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*CORRESPONDENCE Thea Josefine Ellevold Matheajel@math.uio.no

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Tracer particle motion driven by vortex formation in the bottom boundary layer underneath internal solitary waves

Thea Josefine Ellevold*, John Grue and Joakim Soløy Sletten

Department of Mathematics, University of Oslo, Oslo, Norway

Internal solitary waves (ISWs) of large amplitude moving in the coastal ocean induce sizeable horizontal velocities above the sea bed. In turn, these give rise to instability and vortex formation in the bottom boundary layer (BBL), and sediment resuspension and concentration maintenance in the water column. We present two-dimensional laminar simulations in a numerical tank suitable for internal wave motion, including the processes of the BBL. The combined wave and vorticity field encounters a cloud of tracer particles near the bottom. The tracer particles are moved vertically because of the vorticity field during a first encounter. The reflected wave intercepts a second time with the tracer particles, which are then moved further vertically. Numerical experiments with a kinematic viscosity of 1/100 cm² s⁻¹ or 1/1000 cm² s⁻¹ are used to manipulate the scale of the Reynolds number at a moderate and great laboratory scale. The final vertical position of the tracer particles is found below a vertical level of approximately 0.23 times the water depth (H) after the second passage. The result is independent of the scale. This vertical position matches available field measurements of a summer benthic nepheloid layer reaching a height of 0.19H. The laminar model predictions compare very well to the ISW-driven vortex formation measured in a three-dimensional laboratory wave tank. Convergence of the calculated vortex formation is documented.

KEYWORDS

internal waves, vortex formation, Lagrangian tracer particle trajectories, probability distribution, upscaling to field dimension

1 Introduction

Internal solitary waves (ISWs) are commonly observed in the coastal ocean and are generally driven by the wind or the tide (Helfrich and Melville, 2006). The ISWs are driven by the acceleration of gravity ($g = 9.81 \text{ m s}^{-2}$) and may move along the pycnocline of the ocean located at a middle depth *d*, which is small compared to the local water depth *H*, implying the dimensionless ratio d/H. The density jump across the pycnocline ($\Delta\rho$) relative to the density of the water below the pycnocline (ρ_3) is typically small, with a dimensionless value of $\Delta\rho$

 $|\rho_3 \ll 1$. In practice, $\Delta \rho / \rho_3$ is 1/1000. The wave motion and the velocity field are functions of the wave amplitude *a*, obtaining another dimensionless variable a/H. The wave-driven velocities scale according to a reference velocity c_0 . This is proportional to $\sqrt{dg\Delta\rho/\rho_3}$ times a function of d/H. As regards the bottom boundary layer effect, it is commonly characterized by the Reynolds number based on the total water depth, the wave speed c_0 , and the kinematic viscosity ν , obtaining $Re_w = c_0 H/\nu$.

ISWs are often highly nonlinear. The theories, like weakly nonlinear Korteweg-de Vries (KdV) -type theories, have played a primary role in explaining the essential features of the observed wave, even though those theories do not always provide accurate quantitative details. Grue et al. (1999) conducted laboratory experiments of solitary waves propagating in a two-layer fluid. They compared the weakly nonlinear KdV theory and a fully nonlinear interface model towards the experimental results. Having a small amplitude, both theory and model compared excellently. However, above a certain amplitude threshold, the weakly nonlinear theory exhibits systematic deviation from the experiments where the fully nonlinear model still compared excellently for all quantities measured.

Above the pycnocline, the velocities have a dominant horizontal component, a substantial fraction of the wave propagation velocity. Below the pycnocline, the horizontal velocity is opposite of the wave propagation and is also a substantial fraction of the wave propagation speed. The vertically integrated horizontal velocity is zero and satisfies the mass balance. There are also vertical velocities. These are proportional to the horizontal gradient of the displaced pycnocline along the wave propagation times the wave speed.

The wave-induced boundary layer underneath an ISW of depression separates in the adverse pressure gradient region behind the trough. Strong enough instability may give rise to the spontaneous onset of vortex shedding (e.g., Diamessis and Redekopp, 2006; Carr et al., 2008; Aghsaee et al., 2012; Sakai et al., 2020). The vortex structures are formed behind the wave trough, ascend vertically into the water column, and then propagate downstream in the same direction as the mother wave but with a much slower speed (Carr et al., 2008). The instabilities in the bottom boundary layer may contribute to the resuspension of particles due to, e.g., substantial variation of the shear stress (e.g., Bogucki and Redekopp, 1999; Boegman and Stastna, 2019). The ability of nonlinear internal waves to move sediments on the bottom vertically in the water column has been observed by Bogucki et al. (1997), and has been further studied model-wise by, e.g., Bogucki and Redekopp (1999), and in field observations, e.g., Bourgault et al. (2007); Quaresma et al. (2007); Zulberti et al. (2020), see also the review by Boegman and Stastna (2019).

Large eddy simulations (LES) in three dimensions (3D) of the ISW-driven separated boundary layer and its development were carried out by Sakai et al. (2020) for a Reynolds number similar to our $(Re_w = 1.6 \cdot 10^5)$. A strong wave of a/H = 0.35 was moving on a counter-current of the same magnitude as the wave propagation speed, implying that the excitation is stronger than in our cases. The LES computations were compared to two-dimensional direct numerical simulations finding that the vortex generation was essential two-dimensional down to a distance of six water depths behind the wave

trough. Further downstream, vortex breakup and degeneration into turbulent clouds, and relaxation to a spatially developing turbulent boundary layer were found to take place.

