

1 **Agreement between PG-SGA category and fat-free mass in colorectal cancer patients**

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19 **Shortened version of the title:** PG-SGA and fat-free mass in colorectal cancer

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21 **Keywords:** Patient-Generated Subjective Global Assessment, fat-free mass, fat-free mass index,  
22 colorectal cancer, sarcopenia

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24

25 **Abstract**

26 Background and aims: Low fat-free mass (FFM) is associated with adverse outcomes in colorectal  
27 cancer (CRC) patients. Patient-Generated Subjective Global Assessment (PG-SGA) is a widely  
28 used tool developed to detect patients at risk of malnutrition. The aim of this study was to  
29 investigate the concordance between PG-SGA category and FFM in patients with non-metastatic  
30 CRC.

31 Methods: Ninety-seven patients were included and categorized as well nourished (PG-SGA:A,  
32 n=67) or malnourished (PG-SGA:B, n=30). No patients were severely malnourished (PG-SGA: C).  
33 Bioelectrical impedance analysis (BIA) was used to assess FFM. Low FFM was defined as low fat-  
34 free mass index (FFMI) according to cut-off values recently proposed by The European Society for  
35 Clinical Nutrition and Metabolism (ESPEN).

36 Results: Twenty-nine percent of the patients were identified with low FFMI. The proportion with  
37 low FFMI was significantly higher among patients classified as malnourished by PG-SGA  
38 compared to well nourished (p=0.015). The sensitivity was however low, as the PG-SGA  
39 categorization classified only 50.0 % of the patients with low FFMI as malnourished (PG-SGA B).  
40 Using the PG-SGA scores (cut-off point > 4), the sensitivity increased to 60.7 %. Physical  
41 examination in the PG-SGA identified only 64.3 % of the patients with low FFMI as muscle  
42 depleted.

43 Conclusion: our results indicate a low concordance between PG-SGA category and low FFMI  
44 among patients with non-metastatic CRC. In clinical practice, PG-SGA should be supplemented by  
45 muscle mass assessments by BIA or other methods in order to detect low FFM in this patient group.

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## 49 **Introduction**

50 Malnutrition and weight loss in cancer occurs due to a negative energy-and protein balance caused  
51 by a reduced food intake in combination with metabolic alterations induced by the tumor, such as  
52 elevated resting metabolic rate, lipolysis, and proteolysis driven by systemic inflammation and  
53 catabolic factors[1]. Appetite and food intake may also be affected by chemotherapy and  
54 radiotherapy induced side effects such as nausea, vomiting and diarrhea, constipation and changes  
55 in taste and smell.

56 It is now recognized that in particular the loss of fat-free mass (FFM) is linked to adverse outcomes  
57 in cancer patients. Progressive loss of skeletal muscle, the major constituent of FFM, is shown to be  
58 an independent predictor of chemotherapy toxicity[2], post-operative complications[3] and  
59 mortality[4, 5] in cancer patients. Depletion of FFM may occur with or without loss of fat mass, and  
60 may therefore be masked by a stable body weight[6]. Furthermore, weight gain during recovery  
61 may be characterized by an increase in fat mass rather than FFM[7].

62 Loss of skeletal muscle mass may subsequently lead to sarcopenia, defined by the European  
63 Working Group on Sarcopenia in Older People (EWGSOP) as “a syndrome characterized by  
64 progressive and generalized loss of skeletal muscle mass and strength, which is associated with  
65 adverse outcomes such as physical disability, poorer quality of life and death”[8].

66 We have recently demonstrated that low FFM is common in patients with non-metastatic CRC[9].  
67 Low FFM is shown to be associated with reduced survival in patients with non-metastatic primary  
68 CRC[10]. For CRC patients, identification of low FFM and sarcopenia is therefore of clinical  
69 importance since appropriate interventions may improve prognosis. Interventions focusing on  
70 optimizing food intake and reducing nutritional impact symptoms (i.e. symptoms affecting food  
71 intake) may decrease weight loss or facilitate weight gain in cancer patients[11, 12]. Ravasco and  
72 coworkers demonstrated that early individualized nutritional counselling reduced radiotherapy

73 toxicity and improved nutritional status, quality of life and survival in colorectal cancer (CRC)  
74 patients receiving radiotherapy[13]. According to the European Society for Clinical Nutrition and  
75 Metabolism (ESPEN) guidelines on nutrition in cancer patients, nutritional therapy should be  
76 combined with physical therapy, i.e. counseling regarding physical activities of daily life, resistance  
77 and aerobic exercise training, to maintain or increase muscle mass[1].

