# Metadata of the article that will be visualized in OnlineFirst

ArticleTitle	A Complementary Stream Withdrawal	nflow Attribution Framework Coupled Climate, Vegetation and Water				
Article Sub-Title						
Article CopyRight	The Author(s), under exc (This will be the copyright	The Author(s), under exclusive licence to Springer Nature B.V. (This will be the copyright line in the final PDF)				
Journal Name	Water Resources Manage	ement				
Corresponding Author	FamilyName	Jiang				
	Particle Given Name Suffix	Shanhu				
	Division	The National Key Laboratory of Water Disaster Prevention				
	Organization	Hohai University				
	Address	Nanjing, 210098, China				
	Division	College of Hydrology and Water Resources				
	Organization	Hohai University				
	Address	Nanjing, 210098, China				
	Phone					
	гах Email	hikn216@163.com				
	URL	11110210/0/103.2011				
	ORCID					
Author	FamilyName	Zhu				
	Particle					
	Given Name	Yongwei				
	Suffix					
	Division	College of Hydrology and Water Resources				
	Organization	Hohai University				
	Address	Nanjing, 210098, China				
	Phone					
	Fax					
	Email					
	URL					
	ORCID					
Author	FamilyName	Ren				
	Particle					
	Given Name	Liliang				
	Suffix					
	Division	The National Key Laboratory of Water Disaster Prevention				
	Organization	Hohai University				
	Address	Nalijilig, 210098, Unina College of Hudrology and Water Personage				
	Organization	Conege of Hydrology and water Resources Hohai University				
	Address	Naniing, 210098, China				
	Phone	1. a.j.n.g, 210070, Onnu				
	Fax					
	Email					
	URL					

	ORCID	
Author	FamilyName Particle Given Name Suffix	Yan Denghua
	Division Organization	Department of Water Resources, China Institute of Water Resources and Hydropower Research
	Address Phone Fax Email URL ORCID	Beljing, 100038, China
Author	FamilyName Particle	Liu
	Given Name Suffix Division	Ying
	Organization Address Phone Fax Email URL ORCID	Yellow River Institute of Hydraulic Research Zhengzhou, 450003, China
Author	FamilyName Particle Given Name	Cui Hao
	Suffix Division Organization Address Phone Fax Email URL ORCID	College of Hydrology and Water Resources Hohai University Nanjing, 210098, China
Author	FamilyName Particle	Wang
	Given Name Suffix Division Organization Address Phone Fax Email URL ORCID	<b>Menghao</b> College of Hydrology and Water Resources Hohai University Nanjing, 210098, China
Author	FamilyName Particle Given Name Suffix	Xu Chong-Yu

	Division	Department of Geosciences
	Organization Address Phone Fax Email URL ORCID	University of Oslo Oslo, Norway
Schedule	Received Revised Accepted	5 May 2023 2 Aug 2023
Abstract	Accepted 2 Aug 2023 Quantifying the contributions of climate change (CC) and human activities (HA) to streamflo is significant for effective water resources management. However, numerous studies fail to di the individual impacts of various HA on streamflow. In this study, a comprehensive streamflor attribution framework that incorporates climate, vegetation, and water withdrawal (WW) was In this framework, traditional streamflow attribution methods such as statistical analysis (Dou Curve and Slope Change Ratio of Accumulative Quantity), elasticity (Budyko), and modeling (Variable Infiltration Capacity and Long Short-term Memory) are employed to separate the in meteorological factors (MF) on streamflow. Subsequently, the impacts of WW on streamflow assessed using global WW data. The Residual Analysis method is utilized to quantify the effe vegetation alteration caused by both CC (Lcc) and HA (Lha) on streamflow alteration. To der the applicability of our proposed framework, two stations, Xianyang and Huaxian, located wi Weihe River Basin in Northwest China were selected as the case study area. The results demo that compared to the baseline period (1961–1990), the average contributions of MF, Lcc, Lha to streamflow reduction during the variation periods (1991–2019) were as follows: for the Xia station, 26.0%, 13.5%, 30.9%, and 29.6% respectively; and for the Huaxian station, 28.9%, 5. 17.7%, and 47.9% respectively. Additionally, during the variation periods, the contributions of HA to vegetation variation were 30.5% and 69.5% respectively in Xianyang, and 23.7% and ' respectively in Huaxian. The framework developed herein also provides a solution for quantif	
Keywords (separated by '-')	Climate change - Vegetati	on change - Attribution analysis - Residual analysis - Water withdrawal

Footnote Information

Journal	:	SmallCondensed	11269	
---------	---	----------------	-------	--

Article No : 3582

Water Resources Management https://doi.org/10.1007/s11269-023-03582-1



# A Complementary Streamflow Attribution Framework Coupled Climate, Vegetation and Water Withdrawal

- <sup>3</sup> Shanhu Jiang<sup>1,2</sup> · Yongwei Zhu<sup>2</sup> · Liliang Ren<sup>1,2</sup> · Denghua Yan<sup>3</sup> · Ying Liu<sup>4</sup> · Hao Cui<sup>2</sup> ·
- <sup>4</sup> Menghao Wang<sup>2</sup> · Chong-Yu Xu<sup>5</sup>

<sup>5</sup> Received: 5 May 2023 / Accepted: 2 August 2023

<sup>6</sup> © The Author(s), under exclusive licence to Springer Nature B.V. 2023