In this research, we investigate the ISW-driven vortex formation and tracer particle displacements in the bottom boundary layer. We conduct laminar two-dimensional numerical simulations of a set of laboratory experiments by Carr et al. (2008) where this kind of physical effect has been measured. Several questions are addressed, including:

- As regards the two-dimensional laminar method: How well can the model reproduce the laboratory measurements conducted in a three-dimensional (3D) wave tank? In particular, how well can the model calculations reproduce the measured vortices at the bottom behind the wave?
- 2. As regards accuracy: How close are prediction and measurement?
- 3. As regards flow and motion properties: How can the model be used to evaluate quantities which have not been measured in the laboratory?
- 4. As regards the tracer particle motion: The wave- and boundary-layer-induced tracer particle motion is of interest to study and visualize. This motion was not measured in the laboratory experiments, even though the experimental visual tracking method is based on neutrally buoyant tracer particles added to the fluid.
- 5. As regards the vertical tracer particle displacements: In the shallow ocean, the particles at the sea bed contain organic matter that is important to the marine production taking place in the euphotic zone in the upper part of the water column. By the present model calculations, we investigate how high up in the water column the tracer particles may be transported. The wave may intercept a cloud of tracer particles once and twice.
- 6. Finally, we compare the simulated results with available field observations.

In section 2, we present the numerical wave tank, wave generation, wave characteristics, and the Stokes boundary layer thickness. Further, details of the two-dimensional numerical solver are described. The grid resolution and information regarding the Lagrangian tracer particles used in this study are provided. Section 3 presents the obtained results, including answers to the research questions posed. In section 3.1, similarities between the vortex formation in simulation and experiment at $Re_w = 5.9 \cdot 10^4$ are presented. A convergence study of the calculated vortex separation distance and the vortex strength is performed. In section 3.2, we present the Lagrangian tracer particle displacements and paths. The vertical tracer particle distribution is calculated. The results are briefly compared to available field measurements in section 3.3, and conclusions are given in section 4.

2 Method

This article presents numerical simulations of non-linear internal solitary waves (ISWs) of large amplitude, as visualized in

Figure 1B, where the wave travels from left to right with a speed *c*. The wave-induced velocity field beneath the wave interacts with the bottom, initiating instabilities in the viscous bottom boundary layer (BBL) (e.g., Carr and Davies, 2006; Diamessis and Redekopp, 2006; Aghsaee et al., 2012; Sakai et al., 2020). Tracer particles are implemented close to the bottom in order to investigate their motion in the fluid induced by the wave (Boegman and Stastna, 2019).

2.1 The numerical wave tank

We are following the same setup of the wave tank and the wave generation procedure as conducted in the laboratory experiments by Carr et al. (2008). The numerical wave tank is filled with a stratified fluid. The upper layer has a depth of h_1 and a density of ρ_1 . The pycnocline has a thickness of h_2 and density $\rho(z)$, which varies as a linear function of z. The lower layer has thickness h_3 and density ρ_3 . The density is continuous throughout the vertical. The total water depth is $H = h_1 + h_2 + h_3$. Figure 1A is a sketch of the wave tank. The amplitude a is defined as the maximum excursion of the isoline separating layers two and three. The middle depth of the pycnocline is defined by $d = h_1 + h_2/2$. In the present investigation, two different stratifications are studied, where stratification one, denoted by Strat. 1, has d/H = 0.16, and stratification two, denoted by Strat. 2, has d/H = 0.21. These two stratifications correspond to two of the pycnocline depths studied by Carr et al. (2008). In present calculations, the thickness of the pycnocline is $h_2 \sim$ 0.12H - 0.14H, and the wave amplitude $a/d \sim 1.45 - 1.87$.

The physical dimensions of the 2D numerical wave tank are the same as those used in the laboratory setup by Carr et al. (2008). The

length L = 6.4 m and depth H = 0.38 m provide a non-dimensional length of L/H = 16.84.

2.2 Generation and wave characteristics

To generate ISWs in the tank (physical or numerical), the trapped volume method is applied where a large volume *V*, given by $(x_0 \times (d_0 - h_1))$ of density ρ_1 , is added behind a gate. The gate is located at a distance of $\Delta x_0 = 0.6$ m from the left tank wall. Its horizontal position defines x = 0, where the horizontal *x*-axis is along the bottom. The total volume can be modified by varying the depth d_0 , generating ISWs with different amplitudes, by releasing the volume. In the laboratory, the gate is removed by quickly lifting it upwards. In the numerical simulations, the gate is imposed beforetime zero, and after time zero, we assume it is instantaneously removed. A leading wave of mode 1 is generated in each experiment (physical or numerical).

The wave frequency is defined by $\tilde{\omega} = c_0/L_w$, where c_0 is the linear long wave speed given by the two-layer approximation

$$c_0 = \sqrt{\frac{g(h_3 + h_2/2)(h_1 + h_2/2)(\rho_3 - \rho_1)}{\rho_1(h_3 + h_2/2) + \rho_3(h_1 + h_2/2)}} \cong \sqrt{\frac{g'd(H - d)}{H}}, \quad (1)$$

where $g' = g(\rho_3 - \rho_1)/\rho_3$ and $\Delta \rho/\rho_3 \ll 1$. The wavelength of the ISW is defined by

$$L_w = \frac{1}{a} \int_{-\infty}^{\infty} \eta(x) dx,$$
 (2)

where η denotes the vertical displacement of the isoline separating layers two and three, and the amplitude *a* is defined above.



