How the spatio-temporal overlap of cod, haddock, and capelin larvae affects their
recruitment in the Norwegian-Barents Sea system
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17 Abstract

18 The Norwegian-Barents Sea (NBS) system is very productive. However, the extent at

19 which this productivity varies remains uncertain for many species. Cod (Gadus morhua),

20 haddock (Melanogrammus aeglefinus), and capelin (Mallotus villosus) are three key

- 21 species overlapping in a large portion of the NBS ecosystem. In this hypothesis-driven
- 22 study, we investigate the interaction of these three species during their pelagic larval stage
- and assess the impact of their spatio-temporal overlap on survival. We hypothesise that the
- spatio-temporal overlap between the larvae of the three species, as they likely drift

25	together, influences their survival at later stages. We calculate the spatio-temporal overlap
26	of each pair of the three studied species and test it against their recruitment. We utilise a
27	multiple linear regression model with temperature, spawning stock biomass, and spatio-
28	temporal overlap among larvae, their food, and competitors as explanatory variables. We
29	explained ~ 43 %, ~ 24 %, and ~ 73 % of the variability in cod, haddock, and capelin
30	recruitment, respectively. The overlaps between larval cod and competing species and/or
31	food have a negative influence on cod recruitment, whereas the sign of the relationships
32	pertaining to haddock and capelin vary. These results improve the understanding of how
33	these fish species use their critical habitats to face emerging environmental stressors.

Keywords: recruitment dynamics; fish survival; larval competition; and spatio-temporal
 modelling.

36 1. INTRODUCTION

37 The Norwegian-Barents Sea (NBS) system is a highly dynamic area with strong physical 38 and biological forcing (Sakshaug et al. 2009, Eide et al. 2013). The NBS is known for its 39 high productivity yet relatively low biological diversity, meaning that the few fish species 40 that take part in the NBS production cycles are present in high abundances (Hamre 1994). 41 Among its most important fish species are the Northeast Arctic cod (Gadus morhua, a 42 major predator) and its prey, that are: young stages of the Northeast Arctic haddock 43 (Melanogrammus aeglefinus), capelin (Mallotus villosus), and juvenile stages of 44 Norwegian spring-spawning herring (Clupea harengus) (Hamre 1994). 45 With the collapse of the Barents Sea capelin stock in the mid-late 1980s, the Northeast 46 Arctic cod stock decreased due to lack of food (Hamre 1994, Durant et al. 2021). The 47 factors that influenced their stocks may be directly or indirectly connected (Pálsson 1994).

- 48 Cod, haddock, and capelin spawn on the Norwegian coast, and drift into the NBS system
- 49 to feed. In particular, capelin spawns along the Murman coast (although main spawning

area is on the Norwegian side, Gjøsæter 1998), while cod and haddock spawn along the continental slope (with different centers of magnitude and depth, with haddock spawning closer to the coast, Jakobsen & Ozhigin 2011). We thus hypothesise that the overlap between cod, haddock, and capelin at the larval stage (and their prey) will play a crucial role in the fish survival at later stages. Furthermore, the degree to which they overlap may differ among years.

56

57 The three species use the oceanic regions of the NBS as feeding grounds, and then migrate 58 closer to the coast to spawn (Olsen et al. 2009). The lives of these species are therefore 59 highly intertwined as they compete for food resources, including the most dominant zooplankton species in the NBS system, Calanus finmarchicus (Broms et al. 2009, Orlova 60 61 et al. 2010, Dalpadado et al. 2012, Aarflot et al. 2017, Prokopchuk & Trofimov 2019). C. 62 finmarchicus is documented as the main prey item for cod and capelin larvae (Sysoeva 63 1965, Ellertsen et al. 1984, Karamushko & Karamushko 1995, Kvile et al. 2014). For haddock, there is evidence suggesting that *Calanus* spp. importance gradually decreases in 64 the diet of larvae larger than 19 mm long (Sysoyeva et al. 1976). Even if Calanus spp. are 65 less important in the diet of haddock larvae than in cod larvae, especially for larger ones, a 66 67 competition for C. finmarchicus may occur for larval cod (all sizes) and haddock (2-19 68 mm) (Sysoyeva et al. 1976, Rowlands et al. 2008). This is supported by the fact that larval 69 haddock exploit a wider range of prey types of smaller and slower moving organisms than 70 other gadoid species (Sysoeva & Bazlova 1967, Economou 1991, Rowlands et al. 2008). 71 Additionally, the three fish species (cod, haddock, and capelin) greatly influence each 72 other's food availability acting as main previtem for each other at later stages (e.g. see cod 73 and capelin) (Sundby & Fossum 1990, Hamre 1994, Gjøsæter 1998).

