

1 **Positive correlations between pre- and post-copulatory sexual traits in warblers**

2 K. Supriya^{1,2}, Trevor D. Price³, Melissa Rowe^{4,5}

3 ¹ Committee on Evolutionary Biology, University of Chicago, Chicago, Illinois 60637

4 ²E-mail: ksupriya@uchicago.edu

5 ³ Department of Ecology and Evolution, University of Chicago, Chicago, Illinois 60637

6 ⁴ Natural History Museum, University of Oslo, 0318 Oslo, Norway

7 ⁵ Centre for Ecological and Evolutionary Synthesis, Department of Biosciences, University of

8 Oslo, 0316 Oslo, Norway

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10

11 **Abstract**

12 Theoretical models predict that investment in pre-copulatory and post-copulatory sexually
13 selected traits should trade-off. At the macroevolutionary scale, the majority of studies to date
14 have focused on male weaponry as the target of pre-copulatory sexual selection, but the
15 trade-off should equally apply to traits used to attract females, such as bird song and
16 plumage. We studied the Old World leaf warblers (Phylloscopidae), a group of socially
17 monogamous songbirds that experience relatively high levels of sperm competition. We
18 examined the relationships between song duration and number of elements in the song with
19 sperm length across 21 species, and between the same song variables and combined testes
20 mass in a subset of these species (n=10). Across species, these song variables and testes
21 mass/sperm length are generally positively correlated, albeit not statistically significantly so
22 or with borderline significance. In contrast to theory, we found no evidence for negative
23 associations between pre- and post-copulatory traits. We argue that this is a consequence of
24 males of some species investing more into overall fertilization success (i.e. the sum of pre-
25 and post-copulatory sexual selection) than males of other species, and high fertilization
26 success is achieved through investment into both mate attraction and sperm competition.

27

28 **Keywords:** *Phylloscopus*, sexual selection, sperm competition, song duration

29 **Introduction**

30 Male reproductive success is a product of pre- and post-copulatory episodes of sexual
31 selection. That is, a male's fitness depends on traits that influence his mating success, such as
32 ornaments and armaments, as well as traits that influence his success in fertilizing ova when
33 in competition with sperm from other males (Parker 1998, Kvarnemo and Simmons 2013).
34 Theoretical models predict that investment into traits that influence mating success should
35 limit investment into ejaculate traits that affect fertilization success, and vice versa (Parker
36 1998, Parker et al. 2013). Recently, an increasing number of studies have focused on
37 understanding covariance between pre- and post-copulatory sexually selected traits across
38 species (i.e. macroevolutionary patterns of trait variation). To date, the majority of these
39 studies have focused on pre-copulatory traits linked to aggressive male interactions, notably
40 weaponry and body size (Lüpold et al. 2014, Simmons et al. 2017), but the theoretical
41 predictions should equally apply to any pre-copulatory trait that requires costly investment,
42 such as displays, ornaments, and vocalizations. Similarly, investment in post-copulatory traits
43 has also typically focused on a limited set of traits, and especially testes size (Lüpold et al.
44 2014, Simmons et al. 2017). This is because larger testes produce more sperm (Amann 1970,
45 Møller 1988), thereby providing a numerical advantage under conditions of sperm
46 competition and increasing male competitive fertilization success (Parker 1982). In contrast,
47 ejaculate features such as sperm size and quality have been less frequently considered, with
48 few exceptions such as Lüpold et al. (2015). Such traits, however, may influence fertilization
49 success and, given that producing competitive ejaculates is likely to incur costs, are predicted
50 to influence pre-copulatory trait investment.

51 We investigated the across-species covariance between pre- and post-copulatory traits
52 using 21 species of Old World leaf warblers (Family Phylloscopidae), a group of small,
53 socially monogamous songbirds that experience moderate to relatively high levels of sperm

54 competition. Across species, extra-pair paternity varies from 20%-45% (Supriya et al. 2016),
55 and both male-male competition and female choice are important processes in this group
56 (Marchetti 1998, Forstmeier et al. 2002). Thus, both pre- and post-copulatory episodes of
57 sexual selection are likely to be important in this system and may shape patterns of trait
58 diversification across species.

