

On threefolds covered by lines

by Emilia MEZZETTI and Dario PORTELLI*

Abstract

A classification theorem is given of projective threefolds that are covered by the lines of a two-dimensional family, but not by a higher dimensional family. Precisely, if X is such a threefold and μ denotes the number of lines contained in X and passing through a general point of X , then $\mu \leq 6$. Moreover, X is birationally a scroll over a surface ($\mu = 1$), or X is a quadric bundle, or X belongs to a finite list of threefolds of degree at most 6. The smooth varieties of the third type are precisely the Fano threefolds with $-K_X = 2H_X$.

Introduction

Projective varieties containing “many” linear spaces appear naturally in several occasions. For instance, consider the following examples which, by the way, motivated our interest in this topic.

The first example concerns varieties of 4-secant lines of smooth threefolds in \mathbf{P}^5 . The family of such lines has in general dimension four and the lines fill up the whole ambient space, but it can happen that they form a hypersurface.

A second example comes from the following recent theorem of Arrondo (see [1]), in some sense the analogous of the Severi theorem about the Veronese surface:

let Y be a subvariety of dimension n of the Grassmannian $\mathbf{G}(1, 2n+1)$ of lines of \mathbf{P}^{2n+1} and assume that Y can be isomorphically projected into $\mathbf{G}(1, n+1)$. Then, if the lines parametrized by Y fill up a variety of dimension $n+1$, Y is isomorphic to the second Veronese image of \mathbf{P}^n .

If those lines generate a variety of lower dimension, nothing is known.

In both cases it would be very interesting to have a classification of such varieties. Moreover, these examples show that for such a classification it would be desirable to avoid any assumption concerning singularities.

The first general results about the classification of projective varieties containing a higher dimensional family of linear spaces were obtained by Beniamino Segre ([16]). In particular, in the case of lines, he proved:

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Let $X \subset \mathbf{P}^N$ be an irreducible variety of dimension k , let $\Sigma \subset \mathbf{G}(1, N)$ be an irreducible component of maximal dimension of the variety of lines contained in X , such that the lines of Σ cover X . Then $\dim \Sigma \leq 2k - 2$. If equality holds, then $X = \mathbf{P}^k$. Moreover, if $k \geq 2$ and $\dim \Sigma = 2k - 3$, then X is either a quadric or a scroll in \mathbf{P}^{k-1} 's over a curve.

The case of a family Σ of dimension $2k - 4$ is treated in some papers by Togliatti ([20]), Bompiani ([3]), M. Baldassarri ([2]), but their arguments are not easy to be followed. Recently, varieties of dimension $k \geq 3$ with a family of lines of dimension $2k - 4$ have been classified by Lanteri–Palleschi ([12]), as particular case of a more general classification theorem. Their starting point is a pair (X, L) where L is an ample divisor on X , which is assumed to be smooth or, more in general, normal and \mathbf{Q} -Gorenstein. The assumptions on the singularities of X are removed by Rogora in his thesis ([15]), but he assumes $k \geq 4$ and $\text{codim } X > 2$.

The aim of this paper is the classification of the varieties of dimension k covered by the lines of a family of dimension $2k - 4$, in the first non-trivial case: $k = 3$, i.e. threefolds covered by a family of lines of dimension 2. So, we classify threefolds covered by “few” lines.

A first remark is that among these varieties there are threefolds which are birationally scrolls over a surface or ruled by smooth quadrics over a curve. The first ones come from general surfaces contained in $\mathbf{G}(1, 4)$, while the second ones come from general curves contained in the Hilbert scheme of quadric surfaces in \mathbf{P}^n . Note that these “quadric bundles” are built by varieties of lower dimension having a higher dimensional family of lines.

So we have focused our attention on threefolds not of these two types.

Observe that, if X is a threefold covered by the lines of a family of dimension two, then there is a fixed finite number μ of lines passing through any general point of X . In particular, having excluded scrolls, we have assumed $\mu > 1$.

Our point of view, that we have borrowed from the quoted paper of Mario Baldassarri, is the following. Since we do not care about singularities, we are free to project birationally into \mathbf{P}^4 our threefolds to hypersurfaces of the same degree and with the same μ . Hence, it is enough to classify hypersurfaces in \mathbf{P}^4 having a family of lines with the requested properties,

If $X \subset \mathbf{P}^4$ is a hypersurface of degree n , then the equation of X is a global section $G \in \Gamma(\mathbf{P}^4, \mathcal{O}_{\mathbf{P}^4}(n))$. The section G induces in a canonical way a global section $s \in \Gamma(\mathbf{G}(1, 4), S^n Q)$, where Q is the universal quotient bundle on $\mathbf{G}(1, 4)$. The *Fano scheme of the lines on X* is, by definition, the scheme of the zeros of the section s . It is a standard fact that the points of the scheme of the zeros of the section s of Q correspond exactly to the lines on X .

The following theorem is the main result of the paper.

Theorem 0.1 *Let $X \subset \mathbf{P}^4$ be a projective, integral hypersurface over an algebraically closed field K , of characteristic zero, covered by lines. More precisely, if Σ denotes the Fano scheme of the lines on X , we assume that $\dim(\Sigma) = 2$. Assume, moreover, that $\mu > 1$ and that X is not birationally ruled by quadrics over a curve. Then, if Σ is generically reduced, one of the following happens:*

1. X is a cubic hypersurface with singular locus of dimension at most one; if X is smooth, then Σ is irreducible and $\mu = 6$;

2. X is a projection of a complete intersection of two hyperquadrics in \mathbf{P}^5 ; in general, Σ is irreducible and $\mu = 4$;
3. $\deg X = 5$: X is a projection of a section of $\mathbf{G}(1,4)$ with a \mathbf{P}^6 , Σ is irreducible and $\mu = 3$;
4. $\deg X = 6$: X is a projection of a hyperplane section of $\mathbf{P}^2 \times \mathbf{P}^2$, Σ has two irreducible components and $\mu = 2$;
5. $\deg X \leq 6$: X is a projection of $\mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1$, Σ has at least three irreducible components and $\mu \geq 3$.

Note that these five cases are precisely the projections of Fano varieties with $-K_X = L \otimes L$, L ample ([12]). This list is the same as in the article of Baldassarri.

It is interesting to remark that the bound $\mu = 6$ is attained only by cubic threefolds.

The paper is organized as follows.

In § 1 we prove that, under suitable conditions, on a general line of Σ there are $n - 3$ singular points of X , where n is the degree of X , and we derive from this many consequences we shall need in the paper. In particular, we prove that, if $n \geq 4$, then the dual variety of X is a threefold (Theorem 1.10). Moreover, we will show also that, if $n \geq 5$, then the singular locus of X is a surface and give an explicit lower bound for its degree (Theorem 1.13). Our main technical tool will be the family of planes containing a line of Σ . In this section we also introduce the ruled surfaces $\sigma(r)$, generated by the lines on X meeting a fixed line r .

§ 2 contains the proof of the bound $\mu \leq 6$.

§ 3 is devoted to the classification of threefolds with an irreducible family of lines with $\mu > 1$. First of all, we check that, if $\deg(X) > 3$ and X is not a quadric bundle, only two possibilities are allowed for μ , i.e. $\mu = 3, 4$. The threefolds with these invariants are then classified, respectively in Propositions 3.2 and 3.3.

§ 4 contains the classification of threefolds with a reducible 2-dimensional family of lines, such that all components of Σ have $\mu_i = 1$.

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In the paper we will use the following:

Notations, general assumptions and conventions

1. We will always work over an algebraically closed field K of characteristic zero.
2. $X \subset \mathbf{P}^4$ will be a projective, integral hypersurface, of degree n .
3. We will denote by Σ the Fano scheme of the lines on X defined above. Moreover, we will assume that $\dim(\Sigma) = 2$, and that X is covered by lines. (In particular, by the result of B. Segre quoted above it follows $n \geq 3$.)

4. Let μ be the number of lines of Σ passing through a general point of X . If Σ is reducible, with $\Sigma_1, \dots, \Sigma_s$ as irreducible components of dimension 2, then we will denote by μ_i the number of lines of Σ_i passing through a general point of X . Clearly $\mu = \mu_1 + \dots + \mu_s$. We assume $\mu > 1$.
5. For a “general line in Σ ” we mean any line which belongs to a subset $S \subset \Sigma$ (never given explicitly), such that S is Zariski dense in Σ . So “general line in Σ ” is meaningful also in the case of a reducible Σ .
6. We will denote by the same letter both a line in \mathbf{P}^4 and the corresponding point of $\mathbf{G}(1, 4)$. We hope that it will be always clear from the context which point of view is adopted.
7. For r general in Σ , the assumption $\mu > 1$ ensures that the union of all the lines of Σ meeting r is a surface $\sigma(r)$, which can also be seen as a curve inside $\mathbf{G}(1, 4)$. As r varies in Σ , these curves describe an algebraic family in Σ of dimension ≤ 2 . If Σ is reducible, with $\Sigma_1, \dots, \Sigma_s$ as irreducible components of dimension 2, then the surfaces $\sigma(r)$ are unions $\sigma_1(r) \cup \dots \cup \sigma_s(r)$, where $\sigma_i(r)$ is formed by the lines of Σ_i intersecting r .

1 Preliminary results

The following theorem is the main technical result of the paper.

Theorem 1.1 *If r is a general line of an irreducible component Σ_1 of Σ which is generically reduced, then $r \cap \text{Sing}(X)$ is a 0-dimensional scheme of length $n - 3$ (we will express this briefly by saying that “on r there are exactly $n - 3$ singular points of X ”). In particular, if $n \geq 4$, then X is singular.*

Proof Let r be a general line of Σ_1 (in particular, Σ_1 is the only component of Σ containing r), and let π be a plane containing r . Then $\pi \cap X$ splits as a union $r \cup C$ where C is a plane curve of degree $n - 1$. So $r \cap C$ has length $n - 1$ and it is formed by points that are singular for $\pi \cap X$, hence either tangency points of π to X or singular points of X . We will prove that, if π is general among the planes containing r , then exactly $n - 3$ of these points are singular for X . To this end, let us consider the family (possibly reducible) of planes $\mathcal{F} = \{\pi \mid \pi \supset r, r \in \Sigma\}$; its dimension is 4.