75	The place and time where and when these fish spawn and first-feed are thus crucial factors
76	affecting their survival into later stages, yet they are also the most vulnerable to external
77	factors, such as climate change or oil extraction (Olsen et al. 2009). As observed by Olsen
78	et al. (2009), assessing the extent of the spatio-temporal overlap between these species
79	(even though they also considered saithe, Pollachius virens) is "imperative to understand
80	the total effects of all human activities in these [vulnerable] areas and to employ a
81	precautionary approach when managing existing and new human activities". These authors
82	acknowledge examples of coastal activities greatly affecting spawning areas, such as
83	fishing, subsurface petroleum installations, offshore wind farms, aquaculture, and noise
84	from seismic exploration.
85	
86	As the larval stages of the three species (Northeast Arctic cod, Northeast Arctic haddock,
87	and Barents Sea capelin) are known to overlap in time and space, we ask: what is the effect
88	of spatio-temporal overlap between the larval stages of the three fish species on their
89	survival to later stages? Our objectives are 1) to quantify the spatio-temporal overlap in
90	both space and time, and 2) to assess whether the spatio-temporal overlap affects the
91	survival of the three species.
92	2. METHODS

93 2.1. <u>Data</u>

94 Data on larval abundances of the three fish species (cod, haddock, and capelin) were

95 collected during the ichthyoplankton surveys run by the Knipovich Polar Research Institute

96 of Marine Fisheries and Oceanography (PINRO) in both spring (April – May) and summer

97 (June – July) from 1959 to 1993 along the northeastern Norwegian Sea and the

southwestern Barents Sea. In this study, we include stations where data were collected by

99	both the ring trawl (150 cm diameter opening, 3 mm mesh size) and the egg net (80 cm
100	diameter opening, 0.505 mm mesh size, Mukhina et al. 2003) (Figure 1). While larvae are
101	also captured in egg nets, the egg nets sample larvae less efficiently than the ring trawls.
102	The main copepod prey of most of the fish larvae species studied here is C. finmarchicus.
103	It is also the only zooplankton species which has had the data digitised for this dataset due
104	to lack of current recourses on this specific project (C. finmarchicus accounted for 80-90%
105	of zooplankton community) (Aarflot et al. 2018, Prokopchuk & Trofimov 2019). Data on
106	C. finmarchicus were collected during the same survey as the fish larvae with a Juday
107	plankton net (37 cm diameter opening, 180 µm mesh size) (Nesterova 1990).
108	Concentration of both fish larvae and copepod data were recorded as individual m ⁻³ for
109	each size class (we do not use size class in the present study). In addition, data on herring
110	larvae were collected as the other species. However, because the spatial distribution of
111	these larvae does not match that of the sampling, we exclude herring larvae as a studied
112	species (s), and only considered it as a competitor, thus driving species ($_D$). The herring
113	stock also collapsed in this area in the 1960-1970s, and recruitment was very low until
114	1983, thus no larvae were collected in several of the years.
115	
116	Temperature data were from the Nordic Seas 4 km numerical ocean model hindcast
117	archive from 1959 to 1993 (Lien et al. 2013) as in Ferreira et al. (2020). In this study, we

118 use data for the upper 50 m of the water column and match the stations from the fish larvae

119 survey to predict the abundance of the four species of fish at their larval stages.

120

121 We define survival indices as: timeseries of recruitment at age 3 for cod and haddock

122 (1959-1993), and age 1 for capelin (1980-1993); as well as the 0-group timeseries (1980-

123 1993) of the three fish species. Data on recruitment and spawning stock biomass (SSB)

- were collected from the Arctic Fisheries Working Group (AFWG) from ICES (ICES2020).
- 126

127	The following sections describe the methodology used to estimate the effect of the spatio-
128	temporal overlap between the larval stages and their competitors and/or food. Details are
129	found in Figure 2. We use the larval abundance data to develop a statistical model that fills
130	in the seasonal gaps, and quantifies the spatio-temporal distribution of each species from
131	day of year (DOY) 93 (~ April 3) to 208 (~ July 27, Supplementary Figure S1). DOY 93
132	and 208 are chosen based on data availability. However, we only consider the model
133	predictions within DOY 110 (~ June April 20) to 197 (~ July 16) as that is when the most
134	larvae were present, meaning that there is enough data within this period for the next step
135	(as observed in Supplementary Figure S2). The fish larvae models are based on Stige et al.
136	(2017) and Ferreira et al. (2020), and the copepod model is based on Kvile et al. (2014)
137	and Ferreira et al. (2020). Model equations and scores can be found in Table 1. Since the
138	data are zero-inflated, we use the Tweedie distribution (family=tw(), Dunn & Smyth 2005,
139	2008, Dunn 2017) within the Generalised Additive Models (GAM, mgcv package version
140	1.8-39, Wood 2017) within R version 4.1.2 (2021-11-01) (Team 2021).

141

142 I. <u>Generalised Additive Models (GAM modelling)</u>

143 The first step of our approach (Figure 2) is to estimate the concentration of fish larvae of 144 cod, haddock, capelin, and herring, across space and time. We use Eq. (1), where Ab_{xt} is 145 the larval concentration at station x and time $t(L_{xt})$. The coefficient α is the intercept. The 146 smooth, adaptive function f_1 of day of year (*DOY*) estimates the average seasonal change in 147 abundance, whereas f_2 of *DOY* estimated the *DOY*-dependent coefficient for the effect of 148 sea temperature anomaly (*STemp_A*) calculated as in Kvile et al. (2014) and estimates how

