

A Boussinesq type extension of the GeoClaw model - a study of wave breaking phenomena applying dispersive long wave models

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Abstract

The nonlinear shallow water model is widely used in the study of tsunami propagation, but an increasing number of studies are dedicated to the dispersion dynamics of tsunamis. If the wave dispersion becomes important, Boussinesq-type models are often used. In this work, a general purpose Boussinesq solver, BOUSSCLAW, is introduced for modeling non-linear dispersive tsunami propagation, taking into account inundation. The BOUSSCLAW model is an extension of the GeoClaw tsunami model. It employs a hybrid of finite volume and finite difference methods to solve Boussinesq equations from the literature, which are based on the depth-averaged velocity and include enhanced dispersion properties. On the other hand, in the selected formulation only some non-linearity is retained in the dispersion term. In order to validate BOUSSCLAW, numerical results are compared to analytic solutions, solutions obtained by pre-existing models, and laboratory experiments. Even though the equations of BOUSSCLAW are not fully nonlinear they perform far better than standard Boussinesq equations with only linear dispersion terms. Furthermore, the wave steepening and breaking motion is carefully scrutinized, and we demonstrate that the point of wave breaking may be wrongly identified in many of the commonly used Boussinesq models.

Keywords: Breaking wave, Boussinesq equation, finite volume method

1. Introduction

Tsunamis are generally long waves compared to the water depth, and long-wave models are consequently widely used in the study of their propagation and inundation. Through the use of numerical shock capturing techniques for modeling the near-shore bore formation of the tsunami, *nonlinear shallow water* (NLSW) models did become the standard model for modeling tsunami propagation and run-up, see e.g. (Titov and Synolakis, 1995; Imamura, 1996; Harig et al., 2008; Berger et al., 2011).

The NLSW models do not incorporate frequency dispersion, which may be included by ascending in the hierarchy of long wave expansion to Boussinesq type equations. Numerical models based on Boussinesq type equations have been used for idealized studies of wave processes since 1966 (Peregrine, 1966) and additionally to simpler problems in coastal engineering in the following decades (Brocchini, 2013). The accumulated effect of the frequency dispersion for the wave propagation over the open sea is a function of propagation time and the shape of the disturbance (Glimsdal et al., 2013), and may become important for some tsunamis, in particular for landslide sources (Løvholt et al., 2015). Dispersion may further be of importance, in combination with nonlinear effects, for the evolution of undular bores for tsunamis (Glimsdal et al., 2013; Grue et al., 2008; Løvholt et al., 2008; Behrens and Dias, 2015). In the last decades we have seen a development on long wave expansions and their numerical formulations. In the 1990s the modeling with Boussinesq type equations were vitalized by new formulations, in particular those of Madsen and Sørensen (1992) and Nwogu (1993) which displayed improved dispersion properties in comparison to the standard formulation of Peregrine (1967). Later still more extensions and improvements have followed as described in the reviews Madsen et al. (2003), Brocchini (2013) and Kirby (2016).

Boussinesq-type equations differ in mathematical structure from the NLSW equations and do not inherit characteristics in the same simple form. Hence, other strategies have been attempted for inclusion of wave breaking and post-

breaking motion in Boussinesq models. Schäffer et al. (1993) employed the concept of the *surface roller*, first proposed by Svendsen (1984), which is a volume of water passively riding at the bore front. Tissier et al. (2012) suggested a breaking model based on the surface roller, the maximal front angle and the Froude number. Another way of incorporating breaking was suggested by Kennedy et al. (2000) who included diffusive terms in the momentum equation. These diffusive terms were activated and deactivated as a steepness measure crossed thresholds. The original steepness measure was the temporal rate of surface elevation corresponding to a very steep solitary wave. Later, Lynett (2006) investigated a variety of steepness measures and then identified that the surface steepness provides the least sensitive breaking threshold. Løvholt et al. (2013) similarly employed a diffusive model including transport terms, but pointed out that breaking wave Boussinesq models were prone to instabilities. An alternative non-linear diffusive ad-hoc breaking term was suggested by Matsuyama et al. (2007), based on their large scale experiments of the wave propagation of undular bores on various slope angles.

Naturally, there is a desire to exploit the efficient and well established shock capturing framework of the NLSW models also in a dispersive context. Antuono et al. (2009) remolded the whole Boussinesq equations into a framework on hyperbolic form. However, most of the recently developed Boussinesq models are based on some combination of approximate Riemann solvers, with TVD limiters, for the hydrostatic transport terms and finite differences for dispersion terms (Erduran et al., 2005; Kim et al., 2009; Shiach and Mingham, 2009; Roeber et al., 2010; Dutykh et al., 2011; Shi et al., 2012). Among other models, this has led to the popular FUNWAVE-TVD and COULWAVE-TVD applications. In most Boussinesq models that include runup on beaches, the dispersion term is turned off in the vicinity of the shoreline to avoid interference of the wetting-drying techniques with the larger computational stencils from the dispersion terms. Still, the dispersion terms are often seen to cause stability problems in the strongly nonlinear parts of the shoaling process (Løvholt et al., 2013). In fact, a practice of switching to the NLSW equations in the near-shore region,

where large amplitude-to-depth-ratios occur, has evolved. This allows for a relatively robust treatment of the modeling of the post breaking phase. To this end, Tonelli and Petti (2009) and Shi et al. (2012), for instance, employ
65 a wave-height to depth threshold of 0.8 which is motivated by the maximum height of an undular bore, which again is related to the extreme solitary wave. This threshold is a pragmatic choice for gentle bottom gradients and may be questionable under other circumstances.

In this paper, we present a new hybrid Boussinesq type model BOUSSCLAW,
70 of similar mold as FUNWAVE-TVD and COULWAVE-TVD, but with a different Boussinesq formulation. In particular, the dispersion term is simpler and not fully nonlinear, as robustness is given priority over high formal order. The goal of the present article is twofold. First, to present a careful validation of the BOUSSCLAW model, both towards laboratory experiments and reference models.
75 Second, we use the new model to explore the breaking phenomena in the context of Boussinesq equations. It is investigated how different Boussinesq type models can represent the wave evolution until the point of breaking. In the presented example, we are finally able to demonstrate that Boussinesq models may stably compute the near shore tsunami propagation beyond the standard 0.8 wave-
80 height-to-depth threshold. Conversely, we find that the use of this threshold invokes a too early formation of a breaking bore. This points indicates that the breaking criteria employed so far lacks generality.

This paper is organized as follows: In Section 2, the base model for the wave equations is given and the numerical scheme is outlined, while a von Neuman
85 stability analysis is put in Appendix A. Sections 3 compares results from the BOUSSCLAW with analytic ones, laboratory experiments and those from other models. In subsection 4.1 we scrutinize the pre-breaking shoaling of Boussinesq type equations through comparison with full potential theory, while the post-breaking evolution is investigated in subsection 4.2.

90 **2. Model Description**

Boussinesq-type equations are derived on the assumption that the ratio of depth to wavelength, μ , is small. In addition one may assume that the ratio of wave amplitude to depth, ϵ , is small. Different kinds of long wave assumptions are then generally characterized by relative errors in terms of these two parameters. Herein we will neither derive Boussinesq equation nor make the equations dimensionless as such. Still, μ and ϵ will sometimes be used to indicate relative errors. Moreover, when presenting results we will often use dimensionless quantities which are marked by a star. The horizontal and vertical and temporal coordinates are denoted by x , y and t , respectively, while the depth averaged horizontal velocity and the surface elevation are denoted by u and η , respectively. Dimensionless variables are then defined as

$$t^* = \sqrt{\frac{g}{h_0}}t, \quad x^* = \frac{x}{h_0}, \quad \eta^* = \frac{\eta}{h_0}, \quad u^* = \frac{u}{\sqrt{gh_0}}, \quad \text{etc.} \quad (1)$$

where h_0 is a reference depth which is chosen as the maximum equilibrium depth. Dimensional variables will be used in the sections 2, 3.2, Appendix A, Appendix B, and finally the figures 3, 4 and 5. Elsewhere, dimensionless variables are employed. Sometimes the dimensionless quantities are spelled out, such as x/h_0 , but mostly starred quantities are used.

2.1. BOUSSCLAW - a new long wave model for tsunami propagation and run-up

In this work, a new numerical model, called BOUSSCLAW, is introduced. It is an extension of GEOCLAW (Clawpack Development Team, 2016), and solves the Boussinesq-type equations derived by Schäffer and Madsen (1995). The extended model is formulated in two horizontal directions, but herein we focus on the description of plane waves for simplicity. Tests and details on the performance with two horizontal directions are found in Kim (2014).

The BOUSSCLAW model employ a finite volume technique for the NLSW part of the equations and a finite difference discretization in fractional steps. The GEOCLAW software is a part of CLAWPACK (Clawpack Development Team,

2016) developed mainly by LeVeque (1997), George (2008) and Berger et al. (2011), which is designed to solve the nonlinear shallow water equations.

