

1 **Does impact of comorbidity on 1-year mortality after hip fracture**
2 **differ by gender? A NOREPOS study**

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30 **Ethical approvals**

31 The study and the data linkages were approved by the Norwegian Data Protection Authority,
32 the Regional Committee for Medical and Health Research Ethics, the Directorate of Health
33 and Statistics Norway.

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36 **Impact statement**

37 We certify that this work is confirmatory of recent novel clinical research by Kannegaard et
38 al. Age Ageing 2010; 39: 203-9. The potential impact of this research on clinical care and
39 health policy includes the need for an increased awareness of the vulnerability of the male hip
40 fracture patient. Based on population-wide data we show that male hip fracture patients both
41 have more comorbid conditions and higher mortality than female hip fracture patients.
42 However, our study suggests that the excess mortality after a hip fracture also is evident in
43 men with no comorbidity.

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45

46 **ABSTRACT**

47 **BACKGROUND:** Excess mortality after hip fracture is higher in men than in women.

48 **OBJECTIVE:** To study whether comorbidity differs between male and female hip fracture
49 patients and to what degree gender differences in comorbidity may explain the higher excess
50 mortality in men.

51 **DESIGN:** Population-based matched cohort covering the population 50 years and older in
52 Norway.

53 **SETTING:** Specialist healthcare (patients) and general population (controls)

54 **PARTICIPANTS:** All hip fracture patients aged 50 years and older 2005-2008 (n=32,175)
55 and individuals without hip fracture matched 3:1 to the patients on gender, age and county of
56 residence (n=96,410).

57 **MEASUREMENTS:** Comorbid diagnoses were recorded during the hospital stay. Relative
58 and absolute excess 1-year mortality in hip fracture patients according to gender and
59 Charlson comorbidity index (CCI) were investigated in Cox regression and linear regression,
60 respectively.

61 **RESULTS:** Despite lower age (mean 78.7 vs. 81.7 years), men had higher comorbidity than
62 women. Compared with controls, hazard ratios (HR) for death in patients with CCI 2+ was
63 6.5 (95% CI 6.2-6.9) in women and 7.8 (95% CI 7.3-8.3) in men. Estimated risk of dying
64 within one year in patients with CCI 2+ compared with controls was 44% vs. 11% for
65 women, and 53% vs. 12% for men. Relative one-year mortality in men compared with
66 women was HR 2.0 (95% CI 1.9-2.1), which was attenuated to HR 1.8 (95% CI 1.7-1.8)
67 when adjusting for comorbidity.

68 **CONCLUSION:** Men had higher comorbidity than women. However, this did not explain
69 the gender difference in excess mortality after hip fracture. Men who fracture their hip

70 represent an especially vulnerable subpopulation, even when there is no apparent
71 comorbidity, and warrant special attention in follow-up and care.

72 **Key words: Hip fracture, mortality, comorbidity, gender differences, Charlson**
73 **comorbidity index**

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74 **INTRODUCTION**

75 Norway has one of the highest incidence rates of hip fracture in the world,¹ with around 9,000
76 hip fractures occurring every year.² High age and female gender are strong risk factors. Men
77 account for 30% of the hip fractures.² Both men and women have excess mortality after hip
78 fracture,^{3,4} but there is evidence to suggest that men who fracture their hip are in worse
79 health condition.⁵⁻⁷ Male gender is a strong and consistent predictor of mortality after hip
80 fracture.^{4, 7-12} Also when taking into account the lower life expectancy in men,¹³ men have
81 higher excess mortality after hip fracture.^{3, 4, 14, 15}

82 Comorbidity may be seen as the total burden of illnesses. Illnesses vary in their nature, extent
83 and severity. Comorbidity is associated with increased mortality in hip fracture patients,^{5, 10, 16}
84 but the contribution of pre-existing illness to mortality after hip fracture is unresolved. In
85 register data from Sweden, post-hip fracture mortality was largely related to the patients'
86 comorbidity.¹⁷ In contrast, a Danish study concluded that only a minor proportion of
87 mortality could be attributed to pre-existing comorbidity.¹⁸ In a meta-analysis of eight
88 population-based European cohorts, the effect of hip fracture on mortality was only slightly
89 attenuated when taking major chronic diseases into account.¹⁵

90 Few studies have looked in detail at the contribution of gender differences in comorbidity to
91 differences in excess mortality after hip fracture, and the findings are ambiguous. In national
92 register data from Denmark, the higher mortality in male patients was not affected by gender
93 differences in comorbidity.⁵ We aimed to examine whether this was the case also in older
94 adults in Norway, a population with many similarities, including high life expectancy and a
95 high fracture incidence. The aim of this study was to explore whether comorbidity differs
96 between male and female hip fracture patients, and to which degree gender differences in
97 comorbidity may explain the higher excess mortality in men after hip fracture.