a/H = 0.30 and $Re_w = 5.9 \cdot 10^2$

The Reynolds number based on the water depth, alternatively denoted the wave Reynolds number, is a helpful quantity used by a group of researchers studying the combined wave and boundary layer effects (e.g., Diamessis and Redekopp, 2006; Carr et al., 2008; Aghsaee et al., 2012; Sakai et al., 2020). The quantity is defined by $Re_w = c_0 H/v$ where v is the kinematic viscosity of the water. In the laboratory experiments by Carr et al. (2008), the Rew was approximately 60 000. In the field scale, Re_w is 10^8 (e.g., Zulberti et al., 2020). The present calculations are carried out with Re_w between 60 000 and 600 000. The calculations at $Re_w = 60\ 000$ are carried out with $v = 1/100 \text{ cm}^2 \text{ s}^{-1}$ for fresh water at 20 °C. In the calculations at $Re_w = 600\ 000$, the v is manipulated with a value put to v = 1/1000 cm² s⁻¹. Alternatively, the water depth may be increased to $\tilde{H} = 10^{2/3} H$, $\tilde{c_0} = 10^{1/3} c_0$, and $v = 1/100 \text{ cm}^2 \text{ s}^{-1}$ producing $Re_w = 600\ 000$. Additionally, we define a boundary layer Reynolds number, $Re_{\delta} = \delta U_{\infty,0}/\nu$, where $U_{\infty,0}$ is the free stream horizontal velocity underneath the wave trough, right above the bottom, and δ is the boundary layer thickness, defined in section 2.3 below.

The wave travels along the pycnocline from left to right. The nonlinear celerity is $c = \Delta x/\Delta t$. There is no background current. A snapshot of an ISW at time $tc_0/H = 6.4$ is visualized by its nondimensional vorticity field in Figure 1B (corresponding to run 1 in Table 1). The simulation is run with a kinematic viscosity of v = 1/100 cm² s⁻¹. The wave drives the instability and vortex formation in the BBL, in addition to the shear instability in the pycnocline (e.g., Fructus et al., 2009; Lamb, 2014). As the volume is released, a local mixing of the fluid taking place across the gate position is confined to a small volume (physical or numerical). This is set into horizontal motion along the pycnocline, generating a short and slower wave of mode 2, observed at approximately nine water depths behind the main wave. Such a wave is measured by Sveen et al. (2002), their Figure 17 and more recently studied by Carr et al. (2019).

The ISW propagating at small speed *c*, proportional to $(\Delta \rho / \rho_3)^{1/2}$, implies that the effect on the free surface elevation is small. The vertical velocity is approximately zero at the free surface. The small free surface elevation may be calculated a posteriori from the Bernoulli equation giving $\eta = (1/2g)(c^2 - u^2)$, where *u* is the horizontal speed driven by the ISW at the free surface (e.g., Fructus and Grue, 2004). On the laboratory scale, the wave speed is 0.26 m s⁻¹, $u/c \ll 1$ for the waves of large amplitude (and the wavelength is approximately 1 m). This obtains a surface elevation of 0.3 cm while the internal wave amplitude is 11 cm. Corresponding estimates of ISWs in the coastal ocean are: wave speed of 0.5 m s⁻¹, a surface elevation of 1 cm, while the internal wave amplitude is typically 30 m. The internal waves are 500 m to 1000 m long.

2.3 Boundary layer thickness

The Stokes boundary layer thickness at the bottom beneath the wave phase is characterized by $\delta = \sqrt{(2\nu/\tilde{\omega})}$, where $\tilde{\omega}$ is defined in § 2.2.

2.4 Finite volume solver

We present numerical simulations of ISWs, utilizing the opensource software Basilisk (Popinet and collaborators, 2013–2023). The important part of the calculation is to resolve the wave-driven viscous boundary layer effect at the bottom of the fluid domain. Basilisk is a second-order finite volume solver, where the two-phase incompressible Navier-Stokes equations are solved and is the successor of Gerris (Popinet, 2003; Popinet, 2009). Basilisk provides ready-to-use finite volume solvers for fluid dynamics and has been widely used in calculations and simulations of several problems, i.e., tsunamies (e.g., Popinet, 2015), shallow water, wave breaking and surface flows (e.g., Popinet, 2011; Mostert and Deike, 2020; Popinet, 2020), incompressible twophase flow (e.g., López-Herrera et al., 2019), and atmospheric turbulent boundary layer (e.g., van Hooft et al., 2018).

In this two-dimensional (2D) study, the incompressible, nonhydrostatic equations in a Cartesian reference frame become,

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla)\mathbf{u} = -\frac{1}{\rho} \nabla p + v \nabla^2 \mathbf{u} + \rho \mathbf{a}, \qquad (3)$$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0, \tag{4}$$

$$\nabla \cdot \mathbf{u} = \mathbf{0},\tag{5}$$

where ρ , u = (u, w), p and v are the density, velocity vector, pressure and kinematic viscosity, respectively. The vector $\mathbf{a} = -g\mathbf{k} = g(0, -1)$, where g is the acceleration due to gravity. Further, t is time, and (x, z) are the (horizontal, vertical) coordinates. The Navier-Stokes equations determine the pressure and the velocity field driven by the wave where mass conservation is included, additionally to set the effect of viscosity in the viscous boundary layer at the bottom.

TABLE 1 Layer depths, stratification (Strat.), calculated amplitude a, numerical values for $U_{\omega 0}/c_0$, δ , Re_{δ} , Re_w , and x_p/H .

Run	h ₃ /h ₂ /h ₁ (m)	Strat.	a/H	U _{∞,0} /c ₀	$\delta \cdot$ 10 ⁻³ (m)	Re_{δ}	Rew	x _p /H
1	0.293/0.052/0.035	1	0.300	0.863	3.68	490	5.9 10 ⁴	7.42
3	0.280/0.047/0.055	2	0.300	0.833	4.44	631	6.5 10 ⁴	7.43
2	0.293/0.052/0.0235	1	0.297	0.858	1.22	1620	5.9 10 ⁵	7.42
4	0.280/0.047/0.055	2	0.301	0.835	1.46	2080	6.5 10 ⁵	7.43

Resolution N = 12, $N_+ = 14$ for Run 1 and 3, and N = 11, $N_+ = 16$ for Run 2 and 4.