2.1.1. Boussinesq-type equations

Schäffer and Madsen (1995) derived Boussinesq-type equations where addition of a higher order $O(\mu^4)$ term enabled optimization of linear dispersion properties. We restrict ourselves to the choice $B_2 = 0$ from the formulation of Schäffer and Madsen (1995). The equations then read

$$H_t + (Hu)_x = 0, \quad (2)$$

$$(1 - D)[(Hu)_t] + \left(Hu^2 + \frac{g}{2}H^2\right)_x - gHh_x - Bgh^2(h\eta_x)_{xx} = -f_D, \quad (3)$$

where we have added a Manning type friction term, denoted by f_D and defined in eq. (12) The operator D is defined in terms of the dummy variable w according to

$$D(w) = \left(B + \frac{1}{2}\right)h^2w_{xx} - \frac{1}{6}h^3\left(\frac{w}{h}\right)_{xx}, \quad (4)$$

for any $w(x, t)$. In the above equations $H(x, t)$ and $u(x, t)$ are the total flow
110 depth and the depth averaged velocity of the water, respectively, $h(x)$ is the still
water depth, $\eta(x, t)$ is the surface elevation, and thus $H(x, t) = h(x) + \eta(x, t)$.
Moreover, g is the acceleration of gravity, and B is a dispersion parameter.
Madsen and Sørensen (1992) have chosen $B = 1/15$ for which the dispersion re-
115 lation from the Boussinesq equations follows linear potential theory to a higher
order in wave number times depth. When $B = 0$, this set of the Boussinesq-type
equations approximately reduces to that of Peregrine (1967) as the linear dis-
persion relations are identical. However, unlike Peregrine's momentum equation
the hydrostatic parts of (3) are written in a conservative form. Moreover, some
nonlinearity is introduced in the dispersion term. Even though (2), (3) and (4)
120 do not constitute a fully nonlinear set of Boussinesq equations, inheriting rela-
tive errors of order μ^2 , $\epsilon\mu^2$, they do describe shoaling of solitary waves markedly
better than, for instance, the Peregrine equations, as will be demonstrated in
section 4.1.

The BOUSSCLAW model solves the Boussinesq-type equations (2) and (3) numerically with a hybrid combination of the finite volume and finite difference methods that will be explained in a moment. There have been several studies of this type of hybrid schemes. For example, see Tissier et al. (2011), Shi et al. (2012) and Dutykh et al. (2013).

To facilitate a fractional step method, as outlined below, we move the hydrostatic terms of (3) inside the $(1 - D)$ operator, while balancing with extra terms in the Ψ , to obtain

$$(1 - D)[(Hu)_t + \left(Hu^2 + \frac{g}{2}H^2\right)_x - gHh_x] = -\Psi(x, t) - f_D, \quad (5)$$

where

$$\begin{aligned} \Psi(x, t) = & \left(B + \frac{1}{2}\right) h^2 ((Hu^2)_x + gH\eta_x)_{xx} \\ & - \frac{1}{6} h^3 \left(\frac{(Hu^2)_x + gH\eta_x}{h}\right)_{xx} - Bgh^2 (h\eta_x)_{xx}. \end{aligned} \quad (6)$$

2.1.2. Numerical scheme

The equations (2) and (5) are written in a conservative form with respect to the leading order terms in μ , but with the Ψ term as a pseudo source. Such equations may be solved by a *fractional step method* as described in LeVeque (2002). First, it is observed that (5) may be formally rewritten as

$$(Hu)_t = - \left\{ \left(Hu^2 + \frac{g}{2}H^2\right)_x - gHh_x \right\} - (1 - D)^{-1}\Psi(x, t) - (1 - D)^{-1}f_D, \quad (7)$$

At the first stage of the hybrid scheme, we integrate Hu over a time step taking into account all hydrostatic terms, namely those within the braces on the right hand side, and omitting the source terms involving Ψ . When this is combined with the continuity equation (2) this simply corresponds to advancing the shallow water equations one time step forward. To this end we employ GEOCLAW, a high-order accurate finite volume solver for the shallow water equations.

Next, the Manning resistance term is accounted for. To this end we ignore the coupling of bottom friction and dispersion (replace $(1 - D)^{-1}$ by 1 in (7)) and employ the semi-implicit solver in GEOCLAW for $(Hu)_t = -f_D$.

In the final stage, we retain the H value, but integrate Hu (essentially being the momentum density) further from the two first stages by solving

$$(1 - D) [(Hu)_t] = -\Psi. \quad (8)$$

Since the differential operator D contains spatial derivatives, a systems of dif-
 140 ference equations must then be solved.

The spatial and time discretization should be carefully chosen for the sta-
 bility of the second stage. In our numerical scheme, the second order centered
 scheme is used for the spatial discretization, and a four stage Runge-Kutta
 method is used for the time integration. The von Neumann stability analysis of
 145 this numerical scheme is outlined in Appendix A.

Suppose the spatial domain is divided into n grid cells with the spatial grid
 size Δx . Arrays of nodal values for flow depth and Hu , respectively, are defined
 as

$$\mathbf{H} = (H_1, H_2, \dots, H_n)^T,$$

$$\mathbf{M} = (H_1u_1, H_2u_2, \dots, H_nu_n)^T.$$

With time increment Δt the fourth order Runge-Kutta scheme can be writ-
 ten as follows,

$$\mathbf{M}^1 = \mathbf{M}, \quad \mathbf{M}^2 = \mathbf{M} + \frac{\Delta t}{2}\mathbf{S}^1, \quad \mathbf{M}^3 = \mathbf{M} + \frac{\Delta t}{2}\mathbf{S}^2, \quad \mathbf{M}^4 = \mathbf{M} + \Delta t\mathbf{S}^3, \quad (9)$$

where \mathbf{M}^k are intermediate value arrays and \mathbf{S}^k are correspondingly arrays for
 the time derivatives of Hu , obtained by solving

$$(I - \bar{D})\mathbf{S}^k = -\bar{\Psi}(\mathbf{H}, \mathbf{M}^k), \quad \text{for } k = 1, \dots, 4. \quad (10)$$

Here $\bar{\Psi}$ and \bar{D} represent centered spatial discretization for the term Ψ and the
 operator D , respectively. These are given explicitly below. Finally the value of
 \mathbf{M} at the new time level is obtained by

$$\mathbf{M}^+ = \mathbf{M} + \frac{\Delta t}{6} [\mathbf{S}^1 + 2\mathbf{S}^2 + 2\mathbf{S}^3 + \mathbf{S}^4]. \quad (11)$$

In (10), \bar{D} is a tri-diagonal $n \times n$ matrix with elements

$$\begin{aligned}\bar{D}_{i,i-1} &= \frac{1}{\Delta x^2} \left[\left(B + \frac{1}{2} \right) h_i^2 - \frac{1}{6} \frac{h_i^3}{h_{i-1}} \right], \\ \bar{D}_{i,i} &= \frac{1}{\Delta x^2} \left(-2B - \frac{2}{3} \right) h_i^2, \\ \bar{D}_{i,i+1} &= \frac{1}{\Delta x^2} \left[\left(B + \frac{1}{2} \right) h_i^2 - \frac{1}{6} \frac{h_i^3}{h_{i+1}} \right].\end{aligned}$$

Correspondingly, the i -th element of $\Psi(\bar{\mathbf{H}}, \mathbf{q})$ is

$$\begin{aligned}\bar{\Psi}_i &= \left(B + \frac{1}{2} \right) \frac{h_i^2}{2\Delta x^3} \left[\left(\frac{M_{i+2}^2}{H_{i+2}} - 2 \frac{M_{i+1}^2}{H_{i+1}} + 2 \frac{M_{i-1}^2}{H_{i-1}} - \frac{M_{i-2}^2}{H_{i-2}} \right) \right. \\ &\quad \left. + g(H_{i+1}(\eta_{i+2} - \eta_i) - 2H_i(\eta_{i+1} - \eta_{i-1}) + H_{i-1}(\eta_i - \eta_{i-2})) \right] \\ &\quad - \frac{1}{6} \frac{h_i^3}{2\Delta x^3} \left[\frac{M_{i+2}^2/H_{i+2} - M_i^2/H_i}{H_{i+1}} - 2 \frac{M_{i+1}^2/H_{i+1} - M_{i-1}^2/H_{i-1}}{h_i} \right. \\ &\quad \left. + \frac{M_i^2/H_i - M_{i-2}^2/H_{i-2}}{H_{i-1}} \right] \\ &\quad + g \left(\frac{H_{i+1}(\eta_{i+2} - \eta_i)}{H_{i+1}} - 2 \frac{H_i(\eta_{i+1} - \eta_{i-1})}{h_i} + \frac{H_{i-1}(\eta_i - \eta_{i-2})}{H_{i-1}} \right) \\ &\quad - \frac{Bg h_i^2}{2\Delta x^3} (H_{i+1}(\eta_{i+2} - \eta_i) - 2H_i(\eta_{i+1} - \eta_{i-1}) + H_{i-1}(\eta_i - \eta_{i-2})),\end{aligned}$$

for $i = 1, 2, \dots, n$.

2.1.3. Additional numerical features

Following Tonelli and Petti (2009) and Shi et al. (2012) we may represent wave breaking in a heuristic fashion through invocation of a threshold $\epsilon_B :=$
150 $\eta/h = 0.8$ in BOUSSCLAW. When the threshold is reached, the wave breaking is supposed to be initiated, and the dispersive terms are suppressed throughout the computational domain. This is adequate for the applications presented herein, which involve a single solitary wave. For other applications the switch to the NLSW equations should be made for only a subregion of the computational
155 domain.