## 7 Abstract

8 Ouantifying the contributions of climate change (CC) and human activities (HA) to stream-9 flow alteration is significant for effective water resources management. However, numer-10 ous studies fail to differentiate the individual impacts of various HA on streamflow. In this 11 study, a comprehensive streamflow attribution framework that incorporates climate, veg-12 etation, and water withdrawal (WW) was proposed. In this framework, traditional stream-13 flow attribution methods such as statistical analysis (Double Mass Curve and Slope Change 14 Ratio of Accumulative Quantity), elasticity (Budyko), and modeling simulation (Variable 15 Infiltration Capacity and Long Short-term Memory) are employed to separate the influ-16 ence of meteorological factors (MF) on streamflow. Subsequently, the impacts of WW on 17 streamflow are assessed using global WW data. The Residual Analysis method is utilized 18 to quantify the effects of vegetation alteration caused by both CC (Lcc) and HA (Lha) on 19 streamflow alteration. To demonstrate the applicability of our proposed framework, two 20 stations, Xianyang and Huaxian, located within the Weihe River Basin in Northwest China 21 were selected as the case study area. The results demonstrated that compared to the base-22 line period (1961–1990), the average contributions of MF, Lcc, Lha, and WW to stream-23 flow reduction during the variation periods (1991–2019) were as follows: for the Xianyang 24 station, 26.0%, 13.5%, 30.9%, and 29.6% respectively; and for the Huaxian station, 28.9%, 25 5.5%, 17.7%, and 47.9% respectively. Additionally, during the variation periods, the con-26 tributions of CC and HA to vegetation variation were 30.5% and 69.5% respectively in 27 Xianyang, and 23.7% and 76.3% respectively in Huaxian. The framework developed herein 28 also provides a solution for quantifying the indirect effects of CC on streamflow through 29 vegetation.

<sup>30</sup> Keywords Climate change · Vegetation change · Attribution analysis · Residual analysis ·

<sup>31</sup> Water withdrawal

A1 Extended author information available on the last page of the article

#### 32 1 Introduction

Streamflow plays a crucial role in the overall water resources system (Grill et al. 2019). 33 However, the streamflow of many rivers worldwide has undergone substantial changes 34 since the mid-20th century as a result of climate change (CC) and human activities (HA) 35 (Rani and Sreekesh 2019; Melo et al. 2023). On the one hand, CC, particularly varia-36 tions in precipitation patterns, directly impacts the trends of streamflow (Ahmed et al. 37 38 2022; Gholami and Sahour 2022). On the other hand, HA, including land cover changes and water withdrawals (WW), exert direct or indirect influences on streamflow (Krajew-39 ski et al. 2021; Zhu et al. 2021). Consequently, accurately quantifying and attributing the 40 contributions of climate, vegetation, and WW changes to alteration in streamflow is crucial 41 for developing effective water resources management strategies (Alehu and Bitana 2023; 42 43 Wang et al. 2023).

Currently, various approaches have been employed to differentiate the contributions of 44 CC and HA to streamflow alteration, including statistical analysis, elasticity, and modeling 45 simulation methods (Sharifi et al. 2021). Statistical analysis approaches, such as the dou-46 ble mass curve (DMC) and slope change ratio of accumulative quantity (SCRAQ), utilize 47 statistical techniques to isolate the influence of meteorological factors (MF), specifically 48 49 precipitation, on streamflow as an indicator of CC-induced streamflow alteration (Wang et al. 2012). Elasticity approaches are primarily based on Budyko's hypotheses, using sen-50 sitivity coefficients of MF to streamflow and catchment-specific parameter n to isolate the 51 52 impacts of CC and HA on streamflow (Sharifi et al. 2021). Modeling simulation methods involve hydrological models like the Variable Infiltration Capacity (VIC) model and 53 54 machine learning models like long short-term memory (LSTM) that can simulate natural streamflow (Sahour et al. 2021; Zhang et al. 2022). By comparing the simulated stream-55 flow with observed streamflow, these models can differentiate the contributions of CC and 56 HA to streamflow alteration, assuming that the difference between observed and natural 57 streamflow is attributable to HA (Jiang et al. 2019; Gholami and Khaleghi 2021). 58

59 Different methods or perspectives may exhibit disparities or contradictions in the same area (Luan et al. 2021). For instance, Swain et al. (2021), employing three complemen-60 tary approaches, discovered that the DMC and SCRAQ methods alleviate the effects of CC 61 compared to hydrological models. Sharifi et al. (2021), using nine methods, indicated that 62 non-parametric attribution methods are unsuitable for streamflow attribution in the Ghaleh-63 Shahrokh watershed when compared to Budyko and hydrological model methods. There-64 65 fore, an increasing number of researchers are adopting multiple combination approaches to isolate the contributions of CC and HA to streamflow alteration in order to mitigate 66 67 the uncertainty associated with a single method (Swain et al. 2021). However, there is a lack of research regarding the differentiation of the impacts of different HA on stream-68 flow. Currently, the Budyko method and the reduction streamflow method are utilized to 69 differentiate the effects of land cover changes and WW on streamflow alteration (Li et al. 70 2022). For example, Bao et al. (2021) employed the disparity between natural streamflow 71 72 restored by water resource evaluation and natural streamflow restored by a hydrological model to represent the impact of land cover changes on streamflow. Nevertheless, this 73 method failed to consider WW and land cover data. Therefore, a complementary stream-74 flow attribution framework coupled climate, vegetation, and WW was proposed. In this 75 framework, the impacts of MF on streamflow were separated using traditional streamflow 76 attribution methods such as statistical analysis, elasticity, and modeling simulation. Subse-77 quently, the impacts of WW on streamflow were distinguished based on global WW data. 78

Journal : SmallCondensed 11269 A	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
----------------------------------	-------------------	------------	----------------	---------------------

79 The remaining impacts were attributed to land cover changes. Finally, the effect of CC and 80 HA on streamflow through land cover was substituted with the effect of CC and HA on 81 vegetation changes using the residual analysis (RA) method. Moreover, the RA method, 82 which predicts the changing trend of multi-grid climate variables, is widely employed to 83 attribute alterations in vegetation's Normalized Difference Vegetation Index (NDVI) and 84 determine the contributions of CC and HA to vegetation changes (Zhou et al. 2022).

