Comparison of two sweat test systems for the diagnosis of cystic fibrosis in

newborns (86/100 characters)

Corina S. Rueegg (PhD)^{1,2}, Claudia E. Kuehni (MD)^{1, 5}, Sabina Gallati (PhD)³, Maja Jurca (MD, PhD)¹, Andreas Jung (MD)⁴, Carmen Casaulta (MD)⁵, Juerg Barben (MD)⁶, for the Swiss Cystic Fibrosis Screening Group^

¹Institute of Social and Preventive Medicine, University of Bern, Switzerland

- ² Oslo Centre for Biostatistics and Epidemiology, Oslo University Hospital and Department of Biostatistics, Institute of Basic Medical Sciences, University of Oslo, Norway
- ³ Division of Human Genetics, University Children's Hospital Bern, Switzerland
- ⁴ Division of Respiratory Medicine, University Children's Hospital of Zurich, Switzerland
- ⁵ Department of Pediatrics, Respiratory Unit, University of Bern, Switzerland
- ⁶ Division of Pediatric Pulmonology, Children's Hospital of Eastern Switzerland, St.Gallen, Switzerland

[^]Members of the Swiss Cystic Fibrosis Screening Group:

Constance Barazzone (Geneva); Jürg Barben (St.Gallen); Matthias Baumgartner (Zurich); Carmen Casaulta (Bern); Peter Eng (Aarau); Ralph Fingerhut (Zurich), Sabina Gallati (Bern); Gaudenz Hafen (Lausanne); Juerg Hammer (Basel); Andreas Jung (Zurich); Maja Jurca (Bern); Claudia E. Kuehni (Bern); Anne Mornand (Geneva); Alex Moeller (Zurich); Dominik Mueller (Aarau); Nicolas Regamey (Lucerne); Isabelle Rochat (Lausanne); Corina S. Rueegg (Bern); Barbara Schiller (St. Gallen); Martin H. Schoeni (Berne); Renate Spinas (Zurich); Toni Torresani (Zurich); Daniel Trachsel (Basel); Maura Zanolari (Lugano).

Running title: Comparison of two sweat test systems (37 / 50 characters)

Key words: Cystic fibrosis, sweat test, conductivity, chloride measurement, newborn screening (5 / 3-5)

Corresponding author:

Prof. Dr. Jürg Barben, MD Head, Paediatric Pulmonology & CF Centre Children's Hospital of Eastern Switzerland CH-9006 St. Gallen, Switzerland Tel: +41 71 243 71 11 Fax: +41 71 243 76 99

E-mail: juerg.barben@kispisg.ch

Counts:

Abstract: 248 / 250 words Main text: 3710 / 3500 words Display items: 3 tables and 3 figures / 6 total display items References: 39 / 40 references Online tables and figures: 1 E-table and 3 E-figures / no maximum

Version: 13.09.2018 – R1

Formatted for: Pediatric Pulmonology

Abstract (248 / 250 words)

Objectives: In the national newborn screening programme for CF in Switzerland, we compared the performance of two sweat test methods, by investigating the feasibility and diagnostic performance of the Macroduct[®] collection method (with chloride mesurement) and Nanoduct[®] test (measuring conductivity) for diagnosing CF.

Study-design: We included all newborns with a positive screening result between 2011 and 2015 who were referred to a CF-centre for sweat testing. In the CF-centre, a Macroduct and Nanoduct sweat test were performed simultaneously. If sweat test results were positive or borderline, a DNA analysis was performed. Final diagnosis was based on genetic mutations. **Results:** Over five years, 445 children were screened positive and in 413 (114 with CF) at least one sweat test was performed (median age at first test, 22 days); both tests were performed in 371 children. A sweat test result was more often available with the Nanoduct compared to the Macroduct (79% vs. 60%, p<0.001). The Nanoduct was equally sensitive as the Macroduct in identifying newborns with CF (sensitivity 98% vs. 99%) but less specific (specificity 79% vs. 93%; p-value comparing ROC curves=0.033).

Conclusions: This national multicentre study revealed high failure rates for Macroduct and Nanoduct in newborns in real life practice. While this needs to be addressed, our results suggested that performing the Nanoduct in addition to the Macroduct might speed up the diagnostic process because it more often yields valid results with comparable diagnostic performance. The addition of the Nanoduct sweat test can therefore help to reduce the stressful time of uncertainty for parents and to start appropriate treatment earlier.

- 3 -

Introduction

The widespread implementation of newborn screening (NBS) for cystic fibrosis (CF) has changed the diagnostic paradigm: in contrast to patients who are diagnosed because of symptoms healthy newborns are referred for diagnostic testing after a positive screening result.¹ Apart from some newborns with meconium ileus, these children have no (or only minimal) clinical manifestation of the disease, making sweat tests the main diagnostic tool to discriminate between children with and without CF.²⁻⁴ Sweat collection in these newborns is challenging and must be performed according to the current guidelines.⁵⁻⁷ The recommendations of the Cystic Fibrosis Foundation (CFF) say that the proportion of unsuccessful sweat tests in infants should be $\leq 10\%$.^{5,8} In real life, it can vary between 0 to 40% during the first three months of life.⁸⁻¹²

Determining sweat chloride concentration is the current standard criterion for the diagnosis of CF.^{3,5} This is nowadays usually done with the MacroductTM collection system that was introduced in 1986 and needs 15µl of sweat.¹³⁻¹⁶ Measuring conductivity using the Sweat-ChekTM analyser has been suggested to be as effective as chloride determination in discriminating healthy children from those with CF.^{13,17-19} NanoductTM is a newer sweat conductivity analysis system that was specially developed for newborns because it requires only 3–5µl of sweat and measures conductivity in situ.²⁰ However, only a few studies have assessed its ability to discriminate between CF patients and healthy children.²¹⁻²⁵ Neither American nor European guidelines have yet accepted sweat conductivity as diagnostic critera for CF.^{5.6,15}

In Switzerland, CF-NBS was introduced in 2011.²⁶⁻³⁰ With the implementation of the programme, the Swiss Federal Office of Public Health requested a close evaluation of the programme including the use and comparison of two different sweat test systems

(Macroduct[™] collection system with chloride measurement and Nanoduct[™] analysis system) for the diagnostic evaluation in newborns with a positive CF-NBS result. We therefore aimed to 1) compare the feasibility of the two tests in infants (overall, and according to age and weight), 2) compare the diagnostic performance of the tests in identifying infants with CF, and, 3) investigate whether the diagnostic performance of the Macroduct alone could be improved by also taking the Nanoduct result into account.

Materials and methods

The Swiss NBS programme consists of two parts: the screening part in the NBS laboratory, and, for screen positive infants, the diagnostic evaluation in the CF-centres.²⁸ The comparison of the two sweat test systems within the NBS was requested by the Swiss Federal Office of Public Health (FOPH) when starting the CF-NBS in 2011 and approved by the Swiss National Ethics Committee.