149	the seasonal pattern varies with sea temperature (STemp). The two-dimensional smooth
150	function f_3 of longitude (LON) and latitude (LAT) estimates the time-averaged spatial
151	pattern. $YEAR_t$ and $STAGE_{Lt}$ are random effects of year and length-based stage,
152	respectively. There are five length classes for fish larvae: 0-5, 6-10, 11-15, 16-20, and
153	21+ mm, henceforth referred to as stages. Based on these, the average length per species is
154	as follows: 13.43, 13.40, 11.71, and 18.50 cm for cod, haddock, capelin, and herring,
155	respectively. The higher length of herring confirms the reasoning for excluding it as a
156	studied species (s) as these are older individuals, thus with different dynamics. The
157	coefficients β_l and β_2 account for sample size, with N_{eggnet} being the number of egg net
158	hauls and $N_{ringtrawl}$ the number of ring trawl hauls per station st. We use different
159	coefficients for the effects of egg nets and ring trawls because the capture efficiency is
160	likely to differ between the two gears. Finally, ε_{xt} is a normally distributed error term. We
161	also add a weights term that considers the number of data points per DOY in logarithmic
162	scale, thus providing less weight on the DOYs with less data (except for herring). Eq. (1)
163	(Figure 2) is applied to each of the four fish species separately. The smooth functions
164	applied to DOY are used with a single penalty built in smooth classes (bs) with an adaptive
165	smoother ("ad") and a dimension of the basis used to represent the smooth term (k) of 9.
166	The spatial aspect is included as a tensor product smoother (<i>te</i>) with a <i>bs</i> set to a low rank
167	isotropic smoother of the LON and LAT covariates ("tp") and a k of 5. YEAR is accounted
168	for as a random effect (" re ") with a k left to default (9) to allow for a smoother interannual
169	variability. A similar approach was used in Ferreira et al. (2020).
170	

171 To estimate the concentration of zooplankton across space and time, we use Eq. (1) (Figure

172 2). Here, Ab_{xt} is copeped concentration in logarithmic scale [log(zoo+1) to account for

173 zero-inflated data] at station x and time t and represents the prey concentration (C.

174 *finmarchicus*, *Z*_{xt}) for cod, haddock, and capelin. We analyse 4 stage classes for *C*.

175	finmarchicus, where Ns is nauplii, C1 is CI-III, C2 is CIV-V, and C3 is CVIM-F
176	(henceforth referred to as stages). We apply the C. finmarchicus model individually for
177	each stage, as opposed to modelling all stages together (as we do for the fish). We use the
178	method="ML" with k set to 5 for stages Nauplii and CVI, and 9 for stages CI-III and CIV-
179	V and bs set to "cr" for a cubic regression spline. All other terms are used as for the fish
180	models (all the R Software syntax used to run all models is available in the Supplementary
181	Table S1). Model results of L_{xt} (larval abundance) and Z_{xt} (<i>C. finmarchicus</i> abundance)
182	provide smooth, gap-free seasonal cycles of larval abundance from which we can estimate
183	the areas under the curves (Supplementary Figure S1).

184

185 II. <u>Area calculation</u>

The second step of our approach (Figure 2) is to calculate the area under the Ab_{xt} curves as 186 187 in Eq. (2). We thus use L_{xt} as the abundance estimates for the fish species and calculated 188 the overlap between each of them and the other competing fish species (competitors); and 189 use Z_{xt} as the food estimate and calculate the overlap between each of the fish species and 190 their food (food). We also consider both factors together (competitors+food). We calculate 191 these using two approaches: an one-by-one and all-competitors-together. We calculate the 192 area under the Ab_{xt} curves as in Ferreira et al. (2020) (Eq. (2), Figure 2), where A is defined 193 as the area under the curve of abundance (L) for two sets of data: a) the species being studied (A_S) , *i.e.* the studied species (henceforth referred to as $_S$); or b) the driving species 194 195 (A_D) , *i.e.* the species that interact, in some form, with the studied species (henceforth 196 referred to as _D), either representing the abundance of competitors or of food). For 197 instance, in the case where cod is the studied species, the driving species would be haddock, capelin, and herring as competitors, and C. finmarchicus as food. The 198 199 abundances of relevant species are summed up prior to calculating the area under their 200 curve (A_D) for different combinations: food (F), competitors 1 to 3 (C), or competitors 1 to

201 3 and food (C+F, Figure 2). We calculate the area of the curve (A) for both sets (A_s and

 A_D), where the seasonal trends in both abundances follow a Gaussian curve defined by the

203 estimated standard deviation (*sd*) and the timing of the peak (*m*).

204

205 III. Spatio-temporal overlap (STO) calculation

206 The third step consists of the calculation of the spatio-temporal overlap metric so that STO 207 provides us the area where two areas (A_s and A_D) overlap: the area under both curves 208 (orange area, Durant et al. 2005, Durant et al. 2019). STO is thus calculated in Eq. (3) (Figure 2) and represents the minimum of the integrals A_S and A_D between DOY_{min} (154) 209 and DOY_{max} (197). STO has no units, as both concentrations cancel each other, therefore, 210 211 we consider STO a dimensionless metric. Further details on how to calculate STO can be 212 found in Durant et al. (2005) and the R scripts can be found in Durant et al. (2019). See 213 also Table 2 and respective explanation in Ferreira et al. (2020). STO represents the spatio-214 temporal overlap between each of the studied fish species and their food (STO_F) , 1 to 3 of 215 its competitors (driving species, STO_C), or 1 to 3 competitors and their food (driving 216 species, $STO_C + STO_F$).