78 ESPEN recently defined low FFM as FFM index (FFMI) below  $15 \text{ kg/m}^2$  and  $17 \text{ kg/m}^2$ , in females  
79 and males, respectively[14]. FFMI can be estimated by the use of different modalities, including air  
80 displacement plethysmography, labeled water-isotope dilution techniques, dual energy x-ray  
81 absorptiometry (DXA), computed tomography (CT) scans at third lumbar level, and bioelectrical  
82 impedance analysis (BIA)[15]. In clinical practice, access to these methods is limited. The Scored  
83 Patient-Generated Subjective Global Assessment (PG-SGA)[16, 17] is one of few comprehensive  
84 nutritional assessment tools that covers all domains of the definition of malnutrition[18]. The PG-  
85 SGA includes four patient-generated components (weight history, food intake, nutritional impact  
86 symptoms and activities and function) and three professional components (age and diagnosis,  
87 metabolic stress and physical examination). The examination consists of visual inspection and  
88 palpation of muscles, subcutaneous fat and edema. Based on an evaluation of the patient-generated  
89 components and the physical examination, the patients are categorized as well-nourished (PG-SGA  
90 A), moderate/suspected malnutrition (PG-SGA B) or severely malnourished (PG-SGA C). The  
91 scored version also includes numerical scores for each of the components as well as a total  
92 numerical score. PG-SGA is recommended by the Academy of Nutrition and Dietetics as one of the  
93 nutritional assessment tools to use in clinical oncology practice[19]. However, although PG-SGA  
94 includes an evaluation of muscle and fat depletion, it is not known whether PG-SGA is suitable to  
95 detect low FFM in cancer patients. The aim of this study was therefore to investigate the  
96 concordance between PG-SGA category and FFM in patients with non-metastatic CRC.

97

## 98 **Subjects and methods**

### 99 **Patients**

100 Patients were enrolled between August 2013 and March 2015. Eligible patients were women and  
101 men aged 50 to 80 years with a confirmed primary CRC (ICD-10 18-20), and staged I-III according  
102 to the tumor node staging (TNM) system[20]. Patients with distant metastases were not included.  
103 All patients had undergone surgery at Oslo University Hospital or Akershus University Hospital in  
104 Norway.

105 The patients included in this cross-sectional study were recruited from the ongoing randomized  
106 clinical trial (RCT), The Norwegian Dietary Guidelines and Colorectal Cancer Survival (CRC-  
107 NORDIET) study[21]. All measurements were performed prior to the diet intervention. The CRC-  
108 NORDIET study was carried out in accordance to the Helsinki Declaration and informed consent  
109 was obtained from all participants. The study was approved by the Regional Committees for  
110 Medical and Health Research Ethics (REC Protocol Approval 2011/836) and by the data protection  
111 officials in Oslo University Hospital and Akershus University Hospital, and registered on the  
112 National Institutes of Health Clinical Trials ([www.ClinicalTrials.gov](http://www.ClinicalTrials.gov); Identifier: NCT01570010).  
113

### 114 **Measurements**

115 All measurements were conducted at start of the clinical trial (2-9 months post-surgery) and were  
116 performed by trained personnel. The patients were instructed to fast overnight and until all  
117 measurements were completed. They were also asked to void their bladders prior to measurements.

### 118 **Nutritional assessment by the scored PG-SGA**

119 A Norwegian version of the scored PG-SGA (15-004 v10.13.16) was used in the present study, and  
120 permission for use was given by the copyright holder of the instrument. The assessment was carried

121 out by trained registered clinical dietitians, and the scoring was controlled by one researcher (H.R).  
122 Patients were classified as well-nourished (PG-SGA A), moderate/suspected malnutrition (PG-SGA  
123 B) or severely malnourished (PG-SGA C). Patients classified as PG-SGA B is hereafter called  
124 “malnourished” for simplicity. Each section of the PG-SGA was scored according to the guidelines  
125 and a total PG-SGA score was calculated for each patient [22].

126 Total PG-SGA score in the range of 4-8 indicates need of an intervention supervised by a dietitian  
127 targeting the reported symptoms, and total PG-SGA score  $\geq 9$  indicates a critical need of a  
128 nutritional intervention[16]. The number of patients with scores below and above 4 and 9 was  
129 therefore identified. The PG-SGA includes registration of current body weight as well as body  
130 weight one month and six months prior to assessment. According to the guidelines [22], scoring of  
131 weight loss should preferably be based on weight history in the last month instead of the last six  
132 months. Weight loss was therefore calculated by subtracting the current weight from the one-month  
133 weight.

134 Muscle wasting was investigated by visual inspection and palpation of muscles with loss of bulk  
135 and tone in temporal areas, deltoids and quadriceps indicating muscle depletion. The triceps and  
136 midaxillary line at the level of the lower ribs were investigated with regard to depletion of  
137 subcutaneous fat. Ankles were examined for the presence of edema. The degree of muscle and fat  
138 depletion was evaluated and rated as 0 (normal) to 3 (severe deficit) [22]. All dietitians underwent  
139 training in the PG-SGA procedure, as training has been shown to increase comprehensibility [23]

140

#### 141 **Body weight, height and body mass index (BMI)**

142 Body weight was measured by the use of a non-slip Marsden M-420 Digital Portable Floor Scale  
143 (Marshden, Rotherham, South Yorkshire, United Kingdom) or a digital wireless measuring station  
144 for height and weight, Seca 285 (Seca, Birmingham, United Kingdom). Measurements were

145 performed with patients wearing light clothes and no shoes. Body weight was subtracted by 0.5 kg  
146 to adjust for clothing. Height (cm) was measured post-surgery by the use of either a mechanical  
147 height rod (Kern MSF- 200) or a digital wireless stadiometer (Seca 285). BMI was calculated based  
148 on recorded weight and height.

