

On projection maps of von Neumann algebras

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An important class of maps in the theory of von Neumann algebras is the positive linear maps of a von Neumann algebra  $R$  onto a von Neumann sub-algebra  $M$  which are the identity on  $M$ . Such maps are called projection maps (or projections of norm one, or expectations). Very often such maps will not exist, see e.g. [10]. In the present note we shall show that if  $R$  is of type I and  $M$  contains the center  $C$  of  $R$  then the existence of "sufficiently many" projection maps of  $R$  onto  $M$  is equivalent to  $M$  being of type I with center totally atomic over  $C$ .

Following [5] we say a set  $\Lambda$  of projection maps of  $R$  onto  $M$  is complete if for each non zero positive operator  $A$  in  $R$  then there is  $\vartheta \in \Lambda$  such that  $\vartheta(A) \neq 0$ . A positive linear functional  $\rho$  on  $R$  is said to be singular if there is no ultra-weakly continuous positive linear functional  $\psi$  on  $R$  with  $\psi \leq \rho$ . If  $\vartheta$  is a positive linear map from  $R$  to another von Neumann algebra  $M$  then  $\vartheta$  is said to be singular if its transpose map  $\vartheta^*$  carries normal states of  $M$  to singular positive linear functionals on  $R$ . As pointed out by Tomiyama [9] singular maps play an important role in the study of projection maps.

Lemma. Let  $R$  be a von Neumann algebra of type I. Let  $C$  denote the center of  $R$  and suppose  $\vartheta$  is a positive singular  $C$ -module homomorphism of  $R$  into  $C$ . Then  $\vartheta(E) = 0$  for every abelian projection  $E$  in  $R$ .

Proof. Let  $E$  be an abelian projection in  $C$ . Suppose  $\vartheta(E) \neq 0$ . Considering  $FR$  instead of  $R$  for a central projection  $F$  in  $R$  we may assume  $E$  has central carrier  $I$ ,  $\vartheta(E)$  is invertible in  $C$ , and that  $R$  is homogeneous. Say  $R = C \otimes B(\mathcal{H})$ , where  $B(\mathcal{H})$  denotes the

bounded linear operators on the Hilbert space  $\mathcal{H}$ . Since all abelian projections with the same central carrier are equivalent [2, p. 251] there is a unitary operator  $U \in R$  such that  $UEU^{-1} = I \otimes [x]$ , where  $[x]$  denotes the one dimensional projection on the subspace of  $\mathcal{H}$  spanned by the unit vector  $x$  [2, p. 243]. Let  $\vartheta_U$  denote the positive singular  $C$ -module homomorphism  $A \rightarrow \vartheta(U^{-1}AU)$ . Then  $\vartheta(E) = \vartheta_U(I \otimes [x])$ . Replacing  $\vartheta$  by  $\vartheta_U$  and  $E$  by  $I \otimes [x]$ , we may thus assume  $E = I \otimes [x]$ . Let  $\psi(A) = \vartheta(E)^{-1} \vartheta(EAE)$  for  $A \in R$ . Then  $\psi$  is a positive linear map of  $R$  into  $C$  such that for  $A \in C$ ,  $\psi(A) = \vartheta(E)^{-1} \vartheta(AE) = \vartheta(E)^{-1} A\vartheta(E) = A$ , so indeed  $\psi$  is a projection of  $R$  onto  $C$ . Let  $\omega$  be a normal state of  $C$ , and let  $A \in C$ ,  $B \in B(\mathcal{H})$ . Then we have

$$\begin{aligned} \omega \circ \psi(A \otimes B) &= \omega(A\vartheta(E)^{-1} \vartheta(E(I \otimes B)E)) \\ &= \omega(A\vartheta(E)^{-1} \vartheta(E\omega_x(B))) \\ &= \omega(A)\omega_x(B) = \omega \otimes \omega_x(A \otimes B). \end{aligned}$$

Thus  $\omega \circ \psi$  is the normal state  $\omega \otimes \omega_x$ . By assumption  $\omega \circ \vartheta$  is singular, thus the map  $A \rightarrow \omega(\vartheta(EAE))$  is a singular positive linear functional, as follows from [8]. But if  $A \geq 0$  then

$$\omega \circ \psi(A) = \omega(\vartheta(E)^{-1} \vartheta(EAE)) \leq \|\vartheta(E)^{-1}\| \omega(\vartheta(EAE)),$$

hence  $\omega \circ \psi$  is singular. This is a contradiction, so  $\vartheta(E) = 0$ . The proof is complete.

Let  $Z$  be an abelian von Neumann algebra and  $C$  a von Neumann subalgebra of  $Z$  (containing the identity of  $Z$ ). A projection  $E$  in  $Z$  is said to be minimal in  $Z$  relative to  $C$  if  $EZ = EC$ .  $Z$  is said to be totally atomic over  $C$  if every non zero projection in  $Z$  majorizes a non zero projection in  $Z$  minimal in  $Z$  relative to  $C$ . These two concepts and their generalizations were introduced independently in [3] and [4].