217

218 IV. Correlation

STO_C, STO_F and STO_C + STO_F are computed annually for 1959-1993 and for each station where data are found. We then test them for their ability to explain variability in the survival index of the studied species. We use recruitment (*R*) age 3 for cod and haddock, and age 1 for capelin. Results for the 0-group index are shown in the Supplementary Material. We follow Eq. (4) to build a multiple linear regression model (Figure 2). Here, R_t is the recruitment index in year *t*, STO_i is the Spatio-Temporal Overlap metric that corresponds to STO_C, STO_F or STO_C + STO_F and their correspondent slope (b_1 , b_2 , or b_{3a}

226	and b_{3b} , Δ is the species-specific lag (in years) of the <i>STO</i> in comparison to the year-class
227	of the R (age 3 for cod and haddock, and age 1 for capelin), $STemp_t$ is the annual average
228	STemp, a is the intercept, c and d are the slopes for $STemp_t$ and SSB_t , respectively, e is the
229	slope for the time step (year, t) of the regression line, and ε_t is the normally distributed
230	error. Estimates of R_t are based on the recent stock assessment (ICES 2020) and then tested
231	against the spatio-temporal overlap indices STO_C , STO_F and $STO_C + STO_F$ with the linear
232	regressions to assess whether any of the overlaps can explain R variability. We test for
233	collinearity among all variables using a variance inflation factors (VIF) analysis (Zuur et
234	al. 2007).

235

236 V. <u>Recruitment variability</u>

237 As a last step, we assess the effect of each of the explanatory variables in explaining the recruitment variability of each of the fish species. We start by analysing both the multiple 238 r^2 and the sign of the multiple regression, linear models assessing recruitment variability, 239 240 as well as the contribution of the whole model (as shown in Figure 2). To assess the 241 individual contribution of each of the explanatory variables in the multiple regression 242 model explaining the recruitment variability, we use the package relaimpo (Grömping 243 2006) with the "proportional marginal variance decomposition" (pmvd), as in Durant et al. 244 (2021). This result provides information about how much STO contributed to the overall 245 variability in recruitment compared to the remaining variables (SSB, STemp, and t).

3. RESULTS

247 The spatio-temporal overlap between the studied species and its competitors (STO_C ,

248 orange) is analysed for each of the three fish species (cod, haddock, and capelin) as the

- studied species (Figure 3). A similar approach is used to investigate the spatio-temporal
- 250 overlap between the studied species and its food (STO_F , green) and both together (STO_C +

 STO_F , blue). We here show the correlations between the overlap indices (STO_C , STO_F and

252	$STO_C + STO_F$) and recruitment (<i>R</i>) with each studied species (cod, haddock, and capelin) in
253	detail (Figure 3). We find that all the relationships between the overlap indices of cod
254	larvae (STO_C , STO_F and $STO_C + STO_F$) and its recruitment are statistically significant (<i>p</i> -
255	<i>value</i> ≤ 0.012 , Figure 3), with r^2 ranging from 29.4 to 42.6 % (recruitment at age 3). Only
256	one relationship is statistically significant for haddock with a r^2 of 23.7 % (recruitment at
257	age 3); as well as capelin with a r^2 of 72.5 % (recruitment at age 1). The Supplementary
258	Table S2 shows the parameter estimates for all the linear models as in Eq. (4) in Figure 2.
259	The analyses using the 0-group timeseries show different results (Supplementary Figure
260	S3) perhaps due to the fact of the various lengths in time.
261	
262	Most of the relationships between $cod R$ and the overlaps are positive (Supplementary
263	Table S1), meaning that the higher the overlap, the higher the R , except for the effect of the
264	overlap between haddock and cod larvae when compared together with food (STO_C+STO_F ,
265	blue Comp 1, Figure 3). The VIF analysis (test of collinearity) detects three issues (marked
266	with an asterisk in Figure 3). The first concerns the cod larvae interaction with the food
267	and haddock, the second is with the cod larvae interaction with food and all the
268	competitors, and the third is with the haddock larvae and all the competitors (cod, capelin,
269	and herring). Therefore, we will not consider these results relevant. The signs of the
270	relationships with haddock R are a mix between negative and positive, but only one is
271	significant: the effect of the overlap between capelin and haddock larvae is positive and
272	significant (orange Comp 2, Figure 3, Supplementary Table S2). Competition alone has no
273	effect on capelin R as none of the STO_C are significant (orange bars, Figure 3,
274	Supplementary Table S2). The effects of $STO_C + STO_F$ are all negative (blue bars, Figure
275	3) meaning that the higher these overlaps, the lower capelin, but only one is significant: the
276	interaction between capelin larvae with food and herring.