Claim. *The general plane through r cannot be tangent to X in more than two points.*

Proof of the Claim We have to prove that X does not possess a 4-dimensional family of k -tangent planes, with $k > 2$. Assume by contradiction that X possesses such a family \mathcal{G} . Let O be a general point of \mathbf{P}^4 , $O \notin X$. The projection $p_O : X \rightarrow \mathbf{P}^3$, centered at O , is a covering of degree n , with branch locus a surface ρ contained in \mathbf{P}^3 . There is a 2-dimensional subfamily \mathcal{G}' of \mathcal{G} formed by the planes passing through O : they project to lines k -tangent to the surface ρ . Then ρ satisfies the assumptions of the following lemma:

Lemma 1.2 *Let $S \subset \mathbf{P}^3$ be a reduced surface and assume that there exists an irreducible subvariety $H \subset \mathbf{G}(1, 3)$, with $\dim(H) \geq 2$, whose general point represents a line in \mathbf{P}^3 which is tangent to S at $k > 2$ distinct points. Then $\dim(H) = 2$ and H is a plane parametrizing the lines contained in a fixed plane $M \subset \mathbf{P}^3$, which is tangent to S along a curve.*

Therefore there exists a plane τ tangent to ρ along a curve of degree k . But τ is the projection of a 3-space α passing through O , which must contain the planes of \mathcal{G}' . So these planes are k -tangent also to $X \cap \alpha$, which is a surface of \mathbf{P}^3 : this means that *all* planes tangent to $X \cap \alpha$ are k -tangent. Since $X \cap \alpha$ is not a plane, this is a contradiction.

Therefore, we have *at least* $n - 3$ singular points of X on r . Assume there are $n - 2$.

Let $H \subset \mathbf{P}^4$ be a hyperplane containing r . Let us denote by $\mathbf{G}(1, H) \simeq \mathbf{G}(1, 3)$ the Schubert cycle in $\mathbf{G}(1, 4)$ parametrizing lines contained in H . Then, for general H the intersection $\mathbf{G}(1, H) \cap \Sigma$ is proper, namely it is purely 0-dimensional. In fact, if infinitely many lines of Σ were contained in H , then $\dim(\Sigma) \geq 3$, a contradiction. Moreover, since we assume that Σ is generically reduced, both Σ and $\mathbf{G}(1, H)$ are smooth at r . We will show, now, that Σ and $\mathbf{G}(1, H)$ do not intersect transversally at r , and this will yield a contradiction. In fact, $PGL(4)$ acts transitively on $\mathbf{G}(1, 4)$, and we can use [10] because we have assumed that our base field K has characteristic zero.

Before we start, let us recall briefly for the reader convenience some basic facts about $T_r \mathbf{G}(1, 4)$. Let $\Lambda \subset K^5$ be the 2-dimensional linear subspace corresponding to r , i.e. $r = \mathbf{P}(\Lambda)$. Then $T_r \mathbf{G}(1, 4)$ can be identified with $Hom_K(\Lambda, K^5/\Lambda)$, hence for a non zero $\varphi \in T_r \mathbf{G}(1, 4)$ we have $rk \varphi = 1$ or 2 . In both cases we can associate to φ in a canonical way a double structure on r . When $rk \varphi = 1$ this structure is obtained by doubling r on the plane $\mathbf{P}(\Lambda \oplus Im(\varphi))$, hence it has arithmetic genus zero ([9]). When $rk \varphi = 2$ the doubling of r is on a smooth quadric inside $\mathbf{P}(\Lambda \oplus Im(\varphi)) \simeq \mathbf{P}^3$, and the arithmetic genus is -1 . In both cases we have $r \subset \mathbf{P}(\Lambda \oplus Im(\varphi))$ and $\varphi \in T_r \mathbf{G}(1, \mathbf{P}(\Lambda \oplus Im(\varphi)))$.

To prove the non transversality of Σ and $\mathbf{G}(1, H)$ at r , it is harmless to assume that H is not tangent to X at any smooth point of r . Therefore, the singularities of the surface $S := X \cap H$ on the line r are exactly those points which are already singular for X .

To fix ideas, let r be defined by the equations $x_2 = x_3 = x_4 = 0$, H defined by $x_4 = 0$, and S defined in H by $\overline{G} = 0$. Then, the restriction to r of the Gauss map of S is given analytically as follows:

$$\alpha : P \mapsto [\overline{G}_{x_0}(P), \overline{G}_{x_1}(P), \overline{G}_{x_2}(P), \overline{G}_{x_3}(P)].$$

We can regard the $\overline{G}_{x_i}(P)$'s as polynomials of degree $n - 1$ in the coordinates of P on r . Since we assume X has $n - 2$ singular points on r , the four polynomials $\overline{G}_{x_i}(P)$ have a common factor of degree $n - 2$. Therefore, if we clean up this common factor, the above map can be represented analytically by polynomials of degree 1. Therefore, the double structure on r defined by α has arithmetic genus -1 , and it arises from a *non zero* vector $\varphi \in T_r \mathbf{G}(1, H)$.

Now, for every $P \in r$ which is a smooth point for S we have $\alpha(P) = T_P S = T_P X \cap H$, and in particular we have $\alpha(P) \subset T_P X$. This means that φ is also a tangent vector to the Fano scheme Σ of the lines on X (see [8], pp. 209-210). Since we assume that Σ_1 is the only component of Σ containing r and Σ_1 is reduced at r , it follows that $\varphi \in T_r \Sigma$.

Therefore $\mathbf{G}(1, H)$ and Σ are not transversal at r , and the proof is complete. \square

Proof of Lemma 1.2

The lines in \mathbf{P}^3 which are tangent to S are parametrized by a ruled threefold $K \subset \mathbf{G}(1, 3)$: any line on K corresponds to the pencil of lines in \mathbf{P}^3 which are tangent to S at a fixed smooth point. Then $H \subset K$.

H is a surface: otherwise, a general point $O \in \mathbf{P}^3$ would be contained in infinitely many lines of H , therefore, every tangent line to a general plane section C of S would be k -tangent to C , with $k > 2$, a contradiction.

Let $L \subset \mathbf{P}^3$ be a line corresponding to a smooth point of H ; then L is tangent to S at least at points P, Q, R . Since a general point of K represents a line which is tangent to S at a unique point, K has three branches at L . We denote by U_P, U_Q, U_R the tangent spaces to these branches at L , i.e. $U_P \cup U_Q \cup U_R$ is contained in the tangent cone to K at L . We have $U_P \cap U_Q = T_L H$. The intersection of this plane with $\mathbf{G}(1, 3)$ is the union of two lines. Then, a direct, cumbersome computation proves that these lines inside $\mathbf{G}(1, 3)$ represent respectively the pencil of lines in $T_P S$ through Q and the pencil of lines in $T_Q S$ through P .

Claim. For a general point $L \in H$ we have $T_L H \subset \mathbf{G}(1, 3)$.

It is sufficient to show that $T_L H \cap \mathbf{G}(1, 3)$ contains three distinct lines.

From $U_P \cap U_Q \cap U_R = T_L H$ we get that the two lines of $T_L H \cap \mathbf{G}(1, 3)$ are contained also in U_R . If we translate all this into equations, an easy computation shows that $T_P S = T_R S$. By symmetry we get $T_P S = T_Q S = T_R S$. Therefore, the three distinct lines in $\mathbf{G}(1, 3)$ which correspond to the pencils in $T_P S$ of centres respectively P, Q, R are contained in $T_L H$, and the claim is proved.

By continuity, all the tangent planes $T_L H$ belong to one and the same system of planes on $\mathbf{G}(1, 3)$. Therefore, the tangent planes at two general points of H meet, and either H is a Veronese surface, or its linear span $\langle H \rangle$ is a \mathbf{P}^4 .

The first case is impossible because the tangent planes to a Veronese surface fulfill a cubic hypersurface in \mathbf{P}^5 , whereas $\mathbf{G}(1, 3)$ is a quadric. On the other hand, the quadric hypersurface $\mathbf{G}(1, 3) \cap \langle H \rangle$ in $\langle H \rangle = \mathbf{P}^4$ contains planes, hence it is singular. Therefore, the hyperplane $\langle H \rangle$ is tangent to $\mathbf{G}(1, 3)$ at some point r and all the lines of H meet the fixed line r in \mathbf{P}^3 . Were the lines of H not lying on a unique plane through r , then any plane N through r would contain infinitely many lines 3-tangent to the plane section $S \cap N$ of S , a contradiction. \square

The following lemma is a technical result we will need in the sequel. We state it here because his proof is rather close to that of Thm. 1.1.

Lemma 1.3 For general $H \in \check{\mathbf{P}}^4$ the intersection $\Sigma \cap \mathbf{G}(1, H)$ is proper. Moreover, if $r \in \Sigma$ is general and $r \subset H$, then the intersection multiplicity of Σ and $\mathbf{G}(1, H)$ at r is 1 if and only if H is not tangent to X at any point of $r \cap X_{sm}$.

Proof (For general facts about intersections multiplicities the reader is referred to [5].) The first part of the statement was already shown in the proof of Thm. 1.1.

Moreover, in the same proof we saw that, if H is not tangent to X at some point of r , then $T_r \Sigma$ and $T_r \mathbf{G}(1, H)$ are transversal inside $T_r \mathbf{G}(1, 4)$. In fact, the GCD of the polynomials \overline{G}_{x_1} in (1) has degree exactly $n - 3$. Hence the double structure on r they define has arithmetic genus -2 and does not represent any vector in $T_r \mathbf{G}(1, H)$.

Conversely, if H is tangent to X at some point of r , smooth for X , then the GCD of the polynomials \overline{G}_{x_1} in (1) has degree exactly $n - 2$, and we conclude as in the proof of Thm. 1.1 that $T_r \Sigma$ and $T_r \mathbf{G}(1, H)$ are not transversal at r . \square

In the statement of Theorem 1.1 we assume that an irreducible component of Σ is generically reduced. We will give now a criterion that leads to an easy way to check in practice if this hypothesis is satisfied.

We generalize a little and assume that an integral hypersurface $X \subset \mathbf{P}^n$ is covered by lines and that the dimension of the Fano scheme Σ of lines on X is $n - 2$. Let the line r represent a general point of an irreducible component Σ_1 of Σ , of dimension $n - 2$, and let p be a general point of r .

Let $[x_0, \dots, x_n]$ be homogeneous coordinates in \mathbf{P}^n . Assume that the line $r \subset X$ is defined by $x_2 = \dots = x_n = 0$, and that the point p is $[1, 0, \dots, 0]$. We will work on the affine chart $p_{01} = 1$ of the Grassmannian $\mathbf{G}(1, n)$. Coordinates in this chart are $p_{02}, \dots, p_{0n}, p_{12}, \dots, p_{1n}$ and the line r is represented by the origin. It is easy to see that a line l in this affine chart contains the point p if and only if their coordinates satisfy the equations $p_{12} = \dots = p_{1n} = 0$.