59 We choose to examine among species variation in vocalizations as our pre-copulatory
60 trait of interest. Though limited, a few studies have considered vocal characteristics or the
61 anatomy underlying sound production in examinations of the relationship between pre- and
62 post-copulatory traits (Dunn et al. 2015, Charlton and Reby 2016). In the Old World
63 warblers, male song is the focus of both female choice and male-male competition (Marchetti
64 1998, Mahler and Gil 2009) and in two species song duration and performance measures are
65 linked to female choice of extra-pair males (Forstmeier et al. 2002, Gil et al. 2007). In birds
66 more generally, many aspects of song (e.g. song duration) are linked to female mate choice in
67 both lab (Wasserman and Cigliano 1991, Caro et al. 2010) and field settings (Martín-Vivaldi
68 et al. 1999, Woodgate et al. 2012). It also appears that longer songs are generally costly to
69 produce (Oberweger and Goller 2001, Gil and Gahr 2002). Moreover, food supplementation
70 and/or nutritional enrichment increases song output in adults (Thomas 1999, Casagrande et
71 al. 2014, Yamada and Soma 2016), and males with longer songs can incur costs due to high
72 social aggression from other males (*sensu* the cost of a “badge” Vehrencamp 2000; Lattin and
73 Ritchison 2009, see Linhart et al. 2012 for an example in *Phylloscopus*). Thus males singing
74 longer songs appear to allocate more resources to traits linked to pre-copulatory success
75 relative to those singing shorter songs. In birds, an alternative pre-copulatory trait of interest
76 is plumage dichromatism (Dale et al. 2015, Dunn et al. 2015). We chose not to assess sexual
77 dichromatism, however, because these warblers are sexually monochromatic (Price et al.
78 2000), and thus plumage dichromatism is less likely to reflect pre-copulatory sexual selection

79 compared to vocal traits in this group (but see (Marchetti 1998) for an example of male
80 colour patches influencing mating success in one *Phylloscopus* species).

81 We used total sperm length as our post-copulatory trait. Though few comparative
82 studies have considered sperm length (see Lupold et al. 2015 for an exception), it is widely
83 held that sperm length is shaped by sperm competition in a range of taxa (Pitnick et al. 2009),
84 including birds (Immler et al. 2011). Moreover, sperm size has been linked to male
85 reproductive success in wild, free-living passerines (Laskemoen et al. 2010, Calhim et al.
86 2011), and, in the zebra finch (*Taeniopygia guttata*), sperm competition experiments have
87 shown that sperm length influences fertilization success, with males possessing longer sperm
88 siring a significantly greater proportion of embryos relative to males with short sperm
89 (Bennison et al. 2015). Producing longer sperm is also considered costlier than producing a
90 shorter sperm (Pitnick et al. 1995, Ramm and Stockley 2010, Godwin et al. 2017). Thus
91 sperm length reflects a sexual trait that is both costly and the product of post-copulatory
92 sexual selection, and as such theoretical models predict that sperm length will trade-off with
93 energy invested in pre-copulatory sexually selected traits. In addition, for a subset of species,
94 we examined variation in testes mass as a measure of post-copulatory investment in sperm
95 production. In birds, relative testes mass is positively correlated with rates of extra-pair
96 paternity (Moller and Briskie 1995), and has been widely used as an index of sperm
97 competition in across-species comparisons (e.g. Pitcher et al. 2005, Rowe et al. 2015).