The Swiss CF-NBS

The Swiss CF-NBS comprises the measurement of immunoreactive trypsinogen (IRT) in a heel prick test (Guthrie card) on the 4th day of life of all newborns in Switzerland.²⁶⁻³⁰ If the IRT is above the specified cut-off (99.2 percentile), the most common CFTR mutations (initially 7, since 2013: 18) are sought. If at least one mutation is found, the newborn is screen positive. If no mutation is found, as a safety net, a second IRT is performed if the first IRT was ≥ 60 ng/ml. If this IRT is again above the same cut-off the newborn is also screen positive.

This study includes all newborns screened positive and referred to one of eight paediatric CFcentres for diagnostic evaluation in the Swiss CF-NBS between January/2011 and December/2015 (E-figure 1).

Diagnostic evaluation in the CF-centres

The Swiss CF-NBS uses two different sweat tests, simultaneously, one at each arm: the Macroduct[™] sweat collection system (Wescor Inc., Logan, Utah, USA) followed by a coulometric determination of chloride in the laboratory and the Nanoduct[™] sweat analysis system (Wescor) which measures conductivity in situ.²¹ If the Macroduct and Nanoduct are positive or intermediate (or the infant had two mutations in the screening and insufficient sweat was collected or both sweat tests are negative), CFTR mutation analysis is performed

(E-figure 1). If both sweat tests are negative, the infant is considered a healthy carrier. If Macroduct and Nanoduct differ, the result of the Macroduct is used as decision criteria. If no Macroduct result is available, decisions on further evaluations are based on the Nanoduct. If no sweat test result is available, decision on further evaluation is based on the screening results and fecal elastase.³⁰ In any case, Macroduct sweat tests are repeated until a chloride result is obtained.

1. Macroduct sweat collection system and chloride determination

The Macroduct test was performed according to current guidelines; a pilocarpine iontophoretic stimulation was followed by sweat collection with the Macroduct collector system.^{14,17} Sweat chloride concentration (in mmol/L) was measured by coulometry in all 8 paediatric CF centres (most used Chloridometer FGKO, Kreienbaum Neosience GmbH, 40674 Langenfeld, Germany). Sweat chloride of ≥ 60 mmol/L was considered diagnostic for CF, values from 30-59 mmol/L as intermediate.³

2. Nanoduct sweat test analysis system

The Nanoduct system induces and analyses sweat in situ while attached to the child.^{20,21} Conductivity is expressed as *mmol/l eq NaCl*. This is not equal to a quantitative chloride measurement and is approximately 15-23 mmol/L higher than the sweat chloride because of additional anions such as lactate and bicarbonate.^{17,18,21} A value \geq 80 mmol/L was considered consistent with the diagnosis of CF, values from 50-79 mmol/L as intermediate.^{13,17,21,23} In healthy newborns at the age of 3-4 weeks, mean conductivity was 36 mmol/L with a range of 12-64.³¹

3. CFTR mutation analysis

Genomic DNA was extracted from peripheral blood cells. In a first step, the laboratory tested for 50 mutations using a Multiplex-PCR and Amplification Refractory Mutation System (ARMSTM; ELUCIGENE[®] CFEU2v1 Kit).^{26,27} When fewer than 2 mutations were detected,

the entire coding sequence of the CFTR gene was screened, including intron/exon boundaries, promoter region, and tests for deletions and duplications.

Definition of final diagnosis

For the purpose of this study, we defined the final diagnosis (the "criterion standard") solely on the genetic mutations according to the CFTR2 (<u>www.cftr2.org</u>) or CFTR1 (<u>http://www.genet.sickkids.on.ca/app</u>) database or the American College of Medical Genetics and Genomics (ACMG)-Criteria³²: a CF diagnosis was made if two CF-causing mutations were present, a Cystic Fibrosis Screen Positive, Inconclusive Diagnosis (CFSPID) if two CFTR mutations were present and at least one of them was not CF-causing (all newborns in our study that received the full genetic workup had two mutations identified).³³ We then assessed the diagnostic performance of the two sweat tests by comparing them to this diagnostic criterion standard.

To calculate the true negative and false negative sweat test results we needed to be sure that none of the children with a negative sweat test result had in fact CF. To ensure this, all children (born 2011-2015) diagnosed with CF based on clinical symptoms (outside the CF-NBS) are reported to the central database by the clinicians of the CF-centres.

Data collection

All positively screened children are registered in a central database. Clinical data, diagnostic test results and genetic mutations are reported by the physicians. This analysis used the following data: date of birth, sex, birth institution, birth weight, gestational age, CF-centre, final diagnosis, CFTR mutations and sweat test results including number of tests attempted, number of successful tests, age and weight at each test, and chloride and conductivity results.

Statistical analysis

- 8 -

We included all sweat tests performed at an age ≤ 90 days. To assess the feasibility of the tests, we used the first attempted sweat test. For the other analyses we used the first successful sweat test result (i.e. the first sweat test with a usable result). Macroduct and Nanoduct results are described separately. A sweat test was considered successful if judged as such by the performing clinician/technician and a sufficient amount of sweat was collected (quantity not successful (QNS) = $<15\mu$ l for Macroduct and $<1g/m^2/min$ for Nanoduct (shown on the display)).

We compared the proportion of successful tests (feasibility) at first attempt, overall and stratified by child age and weight. Using logistic regression we determined whether the child's age or weight was predictive for the sweat test success.

We calculated the following screening parameters for the Macroduct and Nanoduct to compare the diagnostic performance of the tests in identifying children with and without CF: sensitivity and specificity, false negative rate, false positive rate, positive predictive value, and negative predictive value. We calculated these parameters for a intermediate chloride cut-off of 30 mmol/L (Macroduct) and a conductivity cut-off of 50 mmol/L (Nanoduct), the defined cut-offs of the Swiss CF-NBS, which determine whether a child is further evaluated with genetic analysis or released as healthy²⁸. We compared the areas under the receiver operating characteristic (ROC) curves to test for a significant difference of the sensitivity and specificity between the Macroduct and Nanoduct sweat test. Further, for children with both Macroduct and Nanoduct data, we plotted chloride versus conductivity in relation to the final diagnosis, and did Bland-Altman and Bias plots. These two plots allow the identification of any systematic differences between the two sweat test systems across the range of chloride/conductivity levels.

We investigated whether the diagnostic performance of the Macroduct alone could be improved by taking into account the Nanoduct result. For this, we considered two scenarios:

-9-

following up all children who 1) had either a positive Macroduct (chloride $\geq 60 \text{ mmol/L}$) or Nanoduct (conductivity $\geq 80 \text{ mmol/L}$), or 2) had either a intermediate Macroduct (chloride $\geq 30 \text{ mmol/L}$) or Nanoduct (conductivity $\geq 50 \text{ mmol/L}$).