Moreover, we will work on the affine chart $x_0 = 1$ of \mathbf{P}^n , and we set $y_i := x_i/x_0$ for $i = 1, \dots, n$. Then p is the origin.

Let $G = G_1 + G_2 + \dots + G_d = 0$ be the equation of X in this chart, where the G_i are the homogeneous components of G . We can assume that the tangent space to X at p is defined by $y_n = 0$, and we can consider y_1, \dots, y_{n-1} as homogeneous coordinates in $\mathbf{P}(T_p X)$. Then, the line r is represented in $\mathbf{P}(T_p X)$ by the point $[1, 0, \dots, 0]$. Finally, it is convenient to write $G_i = F_i + y_n H_i$, where the F_i 's are polynomials in y_1, \dots, y_{n-1} .

Proposition 1.4 *Assume that a hypersurface $X \subset \mathbf{P}^n$ is covered by lines and that the dimension of the Fano scheme Σ of lines on X is $n - 2$. Let the line r represent a general point of an irreducible component Σ_1 of Σ , of dimension $n - 2$, and let p be a general point of r . With the notations introduced above, Σ_1 is reduced at r if and only if the intersection of the hypersurfaces in $\mathbf{P}(T_p X)$ defined by $F_i = 0$ for $i = 2, \dots, d$, is reduced at $[1, 0, \dots, 0]$. Or, equivalently, if the ideal $(F_2, \dots, F_d) \subset K[y_2, \dots, y_{n-1}]$ is radical.*

Proof Let $s \subset \mathbf{P}^n$ be a line such that $s \not\subset X$ and $p \in s$. Let $A \subset \mathbf{G}(1, n)$ be the variety parametrizing the lines in \mathbf{P}^n which intersect s . The only singular point of A is s . In fact, it is easily seen that A is the intersection of $\mathbf{G}(1, n)$ with the (projectivized) tangent space to $\mathbf{G}(1, n)$ at s . In particular, the points of A different from s are exactly the tangent vectors to $\mathbf{G}(1, n)$ at s which are of rank 1. Then, by using the facts on tangent vectors to Grassmannians briefly recalled in the proof of Thm. 1.1, it is easily seen that A is the affine cone inside $T_s \mathbf{G}(1, n)$, over a $\mathbf{P}^1 \times \mathbf{P}^{n-2} \subset \mathbf{P}(T_s \mathbf{G}(1, n))$. It is clear that Σ_1 and A intersect properly at r .

Assume that $\Sigma_1 \cap A$ is reduced at r . Let \mathcal{O} be the local ring of $\mathbf{G}(1, n)$ at r and let I and J denote respectively the ideals of Σ_1 and A in \mathcal{O} . Then the artinian ring $\mathcal{O}/I + J$ is reduced, i.e. it is a field, and we have to prove that \mathcal{O}/I is reduced. The Cohen-Macaulay locus of Σ_1 is certainly open and non empty. So, by genericity, we can assume that \mathcal{O}/I is Cohen-Macaulay. We have $\dim(\mathcal{O}/I) = n - 2 = \text{ht}(J)$. But J is generated by a regular sequence of length $n - 2$ since A is smooth at r . Therefore, the same is true for $J + I/I$, being \mathcal{O}/I a Cohen-Macaulay ring. But $\mathcal{O}/I + J$ is a field, hence $J + I/I$ is the maximal ideal of \mathcal{O}/I . It follows that this last ring is a regular local ring.

Assume, conversely, that Σ_1 is generically reduced. Then $\Sigma_1 \cap A$ is reduced at r for general r , because of Kleiman's criterion of transversality of the generic translate, already used in the proof of Thm. 1.1.

Denote by B the Schubert cycle in $\mathbf{G}(1, n)$ parametrizing the lines in \mathbf{P}^n through p . A moment's thought shows that the local rings at r of $\Sigma_1 \cap A$ and $\Sigma_1 \cap B$ are the same. Then we are reduced to compute the ideal of $\Sigma_1 \cap B$ inside $\mathcal{O} = \mathcal{O}_{\mathbf{G}(1, n), r}$.

To do this, we replace the parametric representation of a general line l containing a , namely $y_1 = t$, and $y_i = p_{0i}t$ ($i \geq 2$, where t varies in the base field K) in all the equations $G_i = 0$, for $i \geq 1$. From $G_1(t, p_{02}t, \dots, p_{0n}t) = 0$ we get simply $p_{0n} = 0$. Then, since the F_i are homogeneous polynomials, the other generators for the ideal of $\Sigma_1 \cap A$ at r are the $F_i(1, p_{02}, \dots, p_{0, n-1})$ $i = 2, \dots, d$. An obvious change of variables completes the proof. \square

Example 1.5: Let X be the variety of the secant lines of a rational normal quartic curve $\Gamma \subset \mathbf{P}^4$. It is well known that the degree of X is 3. On X we have two families of lines of dimension two, each covering X . We denote by Σ_1 the family of the secant lines of Γ . With a suitable choice of coordinates, a concrete case of such an X is given by the equation:

$$y_4 + y_1y_4 - y_2^2 - y_3^2 - y_1y_2^2 - 2y_2y_3y_4 - y_4^3 = 0,$$

and the line r defined by $y_2 = y_3 = y_4 = 0$ is one of the secant lines of Γ . Now $F_2 = y_2^2 + y_3^2$ and $F_3 = y_1y_2^2$. Then, the curves $F_2 = 0$ and $F_3 = 0$ do not intersect transversally at $[1, 0, 0]$, and Σ_1 is not reduced at r . In fact, on any line of Σ_1 there are two points of $Sing(X)$. This shows that *the hypothesis “ Σ_1 is generically reduced” in theorem 1.1 is essential.*

Note also that the curves $F_2 = 0$ and $F_3 = 0$ intersect outside $[1, 0, 0]$ transversally at two points. These points represent two lines on X through p , which belong to the other family of lines on X (see Remark 1.12).

From now on we will assume that the Fano scheme Σ of the lines on X is generically reduced.

The following proposition deals with a delicate point, namely the possibility for a general line r of Σ to be contained in a plane which is tangent to X at any point of r . Under our assumptions we are able to exclude this. Actually the statement concerns an equivalent form of this property which is more suitable for the applications we have in view.

Proposition 1.6 *Let Σ_1 be an irreducible component of Σ , of dimension 2, such that $\mu_1 > 1$. Let $r \in \Sigma_1$ be general, and set $\sigma_1(r) = \{ r' \in \Sigma_1 \mid r \cap r' \neq \emptyset \}$. Then $r \notin \sigma_1(r)$.*

Proof Assume the contrary. Then, when $r' \in \sigma_1(r)$ moves on $\sigma_1(r)$ to r , the plane $\langle r' \cup r \rangle$ moves to a limit plane M . The intersection $X \cap M$ is a curve which has the line r as a “double component”; in particular, this curve is singular along r .

Then $M \subset T_q X$ for every $q \in r \cap X_{sm}$. In fact, if $M \not\subset T_q X$, then $X \cap M$ would be smooth at q , contradiction.

Now, we need to perform some local computations and we use the same notations as in the previous proposition. So, let \mathbf{A}^4 be an affine chart in \mathbf{P}^4 , with coordinates y_1, \dots, y_4 . Assume that the origin is a general point p of X , and that $T_p X$ is defined by $y_4 = 0$. Let r and M be defined respectively by $y_2 = y_3 = y_4 = 0$ and $y_3 = y_4 = 0$. Let $G = G_1 + G_2 + \dots + G_n = 0$ be the equation of X in this chart. We write also $G_i = F_i + y_4 H_i$, where the F_i 's are

homogeneous polynomials in y_1, y_2, y_3 . Since the line r is represented in $\mathbf{P}(T_p X)$ by the point $[1, 0, 0]$, we have

$$F_i = y_1^{i-1} A_{i,1}(y_2, y_3) + y_1^{i-2} A_{i,2}(y_2, y_3) + \dots + A_{i,i}(y_2, y_3) ,$$

where the $A_{i,j}$ are homogeneous polynomials of degree j , or zero.

Now, if we move the origin of our system of coordinates to the point $q \in r$ by a change of coordinates of type $Y_1 = y_1 - t$ and $Y_i = y_i$ for $i = 2, 3, 4$ and $t \in K$ (hence $q = (t, 0, 0, 0)$), then in the new system of coordinates X is defined by the equation

$$\begin{aligned} \tilde{G}_t(Y_1, \dots, Y_4) &= G(Y_1 + t, Y_2, Y_3, Y_4) = Y_4 + \sum_{i=2}^n \{ F_i(Y_1 + t, Y_2, Y_3) + Y_4 H_i(Y_1 + t, Y_2, Y_3, Y_4) \} \\ &= (1 + f(t))Y_4 + \sum_{i=2}^n t^{i-1} A_{i,1}(Y_2, Y_3) + H.O.T. , \end{aligned}$$

where $f(t) \in K$. Now, since $M \subseteq T_q X$ for every $q \in r \cap X_{sm}$, the above equation shows that, necessarily the linear term of \tilde{G}_t belongs to the ideal (Y_3, Y_4) for every $t \in K$. Therefore, the linear forms $A_{i,1}(Y_2, Y_3)$ are in the ideal (Y_3) for every $i \geq 2$. But in this case the curves in $\mathbf{P}(T_p X)$ defined by $F_i = 0$ are either singular at $[1, 0, 0]$, or with tangent line $y_3 = 0$ at $[1, 0, 0]$. This contradicts Prop. 1.4, and the proof is complete. \square

Let \mathcal{F} be the 4-dimensional family of planes introduced in the proof of Theorem 1.1. We will consider now its subfamily \mathcal{F}' of dimension 3, formed by the planes generated by pairs of coplanar lines of Σ .