98

99 **Methods**

100 **Data collection**

101 We gathered data on song and sperm for 21 species of Old World leaf warblers. We focused
102 on two specific, clearly defined song traits, total number of elements in the song, where
103 element is defined as a continuous sound trace on the spectrogram (hence this measure is

104 equivalent to the number of gaps plus 1), and song duration. Song data are from Mahler and
105 Gil (2009), with the exception of 4 species in our dataset that were not covered in that study.
106 We measured traits for these 4 species using songs downloaded from xeno-canto.org or
107 provided by P. Alström (personal communication). All song measurements were made using
108 Raven lite (Charif et al. 2006). We used 5 songs per male from three males of each species
109 and used the average of these measures for our analysis; such sample sizes are sufficient
110 given the high repeatability of song traits within species in these warblers (Mahler and Gil
111 2009) (Supplementary data S1).

112 Data on sperm length and testes mass were taken from Supriya et al. (2016). Sperm
113 midpiece, flagellum, and total length were highly correlated (all pairwise $r = 0.99$, $p < 0.0001$)
114 and for all analyses we used total sperm length. Analyses using midpiece length, however,
115 returned similar results (data not shown). For one species, *Phylloscopus reguloides*, data were
116 available from two distinct populations (one individual per population). As sperm length for
117 these two populations differed considerably, we chose not to use an average value from these
118 individuals, but instead used data from one individual in all our analyses. We repeated our
119 analyses using data from the other individual, and the results were qualitatively similar
120 (results not shown).

121 We included two other variables in our analysis: mid-latitude of breeding range and
122 body mass. Body mass data was collected from the literature (Price et al. 1997, 2014,
123 Carrascal et al. 2008). Mid-latitude was included because song duration has been reported to
124 increase with latitude in these warblers (Irwin 2000, Singh and Price 2015). Mid-latitudes
125 were taken from Price (2010) or estimated from maps available at birdlife.org.

126

127 **Statistical analysis**

128 All variables except mid-latitude were log-transformed before analysis. Correlations among
129 variables are given in Table 1; note song duration and total number of elements were only
130 moderately correlated ($r = 0.6$), therefore we conducted our analyses using both song duration
131 and total number of elements separately. We controlled for phylogeny in our analyses based
132 on the tree reported in Supriya et al. (2016; see Fig. 1). Because we do not expect any
133 particular direction of causality between song and sperm traits, we calculated phylogenetic
134 partial correlations between total sperm length and each of the song variables, while
135 controlling for body mass and mid-latitude using the approach laid out in Lüpold et al.
136 (2015). Briefly, we obtained phylogenetic independent contrasts for all variables using the R
137 package APE (Paradis et al. 2004). Next, we constructed a matrix of pairwise correlations
138 between contrasts of song traits, sperm length, body mass and mid-latitude using the formula
139 given in Crawley (2012) and used the R package CORPCOR (Schäfer et al. 2014) to convert
140 the correlation matrix into the partial correlation matrix. We assigned a p-value to the partial
141 correlations from multiple regressions using phylogenetic generalized least squares using the
142 R package CAPER with no transformations of branch length (Orme et al. 2013). In order to
143 visually interpret the correlations, we assigned phylogenetic independent contrasts to nodes
144 on the phylogeny to identify those nodes at which changes in trait values have been
145 especially large (Richman and Price 1992). Since the strength of correlation between traits
146 may change over the course of the evolutionary history of a clade (Revell and Collar 2009),
147 this approach is a better way to visualize correlation between traits than simple plots of
148 contrast values. Finally, because differences in the evolutionary lability of traits can affect the
149 strength of correlated evolution between them, we calculated Blomberg's k as an estimate of
150 the phylogenetic signal in the song traits, sperm length and testes mass (Blomberg et al.
151 2003), using the R package Picante (Kembel et al. 2010). Values of $k < 1$ indicate high trait
152 lability, that is, closely related species tend to differ in trait values and large contrasts are near

153 the tips of the phylogeny, whereas values > 1 indicate related species tend to be highly
154 similar in trait values and thus large contrasts occur near the base of the phylogeny. The R
155 code we used for analyses and figures is available as a supplement to this paper.