All analyses were performed in STATA, version 14 (StataCorp LP, College Station, Texas, USA) and a p-value of <0.05 was considered statistically significant.

Results

Characteristics of study population

Over five years, 445 infants were screened positive and referred to a CF-centre for diagnostics (**E-figure 2**). Among these, 432 came to the CF-centre and 413 (50% boys, 91% born in a hospital, **Table 1**) had at least one sweat test performed by age \leq 90 days. Both tests were attempted in 371 infants, and for 229 both yielded a usuable result. CF was diagnosed in 114 infants (28% of 413), 16 (4%) had an inconclusive diagnosis (CFSPID), and 283 (69%) were classified as healthy (**Table 1**). Overall, we performed 924 sweat tests: 458 Macroduct tests in 382 infants and 466 Nanoduct tests in 402. On average, infants were 22 days old (range 4–90 days) at the time of the first sweat test and weighed 3745g (range 2350–6830g).

Feasibility of Macroduct and Nanoduct

Overall, a Macroduct test was attempted in 382 infants and successful in 229 (60%, **Table 2**). Proportions of successful tests ranged from 47% to 83% in the CF-centres (**Figure 1A**). A Nanoduct test was attempted in 402 infants and successful in 317 (79%, range between centres, 57%–91%). The main reason for unsuccessful tests in both systems was an insufficient amount of sweat. The Macroduct failed significantly more often than the Nanoduct. This was true overall and within weight categories (all p<0.001; **Figure 1B**). Among the 149 infants (40%) with unsuccessful Macroduct tests at first attempt, 89 had a valid Nanoduct test result. Of these, 29 had CF and were correctly identified by the Nanoduct test at first attempt, 18 had successful Macroduct results. Of these, 5 had CF and were correctly identified by the Macroduct with chloride \geq 60 mmol/L.

The proportion of successful tests increased with increasing weight (p<0.001 for both tests; **Figure 1B**). Age was associated with test success in the univariable analysis but only

weight remained an independent predictor in the adjusted model with an odds ratio (OR) of 3.0 (95% confidence interval [CI] 1.9–4.7) per kg increase in weight for the Macroduct, and an OR=3.5 (95% CI 2.1–6.0) per kg for the Nanoduct.

Diagnostic performance of Macroduct compared to Nanoduct

Overall, both sweat test systems discriminated well between infants with and without CF (**E-table 1**). However, within the CF patients there was one infant with a normal chloride level and two infants with normal conductivity levels; all three had 2 CF-causing mutations and were pancreatic insufficient. One healthy infant had a conductivity \geq 80 mmol/L.

The clinical sensitivity of the Macroduct test system (for the intermediate cut-off of chloride \geq 30) was 99%, and it was 98% for the Nanoduct system (for the intermediate cut-off of conductivity \geq 50; **Table 3**); the clinical specificity of each was 93% and 79%, respectively (p-value comparing ROC curves=0.033). The positive predictive values of Macroduct and Nanoduct were 84% and 62%, respectively.

The scatterplot comparing chloride and conductivity results for the same infant (n=229) resulted in an estimated linear regression line with an intercept of 29.4 mmol/L and a slope of 0.78 (95% CI 0.73–0.83, **Figure 2**). The Bland-Altman plot showed that conductivity was on average 22.0 mmol/L higher than the chloride concentration. However, the difference decreased with increasing mean chloride/conductivity levels with a slope of -0.13 for the estimated linear regression line (95%CI -0.19 – -0.07; **Figure 3**). The same was true for the Bias plot comparing the difference between conductivity and chloride to the chloride level (slope of estimated linear regression -0.22 [95%CI -0.27 – -0.16]; **E-figure 3**).

Diagnostic performance of Macroduct and Nanoduct together

We investigated whether the diagnostic performance of the current criterion standard Macroduct could be improved by the Nanoduct results (**Table 3**). First of all, more infants had a valid test result when considering both tests (n=371, compared to 258 for only the Macroduct). Had we followed-up every child with a positive Macroduct or Nanoduct test result (Macroduct CF positive cut-off of chloride \geq 60 or Nanoduct CF positive cut-off of conductivity \geq 80), the sensitivity of the sweat test would have decreased from 99% for the Macroduct alone to 92%. However, with only 1 false positive child the specificity would have improved to almost 100% (compared to 93% of the Macroduct alone). On the other hand, had we had followed-up every child with a intermediate Macroduct or Nanoduct (Macroduct intermediate cut-off of conductivity \geq 50) the sensitivity would have increased to 99% (compared to 98.5% for the Macroduct alone), but so would the number of false positive test results (to yield a specificity of 78%).

Discussion

This study, done in a real-life context of a national newborn screening programme found that only 60% of Macroduct tests were successful at first attempt, with considerable variation between centres. The Nanoduct was more often successful (79%) and as sensitive as the Macroduct in identifying newborns with CF (sensitivity 98% vs. 99%, respectively), but less specific (specificity 79% vs. 93%). Considering the Nanoduct result in addition to the Macroduct alone could not improve "the Swiss" sensitivity/specificity of the diagnostics, however, 29 children with an unsuccessful Macroduct at first attempt could be correctly identified as having a CF on the basis of genotype analysis, directed by a positive Nanoduct result ≥80 mmol/L.

Strengths and limitations

This is a prospective, population-based, long-term study that closely evaluated the Swiss CF-NBS programme since its beginning in January 2011. The study reflects the daily clinical practice including the eight Swiss paediatric CF-centres and other relevant partners (national NBS and genetic laboratories). Within the study, we collect a variety of variables in a central database that included a large cohort of 432 children. All centres have indicated that they have performed the sweat tests according to current guidelines, but checking all procedures of each centre during a sweat test symposium in 2016 (after the study period) revealed variations in procedure and materials between CF-centres, which reflects findings of a recent European survey of real life practice of sweat testing.³⁴

Comparison with other studies

The collection of a sufficient amount of sweat to measure chloride in infants is challenging, and studies report between 0% and 40% invalid Macroduct tests in children <3 months of age.⁸⁻¹¹ In our study test success increased with weight, and was higher for Nanoduct than for

Macroduct, which is in line with our previous single-centre²¹ and multicentre²² studies, and studies from other groups.^{24,35} However, the proportion of unsucessful tests, 40% for the Macroduct (range between centres 17–53%) and 21% for the Nanoduct (range between centres 9–43%), was higher than in our previous and international studies. In our previous studies we had 15% and 18% of unsucessful Macroduct tests and 3% and 6% of unsuccessful Nanoduct tests. In the Dutch study by Vernooij-van Langen et al., the proportion of unsucessful results was 7.5% for Nanoduct, and 22% for Macroduct.²⁴ One reason for these differences might be that he Swiss CF-NBS assesses newborns earlier and with lower weight than other studies. Furthermore we do each test only once (Macroduct on one arm and Nanoduct on the other arm) whereas others perform the same test twice in parallel. Lastly, ours was a nationwide study including all CF-centres with differently experienced staff and different methods.

