

# Influence of atmospheric forcing parameters on modelled mountain permafrost evolution

Markus Engelhardt, Christian Hauck and Nadine Salzmann

Markus Engelhardt:

Department of Geosciences, University of Oslo  
P.O. Box 1047 Blindern, 0316 Oslo, Norway  
phone: +47 22 857251  
e-mail: markus.engelhardt@geo.uio.no

Christian Hauck:

Department of Geosciences, University of Fribourg  
Switzerland  
Phone: +41 26 3009011  
e-mail: christian.hauck@unifr.ch

Nadine Salzmann:

Department of Geosciences, University of Fribourg  
Switzerland  
Phone: +41 26 3009250  
e-mail: nadine.salzmann@unifr.ch

## 1 **Abstract**

2 To evaluate the sensitivity of mountain permafrost to atmospheric forcing, the dominant  
3 meteorological variables such as temperature, precipitation and timing and duration of snow  
4 cover have to be considered. Simulations with a one-dimensional coupled heat and mass  
5 transfer model (CoupModel) are used to investigate the interactions between the atmosphere  
6 and the ground focusing on ground temperature evolution and the temporal variability of  
7 the depth of the unfrozen top layer in summer (active layer depth). Idealised and observed  
8 atmospheric forcing data sets are used to determine the meteorological conditions, which  
9 show the largest impact on the permafrost regime. Borehole temperature and energy balance  
10 data from the permafrost station Schilthorn (2900 m asl, Berner Oberland) are used for  
11 verification. The results for the Schilthorn site show the largest impact due to summer  
12 temperatures changes during the snow free period and to a lesser extent winter precipitation  
13 which influence the duration of the snow cover. Similarly important is the timing of the  
14 first snow event in autumn which leads to a sufficiently large snow cover to isolate the  
15 ground from atmospheric forcing. Simulations with different data sets from Regional Climate  
16 Model (RCM) simulations derived from an ensemble of models and scenarios show that the  
17 differences in changes of active layer depth between different RCMs are on the same order  
18 than between different scenarios.

## **Zusammenfassung**

Um die Empfindlichkeit von Gebirgspermafrost auf atmosphärischen Einfluss zu untersuchen, müssen die bestimmenden meteorologischen Größen wie Temperatur, Niederschlag, Zeitpunkt und Dauer der Schneebedeckung berücksichtigt werden. Simulationen mit einem eindimensionalen gekoppelten Wärme- und Massentransportmodell (CoupModel) werden verwendet, um die Wechselwirkung zwischen der Atmosphäre und dem Boden zu ermitteln, wobei auf die Bodentemperaturentwicklung und die zeitlichen Schwankungen der ungefrorenen obersten Schicht im Sommer (Auftauschicht) konzentriert wird. Idealisierte und beobachtete Daten von atmosphärischen Antriebsgrößen werden verwendet, um die meteorologische Bedingungen zu bestimmen, die den größten Einfluss auf den Permafrost aufweisen. Bohrlochtemperaturdaten und Energiebilanzdaten der Permafroststation Schilthorn (2900 m ü. NHN, Berner Oberland) werden zu Kontrollzwecken verwendet. Die Ergebnisse für das Schilthorn zeigen die größte Einwirkung aufgrund von Änderungen der Sommertemperatur während der schneefreien Zeit und zu einem geringeren Ausmaß durch Winterniederschlag, welcher die Zeit der Schneebedeckung beeinflusst. Von ähnlicher Bedeutung ist der Zeitpunkt des ersten Schneefalls im Herbst, der zu einer ausreichend hohen Schneebedeckung führt, um den Boden von atmosphärischem Einfluss zu isolieren. Simulationen mit verschiedenen Zeitreihen regionaler Klimamodelle (RCM), abgeleitet aus einem Ensemble von Modellen und Szenarien, zeigen, dass die Unterschiede der Änderungen der Auftauschicht aus den Ergebnissen der verschiedenen Modelle in der gleichen Größenordnung liegen wie die Unterschiede aus verschiedenen Szenarien.

## 40 **1 Introduction**

41 Climate change is not restricted to the atmosphere, it also influences the ground temperature  
42 regime. This can be especially important where permanently frozen ground conditions (per-  
43 mafrost) with temperatures close to the melting point exist. Permafrost is defined as permanently  
44 frozen ground for at least two years (FRENCH, 1996). It therefore exists at depths where the  
45 seasonal variations of the temperature stay below the freezing point (WILLIAMS and SMITH,  
46 1989). The thickness of the seasonal thaw layer, commonly known as active layer thickness,  
47 can show strong year-to-year variations and usually reaches a few metres for typical mountain  
48 permafrost occurrences in the Swiss Alps (PERMOS 2009). However, anomalous years such as  
49 the exceptional hot summer 2003 in the European Alps can lead to strong increases of the active  
50 layer thickness (HILBICH et al., 2008).

51 With permafrost influencing the ground stability, a thicker active layer could increase the risk  
52 potential for rockfalls, landslides or debris flow (HARRIS et al., 2009). For example, a higher  
53 number of observed rockfall events in 2003 has been attributed to be the consequence of the  
54 increased active layer thickness due to the extremely high summer temperatures (GRUBER et al.,  
55 2004). Hence, in mountainous and highly populated and touristic regions like the European Alps,  
56 a profound knowledge of the permafrost distribution and its future evolution is of high impor-  
57 tance.

58 Mountain permafrost in the European Alps has been studied since the 1970s, and in a recent re-  
59 view paper HARRIS et al. (2009) have summed up current monitoring and modelling approaches  
60 to analyse the response of mountain permafrost to climate trends in Europe. One of the ap-  
61 proaches is based on temperature measurements in deep (100 m) boreholes, such as within the  
62 European PACE project (Permafrost and Climate in Europe, HARRIS et al. (2001)) as part of  
63 the Global Terrestrial Network Permafrost (GTN-P) within the Global Climate Observing Sys-  
64 tem (GCOS, BURGESS et al. (2000)). In addition, the Swiss permafrost monitoring network

65 PERMOS currently includes more than 15 permafrost monitoring stations measuring ground  
66 temperature, meteorological variables, subsurface properties like the electrical resistivity which  
67 can be related to ice content and slope movements (VONDER MÜHLL et al. (2007); PERMOS  
68 2009).

69 Due to the fact that the number of boreholes and monitoring stations is far from sufficient to  
70 cover all areas in the Alps where permafrost is present, and in order to analyse the future evo-  
71 lution of the thermal state and the ground ice content of these occurrences, subsurface model  
72 approaches of different complexity are usually performed (for reviews see RISEBOROUGH et al.  
73 (2008); HARRIS et al. (2009)). In the Alps, the heterogeneity of the surface and subsurface as  
74 well as topography complicates long-term modelling.