156

157 **Results**

158 Visualization of the phylogenetic independent contrasts in total sperm length and number of
159 song elements showed large contrasts at the base of the phylogeny for both traits, with some
160 further large contrasts in number of song elements among the tips of the tree (Fig. 1a). After
161 controlling for phylogeny, the correlation between sperm length and number of song
162 elements was $r = 0.41$, $P = 0.06$ ($N = 21$) and the partial correlation (i.e. correlation
163 controlling for body mass and latitude) was $r = 0.36$, $P = 0.13$ (Fig 1b). Corresponding
164 values for the correlation between sperm length and song duration was $r = 0.18$, $P = 0.4$,
165 while values for the partial correlation controlling for body mass and latitude was $r = 0.07$, P
166 $= 0.8$ (Fig. 1c).

167 After controlling for phylogeny, the correlation between testes mass and number of
168 song elements was $r = 0.02$, $P = 0.95$ ($N = 10$) and the partial correlation (i.e. the correlation
169 controlling for mass and latitude) was $r = -0.096$, $P = 0.82$ (Fig. 2a). Corresponding values for
170 the correlation between testes mass and song duration were $r = 0.54$, $P = 0.1$, while the partial
171 correlation controlling for mass and latitude was $r = 0.56$, $P = 0.15$ (Fig. 2b)

172 Blomberg's k values for the two song traits were less than 1 ($N = 21$ species, total
173 number of song elements, $k = 0.79$, $p = 0.04$; song duration $k = 0.71$, $p = 0.06$; all p -values for
174 randomization tests against $k = 1$), implying that song has high phylogenetic lability. In
175 contrast, values of Blomberg's k indicate a strong phylogenetic signal in total sperm length
176 (total length $k = 1.72$; $p < 0.001$). Testes mass did not show the same phylogenetic

177 conservatism as sperm length (testes mass $k= 0.67$, $p= 0.53$ ($N = 10$ species); for the same
178 subset of 10 species: total sperm length $k= 1.1$, $p= 0.06$; song duration $k= 0.66$, $p= 0.53$).

179

180 **Discussion**

181 In contrast to predictions from theory, we found no support for a negative correlation
182 between the expression of pre- and post-copulatory sexual traits among Old World leaf
183 warblers. In fact, across-species, species with longer songs and more song elements tended to
184 have longer sperm and larger testes, with border-line significance in some correlations. Many
185 empirical studies have reported positive correlations or a lack of correlation between pre- and
186 post-copulatory traits across species (Lüpold et al. 2014, Simmons and Fitzpatrick 2016),
187 including studies reporting positive covariance between testes mass and song (Greig et al.
188 2013) and positive or no correlation between testes mass and plumage characteristics (Dunn
189 et al. 2001, Hegyi et al. 2008) in birds. We suggest that these positive correlations may arise
190 because in some species males allocate more energy into reproduction than others, likely due
191 to differences in life history and ecology, and when they do so they invest more energy into
192 both obtaining matings and securing fertilizations subsequent to mating. Thus, variation in
193 overall resource investment contributes importantly to variation among species in terms of
194 the expression and interrelationship of pre- and post-copulatory sexually selected traits. As
195 such, resource variation makes it difficult to use comparative studies to assess trade-offs
196 between these traits, in much the same way that, within species, trade-offs between life-
197 history can be obscured by the overall condition of individuals, with some males investing
198 more in all stages of the life-history than others (Van Noordwijk and de Jong 1986, Price et
199 al. 1993).