For our chloride cut-off of 30 mmol/L, we had a clinical sensitivity of 99%, specificity of 93%, and positive predictive value (PPV) of 84%. The only study using a similar cut-off (34 mmol) was the Polish study by Sands and colleagues including 487 infants (45 with CF) over 3 years (2006–2009).²³ For their cut-off, they reported a Macroduct sensitivity of 100%, a specificity of 98%, and a PPV of 80%. For our conductivity cut-off of 50 mmol/L, we calculated a sensitivity of 98%, specificity of 79%, and a PPV of 62%. The study by Sands et al. with the same cut-off, reported a Nanoduct sensitivity of 100%, a specificity of 98% and a PPV of 79%. However, these two studies are difficult to compare. For the current analysis, we have explicitly used the CFTR genotype interpreted by the CFTR2/CFTR1 databases and the ACMG criteria as standard for the final diagnosis. Only this approach allows calculating the independent performance of the sweat test in discriminating CF patients from healthy individuals. The Polish study by Sands has, however, included the sweat test result in addition

- 15 -

to genetic mutation in the definition of their final diagnosis which will increase the sensitivity and specificity of the test.³⁶

Interpretation of results and clinical implications

The proportion of unsuccessful sweat tests in the Swiss CF-NBS is too high and we are striving to improve this. Small differences in the conduct of the sweat test across centres might be a reason for the high proportion of unsuccessful sweat tests. As a result of this study, we have tried to find out reasons for the high proportion of unsuccessful sweat tests and found out that one centre did not properly clean the skin before the sweat test, and another centre has sent the Macroduct collector to the laboratory without proper sealing. A few centres have collected the sweat for more than 30 minutes, and one centre had a not properly working induction apparatus. This emphasizes the importance to pay attention to the technical details of sweat testing and train staff to exactly follow the official guidelines when performing sweat tests.⁵⁻⁷ Because of these results, the PI of the study [JB] now visited different CF-centres in Europe with better sweat test results to identify differences between their and the Swiss procedures. We organized a workshop for all the Swiss paediatric CF centres to bring this expertise to Switzerland and discuss the procedures in Swiss centres, particularly in centres with a low proportion of successful tests. We will closely observe whether this initiative will improve performance or further actions need to be taken, for example reducing the number of national diagnostic centres so that the staff is more experienced. For a small country like Switzerland (8.2 million inhabitants), eight CF-centres are rather many resulting in only a few newborns tested per year in the smaller centres.

Overall, we had three false negative sweat test results, one with Macroduct and two with Nanoduct. A chloride measurement of 10 mmol/L in a child with CF and pancreatic insufficient is physiologically not possible and must be a technical failure (e.g. incorrect cleaning of the skin before sweat collection or dilution in the laboratory).³⁷ The two Nanoduct

- 16 -

results of 49 and 47 mmol/L in children with CF are most likely due to a technical problem as the conductivity level should be higher than the according chloride value of these children (77 and 89 mmol/L, respectively). We could not determine the reason for the only false positive Nanoduct result of 80 mmol/L in a healthy carrier.

All studies comparing the Nanoduct and Macroduct sweat test systems (including ours), found that the Nanoduct yields a higher proportion of successful tests in newborns.^{23,24} We found that a Nanoduct result was obtained in 89 infants in whom no Macroduct result could be obtained. Among these, 29 had CF and were correctly identified with conductivity \geq 80 mmol/L. Thanks to the available Nanoduct result, a presumptive diagnosis could be made in these children and appropriate CF treatment was started.³⁸ This is important to reduce the stressful time of uncertainty in parents awaiting a final diagnosis.²⁹ In the Swiss NBS, at the first visit in the CF-centre we therefore recommend simultaneously performing a Macroduct and a Nanoduct sweat test to increase the probability of at least one successful sweat test result. In any case, it is necessary at some stage to confirm the diagnosis with a sweat chloride measurement. This is important because sweat chloride is a main outcome in studies with CFTR-modulators and the only diagnostic measure for CF accepted by current American and European guidelines.^{5,6,39} However, we cannot say whether performing Macroduct and Nanoduct simultaneously, one at each arm, yields more successful tests than performing two Macroducts simultaneously. This needs to be investigated in a randomized controlled trial.

We also looked at whether we could improve the clinical sensitivity and specificity of the current criterion standard Macroduct by taking into account the results of the Nanoduct test as well. We found that this approach could reduce the number of false positives, but only at the cost of a reduced sensitivity.

Conclusion

- 17 -

The Nanoduct more often yields a successful result due to its lower sweat weight requirement. In the presence of high Macroduct failure rates, we therefore suggest performing the Nanoduct sweat test in addition to the Macroduct for the diagnostic evaluation within the CF-NBS. This is especially relevant in very young newborns with a low weight, where there is a high probability of not getting enough sweat for a chloride measurement. The Nanoduct can add to the diagnostic matrix when sweat collection for the Macroduct is insufficient. This can hasten the diagnosis, which is important to start appropriate treatment as early as possible and reduce the stressful time of uncertainty for parents.

Acknowledgements

For their assistance with our study, we thank the lab technicians of the Swiss Newborn Screening Laboratory at the University Children's Hospital of Zürich, and of the molecular diagnostics laboratory at the University Children's Hospital of Bern, Switzerland. We also thank Christopher Ritter for his editorial assistance.

Author contributions

Conceived and designed the study: CSR, CEK, JB; conducted the study: CSR, CEK, JB, MJ, SG; analyzed the data: CSR; contributed patients: AJ, CC, JB; wrote the paper: CSR, CEK, JB, MJ, SG, AJ, CC; approved the final draft of the manuscript: CSR, CEK, JB, MJ, SG, AJ, CC.

Financial support

The study was funded by the Swiss CF Society, Telethon foundation, the cantonal lung leagues of Berne, Solothurn, St.Gallen, Ticino, Vaud, and Zurich, and unrestricted grants from the drug companies Novartis, AstraZeneca, GlaxoSmithKline, Vifor, Solvay, and Abbott. CSR has received funding from the European Union Seventh Framework Programme (FP7-PEOPLE-2013-COFUND) under grant agreement n° 609020 - Scientia Fellows. MJ is supported by a grant from the Swiss National Science Foundation to CK (PDFMP3-137033).

Conflict of interest statement

There are no conflicts of interest for any of the authors.

References

1. Castellani C, Massie J, Sontag M, Southern KW. Newborn screening for cystic fibrosis. The Lancet Respiratory medicine 2016;4(8):653-661.

2. Castellani C, Southern KW, Brownlee K, Dankert Roelse J, Duff A, Farrell M, Mehta A, Munck A, Pollitt R, Sermet-Gaudelus I, Wilcken B, Ballmann M, Corbetta C, de Monestrol I, Farrell P, Feilcke M, Férec C, Gartner S, Gaskin K, Hammermann J, Kashirskaya N, Loeber G, Macek Jr M, Mehta G, Reiman A, Rizzotti P, Sammon A, Sands D, Smyth A, Sommerburg O, Torresani T, Travert G, Vernooij A, Elborn S. European best practice guidelines for cystic fibrosis neonatal screening. Journal of Cystic Fibrosis 2009;8(3):153-173.