149

## 150 **BIA**

151 To obtain FFM estimates, a single frequency whole-body BIA, BIA 101 (SMT Medical, Würzburg,  
152 Germany) was used. BIA measures body composition indirectly by measuring the impedance (i.e  
153 the resistance and reactance) of a low-voltage current passing through the body. FFM is then  
154 calculated by the BIA software, which utilizes the impedance data in empiric regression equations  
155 incorporated in the software. We have previously validated BIA against DXA in a subgroup of  
156 CRC patients included in the CRC-NORDIET study[9].

157 BIA was performed under standardized conditions according to the manufacturer`s protocol.

158 Measurements were performed by placing two skin electrodes on the right hand and two electrodes  
159 on the right foot of the patient when lying in supine position. The device applies current of 400  $\mu$ A  
160 at a constant frequency of 50 kHz.

161

## 162 **Determination of low FFMI and sarcopenia**

163 FFM values from BIA were used to calculate FFMI (FFM (kg)/height (m<sup>2</sup>)). FFMI was grouped into  
164 “low FFMI” (<15 kg/m<sup>2</sup> for women and < 17 kg/m<sup>2</sup> for men) and “normal FFMI” ( $\geq$  15 kg/m<sup>2</sup> for  
165 women and  $\geq$  17 kg/m<sup>2</sup> for men) according to cut-off values for FFMI proposed as part of the new  
166 diagnostic criteria for malnutrition by the ESPEN[14].

167 Patients with sarcopenia were identified by the use of the diagnostic criteria for age-related  
168 sarcopenia as proposed by EWGSOP[8]; presence of low muscle mass (criterion 1) and low muscle  
169 function (strength (criterion 2) or performance (criterion 3)). Criterion 1, and either 2 or 3 must be  
170 present to diagnose sarcopenia. In the current study, we defined low muscle mass as low FFMI.  
171 Low muscle strength was defined as low hand grip strength according to the cut-off values  
172 published by Fried[24]. Grip strength was assessed with a hand grip dynamometer (KERN &  
173 SOHN GmbH, Balingen, Germany) as described in the manufacturer's protocol. We defined low  
174 physical performance as low gait speed **and/or** low number of sit to stands. Gait speed was  
175 measured with a 6-min walk test according to the guidelines from the American Thoracic  
176 Society[25] and gait speed < 1 m/s was defined as "low"[26]. The sit-to-stand test was performed  
177 by instructing the participants to sit on a chair with arms folded across their chest, and then to stand  
178 up and sit down as frequently as possible within 30 s, keeping both arms folded across the chest.  
179 The number of full stands was counted, and stands < 18 and < 22, i.e. the lower cut-off values for  
180 the 95 % CI for a reference population of healthy Norwegian women and men in the age of 60 years,  
181 were defined as "low"[27].

182

### 183 **Statistical analyses**

184 Determination of sample size was performed in accordance to a guide for sample size for sensitivity  
185 and specificity analysis published by Bujang and Adnan in 2016[28]. According to this guide, the  
186 sensitivity for a screening study must be pre-determined to be at least 0.50[28]. We estimated the  
187 prevalence of low FFM in CRC patients to be 33 %, based on our previous findings[9] . Hence, a  
188 minimum sample size of 67 patients would be needed to achieve a minimum power of 80 % in  
189 order to detect a change in sensitivity from 0.50 to 0.80, based on a significance level of 0.05. Data  
190 were checked for normality using the Kolmogorov-Smirnov test and visual inspection of the



191 histograms. Normally distributed data were presented as means and standard deviations, and non-  
192 normally distributed data as medians and range (minimum-maximum). Pearson chi-square test for  
193 independence or Fisher`s exact test was performed to investigate differences in proportions between  
194 groups. Mann-Whitney test was used to test differences in medians for non-normally distributed  
195 continuous variables. Independent samples t-test was used to explore differences in means for  
196 normally distributed variables. P-values (2-sided)  $\leq 0.05$  were considered significant. Sensitivity  
197 and specificity were calculated to evaluate PG-SGA categories and scores as an assessment tool  
198 with FFMI as reference method. All statistical analyses were performed using SPSS (IBM SPSS  
199 Statistic 22).

200

201 **Results**

202 *Subject characteristics*

203 One hundred and six patients were included in the study and assessed with the PG-SGA tool. Of  
204 these, nine patients were excluded from the analyses due to lack of data needed to determine FFMI.

205 Of the 97 eligible patients, 28 patients (29 %) were identified with low FFMI. Subject  
206 characteristics are shown for patients with low and normal FFMI, respectively (**Table 1**).

207 Fifty-nine percent of the patients had colon cancer, 35 % had rectum cancer and 7 % patients had  
208 rectosigmoid cancer. The median time from CRC surgery to assessments was 4 months (range 1-15).

209 Patients with normal and low FFMI were compared with regard to clinical characteristics. In  
210 general, there were few differences between the groups. There were no significant differences in  
211 gender, cancer localization, TNM stage or proportions receiving neoadjuvant or adjuvant treatment  
212 between the groups. Mean BMI was found to be significantly lower in patients with low FFMI, and  
213 the proportion of underweight patients was significantly higher among patients with low FFMI  
214 compared to patients with normal FFMI ( $p < 0.001$ ). Patients with low FFMI were significantly older  
215 than patients with normal FFMI ( $p = 0.027$ ). This finding was expected since loss of FFM is  
216 associated with increased age.