99 **METHODS**100 *Study population and demographic data*

101 We retrieved data from electronic patient administrative systems on all admissions with hip
102 fracture to hospitals in Norway from the NORHip database established by the Norwegian
103 Epidemiologic Osteoporosis Studies (NOREPOS).¹⁹ For the current study we included all
104 patients 50 years and older who suffered their first hip fracture during 2005-2008 (n=32,175;
105 Supplementary Figure S1). The source population for controls was identified in the
106 Norwegian Population and Housing Census 2001 (Statistics Norway) and comprised
107 Norwegian residents 50 years and older by 2008 who had not suffered a hip fracture during
108 1994-2004 (n=1,675,893). For each patient we drew three controls, matched to patients on
109 birth year, gender and county of residence, and conditioned on being alive, residing in
110 Norway and free of hip fracture on the patient's fracture date. Only 61 patients (0.2%) had
111 fewer than three available controls, and a total of 96,410 matched controls were included.
112 Data on birth year, gender, county of residence, marital status, immigration status, number of
113 children and attained educational level were obtained from the Norwegian Population and
114 Housing Census 2001. The National Registry provided dates of death or emigration.

115

116 *Comorbidity*

117 All concurrent diagnoses that were deemed relevant by the treating doctors during the
118 hospitalization for hip fracture were available in NORHip, coded according to the
119 International Classification of Diseases, 10th revision (ICD-10). These diagnoses enabled us
120 to calculate the patients' individual Charlson comorbidity index (CCI) score.^{20, 21} The index
121 has been shown to be prognostic of mortality in hip fracture patients.²²⁻²⁴ It is based on
122 information about whether a patient has any of the diagnoses on a list of given conditions,

123 and each condition is weighted according to severity (Supplementary Table S1). We
124 calculated individual CCI scores using the Stata syntax written by V. Stagg,²⁵ truncated to 0,
125 1 or 2+. As such, a score of 0 indicates that none of the listed conditions were registered
126 during the patient's hospital stay, a score of 1 indicates having one condition of less severity,
127 and a score of 2+ reflects having two or more conditions of any severity, or one or more
128 conditions of greater severity. Individual information about chronic diseases was not
129 available in controls. The morbidity level of the controls reflects the distribution of morbidity
130 in the general population of older adults without hip fracture.

131

132 *Statistical analysis*

133 Data management and statistical analysis was performed in Stata 14. Attained age was
134 included as a continuous variable. We estimated adjusted proportions of death among hip
135 fracture patients according to CCI score and specific comorbid diagnoses by analysis of
136 variance (ANOVA), and used Cox proportional hazards regression to estimate survival in
137 CCI categories relative to non-hip fracture controls within the genders. The patient's
138 admission date was defined as entry date in the analysis for both the patient and his/her
139 matched controls and end of follow-up was set to 365 days post-fracture. To quantify the risk
140 of death on an additive scale we performed robust linear regression using the matched
141 controls as reference category. We thus estimated the one-year risk of death as the constant in
142 linear regression for the controls (reference), adjusted to mean age within each gender, and
143 percentage points higher risk of dying in each CCI category as the beta coefficients in linear
144 regression. We performed additional analyses stratified on age in tertiles. All regression
145 models were adjusted for the matching variables (birth year, gender and county of residence).
146 Proportions of deaths by specific diagnoses were also adjusted for the patient's total number
147 of comorbid diagnoses. Additional adjustment for marital status (married/ widowed/other),

148 immigrant status (defined as foreign-born with none or one Norwegian-born parent or
149 Norwegian-born with two foreign-born parents), attained educational level (completed first
150 year of secondary school or higher (≥ 10 years) vs. completed primary school or lower (≤ 9
151 years)) and having children (yes/no) in any of the above mentioned analyses gave only
152 negligible changes to the estimates, and we have not presented these results. The significance
153 level was set to 0.05 in all analyses.

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154 **RESULTS**

155 *Patient characteristics*

156 Age at hip fracture ranged from 50-105 years and women were on average three years older
157 than men (Table 1). A higher proportion of men were married, whilst more women were
158 widowed. Men had higher education. Men also had a significantly higher average number of
159 diagnoses registered during the hospital stay, and a higher proportion of the male patients had
160 CCI 2+. While one in five women died within one year after the fracture, the corresponding
161 proportion among men was one in three (Table 1).