75 One of the potentially most important subsurface variables is the soil water content, which influ-  
76 ences both thermal and hydraulic processes within the subsurface. Soil moisture measurements  
77 in high alpine permafrost terrain are very scarce and often difficult to conduct (see e.g. RIST and  
78 PHILLIPS (2005)). Besides direct measurements, indirect methods such as Electrical Resistivity  
79 Tomography can be used to determine the water content of the subsurface for modelling pur-  
80 poses (SCHERLER et al., 2010). At mountain permafrost sites, soil moisture and its variability  
81 is usually extremely low during winter, with an abrupt increase when infiltration processes from  
82 the melting snow cover start. Afterwards, soil moisture values increase continuously but slowly  
83 until a high-variability pattern indicates the direct influence of the atmosphere and therefore a  
84 complete absence of an isolating snow cover (HILBICH and HAUCK, 2010).

85 Apart from uncertainties regarding the subsurface composition, a simple downscaling of Re-  
86 gional Climate Model (RCM) simulation data as forcing data set for subsurface permafrost mod-  
87 els is not possible, because small-scale variability of topographic effects are a major problem for  
88 precipitation modelling in the Alps (FREI et al. (2003); SALZMANN et al. (2007c)). This influ-  
89 ences especially the model results for the snow cover evolution, which is one of the major param-

90 eters for permafrost evolution. LÜTSCHG et al. (2008) simulated the influence of the snow cover  
91 on the ground temperature regime by the use of the one-dimensional model SNOWPACK and  
92 found a larger sensitivity of the ground thermal regime to changes in snow cover than to changes  
93 in the mean annual air temperature. This complex spatial and temporal reaction pattern of per-  
94 mafrost to atmospheric forcing parameters (due to the isolation effect of the temporally limited  
95 presence of the snow cover) prevents a simple coupled and/or dynamically downscaled impact  
96 modelling of climate induced changes of the permafrost regime (SALZMANN et al., 2007b).

97 As a first step to the modelling of the future evolution of mountain permafrost, we want to present  
98 idealised simulations using a complex subsurface model to analyse the sensitivity of mountain  
99 permafrost to different atmospheric forcing parameters, namely air temperature and precipita-  
100 tion. The paper is structured as follows: Section 2 shortly introduces the field site Schilthorn,  
101 which was used for validation of the model results. The one-dimensional subsurface model  
102 (CoupModel), which was used for the permafrost simulations of this study, as well as the RCM  
103 forcing data sets that were used for the long-term simulations are described in section 3. Section  
104 4 shows the validation of the model by comparing observed and modelled ground temperatures  
105 during the control period as well as simulations of the sensitivity to various idealised forcing  
106 scenarios and RCM data sets. Finally, conclusions are presented in section 5.

## 107 **2 Field site**

108 One of the field sites within the Swiss permafrost monitoring network (PERMOS) project is the  
109 Schilthorn (2970 m asl), Berner Oberland, Northern Swiss Alps. Since the first investigation  
110 by IMHOF (1996), extensive permafrost research has taken place on Schilthorn (e.g. HAUCK  
111 (2002); HILBICH et al. (2008); IMHOF et al. (2000); MITTAZ et al. (2002); NOETZLI et al.  
112 (2008); VONDER MÜHLL et al. (2000)), making it one of the most intensively investigated  
113 permafrost sites in the European Alps. In 1998 the first of three boreholes (14 m deep, followed

114 by two 100 m deep boreholes in 2000) has been drilled on its northern flank at 2900 m asl. A  
115 meteorological station and a permanently installed electrical resistivity tomograph (ERT) profile  
116 to study subsurface freeze and thaw processes provide additional data since 1999 (HAUCK et al.,  
117 2005).

118 Based on the above data sets, subsurface modelling studies using the coupled heat and mass  
119 transfer model COUP (see section 3.1) were initiated to simulate freeze and thaw processes at  
120 Schilthorn on different scales. VÖLKSCH (2004) found significant differences in the permafrost  
121 reaction in two boreholes situated only 15 m apart from each other and attributed these to  
122 differences in the surface characteristics, including snow cover variability. SCHERLER et al.  
123 (2010) successfully simulated the heat and mass transport processes in the active layer during  
124 the snow melt period in early summer to analyse the reaction of the meltwater infiltration on the  
125 ground temperature regime. However, no simulations on longer time scales have been performed  
126 so far.

## 127 **3 Methods**

### 128 **3.1 Numerical model**

129 In this study we use the CoupModel (Coupled heat and mass transfer model for soil-plant-  
130 atmosphere systems) for modelling the evolution of the active layer thickness and its sensitivity to  
131 atmospheric forcing parameters. The one-dimensional model consists of different sub-modules,  
132 which have been integrated into a system of models (JANSSON and KARLBERG, 2001). The  
133 model includes water and heat processes in any soil independent of plant cover. It has been  
134 extended by (STÄHLI et al., 1996) by a frost module to cover freezing and thawing processes.  
135 Radiation, wind speed, moisture, air temperature and precipitation data are the basic driving  
136 variables of the model. Precipitation data can be directly used as forcing variables or can be  
137 generated by the model. In the latter case the frequency and the amount of precipitation can be

138 prescribed, which was used to generate the input data for the idealised simulations (see below).  
139 The snow cover in the model is simulated in a horizontally and vertically homogeneous layer  
140 (divided into old snow and new snow) with variable thickness and is generated by a specific  
141 snowpack module.  
142 Energy and mass balances are calculated along a vertical profile using finite differences, so the  
143 soil can be divided into a finite number of layers. For our calculations we use a total of 75 layers  
144 and one layer for the snow cover. Calculations are performed for each of these layers. For all  
145 layers the most important ground parameters have to be provided, i.e. the porosity, the water  
146 content, the tortuosity, the heat capacity and the thermal conductivity of the ground.  
147 The model has already been used successfully for mountain permafrost investigations by  
148 VÖLKSCH (2004) and SCHERLER et al. (2010). In addition, the performance of the snow  
149 module was successfully compared within the Snow Model Intercomparison Project (SnowMIP)  
150 (ETCHEVERS et al. (2004); ESSERY et al. (2009)).  
151 Radiation and air temperature from the energy balance station at the Schilthorn borehole site were  
152 used as forcing data sets for our simulations. A model spin-up of 24 years was performed to gen-  
153 erate a stable and consistent initial model for the long-term simulations of the uppermost 10 m.  
154 Validation of the thermal conditions after the model spin-up and subsequent model simulations  
155 were conducted using ground temperature measurements within the boreholes at Schilthorn.