217

218

219 *Concordance between PG-SGA category and low FFMI*

220 Based on the PG-SGA global assessment, 67 (69.1 %) and 30 (30.9 %) of 97 eligible patients were  
221 categorized as well-nourished (PG-SGA A) and moderately malnourished (PG-SGA B),  
222 respectively (**Table 2**). No patients were categorized as severely malnourished (PG-SGA C). The  
223 proportion of patients with low FFMI estimated by BIA was significantly higher among patients  
224 classified by PG-SGA as malnourished compared to well nourished (46.7 vs 20.9 %,  $p=0.015$ )  
225 (**Table 2**). Furthermore, median PG-SGA total score was found to be significantly higher among  
226 patients with low FFMI compared to patients with normal FFMI (5 vs 3,  $p=0.036$ ). However, the  
227 sensitivity, i.e. the proportion of patients with low FFMI classified as malnourished by PG-SGA  
228 categories, was calculated to only 50.0 %. The specificity, i.e. the proportion of patients with  
229 normal FFMI classified as well nourished by PG-SGA, was found to be 76.8 %. Using the PG-SGA  
230 numerical score, 60.7 % of the patients with low FFMI were identified with score  $> 4$ , i.e. the  
231 lowest cut-off for a nutritional intervention. These results indicate that the PG-SGA global rating  
232 does not have sufficient sensitivity and specificity to detect low FFMI, however, using the PG-SGA  
233 score increase the sensitivity.

234

235 *The individual components of the PG-SGA in patients with low FFMI*

236 In order to elucidate why a significant proportion of the patients with low FFMI (estimated by BIA)  
237 was evaluated as well nourished by the PG-SGA, we investigated the individual components of the  
238 assessment tool (**Table 3**). Regarding all patients with low FFMI independently of PG-SGA  
239 categorization, 66.7 % of the patients reported weight loss within the last 6 months, whereas only  
240 16.7 % reported weight loss the last month, indicating that the patients experienced their weight loss  
241 earlier in the trajectory of the disease, and that the majority of the patients were maintaining or  
242 gaining weight at the time of assessment. Furthermore, 60.7 % reported a normal food intake (i.e.

243 unchanged or increased) the last month and 28.6 % had symptoms affecting food intake.  
244 Furthermore, 53.6 % of the patients reported having reduced activity and function level (**Table 3**).  
245 The sensitivity of PG-SGA examination to detect muscle mass depletion (i.e. visual inspection and  
246 palpation of muscles in temporal areas, deltoids and quadriceps) was calculated. Only 64.3 % of the  
247 patients assessed with low FFMI by BIA were evaluated as muscle depleted by PG-SGA. The  
248 specificity (i.e. proportion of patients with normal FFMI correctly classified with “no deficit”) was  
249 78 %. Taken together, these findings suggest that when investigating the various components of the  
250 PG-SGA in patients with low FFMI, the majority of these patients were weight stable at the time of  
251 assessments, accompanied by a normal food intake (i.e. stable or increased) and no symptoms  
252 affecting food intake. Furthermore, the results indicate that the physical examination does not have  
253 sufficient sensitivity and specificity to detect low FFMI.

254

255 *Comparison of well nourished (PG-SGA A) and malnourished (PG-SGA B) patients among patients*  
256 *with low FFMI*

257 To further elucidate why a significant proportion of the patients with low FFMI was evaluated as  
258 well nourished by the PG-SGA, we selected the patients with low FFMI and compared well  
259 nourished and malnourished patients with regard to the individual components of the PG-SGA.  
260 Patients categorized as PG-SGA A had significantly lower median total PG-SGA score compared to  
261 patients categorized as PG-SGA B (3 vs 6,  $p < 0.001$ ) (**Table 3**). This finding was expected since  
262 PG-SGA category is related to the PG-SGA score. Furthermore, none of the patients with PG-SGA  
263 A reported a reduced food intake, whereas the majority of the patients categorized as PG-SGA B  
264 reported reduced food intake ( $p < 0.001$ ). We found no differences between the groups with regard to  
265 weight loss the last 6 months, weight loss the last month, presence of anorexia, presence of  
266 nutritional impact symptoms or physical function and activity. Among patients identified with

267 “mild to moderate deficit” by the PG-SGA physical examination, a significantly lower proportion of  
268 the patients were classified as PG-SGA A compared to PG-SGA B (27.8 vs 72.2 %,  $p=0.004$ ). As  
269 PG-SGA category was set mainly based on the three components weight loss the last month,  
270 reduced food intake the last month and muscle mass depletion, these findings were quite expected,  
271 except for weight loss that did not differ between the groups. The groups did not differ with regard  
272 to BMI.

273

#### 274 *BMI according to physical examination status among patients with low FFMI*

275 In order to investigate why a high proportion (i.e. 36 %) of the patients with low FFMI were not  
276 detected by the physical exam in the PG-SGA, we investigated if there was a difference in BMI  
277 between patients detected and patients not detected by the PG-SGA within patients with low FFMI  
278 (**Table 4**). Mean BMI was significantly higher in patients not detected by the PG-SGA (24.6 vs  
279 21.5,  $p=0.006$ ). Furthermore, we found a significantly higher proportion of patients with overweight  
280 among these patients compared to those that were found to be muscle depleted (66.7 vs 33.3 %,  $p=0.025$ ).  
281 A possible explanation for this finding may be that high BMI camouflages low muscle  
282 mass in patients with low FFMI.