162

163 *Comorbidity and risk of death in hip fracture patients*

164 Risk of dying within one year after hip fracture, adjusted for age and county of residence
165 within the genders, increased by increasing CCI score (Supplementary Table S2). Among
166 women with CCI 0, an adjusted proportion of 11% died within one year, whilst 24% and 41%
167 died among those with CCI 1 and 2+. The corresponding incidence proportions in men were
168 22%, 38% and 52%, respectively.

169 A larger proportion of women had no CCI diagnosis registered, 52% vs. 45% in men
170 (Supplementary Table S3). All comorbid diagnoses were more prevalent among men, except
171 rheumatic disease. In women, dementia was the most prevalent diagnosis (12%), while
172 chronic lung disease and dementia were equally prevalent in men (14%). The adjusted
173 proportion of deaths within one year in patients with a dementia diagnosis was 36% in
174 women and 57% in men. The proportion of deaths was higher among men for all registered
175 CCI diagnoses (Supplementary Table S3).

176

177 *Relative risk of death by gender and comorbidity*

178 Compared with controls, there was a strong association between CCI score and one-year
179 mortality in hip fracture patients of both genders (Table 2, Supplementary Figure S2). Hazard
180 ratios (HR) increased through increasing CCI score but even patients without registered
181 comorbidity (CCI 0) had increased HR (Table 2). There was statistical interaction between
182 age and comorbidity ($p < 0.001$ for both genders). Cox regression stratified by tertiles of age
183 distribution, corresponding to 50-79, 80-86 and 87-105 years, revealed that the relative
184 excess mortality due to comorbidity was highest at younger ages in both genders
185 (Supplementary Table S4).

186 When comparing male and female hip fracture patients, men had an age-adjusted HR
187 of 2.0 (95% CI 1.9-2.1) for death within one year compared with women. With comorbidity
188 adjustment, HR was reduced to 1.8 (95% CI 1.7-1.8). Within levels of CCI, the HR in men
189 compared with women was 2.3 (95% CI 2.1-2.5) at CCI 0, 1.9 (95% CI 1.7-2.0) at CCI 1 and
190 1.4 (95% CI 1.3-1.5) at CCI 2+. Among the matched controls, men had HR 1.4 (95% CI 1.3-
191 1.5) compared with women.

192

193 *Risk difference in death by gender and comorbidity*

194 In linear regression, estimated risk of death within one year in the matched non-fracture
195 controls was 11% in women and 12% in men, adjusted for age and county of residence within
196 genders (Table 3). There was increasing one-year risk of death with increasing CCI level. The
197 gender difference in excess risk of death in patients was mainly driven by the large difference
198 between patients and controls, while the gender difference in added risk of death by
199 increasing CCI in patients was small. The estimated risk difference between patients with
200 CCI 2+ and patients with CCI 0 was 29 percentage points in both genders (Table 3).

201 **DISCUSSION**

202 This population-wide study of all patients hospitalized with a first hip fracture in Norway
203 over a four-year period showed that men who suffered a hip fracture had more comorbidity
204 than women. A higher comorbidity burden was associated with increased excess one-year
205 mortality in both genders, and the association was even stronger in men. However, the gender
206 difference in comorbidity did not explain the gender difference in one-year mortality.

207 It has been reported in many studies that excess mortality after hip fracture is higher
208 in men,^{3-5, 8, 9, 11, 12} despite men being younger when suffering a hip fracture. It has been
209 proposed that higher prevalence and severity of pre-existing chronic diseases in men who
210 suffer a hip fracture contribute to explaining their poorer prognosis. Comorbidity is a
211 recognized predictor of mortality after hip fracture,^{5, 10, 16} but its contribution is unresolved. In
212 register data from Sweden, it was estimated that the majority of deaths in hip fracture patients
213 were due to pre-existing illnesses.¹⁷ In contrast, a patient register study in Denmark found
214 that the excess mortality after hip fracture was only slightly attenuated (from HR 2.26 to HR
215 1.95) when taking into account CCI score. The authors concluded that the increased mortality
216 appeared to be largely related to the fracture event itself.¹⁸