## 156 **3.2 RCM data**

157 Forcing data sets for long-term sensitivity analyses were taken from an ensemble of Regional  
158 Climate Model (RCM) simulations which were already used for impact modelling of the  
159 evolution of ground surface temperatures and rock surface temperature (SALZMANN et al.  
160 (2007c); SALZMANN et al. (2007a); NOETZLI et al. (2008)). In the present study we now go one  
161 step further and use these forcing data sets to analyse the sensitivity of subsurface temperatures



162 and active layer thickness at a mountain permafrost site.

163 The RCM-based daily scenario time series were derived from the results of five RCM simulations  
164 performed within the European project PRUDENCE (CHRISTENSEN et al., 2002). 10 scenario  
165 time series (2071-2093) were derived from the following three RCMs: (1) CHRM (Climate High  
166 Resolution Model) (e.g. LÜTHI et al. (1996); VIDALE et al. (2003)), (2) RegCM (Regional  
167 Climate Model) (e.g. GIORGI and MEARNs (1999); PAL et al. (2000)), and (3) HIRHAM  
168 (regional atmospheric climate model) (e.g. CHRISTENSEN et al. (1996)). Each of these RCMs  
169 was driven by the HadAM3H Global Climate Model from the Hadley Centre, forced by the SRES  
170 emission scenarios A2 and B2 (CHRM only by A2). Outputs from the RCMs were adjusted  
171 for high mountain impact analyses using the *delta* and *bias* approaches, discussed in detail  
172 by SALZMANN et al. (2007c). Using the delta approach the difference between the monthly  
173 mean values of the scenario run ( $scen_{mean}$ ) and the control run ( $ctrl_{mean}$ ) are added to the  
174 daily observation data ( $obs$ ) of the control period (Equation 3.1). The bias approach subtracts  
175 the difference between the monthly mean values of the control run ( $ctrl_{mean}$ ) and the daily  
176 observation data ( $obs_{mean}$ ) from the scenario time series ( $scen$ ) (Equation 3.2).

$$scen_{Delta} = obs + (scen_{mean} - ctrl_{mean}) \quad (3.1)$$

177

$$scen_{Bias} = scen - (ctrl_{mean} - obs_{mean}) \quad (3.2)$$

178 Both approaches use output from the RCM grid box whose monthly control run data statistically  
179 fit best with the respective time series of the meteorological station next to it, namely the station  
180 Corvatsch, Upper Engadine for the data set of SALZMANN et al. (2007a,b). The advantage of the  
181 delta approach is that regional distinctions (e.g. extremes) are kept within the data set, whereas  
182 the bias approach produces time series whose variability of temperature at the given grid box  
183 may not be representative for the location of the observations. The disadvantage of the delta  
184 approach is that it ignores possible changes in variability of the respective parameter, whereas

185 the bias approach retains the variability from the RCM simulation (SALZMANN et al., 2007c).  
186 In this study, bias and delta modified temperature and precipitation values were included in the  
187 scenario time series.

## 188 **4 Results**

### 189 **4.1 Validation of the model**

190 Within this section, the performance of the CoupModel for the mountain permafrost field site is  
191 validated using snow depth, subsurface temperature at different depths and the mean active layer  
192 thickness during the control period (1999-2007). First, precipitation during the control period  
193 has been derived by the model using measured snow depths and air temperature. Hereby, settling  
194 and melting of the snow cover is taken into account within the snow module of the CoupModel,  
195 where settling of the snow cover depends on the free water content in the snow cover, overburden  
196 pressure and age of the snow cover. Second, the ground parameters in the model have been  
197 adjusted to simulate the correct ground temperatures according to the measurements.

198 Comparing the snow depth of the model output with the observations (Figure 1) the ability of  
199 the model to reproduce the timing and duration of the snow cover becomes apparent, which is  
200 important for the permafrost evolution. Observed maximal snow depths and the variability are  
201 larger than in the modelled data, but especially the start and the end of the period of significant  
202 snow cover are rather similar. Consequently, the model reproduces the observations quite well  
203 in the period where the ground is free of snow or covered with little snow. For the period when  
204 the snow cover is thick enough to isolate the ground from atmospheric forcing the accuracy  
205 of modelled snow depths is less important, as modelled subsurface temperatures will not be  
206 affected. As a substantially thick snow cover effectively isolates the ground from atmospheric  
207 processes, errors in the simulated duration of the snow cover will have a larger impact on ground  
208 temperature than differences between observed and modelled absolute snow depths.

209 The observed temperatures at 5 m depth in both boreholes are below zero in most years  
210 (Figure 2). While the minimum temperature varies around  $-1\text{ }^{\circ}\text{C}$  the maximum temperature  
211 during the summer stays almost constantly close to the freezing point corresponding well to a  
212 mean active layer depth of 4.8 m at Schilthorn (PERMOS 2009). An exception can be seen in  
213 2003, where maximal temperatures were positive with values up to  $0.7\text{ }^{\circ}\text{C}$  and  $1.3\text{ }^{\circ}\text{C}$  in the two  
214 boreholes, respectively. This positive anomaly is due to the extremely hot summer in 2003 in  
215 Europe and also in the European Alps, with an increase in the occurrence of slope instability  
216 events and ice melt at mountain permafrost sites (GRUBER et al. (2004); HILBICH et al. (2008)).  
217 As can be seen from Figure 2, the CoupModel reproduced the temperature variations at this  
218 depth very well. The differences to the observed data are small ( $< 0.2\text{ }^{\circ}\text{C}$ ) and within the  
219 range of the temperature difference of the two boreholes which are situated only 15 m apart  
220 from each other (PERMOS 2009). Figure 3 shows the results from the modelled and observed  
221 active layer depths for the same time period. The increase in active layer depth from about 5 m  
222 to 9 m was extreme and larger than at the other permanent monitoring sites within PERMOS  
223 (PERMOS 2009). Again, simulations and observations agree well with a slight underestimation  
224 of the 2003 anomaly in the model results.

## 225 **4.2 Idealised modelling**

226 To analyse the seasonally variable importance of the dominant forcing variables and their  
227 respective impact on ground temperatures and active layer depth, we performed a sensitivity  
228 study using reduced and increased precipitation and air temperature values. One of the dominant  
229 forcing parameter of mountain permafrost is the duration of the snow cover. Using the average  
230 temperature and from the temperature and the snow depth derived average precipitation sum  
231 of every day between 1999 and 2007, the CoupModel produces a snow free period between  
232 mid-July and the end of September (Figure 4). Increasing (decreasing) the mean annual air

233 temperature (MAAT) by 1 K leads to an earlier (delayed) begin of the snow free period of 2  
234 weeks. A reduction (increase) of the annual precipitation sum by 30 % influences the start of the  
235 snow free period to the same amount.