3. Farrell PM, Rosenstein BJ, White TB, Accurso FJ, Castellani C, Cutting GR, Durie PR, LeGrys VA, Massie J, Parad RB, Rock MJ, Campbell Iii PW. Guidelines for Diagnosis of Cystic Fibrosis in Newborns through Older Adults: Cystic Fibrosis Foundation Consensus Report. The Journal of Pediatrics 2008;153(2):S4-S14.

 Farrell PM, White TB, Ren CL, Hempstead SE, Accurso F, Derichs N, Howenstine M, McColley SA, Rock M, Rosenfeld M, Sermet-Gaudelus I, Southern KW, Marshall BC, Sosnay PR. Diagnosis of Cystic Fibrosis: Consensus Guidelines from the Cystic Fibrosis Foundation. Journal of Pediatrics 2017;181:S4-S15.

5. LeGrys VA, Yankaskas JR, Quittell LM, Marshall BC, Mogayzel PJ, Jr. Diagnostic sweat testing: the Cystic Fibrosis Foundation guidelines. J Pediatr 2007;151(1):85-89.

Guidelines for the Performance of the Sweat Test for the Investigation of Cystic
 Fibrosis in the UK - An Evidence Based Guideline. Birmingham: Royal College of
 Paediatrics and Child Health; 2014.

 Sweat testing: Sample collection and quantitative chloride analysis; approved guideline. Wayne, Pennsylvania, USA: Clinical and Laboratory Standards Institute; 2009.

 LeGrys VA, McColley SA, Li Z, Farrell PM. The need for quality improvement in sweat testing infants after newborn screening for cystic fibrosis. J Pediatr 2010;157(6):1035-1037.

9. Eng W, LeGrys VA, Schechter MS, Laughon MM, Barker PM. Sweat-testing in preterm and full-term infants less than 6 weeks of age. Pediatr Pulmonol 2005;40(1):64-67.

Kleyn M, Korzeniewski S, Grigorescu V, Young W, Homnick D, Goldstein-Filbrun
 A, Schuen J, Nasr S. Predictors of insufficient sweat production during confirmatory testing
 for cystic fibrosis. Pediatr Pulmonol 2011;46(1):23-30.

11. Laguna TA, Lin N, Wang Q, Holme B, McNamara J, Regelmann WE. Comparison of quantitative sweat chloride methods after positive newborn screen for cystic fibrosis. Pediatr Pulmonol 2012;47(8):736-742.

Gibson LE, Cooke RE. A test for concentration of electrolytes in sweat in cystic
 fibrosis of the pancreas utilizing pilocarpine by iontophoresis. Pediatrics 1959;23(3):545-549.

13. Mastella G, Di Cesare G, Borruso A, Menin L, Zanolla L. Reliability of sweat-testing by the Macroduct collection method combined with conductivity analysis in comparison with the classic Gibson and Cooke technique. Acta Paediatr 2000;89(8):933-937.

14. Baumer JH. Evidence based guidelines for the performance of the sweat test for the investigation of cystic fibrosis in the UK. Arch Dis Child 2003;88(12):1126-1127.

Massie J, Greaves R, Metz M, Wiley V, Graham P, Shepherd S, Mackay R.
 Australasian Guideline (2nd Edition): an Annex to the CLSI and UK Guidelines for the
 Performance of the Sweat Test for the Diagnosis of Cystic Fibrosis. The Clinical Biochemist
 Reviews 2017;38(3):115-130.

16. Cole DE, Boucher MJ. Use of a new sample-collection device (Macroduct) in anion analysis of human sweat. Clin Chem 1986;32(7):1375-1378.

17. Hammond KB, Turcios NL, Gibson LE. Clinical evaluation of the macroduct sweat collection system and conductivity analyzer in the diagnosis of cystic fibrosis. J Pediatr 1994;124(2):255-260.

Heeley ME, Woolf DA, Heeley AF. Indirect measurements of sweat electrolyte
 concentration in the laboratory diagnosis of cystic fibrosis. Arch Dis Child 2000;82(5):420 424.

 Lezana JL, Vargas MH, Karam-Bechara J, Aldana RS, Furuya ME. Sweat conductivity and chloride titration for cystic fibrosis diagnosis in 3834 subjects. J Cyst Fibros 2003;2(1):1-7.

20. Webster HL, Quirante CG. Micro-flowcell conductometric sweat analysis for cystic fibrosis diagnosis. Ann Clin Biochem 2000;37 (Pt 3):399-407.

21. Barben J, Ammann RA, Metlagel A, Schoeni MH. Conductivity determined by a new sweat analyzer compared with chloride concentrations for the diagnosis of cystic fibrosis. The Journal of Pediatrics 2005;146(2):183-188.

22. Desax M-C, Ammann R, Hammer J, Schoeni M, Barben J, Group ObotSPRR. Nanoduct® sweat testing for rapid diagnosis in newborns, infants and children with cystic fibrosis. European Journal of Pediatrics 2008;167(3):299-304.

23. Sands D, Oltarzewski M, Nowakowska A, Zybert K. Bilateral sweat tests with two different methods as a part of cystic fibrosis newborn screening (CF NBS) protocol and additional quality control. Folia Histochem Cytobiol 2010;48(3):358-365.

24. Vernooij-van Langen A, Dompeling E, Yntema JB, Arets B, Tiddens H, Loeber G, Dankert-Roelse J. Clinical evaluation of the Nanoduct sweat test system in the diagnosis of cystic fibrosis after newborn screening. Eur J Pediatr 2015;174(8):1025-1034.

25. Sezer RG, Aydemir G, Akcan AB, Paketci C, Karaoglu A, Aydinoz S, Bozaykut A. Nanoduct sweat conductivity measurements in 2664 patients: relationship to age, arterial blood gas, serum electrolyte profiles and clinical diagnosis. Journal of clinical medicine research 2013;5(1):34-41.

26. Barben J, Gallati S, Fingerhut R, Schoeni MH, Baumgartner MR, Torresani T. Retrospective analysis of stored dried blood spots from children with cystic fibrosis and matched controls to assess the performance of a proposed newborn screening protocol in Switzerland. Journal of Cystic Fibrosis 2012;11:332-336.

27. Torresani T, Fingerhut R, Rueegg CS, Gallati S, Kuehni CE, Baumgartner MR, Barben J. Newborn screening for cystic fibrosis in Switzerland--consequences after analysis of a 4 months pilot study. J Cyst Fibros 2013;12(6):667-674.