In this study, a complementary streamflow attribution framework that integrates cli-85 mate, vegetation, and WW was proposed, which can assess the effects of MF, vegeta-86 tion alteration caused by CC (Lcc), vegetation alteration caused by HA (Lha) and WW 87 on streamflow. The Weihe River Basin (WRB) in Northwest China, a typical area with a 88 heavy hydrological alteration, was selected as the case study area to perform the comple-89 mentary streamflow attribution framework. We aimed (1) to isolate the contributions of 90 CC and HA on streamflow and vegetation alteration, and (2) to quantify the impacts of cli-91 mate, vegetation and WW alterations on streamflow and characterize the response between 92 streamflow and vegetation. 93

### 94 **2 Material and Methods**

In this section, a comprehensive streamflow attribution framework that integrates climate, 95 vegetation, and WW was proposed (Fig. 1). The framework consists of four steps. The first 96 step involves determining baseline and variation periods using the Pettitt test (P-test) and the 97 Accumulative Anomaly Method (AAM), as previously described by Wang et al. (2012). The 98 second step focuses on separating the contributions of CC and HA to streamflow and vegeta-99 tion alterations. To achieve this, traditional approaches such as statistical analysis (DMC and 100 SCRAO), elasticity (Budyko), and modeling simulation (VIC and LSTM) are used for stream-101 flow attribution caused by MF. Additionally, the RA method is utilized for attributing changes 102 in vegetation. Third, there are three schemes for streamflow attribution that incorporate 103



Fig.1 Proposed complementary streamflow attribution framework coupled climate, vegetation and water withdrawal

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023

(1)

(3)

climate, vegetation, and WW. These schemes include: (1) coupling statistical analysis, RA, 104 and WW; (2) coupling elasticity methods, RA, and WW; and (3) coupling modeling simula-105 tion, RA, and WW. By averaging the results obtained from the three schemes, the findings 106 of this study can effectively reduce uncertainty and enhance the robustness of the analysis. 107 Finally, an improved streamflow attribution method coupled climate, vegetation and WW was 108 proposed. AO1 109

#### 2.1 Traditional Streamflow Attribution 110

#### 2.1.1 Statistical Analysis 111

The DMC and SCRAQ are two popular linear statistical analysis methods used to separate the 112 influence of precipitation on streamflow, representing the contribution of MF to streamflow 113 alterations (Wang et al. 2012 and Yang et al. 2018). 114

#### 2.1.2 Elasticity Method 115

The long-term water balance is as below (Swain et al. 2021): 116

117 118

where P, E, and Q, are precipitation, actual evapotranspiration, streamflow, and  $\Delta S$  is the 119 120 alteration in water storage which can be assumed to be zero on a multi-year scale. The aridity index ( $\varphi$ ) and evaporative index ( $F(\varphi)$ ) are respectively the ratios of the potential evapo-121 transpiration  $(E_n)$  and actual evapotranspiration (E) to precipitation as follow: 122

 $P = E + O + \Delta S$ 

- $\varphi = E_P/P$  $F(\varphi) = E/P$ 123 (2)
- 124
- 125 126

The mathematical equations based on Budyko hypotheses have been developed to account 127 for streamflow alteration: 128

129

$$Q = P - E = P - \frac{E_P P}{(P^n + E_P^n)^{1/n}}$$
(4)

130

where  $E_p$  is potential evapotranspiration, and n is the catchment-specific parameter, such as 131 soil properties, slope, and vegetation cover. The elasticity of streamflow to P and  $E_P$  can be 132 computed as (Luan et al. 2021): 133

$$\Delta Q_{CC} = \frac{\partial Q}{\partial P} \Delta P + \frac{\partial Q}{\partial E_P} \Delta E_P \tag{5}$$

$$\frac{\partial Q}{\partial P} = 1 - \frac{E}{P} \left( \frac{E_P^n}{P^n + E_P^n} \right) \tag{6}$$

137

$$\frac{\partial Q}{\partial E_P} = -\frac{E}{E_P} \left(\frac{P^n}{P^n + E_P^n}\right) \tag{7}$$

139

🖉 Springer

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023	
--------------------------------	-------------------	------------	----------------	---------------------	--

140 141

$$\Delta Q_{HA} = \Delta Q - \Delta Q_{CC} \tag{8}$$

where  $\Delta Q_{HA}$  and  $\Delta Q_{CC}$  are the streamflow alteration caused by CC and HA alteration.

#### 143 2.1.3 Modeling Simulation

The VIC model is a semi-distributed hydrological model and has been applied in dailyscale hydrological simulation. More model parameters and details can be found in Jiang et al. (2022). The LSTM is an improved recurrent neural network. The information can be stored in an additional cell state, which enables LSTM more advantage for sequential data in machine learning (Zhang et al. 2022). The Nash–Sutcliffe efficiency coefficient (NSE) is used to optimize model parameters.

$$NSE = 1 - \frac{\sum_{i=1}^{n} (Q_{sim}(i) - Q_{obs}(i))^{2}}{\sum_{i=1}^{n} (Q_{sim}(i) - \overline{Q}_{obs})^{2}}$$
(9)

151

where  $Q_{obs}(i)$ ,  $Q_{sim}(i)$ , and  $\overline{Q}_{obs}$  are the observed, simulated, and mean observed streamflow, respectively; *m* is the number of data points.