The field equations are solved by means of a multilevel Poisson solver. The Bell-Colella-Glaz (Bell et al., 1989) advection scheme integrates the momentum equation. The viscous terms are treated implicitly. The solver employs a geometric volume of fluid method (VOF) to reconstruct the interfaces by the continuous change of the fluid properties; density and viscosity. The cells that include an interface are treated by introducing the volume fraction of the two fluids, f(x, z, t). The implementation of the numerical scheme is briefly described below. The time integration (supplied in Appendix A.1) adheres to the standard Basilisk setup, with a slight modification where the dynamic viscosity is harmonically averaged. For more details, see the Basilisk web page (basilisk.fr), Popinet (2003); Popinet (2009), and references therein.

2.5 The domain

By default, Basilisk defines a computational domain with sides *L*. Our numerical wave tank is defined as a part of this spatial domain, with horizontal length *L* and vertical height H (< L). At z = H (z = 0 at the tank bottom, see Figure 1A), a rigid lid is placed, implying no motion in the domain z > H. The effect of viscosity is taken into account within the fluid and at the bottom. This means that the no-slip condition is applied at the lower boundary. Free-slip conditions are used at the upper boundary, vertical end walls, and gate. The depth provides the physical length scale in the calculations. The time and velocity scales are $\sqrt{H/g}$ and \sqrt{gH} , respectively.

2.6 Discretization and grid resolution

The discretization of the computational domain utilizes a quad-tree scheme (Popinet, 2003; van Hooft et al., 2018) where the user can choose to run the scheme with either a non-adaptive mesh or an adaptive mesh, further referred to as "refine" and "adapt", respectively.

The size of a cell is characterized by its level N where it is located. The cells are square finite volume cells, providing equal subdivisions vertically and horizontally, creating $\Delta_N = \Delta_x = \Delta_z$. Hence, the grid size of the cell at a given level is $\Delta_N = L/2^N$.

The grid resolution is discussed relative to the boundary layer thickness, i.e., Δ_N/δ . A fine discretization with $\Delta_{N_+} = L/2^{N_+}$ is developed near the bottom for 0 < z < 0.015H, where the finest resolution becomes $\Delta_{N_+}/\delta = 0.022$ (run 3, Table 1). Hence, $N_+ > N$. This is to ensure grid independence of the results.

Adaptive meshing is widely used and is known to significantly reduce the computation cost. We will utilize adapting meshing when exploring convergence properties of our numerical scheme. When the mesh is adapted, the refinement criterion is based on the discretization error of the velocities u and w, and the criterion for refinement of the volume fraction f is based upon a wavelet algorithm. The threshold values are set to $\epsilon_u^{th} = \epsilon_w^{th} = 3 \times 10^{-4}$ and $\epsilon_f^{th} = 3 \times 10^{-2}$. Further details are provided in the Appendix A.2.

van Hooft et al. (2018), their Figure 8, have compared the numerical dissipation in the "refine" and "adapt" versions of Basilisk, finding that small discrepancies on the order of 5 % were present between the runs with the fixed uniform grid and the

adaptive grid, where this discrepancy decreased with increasing refinement. Numerical tests diagnosed with a lower dissipation rate were associated with lower kinetic energy, indicating that a small part of the dissipation was of numerical/non-physical origin.

The advantage of the Basilisk framework is that it includes OpenMP/MPI parallelism capability. The simulations were run in parallel using shared memory (OpenMP), and the computations were performed on the Norwegian Research and Education Cloud (NREC).

2.7 Lagrangian tracer particles

Tracking of Lagrangian tracer particles is performed using a two-stage Runge-Kutta (RK2) scheme. The seeded neutrally buoyant tracer particles are purely Lagrangian tracers, and their settling velocity, added mass, history effects, etc., are ignored (Necker et al., 2005; Stastna and Lamb, 2008).

The tracer particles are seeded close to the bottom in a twodimensional rectangular grid. More precisely, 160×160 tracer particles are equally distributed horizontally between $x_p - H < x < x_p + H$ and vertically between $z_1 < z_p < z_2$ before time zero. Table 1 provides the x_p/H values. The tracer particle positions are presented relative to the total water depth H and the boundary layer's height δ (§2.3). The vertical extent is $z_p/H \sim 0.0037 - 0.0283$.

However, the boundary layer thickness, δ , is a better vertical scale of the tracer particle vertical motion, providing vertical extension between $0.31 < z_p/\delta < 2.93$ for $Re_w = 5.9 \cdot 10^4$ and $6.5 \cdot 10^4$, and $0.96 < z_p/\delta < 8.82$ for $Re_w = 5.9 \cdot 10^5$ and $6.5 \cdot 10^5$.

The tracer particles displacement $\mathbf{r}_p = (x_p, z_p)$ and the path driven by the wave-induced instabilities are calculated by integrating:

$$\frac{dx_p}{dt} = u(x_p, z_p, t),\tag{6}$$

$$\frac{dz_p}{dt} = w(x_p, z_p, t), \tag{7}$$

obtaining

$$x_p = x_{p,0} + \int_{t_0}^t u(x_p, z_p, t) dt,$$
(8)

$$z_p = z_{p,0} + \int_{t_0}^t w(x_p, z_p, t) dt .$$
(9)

The RK2 scheme employs

$$\mathbf{r}_{p,n+1} = \mathbf{r}_{p,n} + \Delta t (b_1 k_1 + b_2 k_2), \tag{10}$$

$$k_1 = \mathbf{u}(\mathbf{r}_{p,n}, t_n),\tag{11}$$

$$k_2 = \mathbf{u}(\mathbf{r}_{p,n} + c_2 k_1, t_n + \Delta t \alpha), \tag{12}$$

where $c_2 = \alpha$, $b_1 = 1 - \frac{1}{2\alpha}$ and $b_2 = \frac{1}{2\alpha}$, and $b_1 + b_2 = 1$, $b_2c_2 = 1/2$, and $b_2\alpha = 1/2$ (Sanderse and Veldman, 2019). The tracer particles paths are visualized both in a fixed frame of reference and a frame of reference following the wave.