Proposition 1.7 *Let π be a general plane of \mathcal{F}' generated by the lines r and r' of Σ . Then π is tangent to X at exactly 3 points of $r \cup r'$ (but maybe π is tangent to X elsewhere, outside $r \cup r'$).*

Proof By Theorem 1.1 there are two tangency points of π to X on r and two on r' . The point $r \cap r'$ is singular for $X \cap \pi$, but it cannot be singular for X , because, otherwise, letting r and r' vary, every point of X would be singular. So $r \cap r'$ is a tangency point of π to X . Hence, π is tangent to X at exactly three points lying on r or r' . \square

To prove the next proposition, and also in the sequel, we will need the following refined form of the connectedness principle of Zariski, due to A.Nobile ([13]):

Lemma 1.8 *Let $f : X \rightarrow T$ be a flat family of projective curves, parametrized by a quasi-projective smooth curve, such that the fibres X_t are all reduced and X_t is irreducible for $t \neq 0$. Assume that, for $t \neq 0$, X_t has a fixed number d of singular points P_1^t, \dots, P_d^t and that there exist d sections $s_j : T \rightarrow X$ such that $s_j(t) = P_j^t$ if $t \neq 0$, that $s_i(t) \neq s_j(t)$ if $i \neq j$ and that $\delta(X_t, P_j^t)$ is constant (where $\delta(X_t, P_j^t)$ denotes the length of the quotient \bar{A}/A , A being the local ring of X_t at P_j^t and \bar{A} its normalization). If the singularities of X_0 are $s_1(0), \dots, s_d(0), Q_1, \dots, Q_r$, then $X_0 \setminus \{s_1(0), \dots, s_d(0)\}$ is connected.*

Proposition 1.9 *Let $n \geq 4$ and let π be a general plane of an arbitrary irreducible component of \mathcal{F}' . Then π does not contain three lines of Σ .*

Proof Assume by contradiction that π contains the lines r, r', r'' . Then the residual curve of r in $\pi \cap X$ splits as $r' \cup r'' \cup C$. Hence, by Lemma 1.8, there is a new tangency point on $r' \cup r''$, against Proposition 1.7. \square

Let $\gamma : X \cdots \rightarrow \check{\mathbf{P}}^4$ be the Gauss map, which is defined on the smooth locus X_{sm} of X . The closure of the image is \check{X} , the dual variety of X . If $\dim \check{X} < 3$, then the fibres of γ are linear subvarieties of X , and the tangent space to X is constant along each fibre.

Theorem 1.10 *Let $X \subset \mathbf{P}^4$ be an irreducible hypersurface covered by the lines of a family of dimension 2. We assume, moreover, that $\mu > 1$ and that $\deg(X) > 3$. Then the dual variety \check{X} of X is a hypersurface of $\check{\mathbf{P}}^4$.*

Proof First of all, the dimension of \check{X} must be at least 2 : otherwise X would contain a 1-dimensional family of planes, hence a 3-dimensional family of lines, a contradiction. So assume $\dim(\check{X}) = 2$.

The fibres of γ form a 2-dimensional irreducible family Σ_1 of lines on X , such that only one line of Σ_1 passes through a general point of X . Since $\mu > 1$, there exists a second irreducible component Σ_2 of Σ .

Given $r \in \Sigma_1$, let $\sigma_2(r)$ be the union of the lines of Σ_2 meeting r and let T_r denote the (constant) tangent space to X at the points of r which are smooth for X . Since any line on X meeting r is contained in T_r , we have $\sigma_2(r) \subset T_r$.

Assume $\sigma_2(r)$ is not the full intersection of X and T_r .

Then there is a family of dimension 2 of reducible hyperplane sections of X . In particular, a general hyperplane section S of X is an irreducible surface in \mathbf{P}^3 having a family of dimension 2 of reducible plane sections. By the Kronecker-Castelnuovo theorem (see [15] or [4]), S is either a scroll or a Steiner surface, i.e. the general projection in \mathbf{P}^3 of a Veronese surface.

Now, S cannot be a scroll because otherwise X would be fibered by planes, which is excluded. The reducible plane sections of a Steiner surface are unions of two irreducible conics, so in this case $\sigma_2(r)$ would be an irreducible quadric surface, not a cone because of the unisecant line r . So, assume that $\sigma_2(r)$ is a smooth quadric. From $\dim(\Sigma) = 2$, it follows, by a simple dimensional argument, that all the lines on $\sigma_2(r)$ from the ruling of r are fibres of the Gauss map. But the linear span of $\sigma_2(r)$ is T_r , the tangent space to X at the general point of $\sigma_2(r)$. It would follow that T_r is tangent to X along $\sigma_2(r)$, a contradiction because $\sigma_2(r)$ is not linear.

Hence also the possibility for S to be a Steiner surface is ruled out. Therefore $\dim(\check{X}) = 3$, and the proof is complete in the case $\sigma_2(r)$ is not the full intersection of X and T_r .

Assume now that $\sigma_2(r)$ is the full intersection of X and T_r . Then $\deg(\sigma_2(r)) = \deg(X) = n > 3$.

First of all, we can assume that $\sigma_2(r)$ is irreducible. In fact, otherwise, we have a 2-dimensional family of reducible hyperplane sections of X and we can argue as in the previous case.

Through any general point of r there is a line of Σ_2 (on $\sigma_2(r)$). Let $M \subset T_r$ be a general plane containing the line r . Then M contains finitely many lines of Σ_2 . In fact, otherwise some plane M would contain infinitely many lines of Σ_2 , hence $M = \sigma_2(r)$ as sets, because $\sigma_2(r)$ is irreducible. This would yield the usual contradiction that there are too many planes on X . If P is a point of $\sigma_2(r) \cap M$, with $P \notin r$, then the line of Σ_2 on $\sigma_2(r)$ through P is contained in M . Therefore, the curve $\sigma_2(r) \cap M$ consists of the line r with a certain multiplicity, and finitely many lines of Σ_2 . A dimensional count shows that, by Prop. 1.9, a general plane $M \subset T_r$ through r contains *only one* line of Σ_2 . If we prove that the intersection multiplicity of r in $\sigma_2(r) \cap M$ is 2, then we get $\deg(X) = \deg(\sigma_2(r)) = 3$, a contradiction.

We will need the following lemma, which was communicated to us by B. Fantechi:

Lemma 1.11 *Let $X \subset \mathbf{P}^n$ be an (irreducible and reduced) hypersurface. Let $H \subset \mathbf{P}^n$ denote a general hyperplane of \mathbf{P}^n , and set $Y := X \cap H$. If $\dim(\check{X}) < \dim(X)$, then $\dim(\check{Y}) = \dim(\check{X})$, where we take \check{Y} inside \check{H} . Moreover, if $\dim(\check{X}) = \dim(X)$, then $\dim(\check{Y}) = \dim(Y)$.*

Since we assume that $\dim(\check{X}) = 2$, it follows from the above lemma that the dual variety of the general hyperplane section of X is a surface. Let $p \in r$ be the point where a general hyperplane $H \subset \mathbf{P}^4$ intersects r . It is harmless to assume that X is smooth at p , and that H is transversal to X at p . Then, the surface $S := H \cap X$ is smooth at p , and $T_p S = H \cap T_p X$. Now, $\sigma_2(r) \cap H = X \cap T_r \cap H = S \cap T_p S$. Since \check{S} is a surface, the curve $S \cap T_p S = \sigma_2(r) \cap H$ has an ordinary node at p . By the genericity of p we conclude that the intersection multiplicity of r in $\sigma_2(r) \cap M$ is 2. \square

Proof of Lemma 1.11 Let $y \in Y_{sm}$; then we have also $y \in X_{sm}$ and $\hat{T}_y Y = H \cap \hat{T}_y X$, where $\hat{T}_y X$ denotes the projectivized tangent space to X at y and similarly for Y . This shows that the Gauss map $\gamma_Y : Y_{sm} \rightarrow \check{H}$ of Y is the composition of the inclusion $Y \subset X$, followed by the Gauss map $\gamma_X : X_{sm} \rightarrow \check{\mathbf{P}}^n$ of X , followed by the map $u : \check{\mathbf{P}}^n \setminus \{H\} \rightarrow \check{H}$ given by $u(H') := H \cap H'$.

If we look at the differentials we get that the restriction of $du_{\gamma_Y} \circ d\gamma_X$ to $T_y Y$ is $d\gamma_Y$. Now, $\text{Ker}(du_{\gamma_Y})$ is easily computed, and one can show that, for a general H , both the conditions: $\text{Ker}(du) \cap \text{Im}(du_{\gamma_X}) = 0$ and $\text{Ker}(du_{\gamma_X}) \not\subseteq T_y Y$ can be satisfied. The lemma follows at once. \square

Remark 1.12 Let X be the variety of the secant lines of a rational normal quartic curve $\Gamma \subset \mathbf{P}^4$, as in Example 1. For the family Σ_1 of the secant lines of Γ we have $\mu_1 = 1$ because they are fibres of a map. Since $\deg(X) = 3$, the surface $X \cap T_r$ (T_r is as in the above proof) is a cubic which is singular along r , hence ruled. These new lines form a family Σ_2 for which we have already checked in Example 1 that $\mu_2 = 2$. By Terracini's lemma the tangent space to X is constant along a secant of Γ , hence $\dim(\check{X}) = 2$. *This shows that the hypothesis " $\deg(X) > 3$ " in the above theorem is essential.*

Since our hypersurfaces $X \subset \mathbf{P}^4$ contain "too many" lines if $n \geq 4$, it is quite natural that they are far from general in the linear system of all hypersurfaces of \mathbf{P}^4 of a fixed degree n . In fact, it will turn out that, if $n \geq 4$ none of them is linearly normal. Hence their singular loci have always dimension 2. We will prove, now, directly this last property, under the more restrictive assumption that $n \geq 5$, which is sufficient for our application of the theorem.

Theorem 1.13 *Let $X \subset \mathbf{P}^4$ be a hypersurface of degree $n \geq 5$, covered by a family of lines Σ of dimension 2, with $\mu > 1$. Let Δ denote the singular locus of X . Then Δ is a surface. If X is not birationally ruled by quadrics, then $\deg(\Delta) \geq 2(n - 3)$.*

Proof We assume by contradiction that Δ is a curve. Then every point of Δ belongs to infinitely many lines of Σ . The curve Δ is not a line because every line of Σ meets Δ in $n - 3$ points, and $n \geq 5$. If $x \in X$ is general, from $\mu > 1$ it follows that through x there are two secant lines of Δ , say r and s . By Terracini's lemma the tangent space to X must be constant along r and also along s . Therefore, the plane spanned by r and s is (contained in) a fibre of the Gauss map, hence it is contained in X . So, through a general point of X there is a plane on X , contradiction. This proves that Δ is a surface.

To prove the assertion on the degree, we consider a general plane of \mathcal{F}' . If it intersects properly Δ , then this intersection contains at least $2(n - 3)$ points, and the claim follows. If the intersection is not proper, then Δ contains a family of plane curves of dimension 3, hence it is a plane. Let H be a hyperplane containing Δ ; then $X \cap H$ splits as the union of Δ with a surface S . If $P \in S$ is general, there are at least two lines on X passing through P . Each of them meets Δ , hence is contained in H , and therefore in S . This shows that S is a union of smooth quadrics. \square

An inspection to the statement of Theorem 0.1 shows that $\mu = 5$ never happens. We will prove this now. We use again the set-up introduced in the proof of propositions 1.4 and 1.6.