The VIC and LSTM can separate the contributions of CC and HA on streamflow through the simulated-observed comparison method which can assume that difference between observed streamflow and natural streamflow was due to HA.

157 
$$\Delta Q = \Delta Q_{HA} + \Delta Q_{CC} = \overline{Q}_{obs,var} - \overline{Q}_{obs,base}$$
(10)  
158

160

$$\Delta Q_{CC} = \overline{Q}_{sim,var} - \overline{Q}_{sim,base} \tag{11}$$

 $\Delta Q_{HA} = \Delta Q - \Delta Q_{CC} = \overline{(Q_{obs,var} - \overline{Q}_{obs,base})} - (\overline{Q}_{sim,var} - \overline{Q}_{sim,base})$ (12)

where  $\overline{Q}_{obs,var}$  and  $\overline{Q}_{obs,base}$  are the average streamflow in the variation period and the baseline period.  $Q_{sim,var}$  and  $Q_{sim,base}$  are the average simulated streamflow by VIC and LSTM in the variation period and the baseline period (Jiang et al. 2019).

### 166 2.2 Vegetation Attribution

In this study, the RA approach was employed to isolate the impacts of CC and HA on vegetation alterations. The underlying assumption is that HA's impact on vegetation can be captured by the unexplained variations in the model. To achieve this, precipitation and temperature were selected as the primary MF influencing vegetation. Previous studies have demonstrated that multiple linear regression models can effectively capture the vegetation response to MF. The method utilized in this study consists of four main steps (Zhou et al. 2022), which are as follows:

1741. A multiple linear regression model among the maximum annual NDVI, average annual<br/>precipitation (P) and average annual temperature (T) was established.176 $NDVI_{CC} = a \times T + b \times P + c$ 177(13)

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
--------------------------------	-------------------	------------	----------------	---------------------

where  $NDVI_{CC}$  represents the effect of CC on vegetation. The *a* and *b* are regression coefficients and *c* is the intercept.

180 2. The  $NDVI_{obs}$  is interannual trend rate of NDVI.

$$NDVI_{obs} = \frac{n \sum_{i=1}^{n} (i \times NDVI_i) - \sum_{i=1}^{n} i \sum_{i=1}^{n} NDVI_i}{n \sum_{i=1}^{n} i^2 - \sum_{i=1}^{n} i}$$
(14)

182

181

where the "i" is the time variable (year), equal to an integer from 1 to n and the "n" is the number of years in the research period. *NDVI*<sub>i</sub> is the maximum NDVI at *i* year.

185 3. The difference between the predicted  $(NDVI_{CC})$  and observed NDVI  $(NDVI_{obs})$  is the 186 residual, which indicates the response of vegetation to HA  $(NDVI_{HA})$ .

<sup>187</sup>  $NDVI_{HA} = NDVI_{obs} - NDVI_{CC}$  (15) <sup>188</sup> 4. The contributions of CC ( $\eta_{CC}$ ) and HA ( $\eta_{HA}$ ) to vegetation alteration can be calculated <sup>189</sup> as.

$$\eta_{CC} = NDVI_{CC}/NDVI_{obs}$$
(16)

$$\eta_{HA} = NDVI_{HA} / NDVI_{obs}$$
(17)

193

#### 194 2.3 Improved Streamflow Attribution

The traditional streamflow attribution hypothesis is that the streamflow alteration is caused byMF and HA.

197 198

$$\Delta Q = \Delta Q_{CC} + \Delta Q_{HA} = \Delta Q_{MF} + \Delta Q_{HA} \tag{31}$$

where  $\Delta Q$ ,  $\Delta Q_{MF}$ , and  $\Delta Q_{HA}$  are streamflow alteration, and streamflow alteration caused by MF and HA.

It can be further assumed that the streamflow alteration is caused by MF, land use and WW.  $\Delta Q = \Delta Q_{MF} + \Delta Q_L + \Delta Q_{WW}$ (32)

208

209

where  $\Delta Q_L$  and  $\Delta Q_{WW}$  are streamflow alteration caused by land use and WW.

Here the effect of CC and HA on streamflow through land cover was replaced by the effect of CC and HA on vegetation change. Therefore, the new streamflow attribution can be further written as:

 $\Delta Q = \Delta Q_{MF} + \Delta Q_{Lcc} + \Delta Q_{Lha} + \Delta Q_{WW}$ (33)

where  $\Delta Q_{Lcc}$  and  $\Delta Q_{Lha}$  are streamflow alteration caused by vegetation alteration caused by CC and HA.  $\Delta Q_{MF}$  and  $\Delta Q_{Lcc}$  represent the impact of CC on streamflow alteration.  $\Delta Q_{Lha}$ and  $\Delta Q_{WW}$  represent the impact of HA on streamflow alteration.

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
--------------------------------	-------------------	------------	----------------	---------------------



Fig. 2 Location of the Weihe River Basin, the hydrological and meteorological stations, and land use alteration from 1990 to 2020

Table 1	Data	used	in	this	study
---------	------	------	----	------	-------

Data	Time	source
Daily streamflow records	1961–2019	Yellow River Conservancy Commission
Daily meteorological records	1961-2019	China Meteorological Data Sharing Service System
NDVI	1982-2019	National Earth System Science Data Center
ww	1961-2019	Yan et al. (2022) and Yellow River Resource Bulletin
Land cover	1990/2000	Resources and Environmental Science and Data Center, Chinese Academy of Sciences
Global 1-km land cover classifica- tion product	2000	University of Maryland

### 213 2.4 Study Area and Data

The WRB belongs to the Loess Plateau with an area of  $10.6 \times 10^4$  km<sup>2</sup>, which is the largest tributary of the Yellow River. Two hydrologic stations (Xianyang and Huaxian) (Fig. 2), were selected as the controlling stations. Based on the observed hydro-meteorological records from 1961 to 2019, the mean annual air temperature and precipitation are 9.4 °C and 569.1 mm, respectively. Besides, HA have altered the underlying surface of the WRB by returning cropland to forestland and grassland (Luan et al. 2021). Moreover, specific data can be found in Table 1.