28. Rueegg CS, Kuehni CE, Gallati S, Baumgartner M, Torresani T, Barben J. One-year evaluation of a neonatal screening program for cystic fibrosis in Switzerland. Deutsches Aerzteblatt International 2013;110(20):356-363.

29. Rueegg CS, Barben J, Hafen GM, Moeller A, Jurca M, Fingerhut R, Kuehni CE.
Newborn screening for cystic fibrosis - The parent perspective. J Cyst Fibros 2016;15(4):443451.

30. Barben J, Rueegg CS, Jurca M, Spalinger J, Kuehni CE. Measurement of fecal elastase improves performance of newborn screening for cystic fibrosis. J Cyst Fibros 2016;15(3):313-317.

31. Kuehni CE, Schindler M, Mazur A, Malzacher A, Hornung R, Barben J. Feasibility and normal values of an integrated conductivity (Nanoduct) sweat test system in healthy newborns. J Cyst Fibros 2017;16(4):465-470.

32. Richards S, Aziz N, Bale S, Bick D, Das S, Gastier-Foster J, Grody WW, Hegde M, Lyon E, Spector E, Voelkerding K, Rehm HL. Standards and guidelines for the interpretation

of sequence variants: a joint consensus recommendation of the American College of Medical Genetics and Genomics and the Association for Molecular Pathology. Genetics in medicine : official journal of the American College of Medical Genetics 2015;17(5):405-424.

33. Munck A, Mayell SJ, Winters V, Shawcross A, Derichs N, Parad R, Barben J, Southern KW. Cystic Fibrosis Screen Positive, Inconclusive Diagnosis (CFSPID): A new designation and management recommendations for infants with an inconclusive diagnosis following newborn screening. J Cyst Fibros 2015;14(6):706-713.

34. Cirilli N, Southern KW, Buzzetti R, Barben J, Nahrlich L, Munck A, Wilschanski M,
De Boeck K, Derichs N. Real life practice of sweat testing in Europe. J Cyst Fibros
2017;17(3):325-332.

35. Parad RB, Comeau AM, Dorkin HL, Dovey M, Gerstle R, Martin T, O'Sullivan BP. Sweat testing infants detected by cystic fibrosis newborn screening. J Pediatr 2005;147(3 Suppl):S69-72.

36. Lalkhen AG, McCluskey A. Clinical tests: sensitivity and specificity. Continuing Education in Anaesthesia, Critical Care & Pain 2008;8(6):221-223.

Beauchamp M, Lands LC. Sweat-testing: a review of current technical requirements.Pediatr Pulmonol 2005;39(6):507-511.

38. Borowitz D, Robinson KA, Rosenfeld M, Davis SD, Sabadosa KA, Spear SL, Michel SH, Parad RB, White TB, Farrell PM, Marshall BC, Accurso FJ. Cystic Fibrosis Foundation Evidence-Based Guidelines for Management of Infants with Cystic Fibrosis. The Journal of Pediatrics 2009;155(6, Suppl. 4):S73-S93.

39. Accurso FJ, Rowe SM, Clancy JP, Boyle MP, Dunitz JM, Durie PR, Sagel SD, Hornick DB, Konstan MW, Donaldson SH, Moss RB, Pilewski JM, Rubenstein RC, Uluer AZ, Aitken ML, Freedman SD, Rose LM, Mayer-Hamblett N, Dong Q, Zha J, Stone AJ, Olson ER, Ordonez CL, Campbell PW, Ashlock MA, Ramsey BW. Effect of VX-770 in persons with cystic fibrosis and the G551D-CFTR mutation. N Engl J Med 2010;363(21):1991-2003.

| | n | % ^a |
|--|--------|----------------|
| Child's demographic characteristics | | |
| Year of birth | | |
| 2011 | 80 | 19.4 |
| 2012 | 77 | 18.6 |
| 2013 | 83 | 20.1 |
| 2014 | 101 | 24.5 |
| 2015 | 72 | 17.4 |
| Sex | | |
| Male | 206 | 49.4 |
| Female | 204 | 49.9 |
| Missing | 3 | 0.8 |
| Clinical characteristics | | |
| Birth institution | | |
| Hospital | 373 | 90.3 |
| Birthing centre | 3 | 0.7 |
| Home | 25 | 6.1 |
| Other | 9 | 2.2 |
| Missing | 3 | 0.7 |
| Final diagnosis | | |
| No CF | 283 | 68.5 |
| CF | 114 | 27.6 |
| CFSPID | 16 | 3.9 |
| Sweat tests | | |
| Number of Macroduct tests performed | | |
| No test | 31 | 7.5 |
| 1 test | 315 | 76.3 |
| 2 tests | 60 | 14.5 |
| 3 tests | 5 | 1.2 |
| 4 tests | 2 | 0.5 |
| Number of Nanoduct tests performed | | |
| No test | 11 | 2.7 |
| 1 test | 346 | 83.8 |
| 2 tests | 50 | 12.1 |
| 3 tests | 4 | 1.0 |
| 4 tests | 2 | 0.5 |
| Continuous variables | | |
| | Median | Range |
| Gestational age (w) | 39 | 27-49 |
| Birth weight (g) | 3280 | 480-4660 |
| Age at the first visit in the CF-centre (d) | 22 | 4-90 |
| c | | |
| Weight at the first visit in the CF-centre (g) | 3745 | 2350-6830 |

Table 1. Characteristics of subjects included in the study (N=413).

NOTE: Percentages are based upon available data for each variable.

Abbreviations: CF, cystic fibrosis; CFSPID, cystic fibrosis screen positive inconclusive diagnosis; d, days; g, grams; n, number; w, weeks.

^a Column percentages.

Table 2. Proportion of successful sweat tests at the first attempt and mean weight attest for Macroduct and Nanoduct

| | Macroduct | | Nanoduct | | p-value | | | | |
|--------------------------------------|-----------|--------------|----------|--------------|-------------------|--|--|--|--|
| Proportion of successful sweat tests | | | | | | | | | |
| | Ν | % (95% CI) | Ν | % (95% CI) | | | | | |
| All tests attempted | 382 | | 402 | | | | | | |
| Tests valid | 229 | 60 (55 - 65) | 317 | 79 (75 - 83) | n.a. ^b | | | | |
| Reason tests not valid | | | | | | | | | |
| Not enough sweat | 136 | 89 | 67 | 79 | n.a. ^b | | | | |
| Technical problems | 1 | 1 | 4 | 5 | | | | | |
| Reason unknown | 16 | 10 | 14 | 16 | | | | | |
| Test pairs | 371 | | 371 | | | | | | |
| Tests valid | 222 | 60 (55 - 65) | 293 | 79 (75 - 83) | <0.001 | | | | |

Abbreviations: CF, cystic fibrosis; CI, confidence interval; n.a., not applicable.

^a p-value from chi square statistics and t-test comparing the Macroduct and Nanoduct.