283

#### 284 *Concordance between PG-SGA category and sarcopenia*

285 In the current study, we also investigated the ability of PG-SGA to detect patients with sarcopenia.  
286 Of 97 patients included in this study, 95 patients were eligible for the diagnosis of sarcopenia, due  
287 to missing data for two patients. About twenty-two % ( $n= 21$ ) of the patients were diagnosed with  
288 sarcopenia (**Table 5**). The proportion of patients with sarcopenia did not significantly differ  
289 between patients classified by PG-SGA as well nourished and malnourished, respectively. PG-SGA

290 classified 42.9 % of the patients with sarcopenia as malnourished. With regard to the PG-SGA  
291 numerical score, we found no difference in median total score when we compared sarcopenic  
292 patients with non-sarcopenic patients. Furthermore, 61.9 % of the patients with sarcopenia were  
293 identified with total PG-SGA score  $> 4$ , i.e. the lowest cut-off for a nutritional intervention. These  
294 results were similar to the results from the analysis of patients with low and normal FFMI. The  
295 sensitivity of PG-SGA to detect patients with sarcopenia was low, however, we observed increased  
296 sensitivity by the use of the PG-SGA scoring.

297

## 298 **Discussion**

299 In this study we investigated the concordance between PG-SGA category and low FFM among  
300 patients with non-metastatic colorectal cancer. About twenty-nine percent of the patients had low  
301 FFMI according to the cut-off values proposed by ESPEN. The PG-SGA categorization classified  
302 only 50 % of these patients as malnourished (PG-SGA B). Use of the PG-SGA total scores  
303 improved sensitivity (61 %). However, only 64 % of the patients with low FFMI assessed by BIA  
304 were evaluated as muscle depleted in the physical examination in the PG-SGA. Our results indicate  
305 that the PG-SGA does not have sufficient sensitivity to detect low FFM.

306

307 Few previous studies have examined the concordance between PG-SGA and low FFM, and to the  
308 best of our knowledge, no studies are performed in non-metastatic patients with CRC. The clinical  
309 implications of muscle depletion and sarcopenia is mainly studied in patients with metastatic cancer,  
310 however, the high percentage of patients with low FFMI in our population suggests that it may have  
311 a broader relevance[9]. Vigano and coworkers examined associations between PG-SGA scores and  
312 features of cancer cachexia in a mixed population of patients with advanced lung and  
313 gastrointestinal cancers. Although they observed that the PG-SGA score was able to predict several  
314 features of cancer cachexia, including decrease of muscle strength and loss of fat mass, PG-SGA  
315 was not able to detect differences in lean body mass[29], in agreement with our results. In patients  
316 with gynecologic cancers, FFM was not found to differ between PG-SGA categories[30]. In the  
317 study performed by Guerra and colleges, FFMI was significantly lower among malnourished  
318 patients according to the PG-SGA in a sample consisting of 455 inpatients with a broad spectrum of  
319 diagnoses[31].

320

321 Our study demonstrated poor specificity and sensitivity for the PG-SGA categories to detect low  
322 FFMI. Only half of the patients with low FFMI were classified as malnourished. Consequently, half

323 of the patients were missed by the use of these categories. The literature on sensitivity and  
324 specificity of PG-SGA to detect low FFM or muscle mass is scarce, however, our findings are in  
325 line with the results reported by Elkan et al, who observed that SGA, the earlier version of PG-SGA,  
326 showed poor sensitivity (46 %) in detection of low FFMI in patients with rheumatoid arthritis  
327 assessed with DXA[32]. Similar to our data, they observed a higher specificity than sensitivity for  
328 SGA.

329

330 In order to investigate why a significant proportion of the patients with low FFMI was categorized  
331 as well nourished, we investigated the individual components of the PG-SGA. We observed that the  
332 majority of the patients with low FFMI were anabolic at the time of assessments, reporting a normal  
333 food intake and no symptoms affecting food intake. Since PG-SGA is developed to detect patients  
334 with malnutrition or patients at risk of malnutrition with main focus on recent weight loss,  
335 nutritional impact symptoms and reduction in food intake, the implication of this is that patients  
336 with prior muscle mass depletion, but a stable or increasing body weight may be categorized as PG-  
337 SGA A. The majority of the patients had completed their cancer treatment, and hence were more  
338 likely to be anabolic for that reason.

339

340 Moreover, when we analyzed differences between those who were categorized as well nourished  
341 (PG-SGA A) and those who were categorized as malnourished (PG-SGA B) among patients with  
342 low FFMI, we observed differences with regard to 1) food intake and 2) proportions detected by the  
343 physical examination. A significantly higher proportion of the patients categorized as PG-SGA B  
344 reported reduced food intake, and a significantly higher proportion of these patients were detected  
345 with muscle mass depletion, compared to the patients categorized as PG-SGA A. Since reduced  
346 food intake and muscle mass depletion constitute two of the three components that were



347 emphasized in the PG-SGA categorization, it provides a plausible explanation for why these  
348 patients were categorized as PG-SGA B.