Article No : 3582

#### 221 3 Results

#### 222 3.1 Trend and Mutation Analysis

The results obtained from M-K and linear regression conducted in the WRB indi-223 cate that there is no significant trend in precipitation and potential evapotranspiration 224 (Fig. 3c-f). However, a significant downward trend is evident in streamflow (Fig. 3a, 225 b), while temperature and NDVI (Fig. 3g-j) show a significant upward trend (P<0.01). 226 There were distinct mutations in streamflow, temperature, and NDVI in 1990, 1993, 227 and 2000, respectively, at the Xianyang and Huaxian stations (Fig. 3k, 1). It is worth 228 mentioning that 1990 marked a prominent turning point for streamflow (Fig. 3a, b). 229 Furthermore, HA in the WRB have experienced significant increases since 1990 (Liu 230 et al. 2022). Therefore, 1990 was identified as the mutation, with the baseline period 231 from 1961 to 1990 and the variation period from 1991 to 2019. Similar research find-232 ings have been reached by Liu et al. (2022) using different analysis methods. Moreo-233 ver, within the variation period, the HA exhibits variations across different stages (Luan 234 et al. 2021). Consequently, the variation period was segmented into three stages: period 235 I (1991–2000), period II (2000–2010), and period III (2010–2019). 236



**Fig.3** The trends and mutations of streamflow (Q), precipitation (P), potential evapotranspiration (Ep), temperature (T), and NDVI in the Xianyang and Huaxian stations. (**a**–**j**: trends of Mann–Kendall (M–K) and linear regression; \* Significant trends at 1% level; **k**–**l**: mutations of Pettitt test (P-test) and Accumulative Anomaly Method (AAM))

Journal : SmallCondensed 11269 Artic	rticle No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
--------------------------------------	------------------	------------	----------------	---------------------

#### 237 3.2 Relative Contribution Based on Traditional Hydrological Methods

#### 238 3.2.1 Model Parameters

In the DMC method (Fig. 4a, b), the slopes for the Xianyang and Huaxian stations dur-239 ing the baseline period were 0.15 and 0.11, respectively, which decreased to 0.08 and 240 0.07, respectively, during the variation period. In the SCRAO method (Fig. 4c, d), the 241 slope for precipitation and streamflow at the Xianyang station was 0.61 and 0.14 during 242 the baseline period, respectively, which decreased to 0.57 and 0.08 during the variation 243 period. Similarly, at the Huaxian station, the slope for precipitation and streamflow was 244 0.58 and 0.23 during the baseline period, respectively, which decreased to 0.55 and 0.15245 during the variation period. 246

The calibration of the Budyko using a single parameter in the WRB during the baseline and variation periods showed a strong agreement between the estimated actual evapotranspiration and the values derived from the water balance equation. The NSE values exceeded 0.81, indicating that the parameters of the Budyko equation successfully captured the characteristics of the catchments. The parameter *n* for the Xianyang catchment were 2.87 and 3.64 in the baseline and variation periods, respectively, which were 3.28 and 3.63 in the Huaxian catchment (Fig. 5).

Figure 6 presents the results of the natural streamflow reconstruction using the VIC and LSTM models. In the calibration period (1961–1980), the NSE values for the Xianyang station were 0.67 (VIC) and 0.92 (LSTM). During the validation period (1981–1990), the NSE values were 0.84 (VIC) and 0.92 (LSTM). For the Huaxian station, the NSE values during the calibration period were 0.75 (VIC) and 0.97 (LSTM), while in the validation period they were 0.89 (VIC) and 0.98 (LSTM). The overall simulation results demonstrated a high level of accuracy.



Fig. 4 The parameters of statistical analysis methods including DMC (a and b) and SCRAQ (c and d)





Fig. 6 Reconstruction of natural streamflow using hydrological model (VIC) and machine learning (LSTM) in the Weihe River Basin

### 261 3.2.2 Relative Contribution

Figure 7 provides a summary of the contributions of CC and HA to streamflow alteration 262 263 by traditional hydrological methods. In the Xianyang catchment, the contribution of CC 264 to streamflow alteration varied between 22.0% and 39.0% in period I, 10.8% and 41.0% in period II, 5.1% and 40.6% in period III, and 14.0% and 40.1% in period IV. Additionally, 265 the average contributions of CC and HA to streamflow were 32.1% and 67.9% in period 266 I, 24.1% and 75.9% in period II, 18.4% and 81.6% in period III, and 26.0% and 74.0% 267 in period IV. Similarly, in the Huaxian catchment, the contribution of CC to streamflow 268 alteration ranged from 22.3% to 57.5% in period I, 15.5% to 38.7% in period II, 5.8% to 269 24.6% in period III, and 15.4% to 39.2% in period IV. The average contributions of CC and 270 HA to streamflow were 39.3% and 60.7% in period I, 25.8% and 74.2% in period II, 15.6% 271

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023	
--------------------------------	-------------------	------------	----------------	---------------------	--



Fig. 7 Summary of the climatic and anthropogenic contributions to streamflow alteration based on traditional hydrological attribution methods in the Weihe River Basin

and 84.4% in period III, and 28.9% and 71.1% in period IV. Furthermore, the impact of CC on streamflow alteration decreased from period I to period III.

