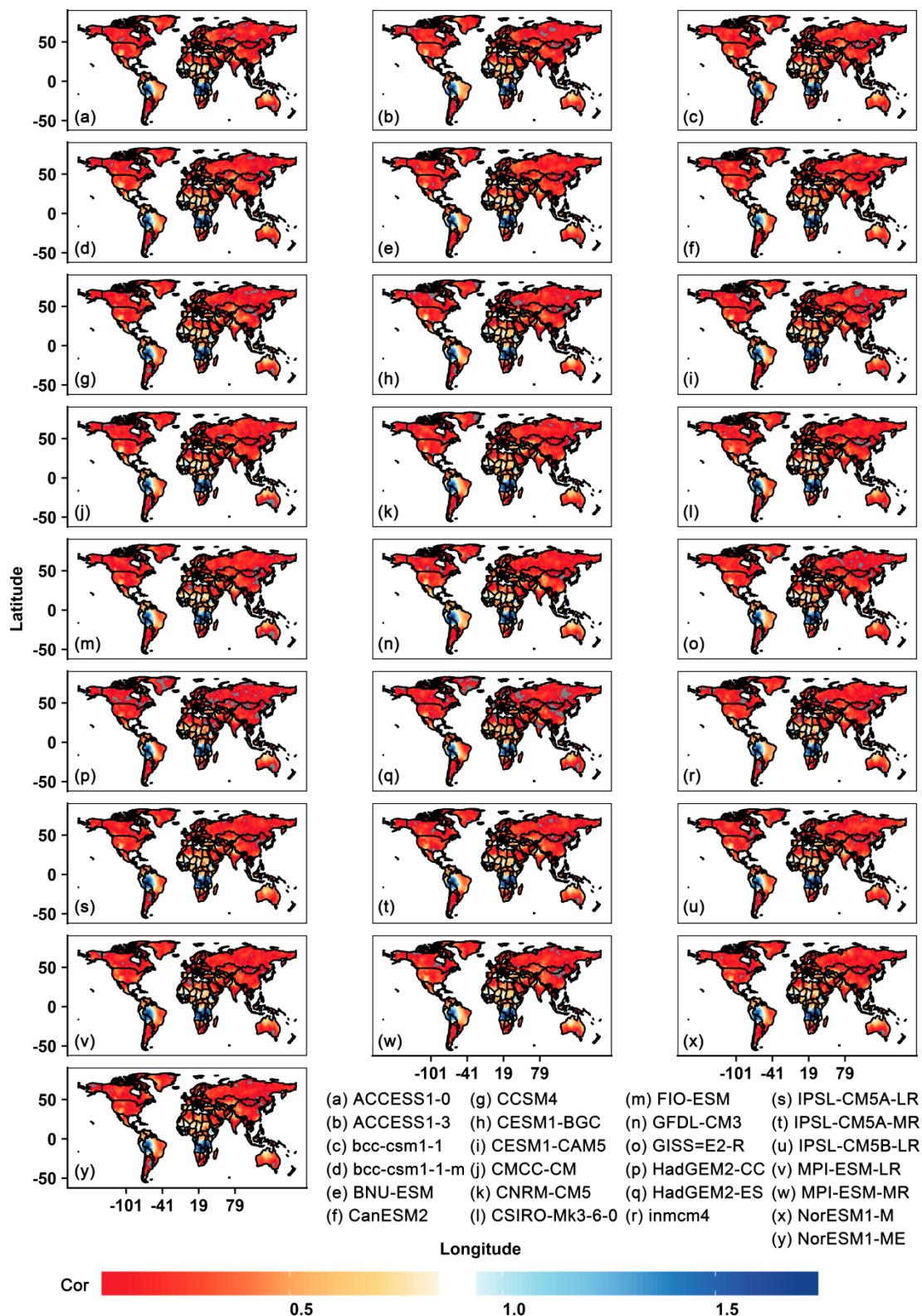
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693 Fig. 14 Spatial pattern of Pearson correlation coefficients between GPCC precipitation and 25  
 694 CMIP5 precipitation downscaled by BCSD method under RCP8.5 during period for the model  
 695 validation (2006-2013).

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699 Fig. 15 Spatial pattern of difference of the Pearson correlation coefficients between BNRD-  
 700 downscaled CMIP5 precipitation and GPCP minus that between BCSD-downscaled precipitation  
 701 and GPCP under RCP8.5 during the period for model validation (2006-2013).

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Table 1 Resolution of raw CMIP5 precipitation outputs applied in this study

| Index | Model         | Latitude | Longitude |
|-------|---------------|----------|-----------|
| 1     | ACCESS1.0     | 1.25     | 1.875     |
| 2     | ACCESS1.3     | 1.25     | 1.875     |
| 3     | BCC-CSM1.1    | 2.7906   | 2.8125    |
| 4     | BCC-CSM1.1(m) | 2.7906   | 2.8125    |
| 5     | BNU-ESM       | 2.7906   | 2.8125    |
| 6     | CCSM4         | 0.9424   | 1.25      |
| 7     | CESM1(BGC)    | 0.9424   | 1.25      |
| 8     | CESM1(CAM5)   | 0.9424   | 1.25      |
| 9     | CMCC-CM       | 0.7484   | 0.75      |
| 10    | CNRM-CM5      | 1.4008   | 1.40625   |
| 11    | CSIRO-Mk3.6.0 | 1.8653   | 1.875     |
| 12    | CanESM2       | 2.7906   | 2.8125    |
| 13    | FIO-ESM       | 3.75°    | 1.8947°   |
| 14    | GFDL-CM3      | 2        | 2.5       |
| 15    | GISS-E2-R     | 2        | 2.5       |
| 16    | HadGEM2-CC    | 1.25     | 1.875     |
| 17    | HadGEM2-ES    | 1.25     | 1.875     |
| 18    | INM-CM4       | 1.5      | 2         |
| 19    | IPSL-CM5A-LR  | 1.8947   | 3.75      |
| 20    | IPSL-CM5A-MR  | 1.2676   | 2.5       |
| 21    | IPSL-CM5B-LR  | 1.8947   | 3.75      |
| 22    | MPI-ESM-LR    | 1.8653   | 1.875     |
| 23    | MPI-ESM-MR    | 1.8653   | 1.875     |
| 24    | NorESM1-M     | 1.8947   | 2.5       |
| 25    | NorESM1-ME    | 1.8947   | 2.5       |

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