349

350 We observed that use of the PG-SGA total score improved sensitivity compared to PG-SGA  
351 categories, suggesting that the scoring is better at capturing patients with low FFMI. It should,  
352 however, be mentioned that the physical examination only in minor extent contributes to the total  
353 PG-SGA score, with the maximum score of 3 points indicating severe adipose and muscle deficit.  
354 Hence, patients with low FFMI may hypothetically have a low total score, i.e. a total score below  
355 the lowest cut-off for an intervention.

356 Although a significantly higher proportion of the patients categorized as malnourished by PG-SGA  
357 were detected as muscle depleted by the physical examination (i.e. visual inspection and palpation  
358 of muscles in temporal areas, deltoids and quadriceps) compared to well nourished patients, the  
359 sensitivity and specificity was found to be low. In order to elucidate why many patients were  
360 missed by the physical examination in the PG-SGA, we hypothesized that muscle mass depletion  
361 could be more difficult to detect in patients with high BMI. In the current study, BMI was found to  
362 be significantly higher in those patients who were not identified as muscle depleted (as indicated by  
363 loss of bulk and tone in selected muscles examined by visual inspection and palpation) by the PG-  
364 SGA physical examination. Furthermore, we observed a higher proportion of overweight patients  
365 among these patients compared to those who were captured as depleted. Based on these findings,  
366 we conclude that PG-SGA is not sensitive enough to detect muscle mass depletion, particularly in  
367 overweight and obese patients. Studies utilizing imaging analyses have confirmed that excessive  
368 muscle wasting can be obscured in patients with excessive fat mass[5, 33], with CT images  
369 demonstrating equal low total muscle amounts in obese and underweight patients. With a growing  
370 prevalence of overweight and obesity in several patient populations, including cancer populations, it  
371 is important to be aware of this limitation in the application of PG-SGA.

372

373 Monitoring weight loss, nutritional impact symptoms and reduction in food intake are important  
374 aspects of the nutritional assessments of cancer patients. However, since an ongoing loss of muscle  
375 mass may be masked by a stable or increased body weight, particularly in overweight and obese  
376 patients[6], assessing and monitoring body weight and food intake is not sufficient. Increase of  
377 body weight in terms of body fat rather than FFM may lead to sarcopenic obesity, a syndrome that  
378 entails the combined health risks of both sarcopenia and obesity. This highlights the importance of  
379 including appropriate tools to identify low FFM as part of the nutritional evaluation. As PG-SGA  
380 seems not be sensitive enough to detect muscle mass depletion, we suggest that the tool should be  
381 supplemented by muscle mass assessments by BIA or other methods.

382

383 In the current study we chose to use the FFMI cut-off values recently proposed by ESPEN, to  
384 determine low FFM. Since these cut-offs were published in 2015, validation studies have confirmed  
385 the prognostic impact of the malnutrition criteria on clinical outcomes[34] and survival [35].

386 Our estimates of FFM were generated from BIA. Compared to imaging techniques such as DXA,  
387 CT and magnetic resonance imaging (MRI) that measure lean body mass and muscle mass with  
388 high precision, BIA measures these compartments indirectly by measuring the impedance of the  
389 current applied to the body. The impedance data (i.e. resistance and reactance) is utilized in empiric  
390 equations to calculate FFM. One of the main limitations with BIA is that these empirical equations  
391 are developed in healthy euvolemic adults with a normal body composition, and may therefore  
392 provide less reliable estimates in individuals with disturbances in fluids and alterations in body  
393 composition, such as cancer patients. There are currently few studies that have investigated the  
394 validity of BIA in estimation of FFM in cancer patients. However, the BIA used in the current study  
395 was previously validated against DXA in a subgroup of CRC patients included in the CRC-

396 NORDIET study, and use of the equation incorporated in the BIA software for calculation of FFM  
397 showed good agreement with DXA estimates of FFM[9].

398

399 Similar to the results from the analysis of patients with low FFMI, we observed low sensitivity of  
400 the PG-SGA categories in detection of patients diagnosed with sarcopenia, and furthermore,  
401 increased sensitivity by the use of the PG-SGA scores. Although PG-SGA is primarily developed to  
402 identify patients with malnutrition and increased risk of malnutrition and not sarcopenia, our study  
403 demonstrates that a high proportion of patients diagnosed with sarcopenia who need to be further  
404 evaluated for nutritional therapy, are considered “no need for nutritional intervention” by the PG-  
405 SGA.

406 Patients identified with low FFMI who have not fully developed sarcopenia, is particularly  
407 interesting as target for nutritional intervention. According to the European Working Group on  
408 Sarcopenia in Older People (EWGSOP), low muscle mass without the presence of reduced strength  
409 or physical performance, corresponds to the stage “presarcopenia”. Identifying these patients and  
410 selecting appropriate treatment, may prevent further loss of muscle mass and inhibit progressive  
411 functional impairment.

412 Although PG-SGA does not perform sufficient sensitivity to detect low FFM, it covers several  
413 important aspects of malnutrition and sarcopenia. Hence, PG-SGA may be useful to characterize  
414 nutritional problems in patients where low FFM has been documented by the use of BIA or other  
415 methodology. It rapidly provides a detailed overview of the patient`s nutritional status as the  
416 assessment takes only approximately 5 minutes. Furthermore, the PG-SGA scoring may be useful in  
417 the follow up of these patients, by using the scores to monitor changes during and after nutritional  
418 therapy. In addition, PG-SGA score has been shown to predict clinical outcomes[29], quality of  
419 life[36] and survival[29, 37] in cancer patients.