236 The beginning of a permanent snow cover in autumn is similarly influenced by air temperature  
237 and precipitation. However, due to the dependence of snow fall on both, temperature and  
238 precipitation, the beginning of a snow cover with significant thermal isolation characteristics  
239 in autumn is not as clearly defined as the end of the snow cover in early summer. A rise of the  
240 MAAT by 1 K leads to a delay of a minimum snow cover of 20 cm of almost 3 weeks. With a  
241 temperature decrease of the same amount the snow cover reaches this threshold value almost 2  
242 weeks earlier. With a rise or a reduction of the annual precipitation sum of 30 % the threshold of  
243 a snow depth of 20 cm varies also in the range of 1 month between the beginning of October and  
244 the beginning of November.

245 Due to the strong dependence of ground temperatures on the timing and duration of the snow  
246 cover, the sensitivity of mountain permafrost will depend not only on the changes of mean  
247 parameters (such as MAAT, see LÜTSCHG et al. (2008)), but also on seasonal or monthly  
248 temperature and precipitation anomalies. To analyse this sensitivity the simulations of Figure 4  
249 were repeated, but now with monthly instead of annual anomalies. The resulting active layer  
250 depth was determined for the monthly sensitivity experiments. The differences to the active layer  
251 depth of the control run (4.4 m) are shown in Figure 5. Monthly mean temperatures are changed  
252 by  $\pm 3$  K and precipitation sums were doubled/set to zero, the latter simulating the extreme case  
253 of dry conditions during a whole month.

254 As seen in Figure 5a and b, air temperature changes between November and April have minor  
255 effects on the active layer thickness as the snow cover decouples the ground thermal regime from  
256 atmosphere. Between May and September a change of the monthly mean temperature of 3 K  
257 leads to a change of the active layer thickness in the same year between 60 cm and 100 cm with

258 maximum values between June and August. During these months the temperature anomalies  
259 have an almost linear effect on the active layer thickness with approximately 30 cm per degree  
260 temperature change (Figure 6). This is a similar result as found by LÜTSCHG et al. (2008)  
261 who reported a linear relationship between the MAAT and the mean annual ground surface  
262 temperature, whereas we found a linear relationship between the air temperature during the  
263 summer months and the active layer thickness.

264 Regarding monthly precipitation anomalies, a dry month between December and May reduces  
265 the snow depth at the beginning of the thawing period. This results in a slightly reduced  
266 snow cover duration and a corresponding increase of the active layer by about 20 cm to 40 cm  
267 (Figure 5d). On the contrary, dry months between June and August have minor effects on the  
268 active layer thickness. A dry month during autumn has a negative effect on the active layer  
269 thickness, because the ground surface stays longer without or with only little snow. Without the  
270 isolating effect of the snow outgoing long-wave radiation leads to a more rapid cooling of the soil  
271 and the active layer in the following year is less deep. A doubling of the monthly precipitation  
272 sum (Figure 5c) has generally the opposite effect on the active layer thickness than a lack of  
273 precipitation. An important exception can be found in autumn, where both, an increase and  
274 a reduction of the precipitation lead to a reduction of the active layer. With the precipitation  
275 falling as snow often already in mid-September, the snow depth at the end of the winter is also  
276 dependent on the precipitation in these months. An increase in precipitation in autumn can  
277 lead to a higher total snow cover in early summer, thus prolonging its isolating effect against  
278 summer insolation and decreasing the active layer thickness. Even though less precipitation  
279 yields reduced snow depths and earlier snow melt in spring, the lack of isolation in autumn seems  
280 to be more significant. Figure 7 shows this non-linear effect of the precipitation sum in October  
281 regarding the active layer thickness in the following year. For monthly precipitation sums larger  
282 than used in the control run, the active layer thickness decreases, but with decreasing slope.

283 For decreasing precipitation, the active layer thickness reaches its maximum at around 50 %  
284 of the average precipitation sum in October (control run), however, with a further reduction of  
285 precipitation the lack of the isolating effect of the snow during cold days becomes more important  
286 and results in a reduced active layer thickness.

287 To analyse the combined effect of temperature and precipitation changes simulations with  
288 coupled temperature and precipitation anomalies were conducted. The results show that the  
289 influence of temperature and precipitation changes cannot simply be added (Table 1). Between  
290 November and April, the temperatures are below 0 °C even during warm weather periods,  
291 therefore, the degree of influence on the ground thermal regime is driven by precipitation.  
292 Between May and October, however, precipitation is falling as snow or rain, depending on the  
293 temperature. If these months are warmer than average, the influence of precipitation anomalies  
294 on the active layer thickness is minor, as rain has a correspondingly minor impact on the ground  
295 thermal regime. On the other hand, precipitation during anomalously cold months is falling as  
296 snow, even during summer. Consequently, the ground receives more snow in cold and wet spring  
297 and summer months which has to be melted before a warming may take place in the ground.  
298 Cold and completely dry months, which have a sufficiently thick snow cover such as May, will  
299 not significantly influence the ground thermal regime, whereas bare ground in a cold month (e.g.  
300 August or October) leads to rapid ground cooling and to a reduction of the active layer thickness  
301 similar to cold and wet months (Table 1).

### 302 **4.3 Simulations with RCM ensemble time series**

303 In order to study the sensitivity of the active layer thickness to potential long-term changes  
304 of atmospheric forcing data an ensemble of 11 RCM-generated time series of air temperature  
305 have been used as input data for the CoupModel. Hereby, the properties of the soil model  
306 were left unchanged with respect to the results shown in the previous chapter. 10 of these data

307 sets comprise combinations of three different regional climate models, two different emission  
308 scenarios (A 2 and B 2) and the two transfer approaches (delta and bias) for the period 2071-  
309 2093. Additionally, one data set was generated from observations for the period 1981-2003 for a  
310 permafrost monitoring station in the Corvatsch/Murtèl area, Upper Engadine (SALZMANN et al.,  
311 2007c).