217 In our data, HR for one-year mortality was doubled in men compared with women.
218 The gender difference in mortality was only slightly attenuated by taking into account
219 comorbidity level, and it remained higher than that in the background population. This is in
220 line with the finding of an age-adjusted 70% higher post-hip fracture mortality in men
221 compared with women in Denmark, which was unaffected by adjustment for comorbidity.⁵
222 These results suggest that other gender-related differences not accounted for by comorbid
223 diagnoses contribute to the higher excess mortality in men after hip fracture. A recent study
224 identified no gender differences in quality of in-hospital care for hip fracture defined by

225 several process performance measures.¹² Use of bisphosphonates may reduce mortality.²⁶ The
226 prevalence of use of these drugs after a hip fracture is low, and even lower in men.²⁷

227 The statistical interaction between age and comorbidity revealed a greater relative
228 effect of increasing comorbidity on excess mortality in younger hip fracture patients. In
229 general, the excess mortality after hip fracture expressed by standardized mortality rates is
230 higher at younger ages due to the lower background mortality.⁴

231 A strength of our study is that it is based on a nationwide database of hip fracture
232 admissions to all hospitals in Norway, linked with national register data covering the whole
233 population. All patients were included regardless of geographic area and socioeconomic
234 position. We had data on all deaths and almost complete demographic data, both for the
235 patients and the matched controls. Statistical power is high, giving precise results. A
236 limitation is the lack of data on chronic diseases in the background population. The controls
237 represented a random sample with the same age-, gender- and geographic distribution as the
238 patients, reflecting the distribution of morbidity in the general population of older adults. In
239 that respect, the clearly increased mortality in hip fracture patients with no registered
240 comorbidity is remarkable.

241 The measure of comorbidity in the patient population is not ideal in terms of neither
242 sensitivity nor specificity. The ICD-10 diagnoses codes used to define comorbidity were
243 recorded during the hospital stay when the hip fracture was treated, and are expected to
244 represent an underestimation of the true prevalence of comorbidity. Hospital routines require
245 that diagnoses deemed relevant for the actual stay are recorded, but coding practices may
246 partly be driven by the hospitals' financing system. Therefore, we do not expect to have
247 captured the true level of comorbidity, which is a general problem when using comorbidity
248 scores from administrative patient data.²⁸ However, for the current purpose, we do not expect

249 that underestimation of comorbidity should differ systematically according to the patients'
250 gender.

251 Our study shows that comorbidity places patients at particular risk of death post-hip
252 fracture. This information should be used in the management of hip fracture patients to direct
253 attention to comorbidities so that, with targeted care, an individual's mortality risk may be
254 lowered. Many comorbidities are also associated with increased risk of suffering a hip
255 fracture in the first place.^{29, 30} As such, knowledge about comorbid conditions is not just
256 important in inpatient management, but also for prevention purposes. Concerning prognosis,
257 we have shown that men who fracture their hip are especially vulnerable, even when there is
258 no apparent comorbidity, and they may warrant special attention in the follow-up. Although
259 age-specific incidence rates of hip fracture have declined the last decades,² this decline has
260 been lower in men than in women, and the future fracture burden is expected to increase due
261 to an ageing population that continues to grow. Thus, there is a great need for improvement
262 both in the prevention of fracture and in reducing post-fracture mortality, both in women and
263 men.

264

265 *Conclusion*

266 Our study covering the population 50 years and older in Norway showed that men who
267 suffered a hip fracture had higher comorbidity burden than women. Higher comorbidity
268 scores were associated with increased excess one-year mortality in both genders, and the
269 association was even stronger in men. However, the difference in comorbidity did not explain
270 the gender difference in one-year mortality. Factors not accounted for by comorbid
271 diagnoses, such as factors related to the fracture event itself or other aspects concerning
272 follow-up and care of male patients might contribute to explain the higher excess mortality in
273 men. Awareness is needed of risk factors such as poor nutritional status, sarcopenia,

274 functional impairment, subsequent fall risk and postoperative complications. Men who
275 fracture their hip represent an especially vulnerable subpopulation, even when there is no
276 apparent comorbidity, and may warrant special attention.

277

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281 methods.

282

283 **Authors' contribution:** BSLR reviewed the literature, performed the data analyses and
284 drafted the manuscript in collaboration with KH. LF has advised in statistical methods. TKO,
285 AJS and HEM have critically revised the manuscript for intellectual content. All co-authors
286 have read and approved the final manuscript.

287

288 **Conflict of interest:** The authors have no conflict of interest.