420

421 To our knowledge, this is the first study that has evaluated the concordance between the PG-SGA  
422 and low FFM in colorectal cancer patients. Since PG-SGA is widely used and accepted as an  
423 assessment tool in oncology it is important to be aware of its strengths and limitations.

424

## 425 **Conclusion**

426 In the present study, we found low concordance between the nutritional assessment tool PG-SGA  
427 and low FFM. PG-SGA classified only half of the patients with low FFM as  
428 malnourished/suspected malnourished Use of the total PG-SGA score increased the sensitivity.  
429 However, only 64.3 % of the patients with low FFM were detected by the physical examination  
430 which is part of the PG-SGA. In clinical practice, PG-SGA scores should be supplemented by  
431 muscle mass assessments by BIA or other methods in order to more accurately identify low FFM in  
432 this patient group.

433

## 434 **List of abbreviations**

435 BIA: Bioelectrical impedance analysis; BMI: Body mass index; CRC: Colorectal cancer; CT:  
436 Computed tomography; DXA: Dual energy x-ray absorptiometry; ESPEN: European Society for  
437 Clinical Nutrition and Metabolism; EWGSOP: European Working Group on Sarcopenia in Older  
438 People; FFM: Fat-free mass; FFMI: Fat-free mass index; ICD: International classification of  
439 diseases and related health problems; MRI: Magnetic resonance imaging; PG-SGA: Patient-  
440 generated subjective global assessment; RCT: Randomized clinical trial; TNM: Tumor node  
441 metastasis.

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448

449 **Statement of authorship**

450 HR had the main responsibility for data analysis and writing the manuscript. HR, CH, SKB,  
451 AROFV, HBH, ASK, KR, IP, SS and RB contributed to the conception and the design of the study,  
452 analysis and interpretation of the data and drafting of the manuscript. HR, CH, SKB, AROFV,  
453 HBH, ASK, KR and IP contributed to acquisition of data. All authors contributed to the writing and  
454 final approval of the manuscript.

455

456 **Conflict of interest statement**

457 R.B is a shareholder in the company Vitas AS. All other authors declare that they have no  
458 competing interests.

459

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464

465

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572



573 **Table1.** Characteristics of the population

	N	Normal FFMI (n=69)	Low FFMI* (n=28)	p <sup>a</sup>
<b>Age</b>				
Mean (years) (std)	97	64.9 (8.2)	68.1 (5.3)	0.027
<b>Gender, n (%)</b>				
Women	46	28 (60.9)	18 (39.1)	0.058
Men	51	41 (80.4)	10 (19.6)	
<b>Cancer localization, n (%)</b>				
Colon cancer	54	36 (66.7)	18 (33.3)	0.264
Rectosigmoid cancer	6	6 (100)	0 (0)	
Rectum cancer	32	23 (71.9)	9 (28.1)	
<b>TNM stage, n (%)</b>				
Stage 1	10	8 (80.0)	2 (20.0)	0.199
Stage 2	46	36 (78.3)	10 (21.7)	
Stage 3	33	20 (60.6)	13 (39.4)	
<b>Neoadjuvant treatment, n (%)</b>				
No	81	59 (72.8)	22 (27.2)	0.531
Yes	14	9 (64.3)	5 (35.7)	
<b>Adjuvant treatment, n (%)</b>				
None	74	55 (74.3)	19 (25.7)	0.512
Ongoing	16	10 (62.5)	6 (37.5)	
Completed	5	3 (60.0)	2 (40.0)	
<b>BMI</b>				
Mean (kg/m <sup>2</sup> ) (std)	97	27.2 (4.3)	22.6 (3.0)	<0.001
<b>BMI categories, n (%)</b>				
Underweight (BMI < 20)	8	1 (12.5)	7 (87.5)	<0.001
Normal range (BMI 20-24,9)	32	20 (62.5)	12 (37.5)	
Overweight (BMI 25-29,9)	43	34 (79.1)	9 (20.9)	
Obese (BMI >30)	14	14 (100)	0 (0)	

574 Abbreviations: FFMI, Fat-free mass index; TNM, Tumor node metastasis; BMI, Body mass index

575 <sup>a</sup>Independent samples t-test, chi-square test for independence or Fisher's exact test, significance level  $p \leq 0.05$ 576 \*Low FFMI defined as FFMI < 17 kg/m<sup>2</sup> for men and < 15 kg/m<sup>2</sup> for women[14].

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580 **Table 2.** Global rating and PG-SGA scoring according to normal and low FFMI

	N	Normal FFMI (n=69)	Low FFMI* (n=28)	P <sup>a</sup>
<b>Global rating, n (%)</b>				0.015
Well nourished (A)	67	53 (79.1)	14 (20.9)	
Moderately malnourished (B)	30	16 (53.3)	14 (46.7)	
Severely malnourished (C)	0	0 (0)	0 (0)	
<b>Total PG-SGA score</b>				0.146
PG-SGA score < 4, n (%)	53	42 (79.2)	11 (20.8)	
PG-SGA score 4-8, n (%)	35	22 (62.9)	13 (37.1)	
PG-SGA score ≥ 9, (%)	9	5 (55.6)	4 (44.4)	
Median (range)	97	3 (1-17)	5 (1-20)	0.036

581 Abbreviations: PG-SGA, Patient-generated subjective global assessment; FFMI, Fat-free mass index

582 <sup>a</sup>Mann-Whitney test, chi-square test for independence or Fisher's exact test, significance level  $p \leq 0.05$

583 \*Low FFMI defined as  $FFMI < 17 \text{ kg/m}^2$  for men and  $< 15 \text{ kg/m}^2$  for women[14].