3 Results

3.1 Vortex formation

3.1.1 Simulation of the laboratory experiments by Carr et al.

In this section, a numerical simulation of the wave-induced instability is presented. We simulate one of the laboratory experiments by Carr et al. (2008), their experiment dated 080207, and directly compare our results. The parameters of the numerical simulation corresponding to the experiment are provided in Table 1, denoted by Run 1. In the table, a/H is the non-dimensionalized amplitude and is similar in the computation and experiment (as a/H = 0.30).

The created wave, numerically and physically, is strong enough to induce instability and vortices. Figure 2A shows the instability and vortex ejection from the boundary layer due to the wave illustrated in Figure 1B. The horizontal axis is $(x - x_{trough})/H$, where x_{trough} denotes the position of the wave trough. The instability grows exponentially between $(x - x_{trough})/H = -1.7$ and -2.5, whereafter the vortices are formed. The series of vortex rolls in the instability growth area have a shorter separation length than the vortices found further downstream. The vortex formation goes on continuously, producing the vortex wake. Specifically, we compare to the experiment in which Carr et al. (2008) presented the vertical velocity corresponding to the vortices, their Figure 13, reproduced here in Figure 2C.

The separation distance λ_{ν} between the vortices located in the vortex wake is set to be the center-to-center distance between the vortices. The computational result (Figure 2B) shows five vortices, the same number as in the experiment for the similar horizontal extension (Figure 2C). In the experiment, the separation distance between the vortices is between 0.069*H* and 0.122*H*, with an average of $\lambda_{\nu} \sim 0.103H$. The similar average distance in the computation is $\lambda_{\nu} \sim 0.103H$, which is exactly the same result. The maximum vertical extent of the vortices was 8.7% of the water depth in the laboratory and 6.6% in the simulation. The results in Figure 2 were simulated with N = 12 and $N_{+} = 14$, see section 2.6.

3.1.2 Convergence of the separation distance, vorticity, amplitude and wave speed

We now discuss research question two of the introduction: how close are prediction and measurement?

Two different numerical representations are included in Basilisk, as described in section 3.6. Calculations using both representations are presented.

The resolution level is in the range of N = 10 - 12. When running with "refine", there is no additional refinement close to the bottom. The minimum and maximum refinement levels in "adapt" are set to $N_{min} = N - 1$ and $N_{max} = N$.

Each simulation was performed ten times with the same settings and executed with and without parallelization. The average values and standard deviations of the variables: distance, vorticity, amplitude, and speed were calculated over the ten runs with the same settings. Hence, a total of 120 simulations were run.

The vortices measured in Carr et al. (2008) (Figure 2C) exhibit an individual distance that slightly varies. Figure 3 illustrates the respective computational distance, calculated over the same area, further referred to as the local area, as a function of the resolution level *N*. The distance is further explored over a broader range in the wave tank where $-13.3 < (x - x_{trough})/H < -4.3$ (results are not shown). The simulations converge for increasing resolution level. A small anomaly for N = 12 "adapt" in the result presented in Figure 3 is observed. However, if we increase the respective local area three times, its average distance reduces from 0.134*H* to 0.108*H* (marked by a green circle in the figure). When simulating with the lowest resolution, the vortex formation formed is the main difference between "refine" and "adapt". However, this discrepancy decreases with increasing resolution. For our purposes, the advantages of utilizing "adapt" instead of "refine" are minor.

An additional convergence check is conducted where the results are analyzed after a downscaling of the resolution in the postprocessing procedure. Hence, the simulations are executed with "refine" and N = 11 and 12. In the post-processing procedure, the simulations conducted with N = 11 are downscaled to level N = 10, and the simulations performed with N = 12 are downscaled to level N = 11. The results are plotted in Figures 3, 4C with black * and are marked with an asterisk in the figure legend.

The measured average amplitude *a* and wave speed *c* are visualized in Figures 4A, B, respectively. The amplitude in the simulation is ~ 3.3 % higher than the amplitude measured in the laboratory experiments by Carr et al. (2008). The average wave speed in the computations converges to 0.262 m s⁻¹, corresponding to the upper limit of the wave speed measured in the laboratory of 0.242 ± 0.022 m s⁻¹.

The vorticity in the numerical simulations is obtained by evaluating the circulation integral in each grid cell, divided by the area *A* enclosed by the integration contour obtaining $\omega(x, z) = [\oint (u, w) \cdot d\mathbf{l}/A$. Here *d*l is the vector length along the side of the grid cell. An average of the non-dimensional maximum vorticity $\omega^* = \bar{\omega}_{max}/(c_0/H)$ is calculated over the vortices located in the local area and in the global area $-13.3 < (x - x_{trough})/H < -4.3$. The results of averaging over the broader range are illustrated in Figure 4C. The respective standard deviation of ω^* is found to be 1 % (N = 10, 11), "refine" and "adapt", 2 % (N = 12), "refine", and 3 % (N = 12), "adapt".

3.1.3 Proximity between prediction and measurement

The comparison between the computations and the laboratory experiments by Carr et al. (2008), presented in § 3.1.1, is also included in Figure 3. The simulation conducted with N = 12, $N_+ = 14$ is marked in the figure by 14_+ .