Bottom friction is important for inundation on gentle slopes. Figure 10 exemplifies that the friction reduces the run-up height. BOUSSCLAW uses the

Manning-type friction as follows,

$$f_D = -\frac{gC_d^2 u |u|}{H^{5/3}}. \quad (12)$$

In Section 3, a non-dimensional Manning friction coefficient is used as $C_d^* = C_d \sqrt{\frac{g}{h_0}} h_0^{-1/3}$, with the typical range between 0.01 and 0.04 (Chow, 1959).

In the wetting-drying process during runup a parameter for the dry tolerance d_{tol} is chosen such that H is put to 0 if $H < d_{tol} h_0$, where h_0 is the reference depth (maximum equilibrium depth). In this work, the parameter d_{tol} is set to 10^{-4} . The dispersion terms of the Boussinesq equations imply an extended computational stencil as compared to that for the NLSW equations. To prevent this stencil from including dry nodes and thereby produce irregularities and even instabilities, the dispersion terms are switched off when $h < N d_{tol} h_0$ (close to the equilibrium shoreline), where the number N is set to 100 for the simulations herein. Accordingly, for the dry land inundation, the BOUSSCLAW invariably utilizes the NLSW solver of the GEOCLAW software, which can handle wet and dry states with the depth positivity property. Details can be found in George (2008). The near-shore truncation of the dispersive terms produce small wriggles (see figure 6, right panel) that remain small as Δx is reduced and do not influence the overall solution.

2.2. Models for comparison

The performance of the Boussinesq model presented here is partly assessed by comparison with numerical results from a full potential flow model which is described in Løvholt et al. (2013) and references therein. The model is based on a boundary integral technique and is run with fully nonlinear solitary wave solutions as initial conditions. During shoaling and breaking this model can describe the evolution of a plunger, but breaks down when the plunger reaches the free surface. Hence, the potential flow results are used to determine the point of breaking due to shoaling and to evaluate the evolution of amplitude and wave shape of the current model until this point. Below we refer to the full potential model as the BIM (Boundary Integral Method) model.

Comparison with a pre-existing, fully nonlinear Boussinesq model is facilitated by the application of a Lagrangian model, described in Løvholt et al. (2013). Apart from the use of Lagrangian coordinates the equations employed in this model are similar to (2) and (3). They differ only in the nonlinearities in the dispersion terms and in that the dispersion optimization terms are added in a fully nonlinear fashion. Presently, the Lagrangian model has no established bore capturing facility and is hence valid only to the point of breaking. Results from this model will be referred to as *Serre*, even though the dispersion enhancement is invoked.

Results for the Peregrine-type equations are obtained by the GloBouss model. This is a model for oceanic tsunami propagation which is based on discretization on a staggered grid. Further details are found in Løvholt et al. (2008).

For comparison also the version 2.1 of the FUNWAVE-TVD model by Shi et al. (2012) is used. The FUNWAVE-TVD model shares important features with BOUSSCLAW, employing a hybrid of the finite volume and finite difference scheme to solve the fully non-linear higher order dispersive Boussinesq model numerically. While we refer to Shi et al. (2012) for details, we briefly note that FUNWAVE-TVD is based on the fully nonlinear Boussinesq equations of Chen (2006). The numerical spatial representation in FUNWAVE-TVD is MUSCL TVD scheme to discretize for the flux and first order terms, whereas a central finite difference scheme (Wei et al., 1995a) is utilized for the higher order momentum terms. A Runge-Kutta scheme is employed for the time stepping.

3. Comparing BoussClaw simulations with well-defined tests

Four different test of BOUSSCLAW is presented. In Section 3.1 solitary wave propagation is considered. Even if this test is simple it includes dispersion and nonlinearity. Moreover, a scrutiny of the variation of energy with time and resolution shows that the artificial dissipation induced by the TVD part of the numerical scheme is very small for smooth waves. Solitary wave propagation in a moderately complex bathymetry is computed in section 3.2 and compared with

experiments. Finally, more detailed studies of shoaling and runup properties of the model concern non-breaking (section 3.3.1) and breaking (section 3.3.2) runup. Together these tests should provide a solid assessment of BOUSSCLAW. The results in the sections 3.1 and 3.3 are mainly presented in normalized coordinates, t^* and x^* , as defined by (1), whereas results in section 3.2 are more conveniently expressed with dimensions retained.

3.1. Solitary wave propagation

For validation of the numerical approach solitary wave propagation is computed in constant water depth. For the initial conditions, the analytic solitary wave solution of the Serre's equations is used since exact analytic solutions are unknown for the set (2) and (3). In dimensionless coordinates the solitary wave solution of Serre's equations is given as

$$\eta^*(x^*, t^*) = \alpha \operatorname{sech}^2(\kappa(x^* + ct^* - x_i^*)), \quad \text{and} \quad u^* = -c \frac{\eta^*}{1 + \eta^*}, \quad (13)$$

where x_i^* is the initial location of the crest peak, and

$$\kappa = \sqrt{\frac{3\alpha}{4(\alpha + 1)}}, \quad \text{and} \quad c = \sqrt{1 + \alpha}. \quad (14)$$

In these expressions h_0 is the equilibrium depth and α is the dimensionless amplitude. For the Serre model with enhanced dispersion we employ a modified version of (13) while the BIM model is initiated with Tanaka's solution. Details are given in Løvholt et al. (2013).

In Figure 1 surfaces from a BOUSSCLAW simulation with $\Delta x^* = \Delta x/h_0 = 0.05$ are shown for amplitude $\alpha = 0.2$. The computational results are in good agreement with the analytic solutions concerning height, shape and propagation speed. The amplitude decreases very gently as the wave propagates.

The integrated wave energies (per width) for the NLSW and Boussinesq equations are E_0 and $E_0 + E_1$, respectively, as described in Appendix B. These quantities are made dimensionless by $E_c = \rho g h_0^3$, which is minus two times the equilibrium potential energy per width. In Figure 2a the time evolution of these energies are shown for $\alpha = 0.2$ and $\Delta x^* = 0.2$. There are tiny fluctuations both

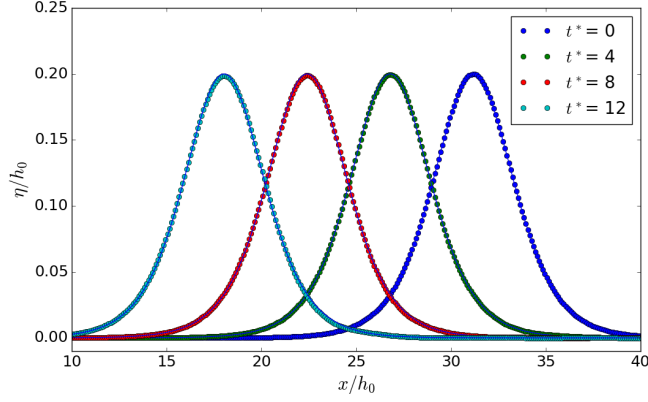


Figure 1: Analytic and computed solitary wave surfaces for $\alpha = 0.2$ and $\Delta x^* = 0.1$. The curves are marked by the normalized time t^* . The wave propagates from right to left, and the analytic solutions are solid lines.

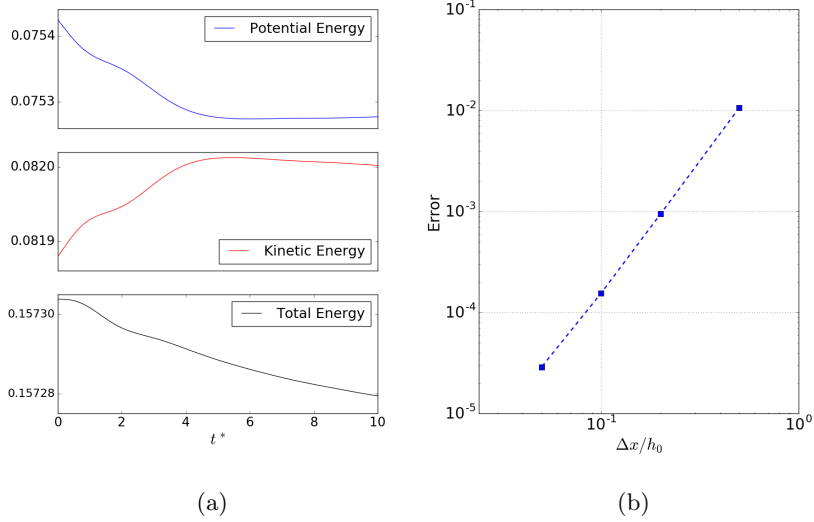


Figure 2: Evolution of energies for a solitary wave with $\alpha = 0.2$. (a): Different parts of the dimensionless energy $E^* = E/E_c$. for $\Delta x^* = 0.1$. (b): log-log plot of relative error of energy at $t^* = 10$ for $\Delta x^* = 0.05, 0.1, 0.2$ and 0.5 .

in the potential and kinetic energy that is evident when we zoom in, and the total energy decrease shows that the numerical procedure has a slight dissipation. In

Figure 2b, the relative error of the energy at $t^* = 10$,

$$Error = \frac{|E_{t^*=0}^* - E_{t^*=10}^*|}{|E_{t^*=0}^*|},$$

is shown for different Δx^* . For a solitary wave on a constant depth, the energy dissipation decreases with the grid increments.