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586 **Table 3.** Comparison of PG-SGA A and PG-SGA B among patients with low FFM with regard to the  
 587 various components of the PG-SGA and BMI

	Patients with low FFMI*			P <sup>a</sup>
	N	Patients with PG-SGA A (n=14)	Patients with PG-SGA B (n=14)	
<b>PG-SGA score, median (range)</b>	28	3 (1-8)	6 (3-20)	<0.001
<b>Weight loss last 6 months, n (%)</b>				0.420
Yes	18	8 (44.4)	10 (55.6)	
No	9	6 (66.7)	3 (33.3)	
<b>Weight loss last month, n (%)</b>				0.596
Yes	4	1 (25.0)	3 (75.0)	
No	20	10 (50.0)	10 (50.0)	
<b>Presence of anorexia, n (%)</b>				0.481
Yes	2	0 (0)	2 (100)	
No	26	14 (53.8)	12 (46.2)	
<b>Food intake, n (%)</b>				<0.001
Normal	17	14 (82.4)	3 (17.6)	
Reduced	11	0 (0)	11 (100)	
<b>Symptoms, n (%)</b>				0.209
Yes	8	2 (25.0)	6 (75.0)	
No	20	12 (60.0)	8 (40.0)	
<b>Physical function and activity, n (%)</b>				0.058
Normal	13	9 (69.2)	4 (30.8)	
Reduced	15	5 (33.3)	10 (66.7)	
<b>Physical examination, n (%)</b>				0.004
No deficit	10	9 (90.0)	1 (10.0)	
Mild to moderate deficit	18	5 (27.8)	13 (72.2)	
Severe depletion	0	0 (0)	0 (0)	
<b>BMI, mean (std)</b>	28	23.4 (3.0)	21.8 (3.0)	0.184
<b>BMI categories, n (%)</b>				0.623
Underweight (BMI < 20)	7	3 (42.9)	4 (57.1)	
Normal range (BMI 20-24,9)	12	5 (41.7)	7 (58.3)	
Overweight (BMI 25-29,9)	9	6 (66.7)	3 (33.3)	
Obese (BMI >30)	0	0 (0)	0 (0)	

588 Abbreviations: FFMI, Fat-free mass index; PG-SGA: Patient-generated subjective global assessment; BMI: Body mass index.  
 589 <sup>a</sup>Mann-Whitney test (PG-SGA score), independent samples t-test (BMI), chi-square test for independence or Fisher's exact test  
 590 significance level  $p \leq 0.05$

591 \*Low FFMI defined as FFMI < 17 kg/m<sup>2</sup> for men and < 15 kg/m<sup>2</sup> for women[14].  
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594 **Table 4.** BMI according to physical examination status in the PG-SGA among patients with low FFMI.

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Low FFMI*				
	N	No deficit (n=10) by the PG-SGA	Mild to moderate deficit (n=18) by the PG-SGA	p <sup>a</sup>
<b>BMI, mean (std)</b>	28	24.6 (2.7)	21.5 (2.7)	0.006
<b>BMI categories, n (%)</b>				0.025
BMI < 20	7	0 (0)	7 (100)	
BMI 20-24,9	12	4 (33.3)	8 (66.7)	
BMI 25-29,9	9	6 (66.7)	3 (33.3)	
BMI >30	0	0 (0)	0 (0)	

596 Abbreviations: FFMI, Fat-free mass index; PG-SGA: Patient-generated subjective global assessment; BMI, Body mass index.

597 <sup>a</sup>Independent-samples t-test, chi-square test for independence or Fisher`s exact test, significance level  $p \leq 0.05$ 598 \*Low FFMI defined as FFMI <17 kg/m<sup>2</sup> for men and < 15 kg/m<sup>2</sup> for women[14].

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603 **Table 5.** Global rating and PG-SGA scoring according to sarcopenia and no sarcopenia

	N	No sarcopenia (n=74)	Sarcopenia* (n=21)	p <sup>a</sup>
<b>Global rating, n (%)</b>				0.127
Well nourished (A)	67	55 (82.1)	12 (17.9)	
Moderately malnourished (B)	28	19 (67.9)	9 (32.1)	
Severely malnourished (C)	0	0 (0)	0 (0)	
<b>Total PG-SGA score</b>				0.162
PG-SGA score < 4, n (%)	52	44 (84.6)	8 (15.4)	
PG-SGA score 4-8, n (%)	34	23 (67.6)	11 (32.4)	
PG-SGA score $\geq 9$ , n (%)	9	7 (77.8)	2 (22.2)	
Median (range)	95	3 (1-17)	4 (1-20)	0.092

604 Abbreviations: PG-SGA: Patient-generated subjective global assessment.

605 <sup>a</sup>Mann-Whitney test, chi-square test for independence or Fisher`s exact test, significance level  $p \leq 0.05$ 

606 \*Sarcopenia was diagnosed based on EWGSOP diagnostic criteria[8].

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