0	7	7
4	1	1

278	Some of the relationships between STO_F and STO_C+STO_F , and the 0-group are statistically
279	significant (<i>p-value</i> ≤ 0.040 , Supplementary Figure S3, Supplementary Table S2) with r^2
280	ranging from 69.8 to 78.9 % for cod, and 57.7 to 85.2 % for capelin. The relationships for
281	haddock are not significant (Supplementary Table S2). In terms of the sign of the
282	parameter estimates, the results are very similar to the significant ones found in the
283	analyses using R (Figure 3, Supplementary Table S1 and S2).
284	
285	Prior to the calculation of <i>STO</i> , we model the abundance of each fish species (Ab_{xt} , Eq. (3)
286	in Figure 2 and Table 1). We observe that cod larval abundance (L_{xt}) peaks between April
287	20 (DOY 110) and July 9 (DOY 190), even though most of the two-gear sampling
288	occurred in June-July), haddock between May 7 (DOY 127) and July 16 (DOY 197),
289	capelin between April 23 (DOY 113) and July 16 (DOY 197), herring between April 20
290	(DOY 110) and May 26 (DOY 146), whereas C. finmarchicus abundance (Z_{xt}) peaks
291	between April 28 (DOY 118) and July 16 (DOY 197). These peak dates suggest that peaks
292	may be multiple in some species and occur in different times, so the sampling may have
293	missed the actual peak dates for these species in some years, as the average peak dates in
294	those years fall on either the first or last date of our predictions (April 20 to July 16).
295	
296	Their spatial distributions were considered similar as the four fish species and C .
297	finmarchicus were collected collectively during the same survey. Since it is only possible
298	to calculate the overlap indices where each pair of species occurrs both spatially
299	(Supplementary Figures S5 and S6) and temporally (Supplementary Figures S7 and S8),
300	the spatio-temporal distribution of the overlap indices are the highest with food and the
301	first competitor and lowest with herring. All these results (Supplementary Figures S5-8)

reflect what is shown in Figure 3: higher overlap indices with food and first competitor andlower with herring.

304

305 4. DISCUSSION

306 In this study, we assess the effect of the spatio-temporal overlap (STO) between the larval 307 stages of three of the most important fish species in the NBS: Northeast Arctic cod, 308 Northeast Arctic haddock, and Barents Sea capelin (Olsen et al. 2009) on their survival to 309 later stages. We find that the overlap with its prey and competitors can explain a 310 substantial part of a fish recruitment variability (~ 24 to ~ 73 %, Figure 3), thus demonstrating that the survival dynamics are, beyond being dependent on the overlap of 311 312 fish larvae with food, also dependent on the overlap with competitors. We start by 313 quantifying the STO in both space and time, and then assessed whether the STO affects the survival of the three species. Therefore, our results indicate that the survival of the NBS 314 315 fish is affected by the overlap between their larval stages with their competitors and/or food. 316

317

318 The combined STO (STO_C+STO_F) proves to be the most successful at explaining the 319 survival indices of the fish species (highest r^2), whereas the least successful is the STO_C, 320 except for haddock. This indicates that the STO between larvae and their prey, C. finmarchicus, plays a crucial role in the survival of these fish species in the NBS system 321 322 (Ferreira et al. 2020, Endo et al. 2022). In terms of biomass, C. finmarchicus contributes 323 with more than 80 % of biomass to the total zooplankton community in May (personal communication by Irina Prokopchuk). Thus, the presence of competition only makes sense 324 if one considers the prey availability as well. This suggests that these species are likely 325 326 competing for food and other factors (e.g. simultaneously predated on) while sharing the

327 same habitat. These findings can be interpreted in two ways. 1) As suggested by Endo et 328 al. (2022), spatially resolved data is needed in order to understand match-mismatch 329 dynamics. In our study, the overlap metric accounts for the spatial distribution of the 330 larvae, their competitors, and their prey (Supplementary Figures S5 and S6). 2) The same 331 authors suggested that food was not a limiting factor for cod larvae. In our study, we find 332 that food is a very important factor determining cod survival (even more so than the STO 333 with the cod competitors) possibly having a first effect on their growth from larval to 334 recruitment stages (Figure 3). However, we do recognise that food does not appear to be a 335 limiting factor.

336

337 The match-mismatch hypothesis states that the higher the prey-predator overlap in time 338 and space, the higher are the chances of predator survival (Hjort 1914, Cushing 1990, 339 Ferreira et al. 2020, Ferreira et al. 2023). Thus, it is expected that there is a positive 340 relationship between such overlap and survival. In our study, the recruitment of Northeast Arctic cod is positively correlated with most overlaps, except for when their larval stages 341 overlap with those of haddock when food is considered. This negative relationship may 342 343 indicate a competition for the same food items which, in this case, is C. finmarchicus. 344 However, in other cod populations, larvae feed on other copepod species (Oithona similis 345 and *Pseudocalanus* spp.), such is the case of Georges Bank's cod or the Atlantic cod off 346 the Gulf of St. Lawrence (Kane 1984, Robert et al. 2011). Furthermore, even though 347 haddock recruitment cannot be explained by the STO with cod larvae, the same STO can 348 explain cod recruitment. This suggests that Northeast Arctic cod larvae are negatively 349 impacted by the presence of haddock larvae when C. finmarchicus is present, but not the 350 other way around, possibly because C. finmarchicus are less important for haddock than euphausiids for haddock larvae (Sysoeva & Bazlova 1967) but they are for cod (Sysoeva 351 1965). 352