3.2. Waves on a composite slope

230 A physical model was constructed at the Coastal Hydraulic Laboratory of the U.S. Army Corps of Engineers in order to address beach erosion and severe flooding problems (Briggs et al., 1995). The model beach consisted of three piece-wise linear slopes of 1:53, 1:150, and 1:13 with a vertical wall at the shoreline as shown in Figure 3. In the laboratory, the wave maker was located at
 235 23.23 m from vertical wall and produced incident waves that were close to solitary waves. The gauge data from three cases are provided where the relative amplitude α equals 0.038, 0.259 and 0.681, respectively, where $h_0 = 21.8$ cm is the depth at the wave maker.

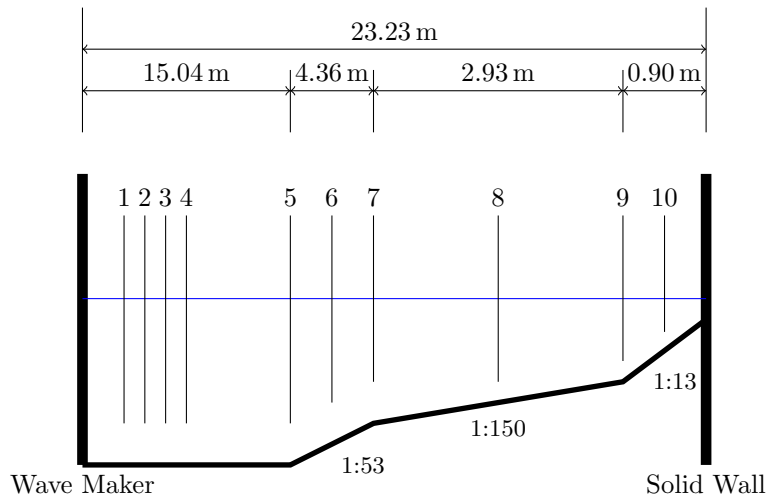


Figure 3: A sketch of the water tank used by Briggs et al. (1995).

For the second case, $\alpha = 0.259$, numerical results have been compared to
 240 experiments with 400 grid points on a computational domain of $[-0.98, 8.19]$

To specify the incident wave in BOUSSCLAW, data from Gauge 4 were used for the wave height, while the second relation in (13) was used to obtain the corresponding velocity.

In Figure 4, water surface elevations at gauges 5, 7 and 8 are shown. The
 245 simulated waves are in good agreement with the laboratory measurements. For
 the reflected waves, larger discrepancies are observed. The increased discrepancy
 occurs because the full interaction between the wave and the wall at the right
 boundary is less accurately captured. Presumably, viscosity influences the wave
 evolution along the shallow region near the right wall, but we have not included
 250 these in the present numerical simulation. A better fit may possibly be obtained
 by incorporating a bottom friction.

3.3. Shoaling and run-up of solitary waves

Figure 5 shows the initial set-up for a test which follows the laboratory
 experiments by Synolakis (1987). The bathymetry of the wave tank is composed
 255 of a horizontal bottom, where the equilibrium depth is $h_0 = 0.196$ m, and a
 uniform slope as shown in Figure 5. A solitary wave of height A_0 , hence $\alpha =$
 A_0/h_0 , is generated at the right end of the tank and propagates leftwards to the
 beach.

In the present section and throughout section 4 we present the results using
 the non-dimensional coordinates (t^*, x^*) , as defined by (1) with h_0 as the equi-
 librium depth in the flat bottom region. In Synolakis (1987), $t^* = 0$ was defined
 as when the wave crest was a non-dimensional distance, L^* , from the toe of the
 slope, where

$$L^* = \sqrt{\frac{4}{3\alpha}} \operatorname{arccosh} \left(\frac{1}{0.05} \right).$$

However, at $t^* = 0$, the solitary wave has an elevation of 5% of its maximum at
 260 the toe of the beach, meaning that the slope has started to interact with the
 solitary wave. To avoid any such interaction obscuring our analysis, we instead
 place the initial solitary wave using equation (13) with $x_i^* = L^* + 5c$. In this way,

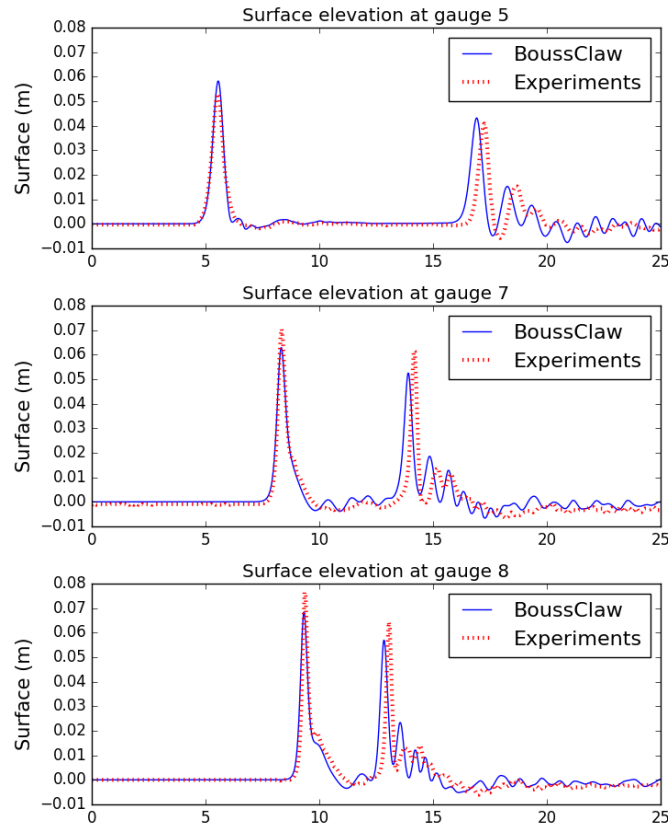


Figure 4: Comparison of BOUSSCLAW and experiment from Briggs et al. (1995). Water surface elevation (m) in time (s) at gauges 5,7 and 8 for the $\alpha = 0.259$ case.

an incident solitary wave of amplitude $\alpha \approx 0.3$, say, has a negligible interaction with the slope when initialized.

265 3.3.1. Run-up of a non-breaking wave on a steep slope

On a 10° slope an incident solitary wave of amplitude $\alpha = 0.3$ will not break until the end of the draw-down phase (Grilli et al., 1997). Still, this may be a challenging task for Boussinesq type models (Løvholt et al., 2013). Run-up on a 10° slope was investigated experimentally by Pedersen et al. (2013) who found
 270 a theoretical overshoot of roughly 20% in the maximum run-up height. This was allotted to the viscous boundary layer on the beach and capillary effects.

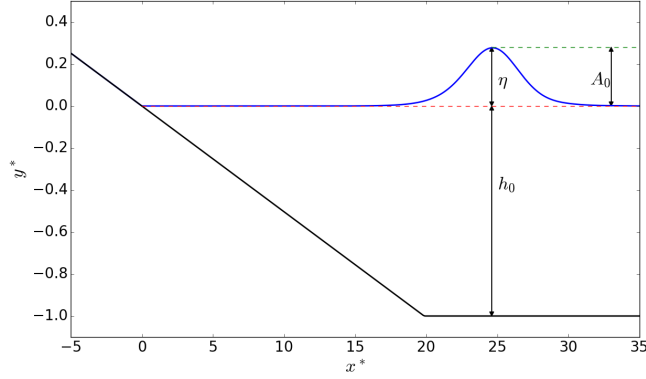


Figure 5: Definition sketch for shoaling and runup of solitary waves. The scale on the axes is the equilibrium depth, h_0 .

Moreover, the measurements showed that the boundary layer flow during run-up was mostly laminar, albeit indications of transition was observed in the upper part of the swash tongue close to flow reversal. Hence, it is not appropriate to employ a Manning friction term and we compare the models without any bed friction, while leaving the experiments out.

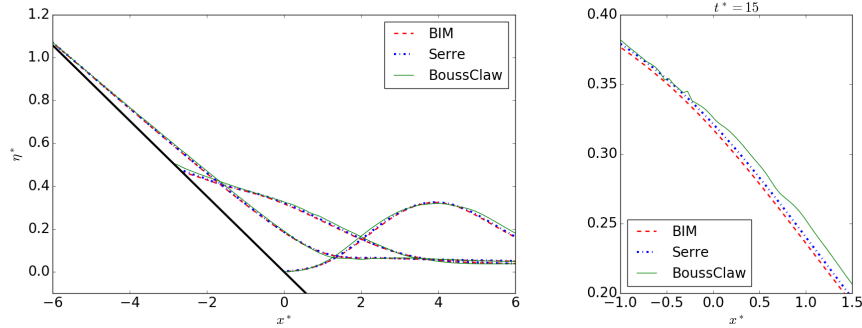


Figure 6: Runup of non-breaking solitary wave ($\alpha = 0.3$ and $\theta = 10^\circ$). Left panel displays surfaces from the BIM, Serre and BOUSSCLAW models at $t^* = 10, 15, 20$ for $\Delta x^* = 0.05$. Right figure is a zoom of the results at $t^* = 15$

In Figure 6, the numerical results from BIM, Serre, and BOUSSCLAW are shown at $t^* = 10, 15,$ and 20 , and a zoom at $t^* = 15$ is shown in the right panel.

The agreement between the dispersive models are very good. Even though the
 280 fully nonlinear Serre model follows the BIM slightly better, the BOUSSCLAW
 is also very close to the full potential theory. The small wrinkles observed on
 the surface from the BOUSSCLAW (right of Figure 6), are due to the switch to
 NLSW at the shore as discussed in section 3.3.1. As demonstrated in Figure
 7, the BOUSSCLAW model yields a solid grid convergence for the maximum
 285 runup height, R^* . This is in a stark contrast to observations for other models
 as presented in Løvholt et al. (2013).