This subsection illustrates that the model very well reproduces the laboratory experiment in the 3D wave tank by Carr et al. (2008). The predictions and measurements are very close. Moreover, the 2D laminar calculations illustrate that the dominant processes in the laboratory experiments are dominated by 2D processes. The processes investigated by Carr et al. (2008) are indeed dominated by two-dimensionality. This correspondence lasts up to a distance of eight water depths behind the wave trough. The computations in this section illustrate the convergence of the method where the vorticity and the distance between the vortices are evaluated. The computed and measured averaged separation distance at 7.8 ± 0.2 water depths behind the trough correspond. The vorticity strength is computed, where this motion property was not measured in the laboratory. The calculated amplitude and wave speed were both converged. These findings respond to research points 1-3 of the introduction.



FIGURE 3

Vortex separation distance (dist.) over the local area versus the resolution level *N*. The result from the simulation with N = 12, N + = 14 is marked by N = 14+. The black line corresponds to the experimental average local distance. The grey-shaded area corresponds to the measured individual separation distance in Figure 2C. Simulations without parallelization: refine \bigcirc , refine^{*}*, adapt \blacklozenge and adapt^{**} \bigcirc . Simulations with parallelization: refine \triangle and adapt \square .

3.2 Tracer particles

3.2.1 Trajectories

As seen in section 3.1.1, the wave-induced velocity field interacts with the bottom, and vortices are being ejected from the bottom boundary layer (BBL). In this section we explore how the wave-induced velocity field moves a cloud of tracer particles, initially found in the BBL, upstream of the ISW. The tracer particles are located approximately between x/H = 6.4 and 8.4 before time zero, and the simulations are conducted with wave Reynolds number in the range $Re_w \sim 5.9 \cdot 10^4 - 6.5 \cdot 10^5$ (Table 1).

In our numerical simulations, we can only generate one wave and not a train of waves as may be observed in the coastal ocean (e.g., Quaresma et al., 2007; Zulberti et al., 2020). We let the wave intercept the tracer particle cloud twice, first during the propagation along the undisturbed fluid and second as a reflected wave from the right end of the tank. When the wave becomes a reflected wave, the horizontal velocity changes the sign, while the vertical velocity maintains the sign. During wave passage one, the time runs from 0 to $tc_0/H \approx 19.6$ and 21.0 for Strat.1 and Strat.2, respectively, and is defined as the transit until the wave encounters the right end wall. Then the wave passage two stage starts and endures until $tc_0/H \approx$ 40.7 and 44.7 for Strat.1 and Strat.2, respectively.

The wave propagating from left to right induces a velocity of the lower fluid layer in the opposite direction of the wave propagation, pushing the fluid backward. The vertical velocity in the forward part of the wave is also negative, pushing the fluid downward. When the wave encounters the cloud of tracer particles, the tracer particles are first driven backward, approximately a horizontal distance of 1*H* for Strat. 1 (visualized in in Figures 5, 6) and 1.5*H* for Strat. 2 (results are not shown). The figures display the traces of 12 random tracer particles out of the 25 600 tracer particles implemented. The colors are constant according to the vertical position of tracer particles before time zero.

The trajectory of the tracer particles has the same shape as the wave displacement before the vortices intercept the tracer particles' movement. The tracer particles are then displaced vertically. The vortices intercept the cloud of the leftmost tracer particles when the wave trough is at approximately $x_{trough}/H \simeq 7.7$ when $Re_w = 5.9 \cdot 10^4$, see Figures 5A, B. The integration illustrates how the displacements and paths depend on the location of the tracer particle before time zero.

Figures 5B, D illustrate the trajectories in a reference frame that follows the wave. The time period illustrated lies between ct/H = -10 to -69. In the figures, the black vertical line indicates when the wave trough is at $x_{trough}/H = 7.6$. The solid red line is a time $tc_0/H = 6.4$ later and shows the position x_{trough}/H at this time. The dashed red line indicates x_{trough}/H when the wave begins its encounter with the wall.

The trajectories at the beginning are almost horizontal, with some small fluctuations. The vortices behind the trough intercept the tracer particles, where the tracers acquire an oscillatory behavior of a range of wavelengths. They are in the range from 0.3H (Run 4) up to 16H (Run 1). The shortest wavelengths are in the same order of magnitude as the separation distance of the vortices generated behind the trough when the instability saturates. Run 4 exhibits very short wavelengths and a wavelength of 10H.

The wave propagates to the end of the tank and returns. The wave intercepts again with the group of tracer particles, visualized in Figures 5, 6, plots C and D. During the second passage, the tracer particles are moved further upward. The vertical position, relative to the boundary layer δ , depends on the Reynolds number. In Figure 6C, the uppermost position after wave passage one is $z/\delta \approx$ 13 and $z/\delta \approx$ 55 after wave passage two.

Figures 7 and 8 show paths due to 128 tracer particles. Plots A and C display that the downstream vortices transport the tracer particles upwards and out of the boundary layer. In plots b and d, the second wave encounter and its induced instabilities move the tracer particles even higher.

3.2.2 Displacements

The terminal position of a number of 25 600 particles are then discussed (Figure 9). The 50th (median) and 90th percentile of the vertical tracer particle position are evaluated. For the 90th percentile, the vertical height increases by a factor of three between passage one and passage two. The vertical displacement of the 50th percentile is similar, although there are some large variations at the moderate Re_w (Table 2). For the same percentile, the height relative to δ increases by a factor of 2.4, approximately, when the wave Reynolds number increases by a factor of 10. The horizontal position of the tracer particle cloud is negative during the first passage and positive during the second passage due to the reflected wave. The net horizontal displacement of the tracer



particle cloud is approximately zero. The red lines in the figure indicate the tracer particles' left and right most horizontal seeded position before time zero.

The probability density function (PDF) of the tracer particles' vertical position as a function of time is illustrated in Figure 10. The black line indicates the initial uppermost vertical position of the tracer particles. The blue line indicates the tracer particle distribution after wave passage one. The red line provides the

tracer particle distribution when the wave has intercepted the cloud of tracer particles for the second time. After passage one, tracer particle distribution is below 20δ , and after passage two, below 65δ for the large $Re_w \sim 5.9 - 6.5 \cdot 10^5$. The similar numbers for the smaller $Re_w \sim 5.9 - 6.5 \cdot 10^4$ are 10δ and 25δ , respectively.