353

354	The only significant relationship we found to explain the Northeast Arctic haddock
355	recruitment is the STO between haddock larvae and capelin (Figure 3, Supplementary
356	Table S2). As suggested by Gjøsæter et al. (2015), the amount of competition at the early
357	life stages impacts predation mortality. In addition, the presence of a known competitor at
358	the larval stage may lead to increased feeding, especially when haddock are known
359	predators of capelin larvae at larger stages (Gjøsæter et al. 2015). Therefore, it is beneficial
360	for haddock to grow into juveniles when there are capelin larvae present. However, there is
361	no known evidence of this occurring during the stages considered here. It is important to
362	note that the presence of "food" alone (C. finmarchicus) did not explain haddock
363	recruitment, which indicates that haddock larvae may not only feed on C. finmarchicus:
364	see the case for the North Sea and the Irish Sea (Economou 1991, Rowlands et al. 2008,
365	respectively) as well as in the Barents Sea (Sysoeva & Bazlova 1967).
366	
367	The recruitment of Barents Sea capelin is positively impacted by the STO of their larvae
368	with C. finmarchicus (positive relationship) and with herring larvae (negative relationship,
369	Figure 3), possibly because these two species may be competing for <i>C. finmarchicus</i> . The

370 highest percentages explaining fish recruitment in our study are found for this species,

371 where we explained up to \sim 73 % of capelin recruitment at age 1 with the STO's at the

372 larval stages. However, it is important to note that the recruitment timeseries of capelin is373 shorter than that of the other species.

374

The recruitment of Northeast Arctic haddock is also impacted by increasing temperatures
(Bogstad et al. 2013, Landa et al. 2014). Stige et al. (2019) suggested that it is the mean
body size of larval and/or juvenile haddock that is associated with high survival during the

378 first winter and with strong recruitment three years later. Their results support the 379 hypothesis that, by having a larger mean body size at age 0, these fish grow more rapidly 380 out of the size range most susceptible to predation by, for instance, adult cod, or by 381 adjusting their winter energy stores. However, these authors acknowledge that it is important to assess the impact of environmental factors on size and abundance during the 382 383 first growing season. High temperature is linked to, for instance, a high survival of eggs, 384 larvae and early juveniles (Stige et al. 2019), and because egg mortality has been found to 385 be greater for cod than for haddock (Langangen et al. 2013), higher temperatures paired 386 with low egg mortality would thus be expected to lead to higher recruitment. In our study, 387 we do not account for size, egg mortality, or predation by adult fish, which, paired with 388 strong environmental data, would perhaps improve our findings, particularly those of 389 haddock.

390

As per our results, haddock recruitment is not affected by the interactions haddock larvae experience, except for when capelin larvae are present (Figure 3). Little is known as to what extent haddock and capelin compete at these stages, but there is evidence that larval haddock compete with cod of similar sizes in Georges Bank (Kane 1984) and the Irish Sea (Rowlands et al. 2008). However, our results suggest that further studies should look at the effects of capelin, not cod, on haddock recruitment dynamics.

397

Regarding the recruitment of Barents Sea capelin, Langangen et al. (2013) did not find
evidence for the effect of larval length on abundance. Interestingly, capelin recruitment
seems to be highly affected by predation, specifically by predation by young herring
(Gjøsæter & Bogstad 1998, Hjermann et al. 2010, Gjøsæter et al. 2015), which indicates
that future studies of match-mismatch dynamics should account for the overlap dynamics

403 with predators as well. Nevertheless, even though Gjøsæter et al. (2015) acknowledged 404 that other factors may have led to the almost total recruitment failures, the authors still 405 suggest predation as the main factor for these failures. In our study, we explained ~ 73 % 406 of capelin recruitment, where strong evidence was found for the positive impact of food and negative impact of herring on capelin recruitment at age 1 (Figure 3). This means that, 407 when capelin larvae coexist in space and time with one of their main competitors, their 408 409 chances of survival to age 1 decrease. However, there is no evidence that small capelin 410 larvae are competing for *Calanus* and euphausiids (Pedersen & Fossheim 2008), thus 411 suggesting another mechanism such as competition for another food source or increased 412 predation. Nonetheless, we acknowledge that future studies should include predation by 413 older herring, cod, haddock, red king crab, diving birds, and even capelin (Gjøsæter et al. 414 2015). Again, the length of the capelin timeseries may have impacted our results and thus confirm the previously found fluctuations for capelin (Gundersen & Gjøæster 1998). 415

416

417

4.1. Room for improvement

418 Regarding the lack of evidence to explain haddock and capelin recruitment in most 419 combinations (Figure 3), the spatio-temporal overlap metric may not have been the ideal 420 approach to assess the match-mismatch dynamics with their competitors and food. There 421 are several reasons as to why. In terms of modelling, our results may have been different if 422 we had used different modelling approaches, as using stage-specific modelling (as in 423 Ferreira et al. 2020). The spatio-temporal abundance distributions of each of the species 424 derived from the models may be lacking detail and patterns that are important, thus 425 weakening the ability to discern relationships in the next step of the analysis. In the next 426 paragraphs, we discuss which biological reasons should be considered further.