In Figure 8, the run-up heights are shown, and Table 1 shows the maximum
 run-up heights. The NLSW model yields premature breaking (see discussion
 on theoretical and observed breaking in Pedersen et al. (2013)) and a too high
 290 maximum run-up height. And it is observed that the NLSW model yields larger
 run-up height than the Boussinesq-type equations for the non-breaking wave on
 a 10° slope.

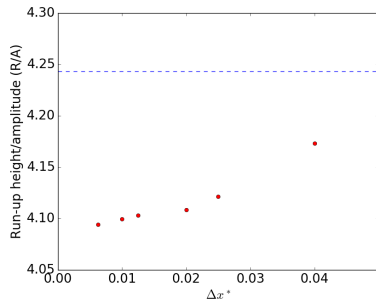


Figure 7: Non-breaking solitary wave ($\alpha = 0.3$ and $\theta = 10^\circ$). Maximum run-up divided by amplitude (R^*/α) from BOUSSCLAW with different grid sizes. The dashed line is from BIM. The grid size Δx^* is $0.04/N$ and $0.025/N$ for $N = 1, 2, 4$

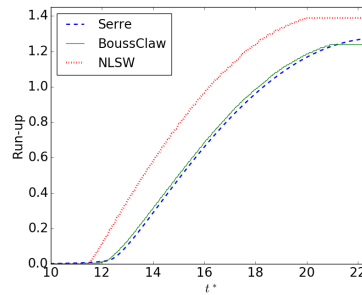


Figure 8: Non-breaking solitary wave ($\alpha = 0.3$ and $\theta = 10^\circ$). Time series for the runup height from Serre, BOUSSCLAW and NLSW with $\Delta x^* = 0.05$.

3.3.2. Comparison with experiments on a breaking wave

From the experiments of Synolakis (1987) on runup of solitary waves on
 295 beaches, we select the breaking case $\alpha = 0.28$ incident on a $1 : 19.85$ slope

Model	BIM	Serre	BOUSSCLAW	NLSW
Max. Run-up/Amp.	4.2432	4.2488	4.0941	4.6561

Table 1: Maximum run-up height divided by the incoming wave amplitude (R^*/α) for $\alpha = 0.3$ and $\theta = 10^\circ$.

for comparison with the BOUSSCLAW model. Experimental data is obtained at Synolakis et al. (2008).

In Figure 9, the laboratory measurements are shown with the computational results from the BOUSSCLAW (in Boussinesq and NLSW mode), the Serre and the BIM models for $\alpha = 0.28$ and a 1 : 19.85 slope at $t^* = 15$. The grid size Δx^* is 0.05 in the following simulations unless otherwise is stated. This is before the wave breaks and the BOUSSCLAW, the Serre, and the BIM model are all in good agreement with the experiments.

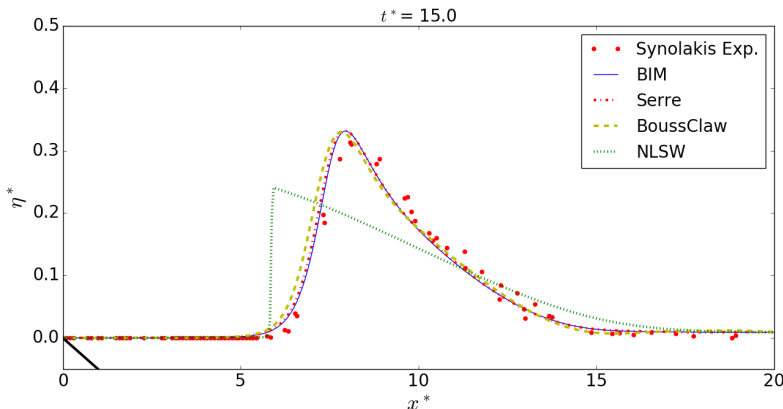


Figure 9: The surface elevation, η^* as function of x^* at $t^* = 15$ from the laboratory experiments (Synolakis, 1987) ($\alpha = 0.28$, slope 1 : 19.85), the BIM, the Serre, the BOUSSCLAW and the NLSW models.

The ratio of amplitude to depth, A^*/h^* (A^* is the maximum value of η^* and h^* is the equilibrium depth at the corresponding location), is about 2 at the point of breaking. The potential flow model cannot be run much beyond the breaking points (until the attachment of the plunger only) and gives no

information on the following bore propagation. In figure 10 we have compared the experimental data with the BOUSSCLAW model of $C_d^* = 0$ and 0.03.

310 The agreement is good and the introduction of bed-friction even seem to match the truncated swash tongue of the experiments well. However, this may be a coincidence. Even though the wave has broken and some irregular flow features are introduced thereby, we have no evidence of the flow state being anywhere near turbulent, which is required for a quadratic bottom resistance
 315 to be appropriate. Capillary effects and experimental errors may also affect the comparison as observed by Pedersen et al. (2013).

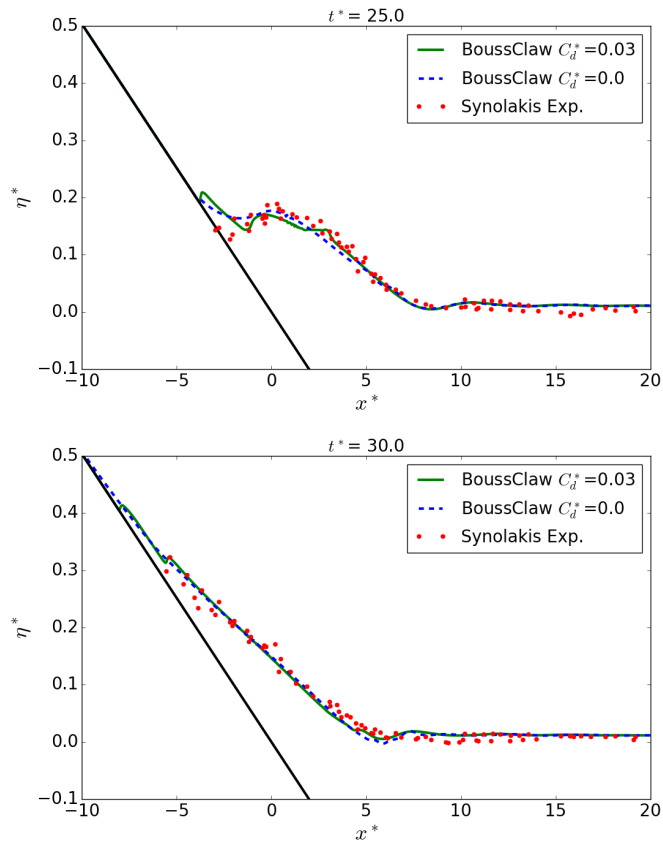


Figure 10: The surface elevation η^* as function of x^* at $t^* = 25, 30$ for the breaking case ($\alpha = 0.28$ and a 1 : 19.85 slope). Experiments and BoussClaw results with and without bottom drag are included. The resolution in the model is $\Delta x^* = 0.05$.

In Figure 11 and Table 2, we show the run-up height in time and the maximum run-up height respectively. Unlike what was observed for $\theta = 10^\circ$, the NLSW model reduces the run up height. The opposite behavior for the two may be explained by two competing effects of dispersion. First, for a non-breaking wave the omission of non-hydrostatic effects lead to an excessive steepening of the wave front which implies higher run-up. On the other hand, the premature breaking dissipates energy and will reduce run-up heights. For the steeper slope, there is insufficient time for the second effect to fully counterbalance the first. For the gentler slope the early onset of breaking in the NLSW model, at a long distance from the shoreline, causes a large dissipation which dominates over the first effects.

In addition to affecting the runup a non-zero C_d will delay, or even inhibit the withdrawal. A detailed discussion of such effects is outside the scope of the present article and we refer instead to a profound investigation in Antuono et al. (2012).

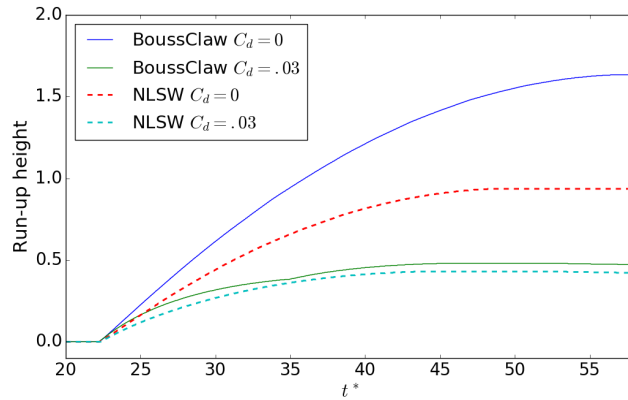


Figure 11: Dimensionless shoreline elevation for the breaking case ($\alpha = 0.28$ and a 1 : 19.85 slope) computed by BOUSSCLAW (without ϵ_B) and NLSW with different C_d^* .

C_d^*	0	0.01	0.02	0.03
BOUSSCLAW (without ϵ_B)	1.634	0.921	0.691	0.576
BOUSSCLAW ($\epsilon_B = 0.8$)	1.193	0.848	0.696	0.608
NLSW	0.936	0.702	0.596	0.528
Experiment	0.551			

Table 2: Maximum run-up height, R^* , for a solitary wave of amplitude $\alpha = 0.28$ incident on a 1 : 19.85 slope.