Theorem. Let  $R$  be a von Neumann algebra of type I. Let  $M$  be a von Neumann subalgebra of  $R$  containing the center  $C$  of  $R$ . Then the following five conditions are equivalent.

- 1) (resp. 2) There exists a complete set of normal projection maps of  $R$  onto  $M$  (resp.  $M' \cap R$ ).
- 3) (resp. 4) There exists a complete set of projection maps of  $R$  onto  $M$  (resp.  $M' \cap R$ ).
- 5)  $M$  is of type I and its center is totally atomic over  $C$ .

Proof. By [7, Lemma 3.4]  $M$  is of type I if and only if  $M' \cap R$  is of type I. Thus, if we have shown  $1) \iff 3) \iff 5)$  then an application of these equivalences to  $M' \cap R$  yields the equivalences  $2) \iff 4) \iff 5)$ . We shall show  $1) \implies 3) \implies 5) \implies 1)$ . Clearly  $1) \implies 3)$ .

$3) \implies 5)$ . Assume there is a complete set  $\Lambda$  of projection maps of  $R$  onto  $M$ . Let  $Z$  denote the center of  $M$ . We first assume  $M$  is abelian, hence  $M = Z$ . If  $\omega$  is a normal state of  $Z$  and  $A$  a positive operator in  $EZ$  the functional  $B \rightarrow \omega(AB)$  on  $EC$  has a Radon-Nikodym derivative  $\Phi(A) \in EC$  with respect to  $\omega$ , so  $\omega(AB) = \omega(\Phi(A)B)$ . One easily sees that  $\Phi$  is a normal projection map of  $EZ$  onto  $EC$ , see e.g. [1, p. 635]. Adding up the different  $\Phi$ 's obtained from a separating family of  $\omega$ 's with orthogonal supports when restricted to  $C$  we see there is a complete family  $\Gamma$  of normal projection maps of  $Z$  onto  $C$ . Let  $G$  denote the group of inner automorphisms  $A \rightarrow UAU^{-1}$  of  $R$  defined by the unitary operators in  $Z$ . Then all the maps in  $\Lambda$  are  $G$ -invariant, since if  $A \in R$ ,  $U \cdot U^{-1} \in G$ , and  $\vartheta \in \Lambda$ , then  $\vartheta(UAU^{-1}) = U\vartheta(A)U^{-1} = \vartheta(A)$ .

Let  $E$  be an abelian projection in  $R$ . By assumption there is  $\vartheta \in \Lambda$  such that  $\vartheta(E) \neq 0$ . By [9, Cor. 1,1]  $\vartheta$  is uniquely decomposed into the sum of a positive singular  $Z$ -module homomorphism  $\vartheta_S$  and a positive

normal  $Z$ -module homomorphism  $\theta_n$  of  $R$  to  $Z$ . Then, if  $\psi \in \Gamma$ ,  $\psi \circ \theta_S$  and  $\psi \circ \theta_n$  are respectively positive singular and normal  $C$ -module homomorphisms of  $R$  to  $C$ . Choose  $\psi$  such that  $\psi(\theta(E)) \neq 0$ . By the Lemma  $\psi \circ \theta_S(E) = 0$ , hence  $\psi \circ \theta_n(E) = \psi \circ \theta(E) \neq 0$ . Let  $\omega$  be a normal positive linear functional of  $C$  such that  $\omega \circ \psi \circ \theta_n$  is a normal state of  $R$  with  $\omega \circ \psi \circ \theta_n(E) \neq 0$ . Since  $\theta_n$  is a  $Z$ -module homomorphism of  $R$  to  $Z$ ,  $\omega \circ \psi \circ \theta_n$  is  $G$ -invariant. Now if  $A$  is a non zero positive operator in  $R$  then  $A$  majorizes a positive multiple of an abelian projection, hence we have shown the existence of a normal  $G$ -invariant state  $\rho$  of  $R$  for which  $\rho(A) \neq 0$ . Thus  $R$  is  $G$ -finite in the sense of [6]. By [7, Thm. 3.5]  $Z$  is totally atomic over  $C$ .

We next consider the general case. If  $M$  is not of type I there is a central projection  $E$  in  $M$  such that  $EME$  has no type I portion. Considering  $ERE$ ,  $EME$ , and the projections  $A \rightarrow \theta(EAE)$  we have a complete set of projection maps. By [9, Thms. 3 and 4] every projection map from  $ERE$  to  $EME$  is singular. Now every von Neumann algebra possesses a complete set of normal projections onto its center  $B$ . Indeed, it suffices to show that there is a complete set of normal projections of  $B'$  onto  $B$ . But by [7, Lem. 4.11] and the remarks following it there is a faithful normal projection of  $B'$  onto a maximal abelian subalgebra  $D$ . Compose this projection with a complete set of faithful normal projections from  $D$  onto  $B$  /to obtain the as constructed above desired set. We thus obtain a complete set of singular projection maps from  $ERE$  to the center of  $EME$  and thus to  $EC$ . But these projections annihilate all abelian projections in  $ERE$  by the Lemma. Thus every projection map in  $\Lambda$  annihilates every abelian projection majorized by  $E$ , hence  $\Lambda$  is not complete, contrary to assumption. Thus  $M$  is of type I.