Theorem 1.14 *Let X be a threefold such that $\deg(X) > 3$. Assume that at any general point $p \in X$ the ideal $(F_2, F_3) \subset K[y_1, y_2, y_3]$ defines a reduced 0-dimensional subscheme $\{P_1, \dots, P_6\}$ of $\mathbf{P}(T_p X) \simeq \mathbf{P}^2$. Then, from $4 \leq \mu < 6$ it follows $\mu = 4$.*

Proof Since we assume that $\mu < 6$, from [11] it follows that $(F_2, F_3)_4 \neq (F_2, F_3, F_4)_4$, where $(F_2, F_3)_4$ denotes the homogeneous component of degree 4 of the ideal (F_2, F_3) , and similarly for the other ideal.

To prove the theorem we will show that $(F_2, F_3, F_4)_4 = (F_2, F_3, l^2 q)_4$, where l and q are suitable homogeneous polynomials in $K[y_1, y_2, y_3]$, of degree 2 and 3 respectively, and, moreover, the line $l = 0$ does not contain any one of the six points P_i . In this situation, $\mu = 5$ forces $q = F_2$, hence $(F_2, F_3)_4 = (F_2, F_3, F_4)_4$, a contradiction.

In the projective space $\mathbf{P}(R_4) \simeq \mathbf{P}^{14}$ we have the linear subspaces $E := \mathbf{P}((F_2, F_3)_4)$, and $F := \mathbf{P}((F_2, F_3, F_4)_4)$ of dimension 8 and 9, respectively. Consider, now, the map $\alpha : \mathbf{P}(R_1) \times \mathbf{P}(R_2) \rightarrow \mathbf{P}(R_4)$ defined by $(l, q) \mapsto l^2 q$. Let W denote the image of α . Then, W is irreducible and it is easily seen that it is also generically smooth, of dimension 7.

If we intersect W with E we get 15 irreducible components of the expected dimension 1 (which turn out to be lines), and one excedentary component S of dimension 2. This surface is the image of all the couples of type (l, F_2) ; a direct computation shows that S is a Veronese surface.

Since we assume $\mu \geq 4$, the curve $C_4 \subset \mathbf{P}(T_p X)$ defined by $F_4 = 0$ contains at least four among the points $\{P_1, \dots, P_6\}$; let these points be $\{P_1, \dots, P_4\}$. Then $W \cap F$ contains also an excedentary irreducible component of dimension three, the image in α of all the couples

of type (l, q) , with the only restriction that $q = 0$ represents a conic through $\{P_1, \dots, P_4\}$. This completes the proof. \square

We will give in the next proposition some generalities on the surfaces $\sigma(r)$.

Proposition 1.15 *Let $X \subset \mathbf{P}^4$ be a hypersurface of degree n covered by the lines of the family Σ of dimension 2, with $\mu \geq 2$. Let r be a general line of Σ and $\sigma(r)$ be the union of the lines of Σ intersecting r . Then:*

- (i) $\sigma(r)$ is a ruled surface, having r as line of multiplicity $\mu - 1$;
- (ii) if the surfaces $\sigma(r)$ describe, as r varies in Σ , an algebraic family in X of dimension < 2 , then X is covered by a 1-dimensional family of quadrics such that there is one and only one quadric of the family passing through any general point of X .

Proof The first assertion of (i) is clear. To prove the second, it is enough to observe that exactly $\mu - 1$ lines of Σ , different from r , pass through a general point of r , and that these lines are separated by the blow-up of X along r .

The assumption of (ii) means that, for every r , the lines of Σ intersecting r intersect also infinitely many other lines of the family, so $\sigma(r)$ is doubly ruled, hence it is a smooth quadric, or a finite union of smooth quadrics. In the second case, the algebraic family described by the surfaces $\sigma(r)$ has dimension two, so this case is excluded. \square

We will refer to threefolds X as in (ii) as “quadric bundles”. Our final task concerning the surfaces $\sigma(r)$ will be the determination of their degree. For this we need another proposition.

Let r and r' denote two general lines in the same irreducible component Σ_i of Σ . We will call $\bar{\mu}_i$ the number of lines of all Σ intersecting both r and r' .

Recall that, for every $r \in \Sigma$, the curve $\sigma(r) \subset \Sigma$ (we switch our point of view, now) parametrizes the lines of Σ intersecting r . If Σ is reducible, with $\Sigma_1, \dots, \Sigma_s$ as irreducible components of dimension 2, then the curves $\sigma(r)$ are unions $\sigma_1(r) \cup \dots \cup \sigma_s(r)$, where $\sigma_i(r)$ is formed by the lines of Σ_i intersecting r . Note that, if $\mu_i = 1$ for some index i and $r \in \Sigma_i$, then $\sigma_i(r)$ is empty.

Then $\bar{\mu}_i$ is the intersection number $\sigma(r) \cdot \sigma(r')$ on (a normalization of) Σ .

Proposition 1.16 *Let X be a threefold such that $\deg(X) > 3$. Let r and r' be two general lines in the same irreducible component Σ_i of Σ . Then $\bar{\mu}_i = \mu - 2$ (independent of i !)*

Proof To evaluate $\bar{\mu} = \sigma(r) \cdot \sigma(r')$ we choose the lines r and r' so that they intersect at a point p , smooth for X . Since $\deg(X) > 3$, by Theorem 1.9 we can also assume that r and r' are the only lines of Σ contained in the plane $\langle r \cup r' \rangle$, so that the lines intersecting both r and r' are those passing through p . The conclusion follows from Prop. 1.6 \square

Proposition 1.17 *Assume $\deg X \geq 4$ and let r be a general line on X . Then $\deg \sigma(r) = 3\mu - 4$.*

Proof Note first that $\deg \sigma(r)$ is equal to the degree of the curve, intersection of $\sigma(r)$ with a hyperplane H . We can assume $r \subset H$; then $H \cap \sigma(r)$ splits in the union of r with m other

lines meeting r . Indeed, if $P \in H \cap \sigma(r)$ and $P \notin r$, there exists a line passing through P and meeting r , which is necessarily contained in H . Moreover, $\sigma(r)$ and H meet along r with intersection multiplicity $\mu - 1$ (Theorem 1.15). Therefore $\deg \sigma(r) = \mu - 1 + m$.

To compute m , the number of lines meeting r and contained in a 3-space H , we can assume that H is tangent to X at a point P of r . In this case H contains the $\mu - 1$ lines through P different from r . To control the other $m - (\mu - 1)$ lines, we use the following degeneration argument.

Since H is tangent to X at $p \in r$, the intersection multiplicity of Σ and $\mathbf{G}(1, H)$ at r is > 1 by Lemma 1.3. According to the so called “dynamical interpretation of the multiplicity of intersection”, in any hyperplane H' “close” to H (if we are working over \mathbf{C} this means: in a suitable neighbourhood of H for the Euclidean topology of \mathbf{P}^4) there are at least two distinct lines $g, g' \in \Sigma$ which both have r as limit position when H' specializes to H . Note that the lines g and g' are skew, because otherwise $g \in \sigma(g')$, which becomes $r \in \sigma(r)$ when H' specializes to H , a contradiction with Prop. 1.6.

Therefore, we can choose a family of 3-spaces H_t , parametrized by a smooth curve T , such that $H_0 = H$ and, for general t , H_t is generated by two skew lines r_t and r'_t , having both r as limit position for $t = 0$. The lines in H meeting r come from lines in H_t meeting either r_t or r'_t . In other words, the intersections $\sigma(r_t) \cap H_t$ and $\sigma(r'_t) \cap H_t$ both move to $\sigma(r) \cap H$. Therefore to preserve the degree of these intersections, the remaining lines intersecting r have to come from the $\bar{\mu}$ lines of H_t meeting both r_t and r'_t . Note that, if l is one of these “remaining” lines, then the multiplicity of l in $\Sigma \cap \mathbf{G}(1, H)$ is 1. In fact, otherwise, H would be tangent to X at some point of l ; but H is already tangent to X at p , and $p \notin l$. We can conclude by the previous proposition that $m = \bar{\mu} + \mu - 1 = 2\mu - 3$. \square

Remark 1.18 It is interesting to remark that the surfaces Σ in $\mathbf{G}(1, 4)$ corresponding to threefolds with $\mu > 1$, can be characterized by the property that the tangent space to $\mathbf{G}(1, 4)$ at every point r of Σ intersects (improperly) Σ along a curve, namely $\sigma(r)$. This follows from the fact that the points of $\mathbf{G}(1, 4) \cap T_r \mathbf{G}(1, 4)$ represent the lines meeting r .

If r is a general point of Σ , then the hyperplanes of \mathbf{P}^9 containing $T_r \mathbf{G}(1, 4)$ cut on Σ a linear system of dimension two of curves. *The curve $\sigma(r)$ is the fixed part of this linear system.*

2 An upper bound for μ

It is well known that for a surface covered by the lines of a 1-dimensional family, there are at most two lines through any general point. The following theorem is the analogous for the threefolds.

Theorem 2.1 *Let $X \subset \mathbf{P}^4$ be a 3-fold covered by lines, and assume that $\dim(\Sigma) = 2$. Then $\mu \leq 6$.*

Proof It was already remarked in the Introduction that for the degree n of X we have $n \geq 3$. Let p be a general point of X and fix a system of affine coordinates y_1, \dots, y_4 such that $p = (0, \dots, 0)$. Let $G = G_1 + \dots + G_n$ be the equation of X . As usual, we assume that $T_p X$ is defined by $y_4 = 0$, and, moreover, we write $G_i = F_i + y_4 H_i$, for $i \geq 2$.

The polynomials F_2, \dots, F_n define (if not zero) curves in the plane $\mathbf{P}(T_p X)$. In particular, $F_2 = 0$ is a conic C_2 , whose points represent tangent lines to X having at p a contact of order > 2 , and $F_3 = 0$ is a cubic C_3 ; the points of $C_2 \cap C_3$ represent the tangent lines to X having at p a contact of order > 3 , and so on. Clearly the points of $\mathbf{P}(T_p X)$ corresponding to lines contained in X are exactly those of $C_2 \cap C_3 \cap \dots \cap C_n$.

We have $F_2 \neq 0$ at any general point of X because, otherwise X would be a hyperplane of \mathbf{P}^4 . On the other hand, since $\deg(X) \geq 3$, at any general point of X we have also that F_3 is not a multiple of F_2 ([7], Lemma (B.16)). In particular, we have $F_3 \neq 0$, and C_2 is not contained in C_3 .

Now, if $\dim(\tilde{X}) = 3$, then C_2 is an irreducible conic, and we are done.