4. Shoaling and breaking phenomena

4.1. Shoaling until breaking

Wei et al. (1995b) made computations of pre-breaking solitary wave shoaling using their fully nonlinear extension of Nwogu’s model, a full potential theory, and the weakly nonlinear version of Nwogu’s model. They found that the fully nonlinear Boussinesq equations were superior to those of Nwogu in the later stages of the shoaling. In this subsection we will do a similar comparison for our models on the 1 : 19.85 slope which was not included in the reference. Our fully nonlinear Boussinesq model is different from that of the references, as it is a Serre type model with the depth averaged velocity as primary unknown, and our BOUSSCLAW model is not fully nonlinear. Hence, it is imperative to test the shoaling properties, particularly for the latter model.

We use the set-up described in section 3.3.2 for the Boussinesq modeling of solitary waves on a slope. The BOUSSCLAW simulations are compared with those of other Boussinesq solvers, namely FUNWAVE (Shi et al., 2012), GLOBOUSS (Løvholt et al., 2010) and the Serre type formulation (Løvholt et al., 2013). As noted above, the original Serre’s equations are enhanced by adding the same kind of dispersion correction terms as are used in (3).

In Figure 12 surfaces from the different wave models are shown at selected times. The BOUSSCLAW model is run without the switch to the NLSW equations at $\epsilon_B = 0.8$ and with the dispersion parameter $B = 1/15$. However, the results for $B = 0$ are rather similar to those for $B = 1/15$ in this case. At $t^* = 16$, the

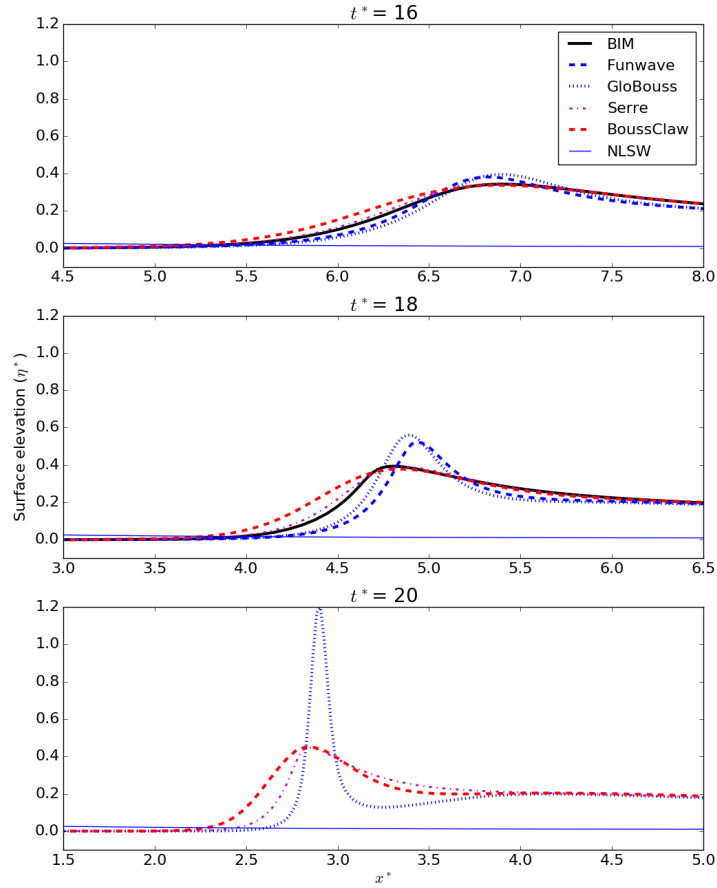


Figure 12: Evolution of η^* for $\alpha = 0.28$ and a 1 : 19.85 slope. Surfaces from the BIM, Serre, GLOBOUSS, BOUSSCLAW and FUNWAVE models at $t^* = 16, 18, 20$ are included. The BOUSSCLAW model is used with $B=1/15$, and the Peregrine's equations are used for GLOBOUSS.

computational results from all the Boussinesq-type equations are similar. The
 355 NLSW model, on the other hand, yields premature breaking causing a too low
 amplitude. At $t^* = 18$, some discrepancies are observed. The models can be split
 into two groups; GLOBOUSS and FUNWAVE are similar, while the BOUSSCLAW
 and the Serre results are similar. The wave front from the BOUSSCLAW model

is somewhat more advanced toward the beach than that from the BIM model.
 360 Still, the results from the Serre and BOUSSCLAW models are clearly closer to
 those of the BIM model, than those from the other group. Especially, the wave
 amplitudes are well determined by the BOUSSCLAW and the Serre models. The
 wave amplitudes computed by the GLOBOUSS and FUNWAVE models, on the
 other hand, are more than 33 % larger than those from the BIM model at $t^* =$
 365 18. The wave amplitude continues to increase in the GLOBOUSS simulations,
 and the difference from the BOUSSCLAW result becomes larger at $t^* = 20$. At
 the $t^* = 20$ there are no results from the BIM model as the wave has broken.
 Our observations on model performance during shoaling are in line with those
 of Wei et al. (1995b).

370 4.2. Wave breaking and run-up

In the BIM model we may identify the onset of breaking as the instant when
 we first observe a vertical slope at the wave front. For an incident amplitude of
 $\alpha = 0.28$ on a 1 : 19.85 slope, a vertical wave front is observed at $x^* = 4.09$ and
 $t^* = 18.6$ with $A^*/h^* = 2.01$. When the crest in the BOUSSCLAW simulation
 375 reaches $x^* = 4.09$, we find $A^*/h^* = 1.97$. The threshold value $\epsilon_B = 0.8$ (see
 sec. 2.1.3) is reached already at $t^* = 14.9$ when the peak of the wave is at
 $x^* = 8.03$. In the following, we explore the wave evolution with and without
 the switch to the NLSW equations at this threshold.

In Figure 13, snapshots are shown for t^* equal to 20, 25 and 30 of the
 380 solutions from BOUSSCLAW and NLSW with the Manning coefficient $C_d^* = 0.03$.
 At $t^* = 20$ the simulation with $\epsilon_B = 0.8$ has already been in NLSW mode for
 5 time units and the difference in the wave height from the full Boussinesq
 simulation is significant. In fact, the threshold solution is closer to the NLSW
 solution.

385 At $t^* = 25$ and $t^* = 30$, the wave is running up the slope, and the difference
 in the swash tongue is relatively small.

Other measures of nonlinearity than ϵ_B may be used for model decisions
 (Lynett, 2006; Matsuyama et al., 2007). Figure 14 shows ϵ_B , $u^*/\sqrt{H^*}$ (H^*

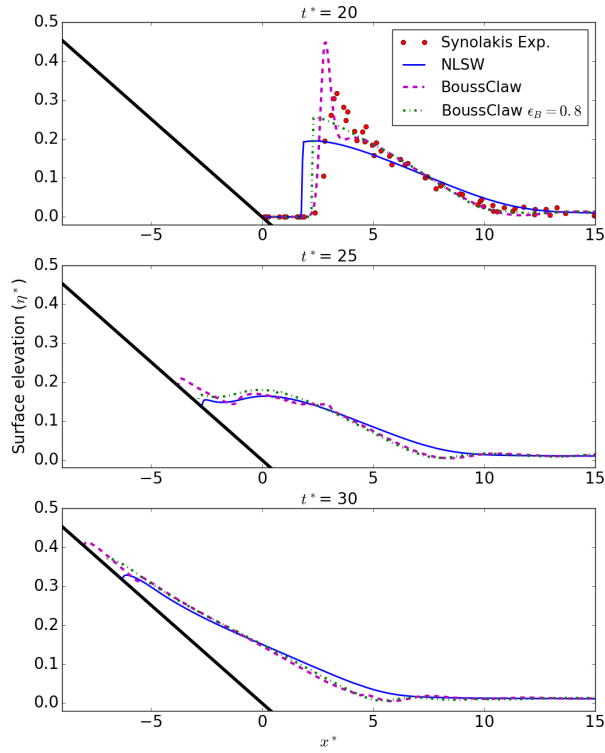


Figure 13: Breaking case ($\alpha = 0.28$ and a 1 : 19.85 slope). Comparison of η^* from BOUSSCLAW and NLSW with $\epsilon_B = 0.8$ and $\Delta x^* = 0.05$ at $t^* = 20, 25$ and 30 . Friction forces have been added with $C_d^* = 0.03$ in all simulations.

is the total, dimensionless, flow depth) and the maximum frontal angle as a
 390 function of the crest location. When the the BIM model yields a vertical front
 at $x^* = 4.09$, we obtain $u^*/\sqrt{H^*} = 1.034$ and the surface slope angle of 39.1°
 at the peak. For the present case this might indicate that the value of $u^*/\sqrt{H^*}$
 at the peak surpassing unity or the slope angle surpassing 30° may be sounder
 criteria for identifying breaking than $\epsilon_B > 0.8$.