The results imply that the particles are found below a vertical level of approximately 0.23H after the second passage for both Reynolds numbers.



3.3 Comparison to field measurements

Quaresma et al. (2007) conducted a field study of internal waves propagating over the northern shelf of Portugal over a canyon head. The local water depth was measured to be ~ 80 m with a middle depth of the pycnocline of d/H = 0.19. The wave amplitudes were in the range a/H = 0.13 - 0.38. The measured local sediment concentration mainly consists of sandy sediments (~93%) of a settling velocity of 2 cm s⁻¹. The remaining sediments, silt and clay components with diameters in the range $\sim 1-20 \,\mu\text{m}$ were found to remain suspended. Their measurements showed that only the strongest waves were capable of suspending the sediments, contributing to a summer bottom nepheloid layer (BNL) of 10-15 m thickness, corresponding to 0.13-0.19H.

We note that the vertical height of the tracer particles after wave passage two was found to be approximately 0.23H in our numerical computations and was insensitive to the Re_w . This tracer particle height is in correspondence with the height of the BNL measured by Quaresma et al. (2007).



Quaresma et al. (2007) also measured a strong local sediment concentration up to a height of 0.56H below the leading wave. Our present computation does not exhibit such an effect.

Zulberti et al. (2020) conducted field observations of nonlinear internal waves over a low-gradient topography on Australia's Northwest Shelf. They observed that large-amplitude internal waves of depression greatly enhanced the sediment transport. From sediment grab samples, they deduced that the bed sediment was of typical silt. The settling velocity of silt particles of density $\rho_s = 1350 \text{ kg m}^{-3}$ and diameter of 30 μ m, may be calculated to be $U = 0.014 \text{ cm s}^{-1}$. They measured sediment resuspension to exceed 20 m (0.08*H*) beneath the leading wave of amplitude a/H = 0.3. However, they measured a density gradient at this level, limiting the advancement of bottom sediments. A direct correspondence



between the measurements and the present computations are not realistic because we have not included a weak stratification layer at the bottom. One of the factors driving the resuspension mechanism in the measurement of Zulberti et al. (2020), was a vertical pumping mechanism associated with the compression underneath the wave trough followed by a subsequent expansion of the mixing-layer at the bottom. This effect is included in our simulations, however.

Finally, the subjects discussed in these result sections 3.2 and 3.3 include the tracer particles, the vertical tracer particle displacement during the wave encounters, as well as comparison to observations



in the field, and response to the research subject 4, 5, and 6 as presented in the introduction.

4 Conclusions

By a 2D laminar method, the vortex formation and the tracer particle motion in the bottom boundary layer of the water column of a fluid layer, driven by large internal solitary waves of depression, are calculated. The motion in a numerical wave tank for internal waves is simulated. Comparison is made to a set of available laboratory observations, and a very good match between the model and the laboratory measurements is found. Convergence of the numerical calculation of the vortex formation is documented.

A cloud of tracer particles in the bottom boundary layer obtains vertical displacements because of the wave-driven vortices. The paths exhibit the following properties: when the wave approaches the tracer particle cloud, the tracer particles are first moved horizontally in the opposite direction of the wave. Behind the wave trough, the tracer particles are transported vertically in the



FIGURE 9

Snapshots of the Lagrangian tracer particle density field. The black solid line indicates the 50th percentile (median depth) of the tracer particles vertical height and the black dashed line indicates the layer containing up to 90% of all of the tracer particles. The red lines indicate the tracer particles' left and right most horizontal seeded position before time zero. (A) Rew = $5.9 \cdot 10^5$. Wave passage one, $tc_0/H = 19.6$ (B) $Re_w = 5.9 \cdot 10^5$. Wave passage two, $tc_0/H = 40.7$. (C) $Re_w = 6.5 \cdot 10^5$. Wave passage one, $tc_0/H = 44.7$.

	TABLE 2	The vertical location a	z/δ corresponding to th	e 50th and 90th percentiles of the trace	r particle density	field for wave passage one and two.
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	Passage one		Passag	ge two			
Run	50%	90%	50%	90%	δ·10 ⁻³ (m)	Reδ	Re _w
1	4.5	8.3	7.1	15.6	3.68	490	5.9 10 ⁴
3	1.4	4.7	9.2	20.4	4.44	631	6.5 10 ⁴
2	7.4	15.1	23.0	42.0	1.22	1620	5.9 10 ⁵
4	8.9	16.2	23.5	46.4	1.46	2080	6.5 10 ⁵

Boundary layer thickness, Re_{δ} , Re_{w} . Runs 1 – 4.



water column. The wave is reflected and returns to the tracer particle cloud. At the second passage, the tracer particles are moved in the opposite direction of the wave propagation. The vortices behind the trough transport the tracer particles further vertically. The tracer particles are found below a vertical level of approximately 0.23*H* after the second passage, for the Reynolds number in the range $Re_w \sim 5.9 \cdot 10^4 - 6.5 \cdot 10^5$. The net horizontal transport of the tracer particle cloud is approximately zero.