### 274 3.3 Relative Contribution Based on the RA Method

Figure 8 illustrates the characterization of CC and HA contributions to NDVI alteration 275 using the RA method across multiple periods. During the baseline period, the CC and HA 276 contributions to NDVI variation in the Xianyang catchment were 38.8% and 61.2%, respec-277 tively, while in the Huaxian catchment, which were 38.6% and 61.4% respectively. In the 278 variation period (IV), the CC and HA contributions to NDVI variation in the Xianyang 279 catchment were 30.5% and 69.5% respectively, whereas in the Huaxian catchment, which 280 were 23.7% and 76.3% respectively. Compared to the baseline period, the HA contributions 281 were significantly amplified during the variation period. Regions where HA accounted for 282 283 75% to 100% of NDVI alteration constituted 69% and 74% of the Xianyang and Huaxian catchments respectively, representing the highest proportions. The contribution of HA to 284 NDVI alteration in the Xianyang and Huaxian catchments from 2001 to 2010 was 80.8% 285 and 86.3% respectively, primarily attributed to the implementation of the Grain to Green 286 Program in 1999 (Luan et al. 2021). 287

### 288 3.4 Relative Contribution Coupled Climate, Vegetation and WW

In the Xianyang catchment, compared to the baseline period, the contributions of MF, Lcc,
Lha, and WW to streamflow reduction during the variation periods were 26.0%, 13.5%,
30.9%, and 29.6%, respectively. in the Huaxian catchment, compared to the baseline

Journal : SmallCondensed 11269	Article No: 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
--------------------------------	------------------	------------	----------------	---------------------

S. Jiang et al.



**Fig.8** Quantifying climatic and anthropogenic contributions to NDVI alteration using residual analysis (RA) method over multiple periods in the Weihe River Basin. (The outer and inner circle represents the contributions of the proportion of climatic regions and HA regions and the histogram represents the climatic and anthropogenic contributions to NDVI alteration)

period, the contributions of MF, Lcc, Lha, and WW to streamflow reduction during the variation periods were 28.9%, 5.5%, 17.7%, and 47.9%, respectively (Fig. 9a, b). These findings indicate that the impact of WW on streamflow reduction is more significant in the Huaxian catchment compared to the Xianyang catchment. This is primarily due to the concentration of population and agricultural areas in the lower reaches of the Huaxian catchment (Yan et al. 2022).

Additionally, the average contributions of MF, Lcc, Lha, and WW to streamflow reduction varied across different periods within the variation period (Fig. 9c–j). Specifically, in the Xianyang catchment, the contribution of MF to streamflow reduction decreased from

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
--------------------------------	-------------------	------------	----------------	---------------------



**Fig. 9** Relative contribution coupled climate, vegetation and water withdrawal in the Weihe River Basin. The **a-b**: contribution of meteorological factors (MF), vegetation alteration due to climate change (Lcc), vegetation alteration due to human activities (Lha), and water withdrawal (WW) to streamflow reduction (average of three schemes). The **c-d**, **e-f**, **g-h**, and **i-j** are the variation in contributions of MF, Lcc, Lha, and WW to streamflow reduction in different periods

32.1% in period I to 18.4% in period III. The contribution of Lcc to streamflow reduction 301 decreased from 13.9% in period I to 8.7% in period III. The contribution of Lha to stream-302 flow reduction decreased from 38.7% in period I to 14.6% in period III. The contribution 303 of WW to streamflow reduction increased from 15.4% in period I to 58.3% in period III. 304 In the Huaxian catchment, the contribution of MF to streamflow reduction decreased from 305 39.3% in period I to 15.6% in period III. The contribution of Lcc to streamflow reduction 306 decreased from 14.0% in period I to 3.6% in period III. The contribution of Lha to stream-307 flow reduction decreased from 22.3% in period I to 7.0% in period III. The contribution 308 of WW to streamflow reduction increased from 24.5% in period I to 73.8% in period III. 309

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023

There was a significant increase in Lha from period I to period II in the WRB, indicating that the vegetation greening resulting from the Grain for Green Program played a positive role in streamflow reduction (Luan et al. 2021). Moreover, the decrease in Lha from period II to period III in the WRB was primarily caused by a noticeable increase in WW.

#### 314 4 Discussion

The traditional streamflow attribution methods are widely used for quantifying CC and 315 HA contributions to streamflow alteration (Swain et al. 2021). However, these traditional 316 approaches tend to underestimate the effects of CC and are unable to isolate the individual 317 impacts of multiple HAs on streamflow (Li et al. 2022). In this study, a streamflow attribu-318 tion framework that serves as an effective tool for evaluating the impacts of MF, Lcc, Lha, 319 and WW on streamflow alterations was proposed. On one hand, in comparison to previous 320 findings regarding the impact of CC on streamflow (Fan et al. 2017), our research cor-321 rects the underestimated influence of CC and quantifies the impact of vegetation and WW 322 changes on streamflow. On the other hand, distinct from the Budyko and reduction runoff 323 methods employed to differentiate the effects of land cover and WW on streamflow, our 324 study utilizes the ratio of CC and HA to vegetation change in order to quantify the impacts 325 of CC and HA on streamflow through land cover. This simplification of the method ena-326 bles a more straightforward distinction between the influences of land cover and WW on 327 streamflow (Li et al. 2022). 328