312 The result for the control run (obs) with the CoupModel using the observation data as forcing  
313 gives an active layer thickness of 3.0 m. This corresponds quite well with the observed mean  
314 active layer thickness at the Corvatsch field site of 3.4 m between 1987-2003 (VONDER MÜHLL  
315 et al., 2007). Using the data sets for the end of the 21st century, the CoupModel simulates  
316 a massive rise of the mean active layer thickness to 6 m to 14 m (Figure 8). Except for the  
317 simulation results of the CHRM, models using data sets with the delta approach produce less  
318 deep modelled mean active layer thicknesses compared with the values of the data sets which  
319 use the bias approach. This indicates that changes in variability of temperature or precipitation  
320 have an additional effect on the rise of the mean active layer thickness. In the simulations with  
321 an average active layer thickness of more than 10 m, the ground remains partly unfrozen during  
322 some winters, leading to the onset of permafrost degradation and talik formation.

323 As seen from Figure 8, differences between the results of the individual ensemble members  
324 can be very large even if the same scenario and transfer approaches are used. For example,  
325 the two RCM input data sets a2bc and a2bh for temperature and precipitation differ only in the  
326 used Regional Climate Model (a2bc: CHRM, a2bh: HIRHAM) but lead to an increase of the  
327 mean active layer thickness of 6.8 m and 13.8 m, respectively. The annual mean values for  
328 air temperature and precipitation in the two RCM data sets differ only little from each other  
329 (Table 2). However, a significant distinction can be found in the partitioning of the precipitation  
330 amount to rain and snow in the month of October. In this month, more precipitation falls  
331 with mean temperatures  $> 0$  °C in the a2bh data set than with negative temperatures. This

332 corresponds well with a positive monthly mean temperature of +1.2 °C. In the a2bc data set,  
333 there is about twice as much precipitation on days with a positive mean temperature than on  
334 days with temperatures below the freezing point, although the monthly mean temperature is near  
335 0 °C and therefore smaller than for a2bc. Consequently, in the CHRM precipitation in October  
336 is more likely to fall as rain leading to a tendency of cold days with little or no snow in autumn,  
337 whereas an early snow cover is more likely to appear in the HIRHAM simulations. This could  
338 indicate that CHRM simulates slightly different general weather conditions than HIRHAM. As  
339 described in detail by LAWRENCE and SLATER (2009), cold days in autumn with no or only a  
340 slight snow cover lead to a cooling of the subsurface and consequently to a smaller increase of  
341 the mean active layer thickness. This effect can be observed for the simulation results of the  
342 CHRM data set (Figure 8).

## 343 **5 Conclusions**

344 The CoupModel, a coupled heat and mass transfer model, was adapted to the characteristics and  
345 observed ground temperatures in the summit area of the Schilthorn field site, a permafrost re-  
346 gion in the Northern Swiss Alps. Validation experiments for the period 1999-2007 show a good  
347 agreement between simulated subsurface temperatures with data from borehole measurements at  
348 different depths.

349 Since the isolating effect of the snow cover is able to significantly reduce both the warming of the  
350 subsurface and its cooling, the onset and the end of snow cover plays a major role in all model  
351 simulations. As accurate simulations of the future evolution of precipitation and snow cover  
352 are difficult to obtain on a local scale in alpine regions, idealised model simulations were con-  
353 ducted to analyse the sensitivity of the mountain permafrost regime to these atmospheric forcing  
354 parameters. The model simulations revealed the influence of monthly and seasonal anomalies  
355 of temperature and precipitation on the active layer thickness. In summer, temperature changes



356 have the largest impact on the ground thermal regime, as the absence of the snow cover directly  
357 couples the atmospheric evolution to the ground. In August, the month with the potentially  
358 largest impact of air temperature changes, an increase/decrease of the monthly mean tempera-  
359 ture of 1 K leads to a change in the active layer thickness on the order of 30 cm. On the contrary,  
360 the simulations showed that summer precipitation has a minor impact on the active layer evolu-  
361 tion. Winter precipitation has a direct effect on the total height of the snow cover in spring and  
362 therefore on the onset of the snow melt in early summer. Increased (decreased) winter precipi-  
363 tation leads therefore to a decrease (increase) of the active layer thickness in the next year. An  
364 important non-linear effect can be observed for temperature and precipitation in autumn. Due  
365 to the fact that air temperatures in autumn can be positive as well as negative, they determine  
366 whether autumn precipitation falls as rain or snow, the latter being necessary for the evolution of  
367 a persistent and isolating snow cover. Sensitivity analyses with increased and decreased precip-  
368 itation amounts showed that the characteristics of the temperature and precipitation regimes in  
369 October may lead to both, significant increase and decrease of the active layer thickness in the  
370 following year. However, for the idealised settings of our model study, a tendency for an active  
371 layer thickness decrease due to changing precipitation forcing in October could be observed.  
372 Sensitivity studies using an ensemble of RCM-derived forcing data sets for the period 2071-2093  
373 give insights into a possible range of climatic impacts on the permanently frozen subsurface and  
374 the dependencies of subsurface temperatures on changing air temperature and precipitation char-  
375 acteristics. For all scenarios the CoupModel simulates a prominent increase of the mean active  
376 layer thickness with beginning permafrost degradation and talik formation for some of the en-  
377 semble scenarios. The major cause of this is the increase of mean summer temperatures in all  
378 RCM scenario simulations. Besides, the amount and the time of the first snow event in autumn  
379 control the active layer thickness in the following year. In addition to the evolution of summer air  
380 temperatures, a dominant forcing parameter for the evolution of mountain permafrost is therefore

381 the future partitioning of the precipitation amount in rain and snow in autumn.  
382 We believe that further sensitivity studies using complex one-dimensional subsurface models  
383 such as the CoupModel will help to clarify the future, non-linear response of mountain per-  
384 mafrost to climate change scenarios.

## 385 **Acknowledgments**

386 The work was done within the project "Sensitivity of Mountain Permafrost to Climate Change  
387 (SPCC)" (DFG HA 3475/3-1). We thank two anonymous reviewer who helped to improve the  
388 paper.

## 389 **References**

- 390 BURGESS, M. M., S. L. SMITH, J. BROWN, V. ROMANOVSKY, K. HINKEL, 2000: Global  
391 Terrestrial Network for Permafrost (GTN-P): permafrost monitoring contributing to global  
392 climate observations. – Geological Survey of Canada, Current Research 2000-E14.
- 393 CHRISTENSEN, J. H., O. B. CHRISTENSEN, P. LOPEZ, E. V. MEIJGAARD, M. BOTZET, 1996:  
394 The HIRHAM4 regional atmospheric climate model. – Sci. Rep. **96**, 51 pp., Dan. Meteorol.  
395 Inst., Copenhagen.
- 396 CHRISTENSEN, J. H., T. R. CARTER, F. GIORGI, 2002: PRUDENCE employs new methods to  
397 assess European climate change. – Eos Trans. AGU **83**(147).
- 398 ESSERY, R., N. RUTTER, J. POMEROY, R. BAXTER, M. STÄHLI, D. GUSTAFSSON, A. BARR,  
399 P. BARTLETT, K. ELDER, 2009: SNOWMIP2: An Evaluation of Forest Snow Process  
400 Simulations. – Bulletin of the American Meteorological Society 2009 **90**, 1120–1135.