^b p-value not applicable because the Macroduct and Nanoduct results cover different groups of children

(depending whether or not the respective test was attempted).

| | True positives | False negatives | False positives | True negatives | Total tests | Sensitivity | Specificity | PPV | NPV |
|---|-------------------|--------------------|--------------------|-------------------|------------------|-------------|-------------|-----|------|
| MACRODUCT (intermediate cut-off chloride 30-59) ^b | 67 | 1 | 13 | 177 | 258° | 99% | 93% | 84% | 99% |
| NANODUCT (intermediate cut- off conductivity 50-79) ^b | 87 | 2 | 54 | 199 | 342 ^d | 98% | 79% | 62% | 99% |
| MACRODUCT CF positive cut- off chloride ≥60 OR NANODUCT CF positive cut- off conductivity ≥80 | 89 | 8 | 1 | 273 | 371 ^e | 92% | 100% | 99% | 97% |
| MACRODUCT intermediate cut-off chloride ≥30 OR NANODUCT intermediate cut- off conductivity ≥50 | 96 | 1 | 61 | 213 | 371 ^e | 99% | 78% | 61% | 100% |

Table 3. Diagnostic performance for CF^a of the Macroduct and Nanoduct sweat test and a combination of both tests.

Abbreviations: CF, cystic fibrosis; NPV, negative predictive value; PPV, positive predictive value.

^a The final diagnosis was based on the CFTR2 database (<u>http://www.cftr2.org/</u>) at Johns Hopkins University, CFTR1 (<u>http://www.genet.sickkids.on.ca/app</u>)

database at the Hospital for Sick Children in Toronto or the American College of Medical Genetics and Genomics (ACMG)-Criteria.

^b Macroduct chloride of 30mmol/L and Nanoduct conductivity of 50mmol/L are the relevant cut-offs in the Swiss CF-NBS whether a child will be further followed up with genetic analysis and assessment of pancreatic function, or declared as healthy.

^c Overall, we had 262 valid Macroduct tests, but only 258 with information on chloride. For four infants, unfortunately only the osmolarity was provided from the Macroduct sweat test instead of the chloride results.

^d Overall, we had 342 valid Nanoduct tests and conductivity results.

^e Overall, 371 children had at least one valid sweat test result.

Figure legends

Figure 1. Proportion of succesful Macroduct and Nanoduct sweat tests by body weight and CFcentre. Fig 1 shows the proportions and 95% confidence intervals of successful Macroduct and Nanoduct sweat tests stratified by the testing CF-centre (1A) and body weight of the child [in grams] at the time of the testing (1B). The number at the bottom of each bar represents the number of children in each cell. The proportion of successful tests increased with increasing weight of the child (p<0.001 for both tests from univariable logistic regression models). Abbreviations: CF, cystic fibrosis; g, grams.

Legend: Macroduct Nanoduct

Figure 2. Scatterplot comparing Macroduct and Nanoduct test result, by final diagnosis (n=229). Fig 2 shows the respective Macroduct and Nanoduct result for each child with a successful test result in both sweat tests (n=299 test pairs). The first successful sweat test was considered. With the exception of 24 children, all test pairs were performed at the same time point. Abbreviations: CF, cystic fibrosis; CFSPID, cystic fibrosis screen positive inconclusive diagnosis.

Legend:

----- chloride and conductivity cut-off for a intermediate test result

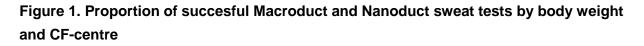
----- chloride and conductivity cut-off for a CF positive test result

____ estimated linear regression line

Figure 3. Bland-Altman plot of differences between sweat test conductivity from Nanoduct and chloride concentration from Macroduct vs. their averages, by final diagnosis (n=229). The Bland-Altman plot shows the difference of the sweat conductivity minus the sweat chloride on the y-axis, plotted against the mean of the conductivity and chloride value on the x-axis. This allows to identify proportional bias, i.e. whether the difference between the two tests is equal throughout the range of sweat test measurements. Abbreviations: CF, cystic fibrosis; CFSPID, cystic fibrosis screen positive inconclusive diagnosis.

Legend:

____ mean of difference _____+/- 1.96 SD _____ estimated linear regression line



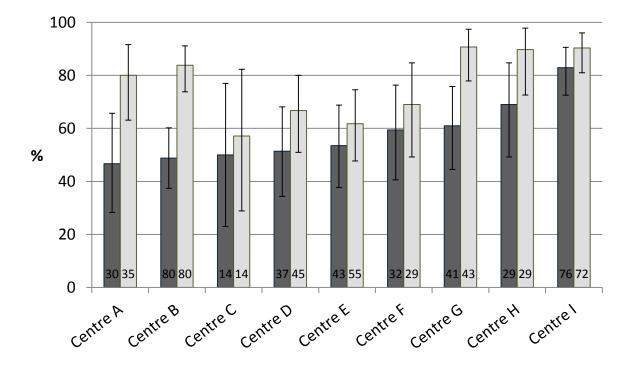
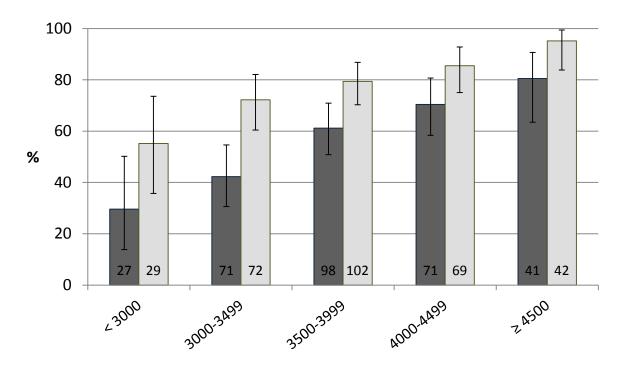


Figure 1A

Figure 1B



Child's weight [g]

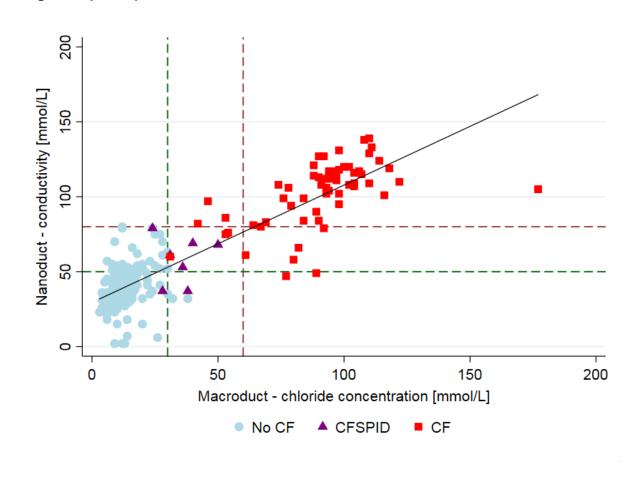
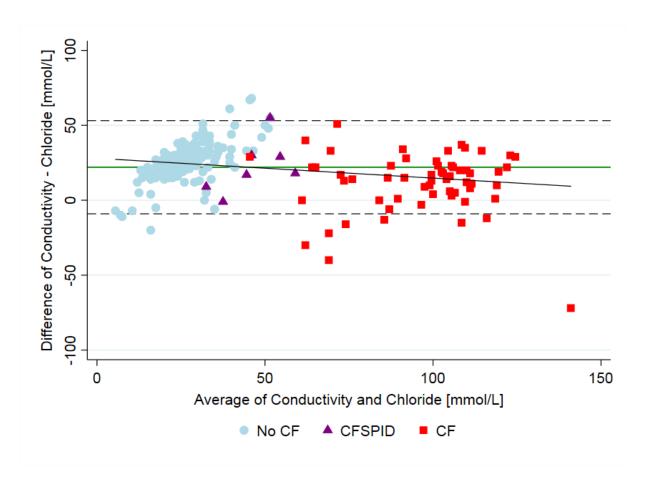