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290 **Sponsors' role:** None

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362

363

364 **LEGENDS**

365

366 **Table 1.** Crude characteristics of patients aged 50+ with incident hip fracture in Norway

367 2005-2008 ^a

	Women N=22,445	Men n=9,730
Age in years, mean (SD)	81.7 (9.4)	78.7 (10.6)
Married, %	35.1	60.7
Widowed, %	49.1	16.3
Immigrants ^b , %	2.2	1.9
Secondary education ^c , %	45.5	58.2
No children ^d , %	23.5	23.8
Number of comorbid diagnoses ^e , mean (SD)	2.2 (1.9)	2.4 (2.1)
CCI score, n (%)		
0	11,745 (52.3)	4,366 (44.9)
1	6,848 (30.5)	2,747 (28.2)
2+	3,852 (17.2)	2,617 (26.9)
Died within one year after hip fracture, %	21.0	32.5

368

369 SD: standard deviation; CCI: Charlson comorbidity index

370 ^a Demographic variables (marital status, number of children, immigration status, education) were obtained in the Population

371 Census 2001; comorbidity information was obtained from the hospitalization with a hip fracture

372 ^b Immigrant: foreign born with none or one Norwegian born parent, or born in Norway with foreign born parents

373 ^c Completed first year of secondary school or higher (≥ 10 years) vs. completed primary school or lower (≤ 9 years). Missing

374 information for 206 (1.0%) women and 88 (1.0%) men

375 ^d Missing information for 39 (0.2%) women and 26 (0.3%) men

376 ^e Diagnosis codes for external cause of injury (V-, W-, X-, and Y-codes in ICD-10), contact with health services (Z-codes in

377 ICD-10), or femoral fractures (ICD-10 code S72) not included

378

379

380

381 **Table 2.** Hazard ratios with 95% confidence intervals for death within 1 year by Charlson
 382 comorbidity index score in hip fracture patients in Norway 2005-2008 compared with
 383 matched controls ^{a, b}

	Women			Men		
	n	HR	95% CI	n	HR	95% CI
Controls (ref.) ^c	67,278	1.0	-	29,137	1.0	-
Patients, CCI 0	11,745	1.5	1.4 - 1.6	4,366	2.6	2.4 - 2.8
Patients, CCI 1	6,848	3.2	3.0 - 3.3	2,747	4.5	4.2 - 4.9
Patients, CCI 2+	3,852	6.5	6.2 - 6.9	2,617	7.8	7.3 - 8.3

384

385 HR: Hazard ratio; CI: confidence interval; CCI: Charlson comorbidity index; ref.: Reference category

386 ^a Each control's survival was measured from the hip fracture date of his or her matched patient.

387 ^b Adjusted for age and county. All p-values < 0.001 within each gender

388 ^c CCI is available in patients only. Morbidity level in the control group represents the distribution of morbidity in the non-hip
 389 fracture background population

390

391 **Table 3.** Estimated one-year risk of death (%) with 95% confidence intervals for hip fracture
 392 patients in Norway 2005-2008 and matched controls by gender and Charlson comorbidity
 393 index score ^a

	Women			Men		
	n	Risk (%)	95% CI	n	Risk (%)	95% CI
Controls ^b	67,278	11	10-11	29,132	12	10-13
Patients, CCI 0	11,745	15	14-15	4,366	24	22-25
Patients, CCI 1	6,848	26	25-27	2,747	37	35-38
Patients, CCI 2+	3,852	44	42-45	2,617	53	51-55

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395 CI: confidence interval; CCI: Charlson comorbidity index

396 ^a In controls, risk (%) of death within one year corresponds to the constant in linear regression at mean age (82 in women, 79
 397 in men). In patients, risk (%) of death within one year is calculated by the constant + percentage points added risk expressed
 398 by beta coefficient in linear regression. Adjusted for age and county of residence. p<0.001 for all differences within the
 399 genders

400 ^b CCI is available in patients only. Morbidity level in the control group represents the distribution of morbidity in the non-
 401 hip fracture background population

402

403

404 **LEGENDS TO SUPPLEMENTARY FIGURES**

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406 **Supplementary Figure S1.** Available hip fracture patients aged 50 years and older from
407 patient administrative systems in hospitals in Norway 2005-2008 and control population in
408 the Norwegian Population and Housing Census 2001

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413 **Supplementary Figure S2.** One-year survival of hip fracture patients by gender and
414 Charlson comorbidity index and matched controls without hip fracture, Norway 2005-2008.
415 Adjusted for age and county ^a

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417 ^a In all groups of hip fracture patients survival was statistically significantly lower ($p < 0.001$) than that of the
418 matched control group of the same gender

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