401 ETCHEVERS, P., E. MARTIN, R. BROWN, C. FIERZ, Y. LEJEUNE, E. BAZILE, A. BOONE, Y.-  
402 J. DAI, ANDA. R. E. FERNANDEZ, Y. GUSEV, R. JORDAN, V. KOREN, E. KOWALCZYK,  
403 N. O. NASONOVA, R. D. PYLES, A. SCHLOSSER, A. B. SHMAKIN, T. G. SMIRNOVA,  
404 U. STRASSER, D. VERSEGHY, T. YAMAZAKI, Z.-L. YANG, 2004: Validation of the surface  
405 energy budget simulated by several snow models (snowmip project). – *Ann. Glaciol.* **38**, 150–  
406 158.

407 FREI, C., J. H. CHRISTENSEN, M. DEQUE, D. JACOB, R. G. JONES, P. L. VIDALE, 2003:  
408 Daily precipitation statistics in Regional Climate Models: evaluation and intercomparison for  
409 the European Alps. – *J. Geophys. Res.* **D3**, 4124 pp., doi:10.1029/2002JD002287.

410 FRENCH, M. M., 1996: *The Periglacial Environment* – 2nd edn. Addison Wesley, London.

411 GIORGI, F., L. O. MEARNS, 1999: Introduction to a special section: Regional climate modeling  
412 revisited. – *J. Geophys. Res.* **104**, 6335–6352.

413 GRUBER, S., M. HOELZLE, W. HAEBERLI, 2004: Permafrost thaw and destabilization  
414 of Alpine rock walls in the hot summer of 2003. – *J. Geophys. Res.* **31**, L13504,  
415 doi:10.1029/2004GL020051.

416 HARRIS, C., W. HARBERLI, D. VONDER MÜHLL, L. KING, 2001: Permafrost monitoring in  
417 the high mountains of europe: the pace project in its global context. – *Permafrost Periglac.*  
418 *Process.* **12**(1), 3–11, doi:10.1002/ppp.377.

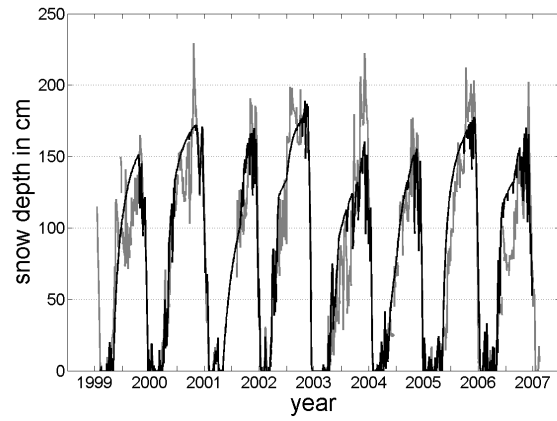
419 HARRIS, C., L. U. ARENSON, H. H. CHRISTIANSEN, B. ETZELMÜLLER, R. FRAUENFELDER,  
420 S. GRUBER, C. H. W. HAEBERLI, M. HOELZLE, O. HUMLUM, K. ISAKSEN, A. KÄÄB,  
421 M. L. M. A. KERN-LÜTSCHG, N. MATSUOKA, J. B. MURTON, J. NOETZLI, M. PHILLIPS,  
422 N. ROSS, S. M. S. M. SEPPÄLÄ, D. VONDER MÜHLL, 2009: Permafrost and climate in  
423 Europe: Monitoring and modelling thermal, geomorphological and geotechnical responses. –  
424 *Earth-Science Reviews* **92**, 117–171, doi:10.1016/j.earscirev.2008.12.002.

- 425 HAUCK, C., 2002: Frozen ground monitoring using dc resistivity tomography. – Geophysical  
426 Research Letters **29**(21), 2016, doi:10.1029/2002GL014995.
- 427 HAUCK, C., D. VONDER MÜHLL, M. HOELZLE, 2005: Permafrost monitoring in high  
428 mountain areas using a coupled geophysical and meteorological approach. – Climate and  
429 Hydrology of Mountain Areas, eds: C. de Jong, D. Collins, R. Ranzi, Wiley 59–71.
- 430 HILBICH, C., C. HAUCK, 2010: Automated time-lapse ERT for improved process analysis and  
431 long-term monitoring of frozen ground. – Permafrost and Periglac. Process. , in review.
- 432 HILBICH, C., C. HAUCK, M. HOELZLE, M. SCHERLER, L. SCHUDEL, I. VÖLKSCH, D. VON-  
433 DER MÜHLL, R. MÄUSEBACHER, 2008: Monitoring mountain permafrost evolution us-  
434 ing electrical resistivity: A 7-year study of seasonal, annual, and long-term variations at  
435 Schilthorn, Swiss Alps. – J. Geophys. Res. **113**, doi:10.1029/2007JF000799.
- 436 IMHOF, M., 1996: Modelling and Verification of the Permafrost Distribution in the Bernese Alps  
437 (Western Switzerland). – Permafrost Periglac. Process **7**, 267–280.
- 438 IMHOF, M., G. PIERREHUMBERT, W. HAEBERLI, H. KIENHOLZ, 2000: Permafrost Investiga-  
439 tion in the Schilthorn Massif, Bernese Alps, Switzerland. – Permafrost Periglac. Process **11**,  
440 189 pp.
- 441 JANSSON, P. E., L. KARLBERG, 2001: Coupled Heat and Mass Transfer Model for Soil-  
442 Plant-Atmosphere Systems. – Royal Institute of Technology. – Department of Civil and  
443 Environmental Engineering, Stockholm
- 444 LAWRENCE, D. M., A. G. SLATER, 2009: The contribution of snow trends to future ground  
445 climate. – Clim. Dyn. , doi:10.1007/s00382-009-0537-4.
- 446 LÜTHI, D., A. CRESS, C. FREI, C. SCHÄR, 1996: Interannual variability and regional  
447 simulations. – Theor. Appl. Climatol. **53**, 185–209.