Catchment hydrological processes involve intricate interactions among climate, vegeta-329 tion, and WW (Luo et al. 2020). In recent years, these factors have exhibited heightened 330 temporal variability in response to a changing environment (Ahmed et al. 2022; Gholami 331 et al. 2023). Consequently, the challenge lies in effectively disentangling the impacts of 332 vegetation and WW changes on streamflow (Melo et al. 2023). In this study, firstly, we 333 separate the impacts of MF on streamflow, followed by the separation of the effects of 334 WW on streamflow utilizing global WW data. The remaining impacts are then attributed 335 336 to land cover change. The contribution of CC and HA to streamflow through land cover is substituted by the proportion of CC and HA on vegetation change. Our findings reveal 337 that the HA-induced greening of vegetation in the WRB during period III had a signifi-338 cant influence on streamflow generation (Fig. 9g, h). Moreover, the impact of vegetation 339 on streamflow was found to be less pronounced compared to the effects of CC and direct 340 HA (Fig. 9). These research conclusions are consistent with the findings of Jin and Duan 341 (2019). The methodology developed in this study provides a solution for quantifying the 342 indirect effects of CC on streamflow via vegetation, serving as a relatively simple scientific 343 tool for attributing streamflow alterations resulting from climate, vegetation, and WW. 344

Finally, it is important to acknowledge certain limitations of the streamflow attribution 345 framework proposed in this study. Specifically, the framework only takes into account two 346 human activities, vegetation and WW. When applying the streamflow attribution frame-347 work to other regions, additional factors such as damming and irrigation should be consid-348 ered (Swain et al. 2021; Wang et al. 2022). Future research endeavors could aim to develop 349 schemes that optimize the attribution of streamflow alterations caused by land cover, 350 351 and subsequently integrate them into the streamflow attribution framework. This would enhance the robustness and applicability of the framework in capturing a more comprehen-352 sive understanding of the complex interactions between human activities and streamflow. 353

Journal : SmallCondensed 11269 Article No : 3	582 Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
---	----------------	----------------	---------------------

## 354 5 Conclusions

In this study, a complementary streamflow attribution framework coupled climate, vegeta-355 tion and WW was proposed. Compared with streamflow attribution methods, our approach 356 accounts for the impacts of both vegetation and WW changes on streamflow. When com-357 pared to similar approaches, we streamline the simulation of WW by utilizing global WW 358 data, allowing us to quantify the effects of CC and HA on streamflow through land cover. 359 360 The WRB in Northwest China was selected as the case study area to perform the proposed framework. The results demonstrate that, in comparison to the baseline period, the aver-361 age contributions of MF, Lcc, Lha, and WW to streamflow reduction during the variation 362 periods were 28.9%, 5.5%, 17.7%, and 47.9% respectively in the WRB. This methodology 363 provides a relatively straightforward scientific tool for attributing streamflow alterations 364 resulting from MF, Lcc, Lha, and WW in various real-world case studies. Furthermore, the 365 impact of WW on streamflow can be further subdivided based on the proportion of water 366 used for industrial, agricultural, and domestic. However, vegetation change alone cannot 367 fully replace the consideration of land cover in streamflow attribution. Future research 368 efforts may focus on developing schemes that optimize the attribution of streamflow altera-369 tions caused by land cover, which can then be incorporated into the streamflow attribution 370 framework. 371

372

Author Contribution Conceptualization: Shanhu Jiang; Yongwei Zhu; Methodology: Denghua Yan; Hao
Cui, Ying Liu, and Menghao Wang; Funding acquisition: Shanhu Jiang, Liliang Ren, and Chong-Yu Xu.

Funding This work was financially supported by the National Natural Science Foundation of China
 (U2243203, 51979069); the Fundamental Research Funds for the Central Universities (B200204029); the
 National Natural Science Foundation of Jiangsu Province, China (BK20211202); and the Research Council

378 of Norway (FRINATEK Project 274310).

379 Data Availability Data will be made available on request.

### 380 **Declarations**

- 381 Ethical Approval Not applicable.
- 382 Consent to Participate Not applicable.
- 383 Consent to Publication Not applicable.
- 384 Competing Interests The authors declare that they have no competing interests.

# 385 References

- Ahmed N, Wang G, Booij MJ et al (2022) Separation of the impact of landuse/landcover change and climate
   change on runoff in the upstream area of the Yangtze River, China. Water Resour Manag 36:181–201
- Alehu BA, Bitana SG (2023) Assessment of climate change impact on water balance of Lake Hawassa Catch ment. Environ Process 10:14
- Bao ZX, Zhang JY, Yan XL et al (2021) Quantitative assessment of the attribution of runoff change caused by
   four factors in the Haihe River basin. Adv Water Sci 32:171–181
- Fan JJ, Huang Q, Liu DF (2017) Identification of impacts of climate change and direct human activities on
   streamflow in Weihe River Basin in Northwest China. Int J Agric Biol Eng 10:119–129
- 394 Grill G, Lehner B, Thieme M et al (2019) Mapping the world's free-flowing rivers. Nature 569:215–221
- Gholami V, Khaleghi MR (2021) A simulation of the rainfall-runoff process using artificial neural network and
   HEC-HMS model in forest lands. J For Sci 67:165–174