200 Variation in investment may arise for two distinct reasons. First, the intensity of
201 sexual selection may differ across species, which can be caused by variation in life-history or

202 ecological factors such as changes in operational sex ratio or breeding density (Emlen and
203 Oring 1977, Kokko and Rankin 2006, Lüpold et al. 2017, Janicke and Morrow 2018). In this
204 case, in some species males invest more into fertilization success, at costs to their survival,
205 and in other species males are longer lived, and invest less into fertilization success at each
206 reproductive bout. An alternative, but not necessarily mutually exclusive, explanation for
207 variation in investment is that costs of increased investment in sexually selected traits may
208 differ across environments. For example, some species may breed in locations with a large
209 food flush leading to a reduction in the cost of traits.

210 In Old World Leaf Warblers, we suggest both factors may contribute to variation in
211 total investment into sexual selection across species. Song duration correlates with latitude
212 across warbler species (Mahler and Gil 2009, Singh and Price 2015), and one explanation for
213 this is that costs of singing are reduced in the north (Irwin 2000, Singh and Price 2015). In
214 addition, body size should correlate with investment into sexually selected traits, because it is
215 reasonable to assume that a given absolute investment would be less costly for a larger
216 species. Body size is positively correlated with total sperm length and both song duration and
217 total number of song elements in our dataset (Table 1). However, including body size and
218 latitude had only a small effect on the correlation between sperm length and number of
219 elements, reducing significance from $P = 0.06$ to $P = 0.13$. We confirmed the small influence
220 from a stepwise regression analysis in which mass and latitude dropped out before song
221 elements (not shown). Hence we suggest that, beyond influences of latitude and body size,
222 males of some species invest more in reproduction than others and this accounts for the
223 positive correlations reported here; which is consistent with suggestions from a number of
224 other studies reporting positive across-species correlations in pre- and post-copulatory traits
225 (Simmons and Fitzpatrick 2016, Lüpold et al. 2017)

226 The positive correlations we detected in the current study were weak. This might
227 indicate that the pre- and post-copulatory sexually selected traits we examined only partially
228 reflect pre- and post-copulatory investment, resulting in considerable ‘noise’ in the data.
229 Although there is evidence that the traits we investigated i.e. song and sperm length are
230 important targets of pre- and post-copulatory sexual selection in warblers, other pre-
231 copulatory traits such as territory quality, proportion of time spent on singing, colour of
232 patches (Marchetti 1998) and post-copulatory traits such as sperm number and
233 quality (Simmons and Fitzpatrick 2012, Fitzpatrick and Lüpold 2014) could contribute to
234 differences in pre- and post-copulatory investment across species. Another reason for the
235 weak correlation might be due to the difference in evolutionary lability of the traits we
236 examined. While sperm length shows a strong phylogenetic signal, song is culturally
237 transmitted and is an evolutionarily labile trait (Mahler and Gil 2009; this study). In fact,
238 major differences in sperm length arose early in the evolutionary history of this group
239 (Supriya et al. 2016) (see dark squares in Figure 1a). By contrast, some closely related
240 species exhibit striking differences in song duration (see dark circles in Figure 1a). In some
241 instances, large differences in song duration correspond to little evolutionary change in sperm
242 length and are associated with latitude (e.g. *bonelli* and *sibilatrix*), which correlates with song
243 complexity in birds more generally (Weir and Wheatcroft 2011, Singh and Price 2015).

244 Ultimately, our findings suggest that the assessment of trade-offs will require
245 consideration of a wide range of traits that affect pre-copulatory and post-copulatory
246 reproductive investment. Such an approach has been recommended in a recent review of
247 evolutionary trade-offs between pre- and post-copulatory traits, which suggested taking into
248 account life-history, ecological, and mating system variables (Simmons et al. 2017) and we
249 echo that sentiment here. Our results support one general thesis of that paper, which is when
250 multiple components of a life-history are considered, positive correlations between pre- and

251 post-copulatory investment may arise because some species invest more into obtain
252 fertilizations than others.