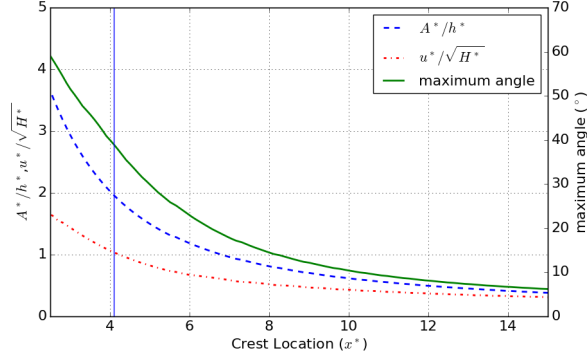


Figure 14: Plot of A^*/h^* , $u^*/\sqrt{H^*}$ and maximum angle of waves vs. crest location. The vertical line indicates where the BIM model yields breaking ($x^* = 4.09$).

395 *4.3. Wave Energy*

The gross wave energies for the shallow water equations and Boussinesq equations are E_0^* and $E_0^* + E_1^*$ respectively, as explained in Appendix B. As stated in section 3.1 they are made dimensionless by the factor $E_c = \rho gh_0^3$. In Figure 15 the energy densities are depicted as functions of the crest location, x_c^* . In the left panel we observe that the E_0^* is nearly constant for the shallow water equations until a shock is formed around $x_c^* = 13$. Thereafter, energy is quickly dissipated. For the BOUSSCLAW simulations E_0^* increases slightly, but noticeably, during shoaling, indicating that E_1^* needs to be accounted for. In the BOUSSCLAW simulation with no threshold (right panel) $E_0^* + E_1^*$ is nearly constant when the wave propagates in constant depth. On the deeper parts of the slope there is first a small increase, then a very moderate reduction. Presumably, the increase is due to the absence of strict energy conservation in the Boussinesq equations. Close to the shoreline this tiny increase is then dominated by a stronger, but still mild, energy dissipation. When the threshold $\epsilon_B = 0.8$ is invoked there is no difference from the full Boussinesq solution until the threshold is reached for $x_c^* = x_B^* = 8.03$. After $x_c^* = x_B^*$ the hydrostatic energy measure, E_0^* , is the most appropriate for this case. The energy drops momentarily due to the change of energy formula, then remains constant until

the wave breaks (x_c^* around 6), after which a strong dissipation ensues.

In this case the dissipation is due to a single shock. The dissipation rate per width, D_{th} , may then be approximated as (Tissier et al., 2011)

$$D_{th}^* = \frac{1}{4} \left(\frac{2h^* + d^*}{2h^*(h^* + d^*)} \right)^{1/2} (d^*)^3, \quad (15)$$

415 where d^* is the shock height, which, for a fully developed bore, corresponds to maximum η^* (A^*) in our case, and h^* is the undisturbed water depth. The rate D_{th}^* has been made dimensionless by the factor $E_c \sqrt{\frac{g}{h_0}}$, where E_c is given above. In figure 16 we observe that the dissipation rates of the models has a build-up, before the shock is fully developed, and then agree well with formula (15).
 420 Moreover, due to larger shock heights the BOUSSCLAW($\epsilon_B = 0.8$) dissipation rate is much larger than that of the NLSW model, when the wave finally has broken. This reduces the difference between the models to some extent. It is obvious that the NLSW model in this case is severely inaccurate, while it is more difficult to assess the BOUSSCLAW with and without the switch to the
 425 NLSW.

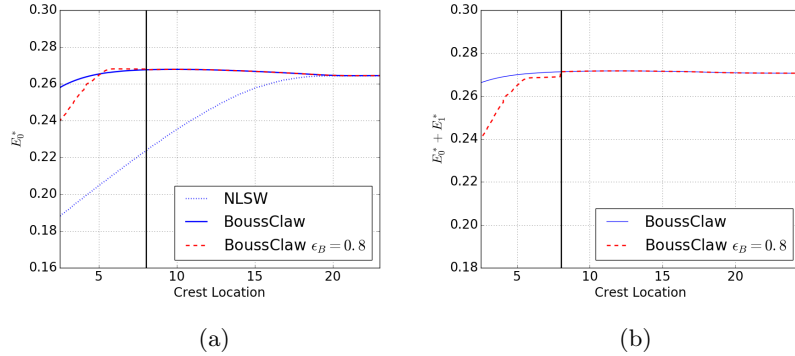


Figure 15: Wave energy ($\alpha = 0.28$, slope 1 : 19.85) as function of crest position. The vertical line is at $x_c^* = 8.03227$ where $\epsilon_B = 0.8$. (a): E_0^* . (b): Solid line is $E_0^* + E_1^*$ of BOUSSCLAW without ϵ_B . With $\epsilon_B = 0.8$, E_0^* is shown in dashed line after the threshold is reached.

The onset of the dissipation in the threshold model comes slightly before the a vertical front is observed in the BIM solution $x_c^* = 4.09$. This may point to a too early and strong dissipation. On the other hand, the Boussinesq

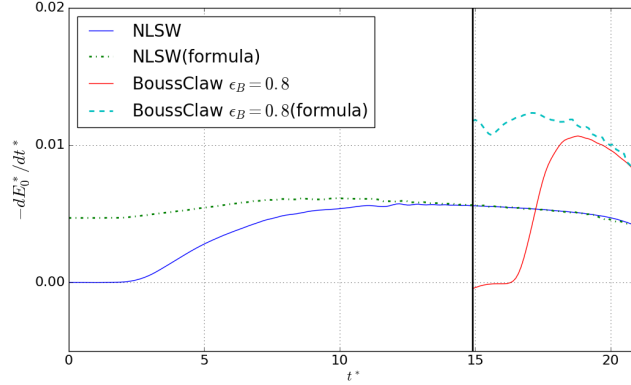


Figure 16: Dimensionless energy dissipation rates for $\alpha = 0.28$ and a 1 : 19.85 slope. The label “formula” represents (15) inserted the wave heights from the numerical simulations. BOUSSCLAW with $\epsilon_B = 0.8$ switches to the NLSW at $t^* = 14.9$.

430 solution without the threshold most likely yields too little dissipation. In this context we remark that the experimental data in the upper panel of figure 13 apparently fall between the BOUSSCLAW results with and without the ϵ_B threshold, even though there is scatter in the experimental surface. The last step of the procedure in section 2.1.2, which deals with the dispersion, increases the wave front width to the order of the local depth and thus reduces the dissipation

435 of the next TVD step. This effect of the dispersion terms may be inferred from, for instance, the Green function of Helmholtz type equations as outlined in Glimsdal et al. (2004), section 3.1.1. Still, the BOUSSCLAW model, without the threshold, is stable during both the last part of shoaling and during runup, even for refined grids. This contrasts the non-dissipative Serre model which may be

440 run beyond $x_c^* = 4$, without the strong artificial amplification of the Peregrine type models, but breaks down when the wave reaches the shoreline (results not shown). Hence, BOUSSCLAW, without the switch to the NLSW equation at $\epsilon_B = 0.8$, may be a good model for gently spilling breakers.

5. Concluding remarks

445 The BOUSSCLAW extension to the GEOCLAW package includes Boussinesq
type equations and resembles much used general purpose models such as FUNWAVE-
TVD and COULWAVE-TVD, but is based on a different and somewhat simpler
set of governing equations, as well as a slightly different numerical scheme.
Comparisons with other models as well as experiments are good. Moreover, the
450 model does not display the vulnerability to instabilities for strong nonlinearities
in shallow water as is observed for some fully nonlinear Boussinesq models
(Løvholt et al., 2013).

The experiments of Synolakis (1987) and a full potential reference model
enabled us to assess a set of different long wave models, and BOUSSCLAW in
455 particular. Using the potential model, we were able to assess in detail the
pre-breaking behavior of the models, and to identify the point of breaking ac-
curately. First, we found that by using standard NLSW models, the point of
breaking will be located too far offshore and that the resulting dissipation ar-
tificially check the amplification. Standard Boussinesq equations, like the so
460 called Peregrine variant, yield marked over-amplification even before the poten-
tial theory predicts breaking and they eventually produce completely erroneous
wave shape as well as height. The fully nonlinear, non-dissipative model of the
Serre type, on the other hand, follows full potential theory very well up to the
point of potential-model breaking and avoid severe over-amplification and shape
465 distortion also in the following evolution, until it breaks down at the shoreline.
For the pre-breaking part, this is in agreement with earlier investigations of
equations of the Nwogu/Wei type (Wei et al., 1995a) and shows that the com-
bined effects of nonlinearities and dispersion influence the solution markedly,
when accumulated to the point of breaking. However, herein we find also a
470 very good pre-breaking performance of the BOUSSCLAW Boussinesq equations
where only some nonlinearity is retained in the dispersion. This suggest that
the practice of retaining full nonlinearity in Boussinesq shoaling/runup models
may be relaxed, especially when the switch to the NLSW equations are invoked.

This may help reducing stability problems that are observed for fully nonlinear
475 Boussinesq equations (Løvholt et al., 2013).

A current practice has evolved in which the Boussinesq terms are omitted
near shore through the $A/h > 0.8$ threshold criterion, inspired by the maximum
height of a non-breaking undular bore. In an example presented herein, we
investigated the near shore propagation over a relatively gentle shelf of 1 : 19.85
480 slope, and in this case the actual onset of breaking occurred for $A/h \approx 2$,
which is significantly later than what would be predicted by the threshold.
Hence, a threshold criterion may lead to an erroneous breaking point as well as
an inaccurate description of the later stages of the shoaling. It is noted that
the artificial effect discovered would depend on the slope, and a 0.8 threshold
485 may well work better on a much gentler slope as it is primarily derived based
on solitary wave properties in constant depth. On the other hand, 1 : 19.85
slope is already quite gentle, and the offset between the reference solution and
Boussinesq models using this criteria may be even more pronounced for steeper
slopes.