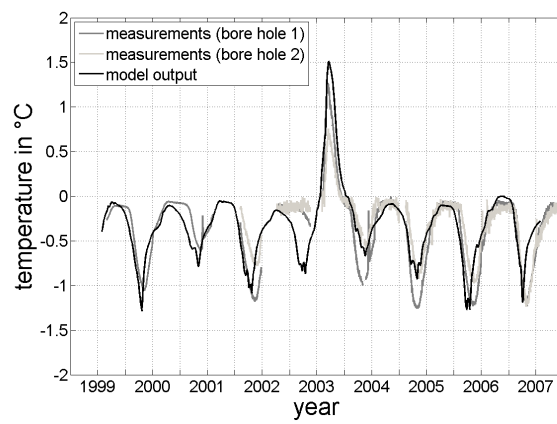
- 448 LÜTSCHG, M., M. LEHNING, W. HAEBERLI, 2008: A sensitivity study of factors influencing  
449 warm/thin permafrost in the Swiss Alps. – *J. of Glaciology* **54**, 696 pp.
- 450 MITTAZ, C., M. IMHOF, M. HOELZLE, W. HAEBERLI, 2002: Snowmelt evolution mapping  
451 using an energy balance approach over an alpine terrain. – *Arctic, Antarctic and Alpine*  
452 *Research* **34**(3), 274–281.
- 453 NOETZLI, J., S. GRUBER, C. HILBICH, M. HOELZLE, C. HAUCK, M. KRAUER, 2008:  
454 Comparison of Simulated 2D Temperature Profiles with Time-Lapse Electrical Resistivity  
455 Data at the Schilthorn Crest, Switzerland. – *Proceedings of the 9th International Conference*  
456 *on Permafrost 2008*, Fairbanks 1293–1298.
- 457 PAL, J. S., E. E. SMALL, E. A. B. ELTAHIR, 2000: Simulation of regionalscale water and  
458 energy budgets: Representation of subgrid cloud and precipitation processes within RegCM.  
459 – *J. Geophys. Res.* **105**, 29,579–29,594.
- 460 PERMOS 2009: J. NOETZLI, B. NAEGELI, D. VONDER MÜHLL (EDS.), 2009: Permafrost  
461 in Switzerland 2004/2005 and 2005/2006. – *Glaciological Report Permafrost No. 6/7 of the*  
462 *Cryospheric Commission of the Swiss Academy of Sciences* 100 pp.
- 463 RISEBOROUGH, D., N. I. SHIKLAMONOV, B. ETZELMÜLLER, S. GRUBER, S. MARCHENKO,  
464 2008: Recent advances in permafrost modeling. – *Permafrost and Periglac. Process.* **19**(2),  
465 137–156.
- 466 RIST, A., M. PHILLIPS, 2005: First results of investigations on hydrothermal processes within  
467 the active layer above alpine permafrost in steep terrain. – *Norwegian Journal of Geography*  
468 **59**(2), 177–183.

- 469 SALZMANN, N., J. NOETZLI, C. HAUCK, S. GRUBER, M. HOELZLE, W. HAEBERLI, 2007a:  
470 Ground surface temperature scenarios in complex high-mountain topography based on re-  
471 gional climate model results. – *J. Geophys. Res.* **112**, F02S12, doi:10.1029/2006JF000527.
- 472 SALZMANN, N., S. GRUBER, M. HUGENTOBLER, M. HOELZLE, 2007b: Influence of different  
473 digital terrain models (DTMs) on alpine permafrost modeling. – *Environ. Model Assess.* **12**,  
474 303 pp., doi:10.1007/s10666-006-9065-3.
- 475 SALZMANN, N., C. FREI, P.-L. VIDALE, M. HOELZLE, 2007c: The application of Regional  
476 Climate Model outputs for the simulation of high-mountain permafrost scenarios. – *Global  
477 and Planetary Change* **56**, 188 pp., doi:10.1016/j.gloplacha.2006.07.006.
- 478 SCHERLER, M., C. HAUCK, M. HOELZLE, M. STÄHLI, I. VÖLKSCH, 2010: Meltwater  
479 infiltration into the frozen active layer at an alpine permafrost site. – *Permafrost Periglac.  
480 Process.*, in press.
- 481 STÄHLI, M., , P.-E. JANSSON, L. C. LUNDIN, 1996: Preferential flow in a frozen soil - a  
482 two-domain model approach. – *Hyd. Proc.* **10**, 1305–1316.
- 483 VIDALE, P. L., D. LÜTHI, C. FREI, S. I. SENEVIRATNE, C. SCHÄR, 2003: Predictability and  
484 uncertainty in a regional climate model. – *J. Geophys. Res.* **108**(D18), 4586.
- 485 VÖLKSCH, I., 2004: Untersuchung und Modellierung kleinräumiger Unterschiede im Verhalten  
486 von Gebirgspermafrost. Diplomarbeit, Department Erdwissenschaften, Eidgenössische Tech-  
487 nische Hochschule Zürich.
- 488 VONDER MÜHLL, D., C. HAUCK, F. LEHMANN, 2000: Verification of geophysical models in  
489 Alpine permafrost using borehole information. – *Annals of Glaciology* **31**, 300–306.

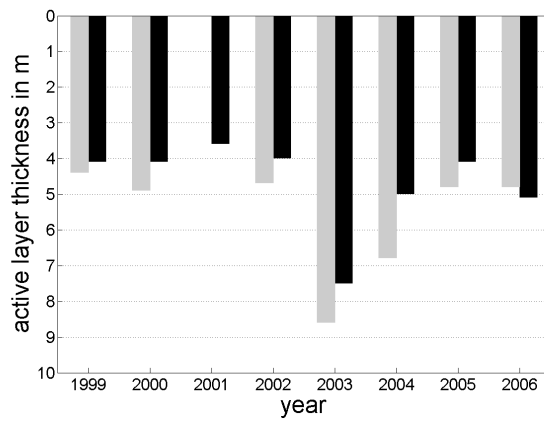
- 490 VONDER MÜHLL, D., J. NOETZLI, I. ROER, K. MAKOWSKI, R. DELALOYE, 2007: Permafrost  
491 in Switzerland 2002/2003 and 2003/2004. – Glaciological Report (Permafrost) No. 4/5 106  
492 pp., Cryospheric Commission (CC) of the Swiss Academy of Natural Sciences (SCNAT) and  
493 Department for Geography, University of Zurich.
- 494 WILLIAMS, P. J., M. W. SMITH, 1989: The Frozen Earth: Fundamentals of Geocryology. –  
495 Cambridge University Press, Cambridge.