If $\dim(\tilde{X}) = 2$, then C_2 is the union of two distinct lines, whose common point represents the fiber of the Gauss map through p . We have to rule out the possibility that C_2 and C_3 have a common irreducible component. Consider a general hyperplane H through p and set $S := X \cap H$. The surface S is smooth at p , and it is defined in H by the equation $\overline{G} = \overline{G}_1 + \dots + \overline{G}_n$. The tangent space to S at p is defined by $\overline{G}_1 = 0$, and its projectivization is now a projective line. In this line we have systems of points defined by the equations $\overline{F}_i = 0$, where the F_i are as above. If C_2 and C_3 have a common irreducible component, then F_2 and F_3 have a common factor, and clearly the same is true for \overline{F}_2 and \overline{F}_3 . Therefore, at any general point of S there is a tangent line which has contact of order > 3 with S at that point, and from [11] it follows that S is a ruled surface. Hence X is ruled by planes, a contradiction.

Then, the curves C_2 and C_3 intersect properly also in the case $\dim(\tilde{X}) = 2$, and the proof is complete. \square

The statement of Theorem 0.1 shows that the families of lines in \mathbf{P}^4 we want to classify are characterized by the number s of irreducible components $\Sigma_1, \dots, \Sigma_s$ of Σ and by the relative μ_i 's. Therefore the proof can be organized according to the following two possibilities:

- there exists an irreducible component Σ_i of Σ with $\mu_i > 1$;
- for every irreducible component Σ_i of Σ , $\mu_i = 1$.

By Theorem 2.1, there are only finitely many values of s and μ_i to analyze. A posteriori, it will turn out that, actually, in the first case there do not exist other irreducible components of Σ .

3 There exists an irreducible component Σ_i of Σ with $\mu_i > 1$

From now on we assume that X is not a quadric bundle.

Let Σ_i be an irreducible component of Σ of dimension 2, such that $\mu_i > 1$. In this section we will consider and use only the lines of Σ_i , e.g. for constructing the surfaces $\sigma(r)$ and so on. So, for simplicity, we will denote Σ_i by Σ and μ_i by μ . Note that Proposition 1.16 is still true (with the same proof) even if we use in the statement our “ μ ” and “ $\overline{\mu}$ ” defined by using only the lines of Σ_i .

Proposition 3.1 *Assume that X is not a quadric bundle and that $\deg(X) > 3$. Then $\mu > 2$.*

Proof Since we assume that X is not a quadric bundle we have that the dimension of $\{\sigma(r)\}_{r \in \Sigma}$ is 2 by Prop. 1.15. Then, through a general point of Σ there are infinitely many curves $\sigma(r)$, and, by Proposition 1.16 we conclude

$$\mu - 2 = \sigma(r)^2 > 0 .$$

□

If $\mu = 6$ then $\deg(X) = 3$ ([18]). Then, if we assume that $\deg(X) > 3$ and that X is not a quadric bundle, by the above proposition and by Prop. 1.14, the only possibilities for μ are $\mu = 3, 4$.

The case $\mu = 3$.

Proposition 3.2 *Let $X \subset \mathbf{P}^4$ be a hypersurface of degree > 3 , containing an irreducible family of lines Σ with $\mu = 3$. Then X has degree 5, sectional genus $\pi = 1$ and it is a projection of a Fano threefold of \mathbf{P}^6 of the form $\mathbf{G}(1, 4) \cap \mathbf{P}^6$.*

Proof The algebraic system of dimension two $\{\sigma(g)\}_{g \in \Sigma}$ on the surface Σ is linear because there is exactly one curve of the system passing through two general points ($\bar{\mu} = 1$). Also the self-intersection is equal to $\bar{\mu} = 1$, therefore $\{\sigma(g)\}$ is a homaloidal net of rational curves, which defines a birational map f from Σ to the plane, such that the curves of the net correspond to the lines of \mathbf{P}^2 . The degree of the curves $\sigma(g)$ is 5 by Proposition 1.17. So the birational inverse of f is given by a linear system of plane curves of degree 5. Hence we get immediately the weak bound $\deg \Sigma \leq 25$. Let ν denote the number of lines of Σ contained in a 3-plane: by Schubert calculus, $\deg \Sigma = \mu n + \nu$. To evaluate ν , we consider two general skew lines r, r' on X , generating a 3-space H . The lines r and r' have a common secant line l . The set-theoretical intersection $\sigma(r) \cap H$ is the union of r, l and two more lines l_1, l_2 by Proposition 1.17. Similarly we get two new lines m_1, m_2 in $\sigma(r') \cap H$. The line l_1 (resp. l_2) cannot meet both m_1 and m_2 because $\bar{\mu} = 1$, so there are two new lines in H .

So we have found at least 9 lines in H , hence $\nu \geq 9$. The assumption $\mu = 3$ together with $\nu \geq 9$ gives at once $n \leq 5$.

Let S be a general hyperplane section of X . If $n = 4$, then it is well known (see for example the classical book of Conforto [4]) that under our assumptions one of the following happens: S is a ruled surface (in particular a cone) or a Steiner surface or a Del Pezzo surface with a double irreducible conic. None of these surfaces is section of a threefold X with the required properties. In the first case X would have a family of lines of dimension 3, in the second case X would be a cone, in the third case $\mu = 4$ (see [6] and [19]). Therefore the degree of X is exactly 5.

We can apply, now, Theorem 1.13 which gives $\deg \Delta \geq 4$ since $n = 5$. If π denotes the sectional genus of X (i.e. the geometric genus of a general plane section of X) we deduce $\pi \leq 2$.

To exclude $\pi = 2$, we show that there exist planes containing three lines of Σ . Indeed let r be a general line of Σ . We fix in \mathbf{P}^4 a 3-plane H not containing r , intersecting r at a point O . Let $\gamma := \sigma(r) \cap H$ be a hyperplane section of $\sigma(r)$. By Proposition 1.17, $\sigma(r)$ has degree 5, hence there exists a trisecant line t passing through O and meeting γ again at two

points P and Q . Let M be the plane generated by r and t : it contains also the lines of $\sigma(r)$ passing through P and Q , so M contains three lines contained in X . Now we consider $M \cap \Delta$. By Lemma 1.8 in $M \cap X = r \cup r' \cup r'' \cup C$ there must be a “new” tangency point, hence $\Delta \cap M$ contains at least five points. Therefore $\deg \Delta \geq 5$ and $\pi \leq 1$. If $\pi = 0$, the curves intersection of S with its tangent planes have a new singular point, so they split. Then by the Kronecker–Castelnuovo theorem, S is ruled, a contradiction. So we have $\pi = 1$ and S is a projection of a linearly normal Del Pezzo surface S' of \mathbf{P}^5 of the same degree 5 (see [4]), which is necessarily a linear section of $\mathbf{G}(1, 4)$. This proves the theorem. \square

The case $\mu = 4$.

Proposition 3.3 *Let $X \subset \mathbf{P}^4$ be a hypersurface of degree > 3 , containing an irreducible family of lines Σ with $\mu = 4$. Then X has degree 4 and sectional genus $\pi = 1$, hence it is a projection of a Del Pezzo threefold of \mathbf{P}^5 , complete intersection of two quadric hypersurfaces of \mathbf{P}^5 .*

Proof Let $\bar{g} \in \Sigma$ be general and set $\sigma := \sigma(\bar{g})$, for simplicity. Let γ denote a normalization of σ . The proof of the proposition is based on the following two lemmas.

Lemma 3.4 *The curve γ is irreducible, hyperelliptic of genus 2. Hence γ can be embedded into a smooth quadric surface $Q \subset \mathbf{P}^3$ as a quintic with bidegree $(2, 3)$.*

Let $S \subset \mathbf{G}(1, 3)$ be the surface parametrizing the secant lines of γ . Let $r \subset \mathbf{P}^3$ be a fixed general secant line of γ ; we will denote by A and B the points of $r \cap \gamma$. The family of all secant lines of γ that intersect r has three irreducible components: the secant lines through A , those through B and “the other ones”. This last component is represented on S by an irreducible curve that we will denote by I_r .

Lemma 3.5 *There exists a birational map $\tau: \Sigma \cdots \rightarrow S$ such that the image via τ of every curve $\sigma(g) \subset \Sigma$ is the curve $I_{\tau(g)}$ on S just introduced. If $g, g' \in \Sigma$ are general, then $g \cap g' \neq \emptyset$ if and only if $\tau(g) \cap \tau(g') \neq \emptyset$.*

We will prove now Proposition 3.3 assuming Lemmas 3.4 and 3.5.

Let p be a general point of \mathbf{P}^3 , $p \notin \gamma$. There are four secant lines l_1, \dots, l_4 of γ through p and we can assume that $l_i = \tau(g_i)$, with $g_i \in \Sigma$, $i = 1, \dots, 4$. By Lemma 3.5 we have $g_i \cap g_j \neq \emptyset$ for every $i \neq j$.

The first possibility is that, for a general $p \in \mathbf{P}^3$, the four lines g_1, \dots, g_4 all lie in a plane $M_p \subset \mathbf{P}^4$. By Prop. 1.9 the family of such planes has dimension at most 2 and, therefore, the same plane M_p corresponds to infinitely many points of \mathbf{P}^3 . This implies that every plane M_p contains infinitely many lines of Σ , hence $M_p \subset X$. Then X contains at least a 1-dimensional family of planes: a contradiction.

Therefore, for a general $p \in \mathbf{P}^3$, the four lines g_1, \dots, g_4 all contain one fixed point $P \in X$, and we get a rational map $\alpha: \mathbf{P}^3 \cdots \rightarrow X$ by setting $\alpha(p) := P$. This map is dominant because $\tau: \Sigma \cdots \rightarrow S$ is birational, and it has degree 1, because $\mu = 4$. Hence X is birational to \mathbf{P}^3 via α .

Note that α is not regular at the points of γ , so α is defined by a linear system of surfaces $F \subset \mathbf{P}^3$ of degree m , all containing γ . Let s be the maximum integer such that these surfaces contain the s^{th} infinitesimal neighbourhood of γ . So $F \in |mH - (s+1)\gamma|$, where H is a plane divisor in \mathbf{P}^3 . We claim that $s = 0$ and $m = 3$.

The second part of the statement of Lemma 3.5 makes clear that *any secant line of γ is transformed by α into a line of Σ* . Therefore we must have $m = 2(s+1) + 1$; if we intersect one of the surfaces F with the unique quadric surface Q containing γ , by Bezout and $\deg(\gamma) = 5$ we get

$$2m = 2[2(s+1) + 1] \geq 5(s+1),$$

hence $s \leq 1$.