Jihwan:
This is not
clear to me.

490 Appendix A. Stability of the hybrid scheme

It is difficult to analyze the numerical stability for our full Boussinesq equa-
tions. To obtain some insight in the stability of the proposed hybrid numerical
scheme, we thus consider a closely related, but simpler, equation, namely the
linearized Benjamin-Bona-Mahony (BBM) equation (Benjamin et al. (1972))

$$u_t + cu_x = \frac{h^2}{6}u_{txx}, \quad (\text{A.1})$$

where $c = \sqrt{gh}$. This equation describes weakly dispersive, uni-directional waves
in constant depth. The equation replaces the momentum equation, whereas no
separate continuity equation is involved.

Following the steps of section 2.1.2, we rearrange the equation (A.1) as

$$(I - D)(u_t + cu_x) + Du_x = 0, \quad (\text{A.2})$$

where $D = \frac{h^2}{6} \partial_x^2$. The first step of hybrid scheme for this equation is integration of the advection equation

$$u_t + cu_x = 0, \quad (\text{A.3})$$

by the finite volume method. Then the Runge-Kutta method is applied to,

$$(1 - D)u_t + cDu_x = 0. \quad (\text{A.4})$$

which is the counterpart to (8).

495 If we use the centered spatial difference approximation of $O(\Delta x^2)$ accuracy on a regular grid we may employ a standard von Neumann analysis where we calculate the growth of an harmonic mode over a single time step. Expressing the coefficients of the velocity array before the time step as $u_j = e^{i\xi j \Delta x}$ we then replace the coefficient of \mathbf{M}^k , defined in section 2.1.2, by $M_j^k = U_j^k = g^k e^{i\xi j \Delta x}$,
500 where k is 1, 2, 3, 4 or +. Correspondingly, the coefficients of the \mathbf{S}^k array, which contains auxiliary, nodal values for u_t , is expressed $(S_j^k) = s^k e^{i\xi j \Delta x}$.

The stability of the first step, (A.3), is assured by the standard CFL criterion

$$\frac{c\Delta t}{\Delta x} < 1.$$

If we instead solve the NLSW equations, as in BOUSSCLAW, c must be replaced by the nonlinear characteristic velocity, which may lead to a more strict criterion. However, the method employed in the first step is not suited for a von Neumann stability analysis and we thus apply this technique to the second step only. Hence, we may put g^1 to unity, but it is preferable to retain it in the calculations. The Runge-Kutta scheme for time stepping, (9), may now be expressed as

$$g^2 = g^1 + \frac{\Delta t}{2} s^1, \quad g^3 = g^1 + \frac{\Delta t}{2} s^2, \quad g^4 = g^1 + \Delta t s^3, \quad (\text{A.5})$$

The discrete version of (A.4), which is the counterpart to (10) for the BBM equation reads

$$S_j^k - \frac{h^2}{6} \frac{S_{j+1}^k - 2S_j^k + S_{j-1}^k}{\Delta x^2} = -\frac{ch^2}{6} \frac{U_{j+2}^k - 2U_{j+1}^k + 2U_{j-1}^k - U_{j-2}^k}{2\Delta x^3},$$

which, inserted the harmonic expressions, implies

$$s^k = i \frac{\gamma}{\Delta t} g^k, \quad \gamma = c \Delta t \frac{2 \sin(\xi \Delta x)(1 - \cos(\xi \Delta x))}{6 \Delta x^3 h^{-2} + 2 \Delta x(1 - \cos(\xi \Delta x))}, \quad (\text{A.6})$$

where the Δt factors are included for convenience. The assembling of the intermediate values in the Runge-Kutta procedure, (11), now yields

$$g^+ = g^1 + \frac{\Delta t}{6} [s^1 + 2s^2 + 2s^3 + s^4]. \quad (\text{A.7})$$

By combination of (A.5) and (A.6) s^k and g^k , $k = 1, \dots, 4$ can be calculated successively and combined in (A.7) to provide the value of g^+ ,

$$\begin{aligned} g^+(\gamma) &= \left(1 - \frac{1}{2}\gamma^2 + \frac{\gamma^4}{24} + \left(\frac{\gamma^3}{6} - \gamma\right)i\right) g^1 \\ |g^+(\gamma)|^2 &= \left(1 + \frac{1}{4}\gamma^4 + \frac{\gamma^8}{24^2} - \gamma^2 + \frac{\gamma^4}{12} - \frac{\gamma^6}{24} + \gamma^2 + \frac{\gamma^6}{36} - \frac{\gamma^4}{3}\right) |g^1|^2 \\ &= \left(1 - \frac{1}{72}\gamma^6 + \frac{1}{576}\gamma^8\right) |g^1|^2. \end{aligned}$$

Stability requires $|g^+(\gamma)/g^1| < 1$ which is equivalent to $|\gamma| < 2\sqrt{2}$. Moreover, it is easily seen that $\gamma < c\Delta t/\Delta x$. Hence, a sufficient condition for stability of the second step of the hybrid scheme is

$$\frac{c\Delta t}{\Delta x} < 2\sqrt{2}.$$

This is more relaxed than the CFL condition for the advection equation (A.3). Therefore, if the CFL condition is satisfied in the advection equation, the fractional step is always stable with the suggested numerical scheme.

505 Appendix B. Energy estimates and dissipation

Appendix B.1. Velocity field

To derive the energy estimates for the Boussinesq-type equations, we define the depth-averaged velocity as,

$$\bar{u} = \frac{1}{H} \int_{-h}^{\eta} u dz.$$

Then the velocity u can be expressed as $u = \bar{u} + u_1$ where $u_1 = O(\mu^2\bar{u})$ and

$$\int_{-h}^{\epsilon\eta} u_1 dz = 0. \quad (\text{B.1})$$

Then the kinematic boundary condition at the bottom and zero divergence implies

$$w = -h_x \bar{u} - \bar{u}_x (z + h) + O(\mu^2).$$

Appendix B.2. Energy integrals

The potential energy density per horizontal area is

$$V = \int_{-h}^{\eta} \rho g z dz = \frac{1}{2} \rho g \eta^2 - \frac{1}{2} \rho g h^2,$$

In finite depth, the last term, $\frac{1}{2} \rho g h^2$, is the equilibrium energy, which is normally excluded from the wave energy. Correspondingly, the first term, which is of order ϵ^2 relative to equilibrium energy, is then associated with the wave. However, in the swash zone and during draw-down this distinction is not applicable. Hence, instead of omitting the equilibrium energy locally, we will eventually compute the total energy of the computational domain and then subtract the total, initial equilibrium energy. The kinematic energy density has two contributions,

$$T = T_u + T_w; \quad T_u = \frac{\rho}{2} \int_{-h}^{\eta} u^2 dz, \quad T_w = \frac{\rho}{2} \int_{-h}^{\eta} w^2 dz.$$

where $T_w = O(\mu^2 T_u)$. For the horizontal part, T_u is

$$T_u = \frac{\rho}{2} \int_{-h}^{\eta} u^2 dz = \frac{\rho}{2} \int_{-h}^{\eta} [\bar{u}^2 + 2\bar{u}u_1 + u_1^2] dz = \frac{\rho}{2} H \bar{u}^2 (1 + O(\mu^4)),$$

since \bar{u} is independent of z and $u_1 = O(\mu^2\bar{u})$. The vertical part becomes

$$\begin{aligned} T_w &= \frac{\rho}{2} \int_{-h}^{\eta} [h_x^2 \bar{u}^2 + 2h_x \bar{u} \bar{u}_x (z + h) + \bar{u}_x^2 (z + h)^2] dz \\ &= \frac{\rho}{2} H \left(h_x^2 \bar{u}^2 + H h_x \bar{u} \bar{u}_x + \frac{1}{3} H^2 \bar{u}_x^2 \right), \end{aligned}$$

where relative errors of order μ^2 are implicit. Thus the energy of a wave can be approximated as

$$e = (e_0 + e_1 + O(\mu^4 \epsilon^2 e_0))$$

where $e_1 = O(\mu^2 \epsilon^2 e_0)$ and

$$e_0 = \frac{\rho}{2} (g\eta^2 - gh^2 + H\bar{u}^2), \quad (\text{B.2})$$

$$e_1 = \rho \left(\frac{1}{6} H^3 \bar{u}_x^2 + \frac{1}{2} H^2 h_x \bar{u} \bar{u}_x + \frac{1}{2} H h_x^2 \bar{u}^2 \right). \quad (\text{B.3})$$

We assume a beach to the left, a fixed off-shore boundary of computational domain to the right and an initial wave that does not affect the shoreline. Then, the total wave energies per width are defined as

$$E_0 = \int_{x_a}^{x_b} e_0 dx - \int_{x_0}^{x_b} -\frac{1}{2} \rho g h^2 dx, \quad E_1 = \int_{x_a}^{x_b} e_1 dx, \quad (\text{B.4})$$

where x_a is the position of the instantaneous shoreline, x_0 is the position of the equilibrium shoreline, and x_b denote off-shore boundary of the computational domain. The latter term is in E_0 yield subtraction of the initial equilibrium energy. For other geometries, the integration limits must be modified accordingly.

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