**Figure 1:** Snow depth from model output (black) and from measurements (grey).

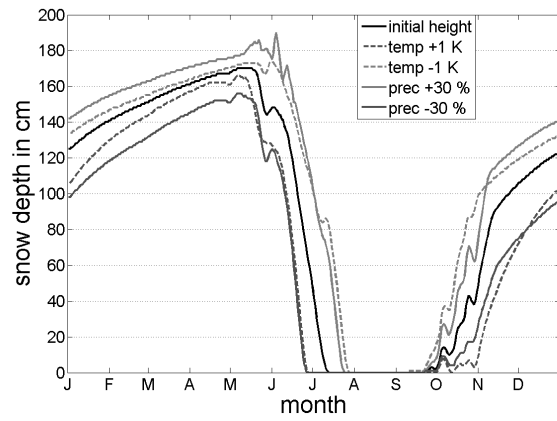


**Figure 2:** Ground temperature in a depth of 5 m from model output and from measurements of two boreholes.

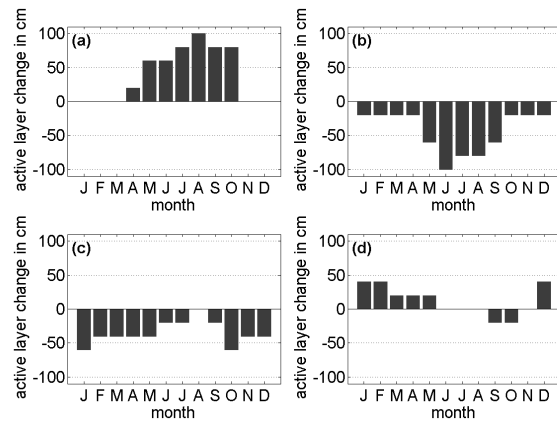


**Figure 3:** Active layer thickness from measurements (grey) (see HILBICH et al. (2008)) and from CoupModel (black) (there are no measurements for 2001).

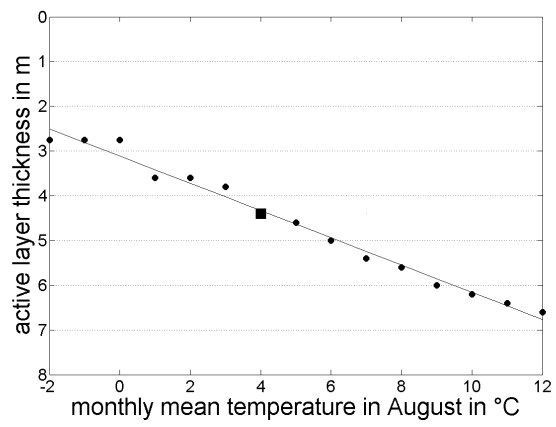




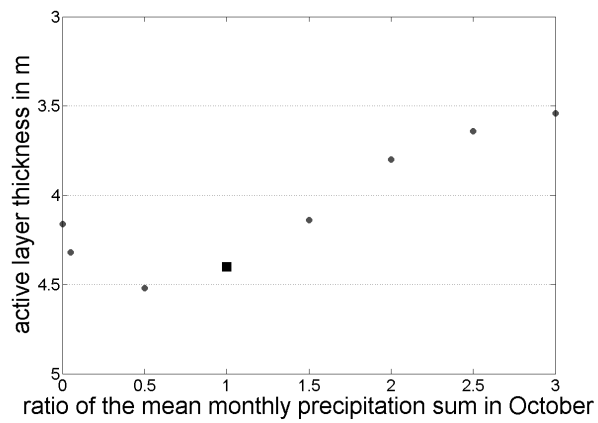
**Figure 4:** Change of the modelled snow depth with changes of the temperature by 1 Kelvin and the precipitation by 30 %.



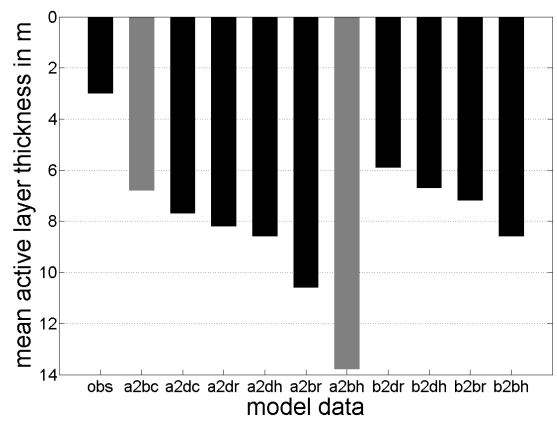
**Figure 5:** Overview of the changes in active layer depth to the control run (4.4 m) with unique selected modified parameters in each month. a) temperature +3 K, b) temperature -3 K, c) double precipitation, d) no precipitation.



**Figure 6:** Influence of air temperature in August on the active layer thickness; the control run with no changes is indicated by the square.



**Figure 7:** Influence of precipitation in October on the active layer thickness; the control run with no changes is indicated by the square.



**Figure 8:** Modelled mean active layer thickness for the control run (1981-2003) and for 10 RCM ensemble data for 2071-2093, whereas the first two characters indicate the emission scenario (a2 and b2), the third indicates the used downscaling approach (b=bias or d=delta) and the last the used climate model (c=CHRM, r=RegCM and h=HIRHAM); the markedly different model data shown in light grey (CHRM and HIRHAM) are further discussed in the text.

**Table 1:** Change of the active layer thickness due to independent and coupled temperature and precipitation changes. The values are rounded to the model resolution of 20 cm.

	May	August	October
warm	+60 cm	+100 cm	+80 cm
cold	-60 cm	-80 cm	-20 cm
wet	-40 cm	±0 cm	-60 cm
dry	+20 cm	±0 cm	-20 cm
warm and wet	+60 cm	+100 cm	+80 cm
warm and dry	+80 cm	+80 cm	+80 cm
cold and wet	-80 cm	-120 cm	-80 cm
cold and dry	-20 cm	-120 cm	-60 cm

**Table 2:** Temperature and precipitation average in October for a period of the control run (1981-2003) and a period in the scenario run (2071-2093) in two different RCM data sets (a2bc and a2bh) which only differ in the used RCM (CHRM resp. HIRHAM). The last row gives the mean modelled snow depth in October by the CoupModel with the use of the given data sets.

Data set	Observations (1981-2003)	CHRM (2071-2093)	HIRHAM (2071-2093)
annual mean temperature	-5.2 °C	-2.4 °C	-2.2 °C
mean annual precipitation sum	883 mm	831 mm	868 mm
mean temperature in October	-3.3 °C	0.0 °C	+1.2 °C
mean precipitation sum in October	72 mm	75 mm	74 mm
precipitation at temperatures > 0 °C	2 mm	50 mm	40 mm
precipitation at temperatures ≤ 0 °C	70 mm	24 mm	34 mm
mean modelled snow depth in October with the CoupModel	37 cm	4 cm	7 cm