If $s = 1$ we get $m = 5$ and the surfaces F contain the first infinitesimal neighbourhood of γ . Let $I \subset K[x_0, \dots, x_3]$ denote the saturated ideal of γ . Since $\gamma \subset \mathbf{P}^3$ is arithmetically Cohen-Macaulay, the saturated ideal of the first infinitesimal neighbourhood of γ is I^2 ([14], 2.3.7). Now, I can be minimally generated by one polynomial q of degree 2 (the equation of Q) and two polynomials of degree 3; therefore, *every homogeneous polynomial of degree 5 in I^2 must contain q as a factor*. So the case $s = 1$ is excluded.

Hence, the linear system defining α is a system of *cubic* surfaces of \mathbf{P}^3 , containing γ with multiplicity 1. The linear system of all such surfaces defines a rational map $\mathbf{P}^3 \cdots \rightarrow \mathbf{P}^5$, whose image is a Del Pezzo threefold, complete intersection of two quadric hypersurfaces of \mathbf{P}^5 . This completes the proof of Proposition 3.3. \square

Proof of Lemma 3.4 The proof is divided into several steps.

Step 1. *There is a birational map $\psi: \Sigma \cdots \rightarrow \sigma^{(2)}$, where $\sigma^{(2)}$ denotes the symmetric product of the curve σ by itself.*

On Σ there is the algebraic system of curves $\{\sigma(g)\}_{g \in \Sigma}$, of dimension 2. Since $\bar{\mu} = 2$, there are exactly 2 curves of the system containing two fixed general points on Σ ; moreover $\sigma(g)^2 = 2$.

The map ψ is defined as follows: let r be a general line of Σ ; let a, b be the two lines of Σ intersecting both r and \bar{g} . The corresponding points on Σ actually lie on σ . We set $\psi: r \mapsto (a, b)$; it is easily seen that ψ is birational. Note that the map ψ depends on the choice of $\bar{g} \in \Sigma$.

In particular, from Σ irreducible it follows that σ is also irreducible.

Step 2. *The characteristic series of the algebraic system $\{\sigma(g)\}_g$ on the curve σ is a complete g_2^1 . Therefore also the algebraic system $\{\sigma(g)\}_g$ is complete.*

From the fact that the dimension and the degree of the algebraic system $\{\sigma(g)\}_g$ are both 2, it follows at once that the characteristic series has degree 2 and dimension 1, i.e. it is a g_2^1 .

Assume it is not complete; then σ is necessarily a rational curve and the characteristic series generates a complete g_2^2 . In this case Σ is a rational surface and we can embed $\{\sigma(g)\}_g$ into the complete linear system $|\sigma(g)|$ of dimension 3. Let L be the linear span of $\{\sigma(g)\}_g$ inside $|\sigma(g)|$. Let \mathcal{L} be the linear system of those ruled surfaces on X which correspond to the curves of L . Fix a general point P of X and denote by \mathcal{M} the subsystem of surfaces of

\mathcal{L} containing P : \mathcal{M} contains 4 linearly independent surfaces, hence its dimension is at least 3: a contradiction.

Step 3. Let π denote the geometric genus of σ . Then $\pi \geq 2$.

By the previous step we already know that $\pi \geq 1$; assume $\pi = 1$. Then, by the well known fact that the irregularity of $\sigma^{(2)}$ equals the (geometric) genus of σ , the irregularity of Σ is 1. But the surface Σ , which parametrizes the curves of $\{\sigma(g)\}_{g \in \Sigma}$, is therefore fibered by a 1-dimensional family of lines, each line representing a linear pencil of curves $\sigma(g)$; from $\sigma(g)^2 = 2$ it follows that every such pencil has 2 base points. This also means that on X we have a 1-dimensional family of linear pencils of elliptic ruled surfaces $\sigma(g)$, each pencil having exactly two base lines.

We fix one of these pencils $\{\sigma(g_t)\}_{t \in \mathbf{P}^1}$, and we let r and r' denote the two base lines. Every surface of the pencil is of the type $\sigma(g)$, with g intersecting both r and r' . Set

$$R := \bigcup_{t \in \mathbf{P}^1} g_t \subset X$$

We claim that, for general $t, t' \in \mathbf{P}^1$, the lines g_t and $g_{t'}$ don't meet on r . Indeed, if $g_t \cap g_{t'} = P \in r$, then also the fourth line of Σ through P would be contained in $\sigma(g_t) \cap \sigma(g_{t'})$, the base locus of the pencil: a contradiction.

So r is a simple unisecant for R . Since $\sigma(r)$ is irreducible, from $R \subseteq \sigma(r)$ it follows that $R = \sigma(r)$. Then we have a contradiction because r has multiplicity 3 on $\sigma(r)$ by Theorem 1.15. Therefore, σ is hyperelliptic of geometric genus $\pi \geq 2$.

To complete the proof of Lemma 3.4 it remains to show:

Step 4. The genus of γ is 2. In particular, γ is embedded in \mathbf{P}^3 with degree 5.

Let $p \in \bar{g}$ be a general point, and let $a, b, c \in \Sigma$ denote the lines through p , different from \bar{g} . Moreover, let $d, e \in \sigma$ be such that $d + e \in g_2^1$ on γ . Then $H := a + b + c + d + e$ is a positive divisor on γ , of degree 5. When p varies on \bar{g} , the divisors on γ of type $a + b + c$ are all linearly equivalent because they are parametrized by the rational variety \bar{g} . We denote by \mathcal{D} the pencil of such divisors. Since the two rational maps $\gamma \rightarrow \mathbf{P}^1$ defined respectively by \mathcal{D} and g_2^1 are clearly different, it is easily seen that $\dim |H| \geq 3$. Hence, by Clifford's theorem H is non special. Since $\pi \geq 2$, it follows then by Riemann–Roch that $\dim |H| = 3$, and that $\pi = 2$. Then H is also very ample on γ . \square

To prove Lemma 3.5 we need

Lemma 3.6 $\{I_r\}_{r \in S}$ is an algebraic system of curves on S of dimension 2, degree 2 and index 2.

Proof Since $\deg(\gamma) = 5$ and $\pi = 2$, there are 4 secant lines of γ through a general point of \mathbf{P}^3 , and 10 secant lines of γ contained in a general plane of \mathbf{P}^3 . Therefore, the class of S in the Chow group $CH_2(\mathbf{G}(1, 3))$ is $4\alpha + 10\beta$, with traditional notations. It follows that the degree of $S \subset \mathbf{P}^5$ is 14; this means that there are 14 secant lines of γ intersecting two general lines r and r' in \mathbf{P}^3 .

Assume, now, that r and r' are chords of γ , and set $r \cap \gamma = \{A, B\}$, $r' \cap \gamma = \{C, D\}$. To compute $I_r \cdot I_{r'}$ we have just to compute the number of the spurious solutions among these

14 secant lines. Let M be the plane generated by r and C ; besides A, B, C the plane M intersects γ at the points P, Q . Therefore, we have the 4 secant lines AC, BC, PC, QC on M . By repeating this argument for the planes $\langle r \cup D \rangle, \langle r' \cup A \rangle, \langle r' \cup B \rangle$, we get 16 spurious secant lines, 4 of them have been counted twice. Hence, $I_r \cdot I_{r'} = 2$.

It follows easily that the index of $\{I_r\}_r$ is also 2. \square

Proof of Lemma 3.5 Let us remark first of all that the curves γ and I_r are birational. Indeed let $r \cap \gamma = \{A, B\}$. If $P \in \gamma$, and $P \notin r$, then the plane $\langle r \cup P \rangle$ intersects γ at the points A, B, P, C, D . We get a birational map $f: \gamma \rightarrow I_r$ by setting $f: P \mapsto \overline{CD}$.

We fix now a general secant line r of γ . Starting from the just constructed map f , we can also construct, in a canonical way, a map $f^{(2)}: \gamma^{(2)} \rightarrow I_r^{(2)}$, which is again birational.

In the first step of the proof of Lemma 3.4 we have constructed a birational map $\psi: \Sigma \cdots \rightarrow \sigma^{(2)}$. Since γ and σ are birational, we get also a map $\varphi: \Sigma \cdots \rightarrow \gamma^{(2)}$.

Finally, the algebraic system $\{I_r\}_{r \in S}$ allows us to construct a birational map $\chi: I_r^{(2)} \cdots \rightarrow S$ as follows. Let a, b be a general pair of secant lines of γ , and assume that each of them intersects r . By Lemma 3.6 we have $I_a \cdot I_b = 2$; one of these intersections is r , the other one is, by definition, $\chi(a, b)$.

If we compose φ, f and χ we get the desired map $\tau: \Sigma \cdots \rightarrow S$.

It remains to show that $\tau(\sigma(g)) = I_{\tau(g)}$. Consider a curve $\sigma(g)$ such that g intersects \bar{g} . It is mapped by φ to the curve on $\gamma^{(2)}$ formed by all the pairs of elements of γ containing g . Therefore, $f^{(2)} \circ \varphi$ sends $\sigma(g)$ to the curve on $I_r^{(2)}$ formed by all the pairs of elements of I_r containing $f(g)$, and clearly χ maps this last curve to $I_{\tau(g)}$. \square

Remark 3.7 Note that, if X is one of the threefolds found in this section with $\mu = 3, 4$, then the Fano scheme Σ of X is actually irreducible.

4 Every irreducible component Σ_i of Σ has $\mu_i = 1$

In this section we assume that the family of lines Σ on X is reducible and that for every irreducible component Σ_i of Σ we have $\mu_i = 1$.

Note that, from $\mu_i = 1$ for all i and from Theorem 2.1, it follows that $s = \mu \leq 6$.

The case $s = 2$.

Proposition 4.1 Let $X \subset \mathbf{P}^4$ be a threefold containing two irreducible families of lines Σ_i ($i = 1, 2$) both with $\mu_i = 1$. Assume that X is not a quadric bundle. Then X is a threefold of degree 6 with sectional genus $\pi = 1$, projection of a Fano threefold of \mathbf{P}^7 , hyperplane section of $\mathbf{P}^2 \times \mathbf{P}^2$ (see [17]).

Proof If g_1 is a fixed line of Σ_1 , then the lines of Σ_2 meeting it generate the rational ruled surface $\sigma_2(g_1)$ having g_1 as simple unisecant. Hence Σ_2 results to be a rational surface. Similarly for Σ_1 .

There are two possibilities regarding the algebraic system $\{\sigma_2(g_1)\}_{g_1 \in \Sigma_1}$, whose dimension is two (because X is not a quadric bundle): either it is already linear, or it can be embedded

in a larger linear system of curves in Σ_2 , which corresponds to a linear system of rational ruled surfaces on X . We will prove now that the second case can be excluded.