428

4.1.1. Spatio-temporal coverage

429 Northeast Arctic haddock spawns pelagic eggs from March to June, peaking in late April 430 and early May (Olsen et al. 2009), whereas our study period starts on April 20 and ends on 431 July 16 (Supplementary Figure S1). Even though the dataset shows a peak in mid-May for 432 both haddock and capelin, the temporal coverage of the survey is insufficient during that 433 period (see Supplementary Figures S2 and S4), thus our analysis may be missing the main peak in haddock and capelin larvae which, in turn, affects the relationship with their 434 435 survival. Our data period (April 20 to July 16) encapsulates an apparent second peak but 436 that may not have been enough to explain more than ~ 24 % of the variability in haddock 437 recruitment. 438 The spatial distribution of the survey in which our study is based covers the main transport 439 440 paths of eggs and larvae from the spawning grounds of the Northeast Arctic cod, Northeast 441 Arctic haddock, and partly Barents Sea capelin (see, for instance, Olsen et al. 2009, 442 Bogstad et al. 2013). However, it does not cover the spawning distribution of Norwegian 443 spring-spawning herring (also evident in the lack of overlap in Supplementary Figures S5 444 and S6). It is also important to note the different lengths of the recruitment and 0-group 445 timeseries in our study. Special attention should be paid to the results due to these

- 446 differences.
- 447
- 448

4.1.2. Other prey, competitors, or predators

Northeast Arctic cod and Northeast Arctic haddock compete for the same prey species
(Durant et al. 2020) and inhabit the same area, therefore leading to a spatial-temporal
overlap between them. *C. finmarchicus* is a high energetic food and dominant species in
the area (Broms et al. 2009, Orlova et al. 2010, Dalpadado et al. 2012, Aarflot et al. 2017,



458

459 In terms of competition, other fish species compete with haddock within the NBS system 460 that our study does not account for. For instance, Norway pout and whiting larvae have 461 been observed as competitors of haddock larvae in the North Sea (Economou 1991), two fish species not covered by our dataset. In addition, adults of the Northeast Arctic cod are 462 463 also known predators of haddock and capelin early life stages (see Holt et al. 2019 but not 464 necessarily at the larval stage, Durant et al. 2023), which shows that cod adults may affect 465 the survival of haddock and capelin, even though they do not match in water layers. More recently, Yaragina et al. (2022) reported an increasing trend of gelatinous zooplankton 466 467 (possibly due to an increase in temperature) as bycatch from the same data sampling as the 468 one used in this study. The species they found are known predators of fish eggs and larvae, as well as competitors with the fish larvae for zooplankton prey. The spatial and temporal 469 470 effects of both such predators as well as competition as investigated here may contribute to 471 the spatially explicit mortality experienced by the fish larvae, which are known to play an 472 important role in survival from different spawning grounds (Langangen et al. 2016). All 473 these interactions are likely to affect the survival and recruitment of fish larvae (Akimova 474 et al. 2019), and should, therefore, be considered when assessing spatio-temporal predatorprey overlap and its effects on recruitment. 475

476

477 <u>4.1.3. Weak larvae-Recruitment link</u>

478 According to Stige et al. (2019), there is a strong link between the abundances of Northeast 479 Arctic cod larvae to age 1 to 3, whereas the same is not true for Northeast Arctic haddock 480 and capelin (see their Figure 4). These non-significant relationships for haddock and 481 capelin may be the reason why our STO at the larval stages does not explain the 482 recruitment of haddock recruitment at age 3 (Supplementary Table S2). However, as also 483 shown by Stige et al. (2019), the link between the abundances of the larvae and the 0-484 group is statistically significant for capelin (not for haddock), which may explain the much higher r^2 (between the overlap and the competitors and their food) we find for capelin than 485 486 for haddock (Supplementary Figure 2). The diet of the Northeast Arctic haddock larvae 487 remains largely understudied. Therefore, we should encourage seeking high-quality diet 488 and prey abundance data for haddock larvae if we want to understand the potential 489 competition with cod or capelin.

490 4.2. Future implications

491 When pairing the effects of food and competitors together, these two factors can explain up 492 to ~ 43 % of the recruitment variability of Northeast Arctic cod, as well as ~ 24 and ~ 73 % 493 of that of haddock and capelin, respectively. Our results suggest a strong link between cod 494 recruitment and its larval stage abundance, which is in accordance with the literature 495 (Helle 1994, Helle et al. 2000, Bogstad et al. 2015, Stige et al. 2019), and should thus be further assessed in future studies. Furthermore, in our study, we account for the effect of 496 497 STemp both on the spatio-temporal distribution of larval abundances (Eq. (1) in Figure 2), and on the interannual variability of recruitment as it may be linked to temperature trends 498 (Eq. (4) in Figure 2). Thus, future studies could benefit from using temperature in 499 500 predicting future predator-prey relationships and how these affect the future fluctuations of fish recruitment, as temperature is considered a main driver of cod recruitment for various 501 502 stocks (Planque et al. 2003, Hüssy 2011, Bogstad et al. 2013).

503

504

505 5.Conclusions

- 506 Our study sheds light on a key topic in fish ecology: how recruitment is affected by the
- 507 first weeks and months of life of fish larvae. We are able to successfully describe the
- 508 variability in the recruitment of the Northeast Arctic cod, the Northeast Arctic haddock,
- 509 and the Barents Sea capelin by assessing the overlap with their food and/or competitors at
- 510 the larval stage. Furthermore, we identify future implications as well as improvements to
- 511 this type of study: future studies should account for the spatio-temporal overlap between
- 512 larvae and their predators, as well as for environmental factors, such as temperature, affect
- 513 earlier life stages, and, subsequently, recruitment.
- 514

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683

684 Acknowledgements

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688 Tables

- **Table 1** Model equations and deviance explained (%) for each species to use in Eq. (1).
- 690 The multiple r^2 is shown in brackets. Number of data points in logarithmic scale was used
- as a weights term in all models except for herring. Species: cod, haddock (had), capelin
- 692 (cap), herring (her), and *C. finmarchicus* (zoo). x: station; t: time; st: station; Ab:
- abundance; DOY: day of year; STemp_A: sea temperature; Lon: longitude, Lat: latitude,
- 694 YEAR: year; STAGE_L: fish larval stage; N_{eggnet}: number of egg net hauls; N_{ringtrawl}: number
- 695 of ring trawl hauls; α : intercept; β_1 and β_2 : coefficients accounting for sample size; f_{1-3} :
- 696 adaptive functions; ε_{xt} normally distributed error term.