Figure 2. Scatterplot comparing Macroduct and Nanoduct test result, by final diagnosis (n=229)

Figure 3. Bland-Altman plot of differences between sweat test conductivity from Nanoduct and chloride concentration from Macroduct vs. their averages, by final diagnosis (n=229)



| | Sweat chloride (N=258) ^c Macroduct, mmol/L | | | Sweat conductivity (N=342) ^d Nanoduct, mmol/L | | | |
|--------|--|-------|-----|---|-------|-----|--|
| | <30 | 30-59 | ≥60 | <50 | 50-79 | ≥80 | |
| CF | 1 | 7 | 60 | 2 | 10 | 53 | |
| CFSPID | 2 | 6 | 0 | 2 | 8 | 0 | |
| No CF | 175 | 7 | 0 | 197 | 45 | 1 | |

E-Table 1. Macroduct and Nanoduct test results,^a by final diagnosis^b.

Description of false positives and false negatives

| | | | | | Pancreatic | |
|----|----------|--------------------------------|--------------|------------------------|------------------------|-----------|
| | Chloride | Conductivity | Mutatition 1 | Mutation 2 | function | Diagnosis |
| 1. | 10 | test did not work ^e | F508del | F508del | PI | CF |
| 2. | 89 | 49 | F508del | 3905insT | PI | CF |
| 3. | 77 | 47 | F508del | R334W | PI | CF |
| 4. | 12 | 80 | 3905insT | not known ^f | not known ^f | no CF |

Abbreviations: CF, cystic fibrosis; CFSPID, cystic fibrosis screen positive inconclusive diagnosis; PI, pancreatic insufficient.

^a From the first successful test.

^b The final diagnosis was based on the CFTR2 database (<u>http://www.cftr2.org/</u>) at Johns Hopkins

University, CFTR1 (http://www.genet.sickkids.on.ca/app) database at the Hospital for Sick Children in

Toronto or the American College of Medical Genetics and Genomics (ACMG)-Criteria.

 $^{\rm c}$ Overall, we had 262 sucessful Macroduct tests, but only 258 with information on chloride.

^d Overall, we had 342 sucessful Nanoduct tests.

^e Not enough sweat, therefore no conductivity result.

^fNo further diagnostic workup performed in this child because of normal sweat chloride.

Figure legends

E-Figure 1. Diagnostic procedure of the Swiss newborn screening for CF in the CF centre.

Abbreviations: DNA, deoxyribonucleic acid; CF, cystic fibrosis; CFSPID, cystic fibrosis screen positive inconclusive diagnosis; CFTR, cystic fibrosis transmembrane conductance regulator; GP, general practitioner; IRT, immunoreactive trypsinogen; NBS, newborn screening.

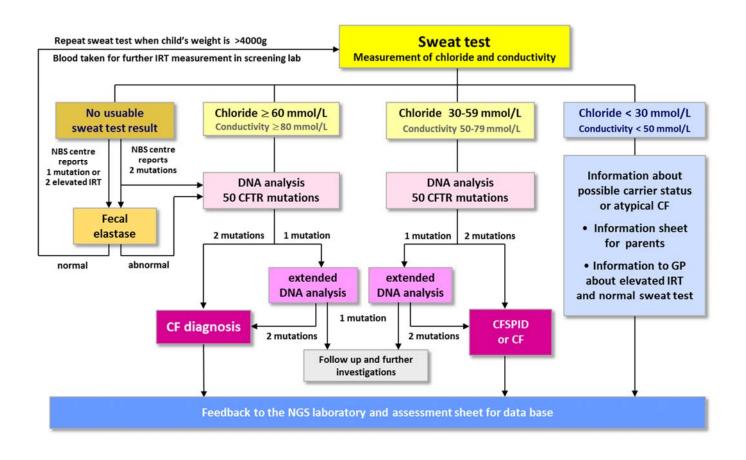
E-Figure 2. Flow diagram of the current study population and tests performed. E-Figure 2 shows the flow diagram of our study population starting from those children who were screened positive for CF and referred to a CF centre to those included in the analysis, as well as the number of Macroduct and Nanoduct tests performed. Abbreviations: CF, cystic fibrosis.

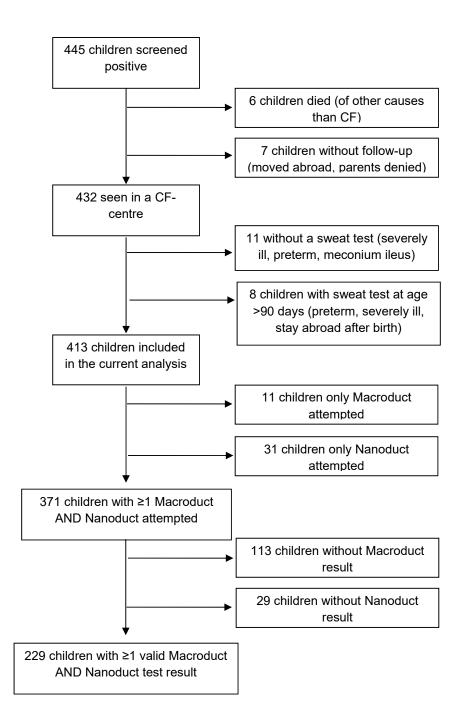
E-Figure 3. Bias plot of differences between Nanoduct conductivity and Macroduct chloride concentration vs. their Macroduct chloride concentration, by final diagnosis (n=229). Abbreviations: CF, cystic fibrosis; CFSPID, cystic fibrosis screen positive inconclusive diagnosis.

Legend:

____ mean of difference ------ +/- 1.96 SD ____ estimated linear regression line

E-Figure 1. Diagnostic procedure of the Swiss newborn screening for CF in the CF centre





E-Figure 2. Flow diagram of the current study population and tests performed

E-Figure 3. Bias plot of differences between Nanoduct conductivity and Macroduct chloride concentration vs. their Macroduct chloride concentration, by final diagnosis (n=229).