As shown above there is a complete set  $\Gamma$  of normal projections of  $M$  onto  $Z$ . Then the set  $\{\psi \circ \theta : \psi \in \Gamma, \theta \in \Lambda\}$  is a complete set of projection maps of  $R$  onto  $Z$ . By the first part of the proof,  $Z$  is totally atomic over  $C$ . We have thus shown that 3)  $\implies$  5).

5)  $\implies$  1). Assume  $M$  is of type I and its center  $Z$  is totally atomic over  $C$ . Then  $Z \supset C$ . Let  $B = Z' \cap R$ , and let  $G$  denote the group of inner automorphisms  $A \rightarrow UAU^{-1}$  of  $R$  defined by unitaries  $U \in Z$ . Then  $B$  is the fixed point algebra of  $G$ , and  $B' \cap R = Z$  is finite of type I, and its center ( $= Z$ ) is totally atomic over  $C$ . By [7, Thm. 3.5]  $R$  is  $G$ -finite, so there is a faithful normal  $G$ -invariant projection  $\Phi$  from  $R$  onto  $B$  [6]. Thus in order to construct a complete set of normal projections from  $R$  to  $M$  it suffices to do this for  $R$  replaced by  $B = Z' \cap R$ . Therefore we may assume  $R = Z' \cap R$ , hence  $Z = C$ . If we can construct a complete set of normal projection maps from  $E_\alpha R$  to  $E_\alpha M$  for an orthogonal family of central projections in  $R$  with sum  $I$ , then we can add up the different projection maps to obtain a complete set of normal projections from  $R$  to  $M$ , see e.g. [5]. Therefore we may assume  $R$  homogeneous, and by cutting down by central projections in  $M$  (so by projections in  $C$ ) we may also assume  $M$  is homogeneous. Say  $M = C \otimes B(K)$  and  $R = C \otimes B(\mathcal{H})$ . Since  $M \subset R$  we may assume  $\mathcal{H} = K \otimes K'$  and

$$M = C \otimes B(K) \otimes_{K'} C \otimes B(K) \otimes B(K') = R.$$

If  $\omega$  is a normal state of  $B(K')$  and  $\iota$  is the identity map of  $C \otimes B(K)$  onto itself, then  $\iota \otimes \omega$  is a normal projection map from  $R$  to  $M$ . Indeed, if  $\rho$  is a state of  $M$  and  $\rho'$  its restriction to  $C \otimes B(K)$ , let  $A_i \in C \otimes B(K)$ ,  $B_i \in B(K')$ ,  $i = 1, \dots, n$ . Then

$$\begin{aligned} \rho(\iota \otimes \omega(\sum A_i \otimes B_i)) &= \sum \rho(A_i \otimes \omega(B_i)I) = \\ \sum \rho'(A_i) \omega(B_i) &= \rho' \otimes \omega(\sum A_i \otimes B_i). \end{aligned}$$

Thus  $\rho \circ (\lambda \otimes \omega)$  is a state for each state  $\rho$  of  $M$ , hence  $\lambda \otimes \omega$  is positive. Clearly  $\lambda \otimes \omega$  is a projection map, and it is normal, for if  $\rho$  is normal then  $\rho'$  is normal, and therefore  $\rho \circ (\lambda \otimes \omega) = \rho' \otimes \omega$  is normal. Since  $\lambda \otimes \omega$  is a normal projection for each normal state  $\omega$  of  $B(K')$ , we have obtained a set of normal projection maps from  $R$  onto  $M$ , which is easily seen to be complete. This completes the proof of the theorem.

Remark 1. If  $R = B(\mathcal{H})$  with  $\mathcal{H}$  a separable Hilbert space the theorem was shown by de Korvin [5] by different methods. He conjectured that it was also true for non separable  $\mathcal{H}$  when  $R = B(\mathcal{H})$ .

Remark 2. With the assumptions as in the theorem and with  $G$  the group of inner automorphisms of  $R$  defined by the unitaries in  $M' \cap R$  [7, Thm. 3.5] states the equivalence of the following three conditions

- i)  $R$  is  $G$ -finite,
- ii)  $M' \cap R$  is finite and there exists a faithful normal projection of  $R$  onto  $M$ ,
- iii)  $M' \cap R$  is finite of type I, and its center is totally atomic over  $C$ .

Thus, with a proper definition of  $G$ -semi-finite our theorem should be viewed as a  $G$ -semi-finite extension of [7, Thm. 3.5].

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