Journal : SmallCondensed	11269
--------------------------	-------

397	Gholami V, Sahour H (2022) Simulation of rainfall-runoff process using an artificial neural network (ANN) and
398	field plots data. Theor Appl Climatol 147:87–98
399	Gholami V, Sahour H, Khaleghi MR et al (2023) Evaluating the effects of vegetation and land management on
400	runoff control using field plots and machine learning models. Environ Sci Pollut Res 30:31202–31217
401	Jiang SH, Wang MH, Ren LL et al (2019) A framework for quantifying the impacts of climate change and
402	human activities on hydrological drought in a semiarid basin of Northern China. Hydrol Process
403	33:1075–1088
404	Jiang SH, Wang MH, Ren LL et al (2022) An integrated approach for identification and quantification of eco-
405	logical drought in rivers from an ecological streamflow perspective. Ecol Indic 143:109410
406	Jin L, Duan KQ (2019) What is the main driving force of hydrological cycle variations in the semiarid and
407	semi-humid Weihe River Basin, China? Sci Total Environ 684:254–264
408	Krajewski A, Sikorska-Senoner AE, Hejduk L et al (2021) An attempt to decompose the impact of land use and
409	climate change on annual runoff in a small agricultural catchment. Water Resour Manag 35:881–896
410	Li ZH, Wang YM, Zhang HB et al (2022) Runoff response to changing environment in Loess Plateau, China:
411	Implications of the influence of climate, land use/land cover, and water withdrawal changes. J Hydrol
412	613:128458
413	Liu Q, Li S, Zhou GF et al (2022) Attribution of nonstationary changes in the annual streamflow of the Weihe
414	River using the de-nonstationarity method. Hydrol Res 53:407–418
415	Luo YY, Yang YT, Yang DW et al (2020) Quantifying the impact of vegetation ch anges on global terrestrial
416	streamflow using the Budyko framework. J Hydrol 590:125389
417	Luan JK, Zhang YQ, Ma N et al (2021) Evaluating the uncertainty of eight approaches for separating the
418	impacts of climate change and human activities on streamflow. J Hydrol 601:126605
419	Melo LS, Costa VAF, Fernandes WS (2023) Assessing the anthropogenic and climatic components in runoff
420	changes of the São Francisco River Catchment. Water Resour Manag 37:3615–3629
421	Rani S, Sreekesh S (2019) Evaluating the responses of streamflow under future climate change scenarios in a
422	Western Indian Himalaya Watershed. Environ Process 6:155–174
423	Sharifi A, Mirabbasi R, Nasr EMA et al (2021) Quantifying the impacts of anthropogenic changes and climate
424	variability on streamflow changes in central plateau of Iran using nine methods. J Hydrol 603:127045
425	Swain SS, Mishra A, Chatterjee C et al (2021) Climate-changed versus land-use altered streamflow: A rela-
426	tive contribution assessment using three complementary approaches at a decadal time-spell. J Hydrol
427	596:126064
428	Sahour H, Gholami V, Torkaman J et al (2021) Random forest and extreme gradient boosting algorithms for
429	streamflow modeling using vessel features and tree-rings. Environ Earth Sci 80:747
430	Wang SJ, Yan YX, Yan M et al (2012) Quantitative estimation of the impact of precipitation and human activi-
431	ties on streamflow change of the Huangfuchuan River Basin. J Geogr Sci 22:906–918
432	Wang MH, Jiang SH, Ren LL et al (2022) The development of a nonstationary standardised streamflow index
433	using climate and reservoir indices as covariates. Water Resour Manag 36:1377–1392
434	Wang M, Zhang Y, Lu Y et al (2023) Attribution analysis of streamflow changes based on large-scale hydro-
435	logical modeling with uncertainties. Water Resour Manag 37:713–730
436	Yan DH, Zhang X, Qin TL et al (2022) A data set of distributed global population and water withdrawal from
437	1960 to 2020. Sci Data 9:640
438	Yang XN, Sun WY, Li PF et al (2018) Reduced sediment transport in the Chinese Loess Plateau due to climate
439	change and human activities. Sci Total Environ 642:591–600
440	Zhang LQ, Liu Y, Ren LL et al (2022) Analysis of flash droughts in China using machine learning. Hydrol
441	Earth Syst Sci 26:3241–3261
442	$\Delta nou \ \Delta H$ , Jin JX, Yong B et al (2022) Quantifying the influences of climate change and human activities on the
443	grassland in the Southwest Transboundary Basin. China J Environ Manag 319:115612
444	Zhu YW, Wang HX, Guo WX (2021) The impacts of water level fluctuations of East Dongting Lake on habitat
445	sunability of migratory birds. Ecol Indic 132:1082//

- **Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.
- 448 Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a 449 publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript 450 version of this article is solely governed by the terms of such publishing agreement and applicable law.

Journal : SmallCondensed 11269	Article No : 3582	Pages : 17	MS Code : 3582	Dispatch : 7-8-2023
--------------------------------	-------------------	------------	----------------	---------------------

## Authors and Affiliations

Shanhu Jiang  $^{1,2}\cdot$  Yongwei Zhu $^2\cdot$ Liliang Ren $^{1,2}\cdot$ Denghua Yan $^3\cdot$ Ying Liu $^4\cdot$ Hao Cui $^2\cdot$ Menghao Wang $^2\cdot$ Chong-Yu Xu $^5$ 

Shanhu Jiang hik0216@163.com

- <sup>1</sup> The National Key Laboratory of Water Disaster Prevention, Hohai University, Nanjing 210098, China
- <sup>2</sup> College of Hydrology and Water Resources, Hohai University, Nanjing 210098, China
- <sup>3</sup> Department of Water Resources, China Institute of Water Resources and Hydropower Research, Beijing 100038, China
- <sup>4</sup> Yellow River Institute of Hydraulic Research, Zhengzhou 450003, China
- <sup>5</sup> Department of Geosciences, University of Oslo, Oslo, Norway

ore

Journal:	11269
Article:	3582

# Author Query Form

# Please ensure you fill out your response to the queries raised below and return this form along with your corrections

Dear Author

During the process of typesetting your article, the following queries have arisen. Please check your typeset proof carefully against the queries listed below and mark the necessary changes either directly on the proof/online grid or in the 'Author's response' area provided below

Query	Details Required	Author's Response
AQ1	Please check if all figure captions were presented/captured correctly.	
AQ2	Please confirm if the captured list here is appropriate. Otherwise, please amend.	