253

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Table 1. Correlations among sperm and song traits in the Old World leaf warblers (N = 21, except for correlations involving testes mass, where N = 10). Phylogenetically corrected correlations (correlations between contrasts) are below the diagonal and raw correlations above. All variables except mid-latitude were log transformed. Significant correlations (P < 0.05) are in bold.

Variables	Sperm length, μm	Total elements	Song duration, s	Body mass, g	Mid-latitude, $^{\circ}\text{N}$	Combined testes mass, g
Sperm length, μm		0.39	0.13	0.46	0.01	-0.53
Total elements	<i>0.41</i>		0.63	0.6	0.48	-0.05
Song duration, s	<i>0.18</i>	0.67		0.61	0.67	0.53
Body mass, g	<i>0.31</i>	0.57	0.64		0.56	-0.006
Mid-latitude, $^{\circ}\text{N}$	<i>0.07</i>	0.51	0.73	0.58		0.26
Combined testes mass, g	<i>-0.47</i>	<i>0.02</i>	<i>0.54</i>	<i>-0.02</i>	<i>0.24</i>	

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Figure 1 (a) Phylogeny of the 21 Old World leaf warblers used in our study with 4 major clades indicated in green, blue, red and orange. Phylogenetically independent contrasts for total sperm length (circles) and number of song elements (squares) are shown at the nodes with darker shading indicating larger contrasts. Dark squares indicate divergence in sperm length arose primarily at the base of the phylogeny, while dark circles show divergence in song duration frequently occurred near the tips of the phylogeny

(b) Relationship between total sperm length and the number of song elements. Trend lines (reduced major axes) illustrates the relationship between song complexity and sperm length in the two most speciose groups. Phylogenetically corrected correlation across the entire clade (grey: $N = 21$, $P = 0.06$), and for the two larger clades with major axis trend lines indicated (blue: $P = 0.098$, red: $P = 0.064$). (c) Relationship between total sperm length and song duration. Phylogenetically corrected correlation across the entire clade (grey: $N = 21$, $P = 0.4$), and for the two larger clades with trend lines indicated (blue: $P = 0.38$, red: $P = 0.26$). All data were log-transformed before analysis.

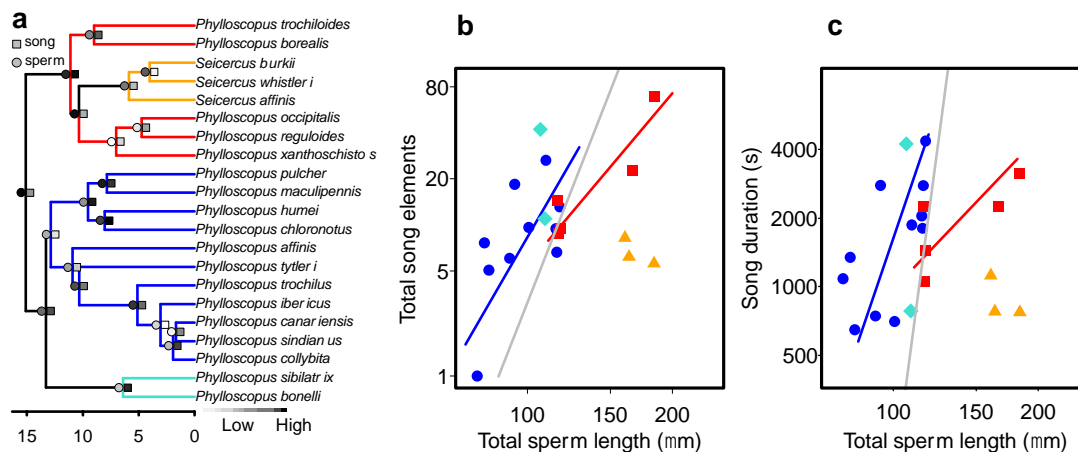


Figure 2 Relationships between testes mass and song variables. Colour codes as in Figure 1. Combined testes mass refers to sum of left and right testis. All data were log-transformed before analysis.