Species	Model formulation		Dev. exp. [%] (r^2)
Cod	$\begin{aligned} Ab(cod)_{xt} &= \alpha + f_1(DOY_t) + f_2(DOY_t) \cdot STemp_{Axt} \\ &+ f_3(Lon_x, Lat_x) + YEAR_t + STAGE_{Lt} \\ &+ \beta_1 \cdot ln(N_{eggnet,st} + 1) + \beta_2 \cdot ln(N_{ringtrawl,st} + 1) + \varepsilon_{xt} \end{aligned}$		43.5 (0.05)
Haddock	$\begin{aligned} Ab(had)_{xt} &= \alpha + f_1(DOY_t) + f_2(DOY_t) \cdot STemp_{Axt} \\ &+ f_3(Lon_x, Lat_x) + YEAR_t + STAGE_{Lt} \\ &+ \beta_1 \cdot ln(N_{eggnet,st} + 1) + \beta_2 \cdot ln(N_{ringtrawl,st} + 1) + \varepsilon_{xt} \end{aligned}$		25.6 (0.03)
Capelin	$ \begin{aligned} Ab(cap)_{xt} &= \alpha + f_1(DOY_t) + f_2(DOY_t) \cdot STemp_{Axt} \\ &+ f_3(Lon_x, Lat_x) + YEAR_t + STAGE_{Lt} \\ &+ \beta_1 \cdot ln(N_{eggnet,st} + 1) + \beta_2 \cdot ln(N_{ringtrawl,st} + 1) + \varepsilon_{xt} \end{aligned} $		64.3 (0.05)
Herring	$\begin{aligned} Ab(her)_{xt} &= \alpha + f_1(DOY_t) + f_2(DOY_t) \cdot STemp_{Axt} \\ &+ f_3(Lon_x, Lat_x) + YEAR_t + STAGE_{Lt} \\ &+ \beta_1 \cdot ln(N_{eggnet,st} + 1) + \beta_2 \cdot ln(N_{ringtrawl,st} + 1) + \varepsilon_{xt} \end{aligned}$		74.4 (0.05)
Zooplankton	$\begin{aligned} Ab(zoo)_{xt} &= \alpha + f_1(DOY_t) \\ &+ f_2(DOY_t) \cdot STemp_{Axt} \\ &+ f_3(Lon_x, Lat_x) + YEAR_t + \varepsilon_{xt} \end{aligned}$	Nauplii	36.7 (0.19)
		CI-III	52.5 (-0.50)
		CIV-V	47.5 (0.22)
		CVI	50.5 (0.13)

697

699 Figures



700

Figure 1 – Spatial distribution of original larval data for the four species of fish, all years
(1959-1993, Mukhina et al. 2003).



704

Figure 2 – Schematic of methodology applied within the study. In step "I: GAM

modelling", the model framework in bold represents the model for both ichthyoplankton

707 (L_{xt}) and zooplankton (Z_{xt}) , whereas the non-bold part of the equation is added to the

ichthyoplankton only (see details in Supplementary Table S1).



710

711 **Figure 3** – Multiple r^2 between recruitment and the spatio-temporal overlaps: STO_F (green), STO_C (orange), $STO_C + STO_F$ (blue), and the overall contribution of the multiple 712 regression, linear model (dark grey) as calculated in step 4 (Figure 2 and Supplementary 713 Table S1). Dashed borders paired with light grey indicate that the relationship is not 714 715 significant. Full borders with full colours indicate significant relationships. The length of 716 the bar in green (STO_F) , orange (STO_C) , and blue $(STO_C + STO_F)$ indicates the relative 717 importance of the overlap (STO) on recruitment variability. Recruitment of cod and 718 haddock is at age 3, and capelin is at age 1. The full length of the bars (colours and grey) represents the r^2 ; however, the coloured section represents the percentage of r^2 that is 719 720 explained by the overlap indices. Comp 1-3 refer to haddock, capelin, and herring for cod;

721	cod, capelin, and herring for haddock; and cod, haddock, and herring for capelin. Haddock
722	(had), capelin (cap), herring (her). Full borders paired with light grey indicate a statistically
723	significant relationship (<i>p</i> -value \leq 0.05). "*" indicates when the covariate combination
724	failed the collinearity test. "-", "-+", "+", "+-, and "++" indicate the sign of the parameter
725	estimates of the linear relationship between R and each of the STO (Eq. (4) in Figure 2,
726	Supplementary Table S1), where two signs indicate the sign for STO_C coefficient first and
727	then the one for STO_F . * denotes relationships that did not pass the for collinearity test.
728	Competitors (comp) 1 and 2, respectively: haddock and capelin for cod, cod and capelin
729	for haddock, and cod and haddock for capelin.