To this end, we reformulate the problem in a slightly different way. We consider the rational map $\phi : X \rightarrow \mathbf{P}^r := \mathbf{P}^{H^0(\sigma_2(g_1))^*}$ associated to the complete linear system $|\sigma_2(g_1)|$. The map ϕ sends a point p to the subsystem formed by the ruled surfaces passing through p . From $\mu_2 = 1$, it follows that ϕ contracts the lines of Σ_2 , which are therefore the fibres of ϕ . Hence $\phi(X)$ is a surface S of degree $d = \sigma_2(g_1)^2$. By an argument similar to that of Proposition 1.17, we have that $\deg \sigma_2(g_1) = d + 2$.

The inverse images of the hyperplane sections of S are the surfaces of $|\sigma_2(g_1)|$, so S is a surface with rational hyperplane sections. We replace now S with a general projection in \mathbf{P}^3 , so we can apply the theorem of Kronecker–Castelnuovo and we get only three possibilities:

1. $S = \mathbf{P}^2$: in this case the considered algebraic system is already linear and $d = 1$;
2. S is a scroll and $d > 1$;
3. S is a Steiner surface, projection of a Veronese surface, with $d = 4$.

We have to prove that only the first case happens. Assume by contradiction that S is like in 2. or 3. Note that any section of S with a tangent plane is reducible. If S is a scroll, such a section is the union of a line l with a plane curve C of degree $d - 1$. Let π be the arithmetic genus of C . The following relation expresses the arithmetic genus of a reducible plane section of S : $\pi + d - 2 = 0$, so $d = 2$, $\pi = 0$ and S is a quadric. Moreover $\deg(\sigma_2(g_1)) = 4$, so a general ruled surface in the linear system $|\sigma_2(g_1)|$ is a scroll of type $(1, 3)$ or $(2, 2)$. The case $(1, 3)$ is excluded because every surface of the system should have a unisecant line and our threefold X contains a family of lines of dimension exactly 2. So a general scroll of the system should be of type $(2, 2)$, hence contain a 1-dimensional family of conics. In this case X contains a 4-dimensional family of conics, and a general hyperplane section $X \cap H$ of it contains a 2-dimensional family of conics. By the usual argument, $X \cap H$ is a quadric or a cubic scroll or a Steiner surface: all three possibilities are easily excluded.

We assume now that S is a projection of a Veronese surface. In this case $\deg \sigma_2(g_1) = 6$, so a general ruled surface in the linear system $|\sigma_2(g_1)|$ is a scroll of type $(2, 4)$ or $(3, 3)$. The reducible plane sections of S are unions of conics and correspond to reducible ruled surfaces on X , unions of two scrolls of degree three. Necessarily they are both of type $(1, 2)$ so each of them contains a family of conics of dimension 2: we conclude as in the previous case.

So we have proved that for both systems of lines $d = 1$, hence $\deg \sigma_2(g_1) = \deg \sigma_1(g_2) = 3$. Also the curves in the Grassmannian $\mathbf{G}(1, 4)$ corresponding to these ruled surfaces have degree 3. So the surface Σ_i (for $i = 1, 2$) contains a linear system of dimension two of rational cubics, with self-intersection one: it defines a birational map from Σ_i to \mathbf{P}^2 , whose inverse map is defined by a linear system of plane cubic curves. Hence $\deg \Sigma_i \leq 9$ and Σ_i has rational or elliptic hyperplane sections.

Moreover there is a natural birational map between plane sections of X and some hyperplane sections of Σ_i . Precisely, let H be the singular hyperplane section of $\mathbf{G}(1, 4)$, given by lines meeting a plane π : then $\Sigma_i \cap H$ represents lines of Σ_i passing through the points of $X \cap \pi$. Since there is only one line of Σ_i through a general point of X , we get the required birational map between $\Sigma_i \cap H$ and $X \cap \pi$.

We conclude that also the plane sections of X are rational or elliptic curves. In particular a general hyperplane section of X is a surface of \mathbf{P}^3 with the same property. The case of rational sections can be excluded using the Kronecker–Castelnuovo theorem as in Proposition 3.2. So a hyperplane section of X is a Del Pezzo surface and X is a (projection of) a Fano threefold. Looking at the list of Fano threefolds we get the proposition. \square

The case $s > 2$.

If Σ has three or more components, a new situation can appear, precisely X could be a quadric bundle in more than one way.

For example, if $X = \mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1$ (or one of its projections), Σ has three components with $\mu_i = 1$, so that there are three lines passing through any point P of X , one for each of the three systems. The lines of a system Σ_i meeting a fixed line of another system Σ_j fill up a smooth quadric, so the surfaces $\sigma_i(g_j)$ are all quadrics. Moreover the 1-dimensional families $\{\sigma_i(g_j)\}_{g_j \in \Sigma_j}$ and $\{\sigma_j(g_i)\}_{g_i \in \Sigma_i}$ coincide. Hence there are three different structures of quadric bundle on X giving raise to six families of conics in $\mathbf{G}(1, 4)$.

Let X be a threefold of \mathbf{P}^4 covered by $s \geq 3$ two-dimensional families of lines Σ_i , $i = 1, \dots, s$. We distinguish the following two cases:

- there exists a pair of indices (\bar{i}, \bar{j}) such that the family $\{\sigma_{\bar{i}}(g_{\bar{j}})\}_{g_{\bar{j}} \in \Sigma_{\bar{j}}}$ has dimension two;
- for all (i, j) , $\dim\{\sigma_i(g_j)\}_{g_j \in \Sigma_j} = 1$.

In the first case, we consider only the two components $\Sigma_{\bar{j}}$ and $\Sigma_{\bar{i}}$: we can argue on these components as we did in the case $s = 2$, obtaining that X has to be a projection of a Fano threefold. Since there are no Fano threefolds satisfying our assumption, we can exclude the first case.

Therefore, if $s \geq 3$, necessarily the surfaces $\sigma_i(g_j)$ are smooth quadrics for all pair (i, j) . To get the classification, our strategy will be the usual one: to fix three of the families of lines and argue with them. Our result is:

Proposition 4.2 *Let X be a threefold of \mathbf{P}^4 containing three or more irreducible families of lines Σ_i all with $\mu_i = 1$. Then X is a threefold of degree ≤ 6 with sectional genus $\pi = 1$, projection of $\mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1$.*

Proof For every pair of indices (i, j) and general $g_j \in \Sigma_j$, the surface $\sigma_i(g_j)$ is a smooth quadric and it is clear that the linear systems $\{\sigma_i(g_j)\}_{g_j \in \Sigma_j}$ and $\{\sigma_j(g_i)\}_{g_i \in \Sigma_i}$ coincide: we call it Σ_{ij} . We want to study the intersection of two quadrics belonging to two families of the form Σ_{ik} and Σ_{jk} , $i \neq j$.

Let us remark first that, if g_j, g_k are two general coplanar lines in Σ_j, Σ_k respectively, then two cases are possible: either the plane $\langle g_j, g_k \rangle$ does contain a line of Σ_i , or it does not. In the first case X is a cubic (Prop. 1.9). So if $\deg X > 3$ and $p \in \sigma_j(g_k)$, $p \notin g_k$, then $p \notin \sigma_i(g_k)$. This immediately implies that $\sigma_j(g_k) \cap \sigma_i(g_k) = g_k$. Let us consider now $\sigma_j(g_k) \cap \sigma_i(g'_k)$: it can be written also as $\sigma_k(g_j) \cap \sigma_k(g'_i)$ for a fixed $g_j \in \Sigma_j$ and g'_i varying in a ruling of the second quadric. Now g_j certainly meets all the quadrics of Σ_{ik} and is not

contained in any of them, so there exists a \bar{t} such that g_j and $g_i^{\bar{t}}$ meet at a point q . Let \bar{g}_k be the line of Σ_k through q . Then:

$$\sigma_j(g_k) \cap \sigma_i(g_k') = \sigma_k(g_j) \cap \sigma_k(g_i^{\bar{t}}) = \sigma_j(\bar{g}_k) \cap \sigma_i(\bar{g}_k),$$

so we fall in the previous case. We conclude that two general quadrics of these families meet along a line of the family having the common index.

As a consequence, we have that through a general point p of X pass one quadric of the family Σ_{ij} and one line of Σ_k .

Now, we embed the \mathbf{P}^4 containing X as a subspace of a \mathbf{P}^7 , and call $Y \subset \mathbf{P}^7$ the image of the Segre embedding $\mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1 \rightarrow \mathbf{P}^7$. If $Q \subset X$ is a fixed general quadric of the family Σ_{12} , by acting on Y with an element of the projective linear group, we can assume that $Q \subset Y$ as well. Let $L \subset \mathbf{P}^7$ be a linear subspace of dimension 5, in "general position" with respect to X , i.e. $L \cap X$ is a curve. Let Σ'_1 , Σ'_2 and Σ'_3 denote the three families of lines on Y ; to fix ideas, assume that Q contains lines of the families Σ'_1 , Σ'_2 on Y .

We define a rational map $\alpha: X \setminus L \rightarrow Y$ as follows. Let $p \in X$ be general; then, the line $r \in \Sigma_3$, such that $p \in r$, intersects Q at a single point p' . Let $r' \in \Sigma'_3$ be the line (on Y) containing p' . Set $\alpha(p) := \langle L \cup p \rangle \cap r'$. It is clear that α is birational. Moreover, by considering the case of a hyperplane through L , we see that α takes hyperplane sections of X to hyperplane sections of Y .

There are suitable \mathbf{P}^3 's in \mathbf{P}^7 , let us call M one of them, such that the restriction $\beta: Y \setminus M \rightarrow \mathbf{P}^3$ of the projection $\mathbf{P}^7 \setminus M \rightarrow \mathbf{P}^3$ is birational. The inverse map $\beta^{-1}: \mathbf{P}^3 \rightarrow Y$ is defined by a linear system $|3H_{\mathbf{P}^3} - l_1 - l_2 - l_3|$, where the l_i 's are three lines, pairwise skew.

Since α takes hyperplane sections of X to hyperplane sections of Y , the birational map $(\beta \circ \alpha)^{-1}: \mathbf{P}^3 \rightarrow X$ is defined by a linear subsystem of $|3H_{\mathbf{P}^3} - l_1 - l_2 - l_3|$, i.e. X is a projection of $Y = \mathbf{P}^1 \times \mathbf{P}^1 \times \mathbf{P}^1$, and the proof is complete. \square

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Dipartimento di Scienze Matematiche
 Università di Trieste
 34127 – TRIESTE
 Italia