We have compared the results to available field observations by Quaresma et al. (2007), obtained at the northern shelf of Portugal, where the local depth was 80 m. The wave amplitude was in the range a/H = 0.13 - 0.38, and a summer bottom nepheloid layer was measured to be 10 - 15 m, corresponding to 0.13 - 0.19H. Our computational results are in a fair match with that observation. We note that the processes in the computations at the moderate scale and the processes at the field scale may not be directly similar, however. In another field measurement by Zulberti et al. (2020), large amplitude internal waves of depression were found to resuspend the sediments at the sea bed greatly. In their measurements, a density gradient at 20 m (0.08*H*) above the sea bottom was found to limit the vertical advancement of the bottom sediments. Direct correspondence to the present computations is not realistic.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Author contributions

TE, JG, and JS contributed to the conception and methodology of the study. TE and JS wrote the code. TE performed the processing of the data. Visualization by TE and JG. TE wrote the first draft of the manuscript. TE and JG wrote the submitted manuscript. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Appendix A: Finite Volume Solver

A.1. Time integration

The discretization in time is staggered and second-order accurate. The advection term is calculated using the Bell-Colella-Glaz scheme Bell et al., 1989. The unsplit, upwind scheme reads:

$$\rho_{n+\frac{1}{2}} \left[\frac{\mathbf{u}_{n+1} - \mathbf{u}_n}{\Delta t} + \mathbf{u}_{n+\frac{1}{2}} \cdot \nabla \mathbf{u}_{n+\frac{1}{2}} \right]$$
$$= -\nabla p_{n+\frac{1}{2}} + \nabla \cdot \left[\mu_{n+\frac{1}{2}} (\mathbb{D}_n + \mathbb{D}_{n+1}) \right] + \rho_{n+\frac{1}{2}} \mathbf{a}_{n+\frac{1}{2}}, \qquad (13)$$

$$\frac{f_{n+\frac{1}{2}} - f_{n-\frac{1}{2}}}{\Delta t} + \nabla \cdot (f_n \mathbf{u}_n) = 0, \qquad (14)$$

$$\nabla \cdot \mathbf{u}_n = \mathbf{0},\tag{15}$$

where $\mathbb{D} = (\nabla \mathbf{u} + (\nabla \mathbf{u})^T)/2)$ is the strain rate tensor, where ()^{*T*} denotes transpose. The index *n* indicates time *t_n*, and likewise for *n* +1, *n*+1/2, *n*-1, etc.

An equivalent advection equation of the volume fraction replaces the advection equation of the density. The density and viscosity are defined using the averages $\rho(\tilde{f})=\tilde{f}(\rho_1-\rho_2)+\rho_2$ and $\mu(\tilde{f})$ $=[\tilde{f}(1/\mu_1-1/\mu_2)+1/\mu_2]^{-1}$, where ρ_1 , μ_1 , and ρ_2 , μ_2 are the densities and dynamic viscosities of the upper and lower fluid layers, respectively. The field \tilde{f} is constructed by applying a smoothing spatial filter to *f*. This is accomplished by averaging the four corner values of f obtained from the cell-centered values by bilinear interpolation. The fluid properties are updated by:

$$\rho_{n+\frac{1}{2}} = f_{n+\frac{1}{2}}(\rho_1 - \rho_2) + \rho_2, \tag{16}$$

$$\mu_{n+\frac{1}{2}} = \left[\tilde{f}_{n+\frac{1}{2}} (1/\mu_1 - 1/\mu_2) + 1/\mu_2 \right]^{-1}.$$
 (17)

By using a classical time-splitting projection method Chorin, 1968, the system is further simplified:

$$\rho_{n+\frac{1}{2}} \left[\frac{\mathbf{u}_{\star} - \mathbf{u}_{n}}{\Delta t} + \mathbf{u}_{n+\frac{1}{2}} \cdot \nabla \mathbf{u}_{n+\frac{1}{2}} \right]$$
$$= \nabla \cdot \left[\mu_{n+\frac{1}{2}} (\mathbb{D}_{n} + \mathbb{D}_{\star}) \right] + \rho_{n+\frac{1}{2}} \mathbf{a}_{n+\frac{1}{2}}, \tag{18}$$

$$\frac{f_{n+\frac{1}{2}} - f_{n-\frac{1}{2}}}{\Delta t} + \nabla \cdot (f_n \mathbf{u}_n) = 0.$$
(19)

The velocity at the new time is found by combining equations 13 and 18. Hence,

$$\mathbf{u}_{n+1} = \mathbf{u}_{\star} - \frac{\Delta t \nabla p_{n+\frac{1}{2}}}{\rho_{n+\frac{1}{2}}}.$$
 (20)

The equation for the pressure is found by requiring

$$\nabla \cdot \mathbf{u}_{n+1} = 0. \tag{21}$$

This leads to a Poisson equation for the pressure

$$\nabla \cdot \left[\frac{\Delta t \nabla p_{n+\frac{1}{2}}}{\rho_{n+\frac{1}{2}}} \right] = \nabla \cdot \mathbf{u}_{\star} .$$
(22)

The time step in each iteration is controlled by the Courant-Friedrich-Levy CFL condition.

A.2. Spatial discretization

The quadtree structure can be seen as a family tree. An important parameter is the level *N* of a given cell of the tree. The *root* cell is that corresponding to N=0, from which the cells at the next level hang down. A *parent* cell at (level *N*) can have zero or four *children* cells, where the children are at level *N*+1. If the cell has no *children* it is called a *leaf* cell. The size of a cell is characterized by its level *N*, where it is located. Hence, the grid size of the cells at that level is $\Delta_N = L/2^N$. The cells are square finite volume cells, providing $\Delta_N = \Delta x = \Delta z$, where (x,z) are the horizontal and vertical coordinates.

Further, a few restrictions apply. For example, the maximum difference of the level between two neighboring *leaf* cells is one; each cell has a direct neighbor at the same level; the level increases by one for each successive generation; the grid can be refined and coarsened dynamically adapted as the simulation proceeds, where this occurs at an affordable computational cost. We have used two central representations of the numerical grid, a non-adaptive static grid mesh and an adaptive mesh.

1. "Refine":

Refine static grid refinement is referred to when the simulation is run with the same level of refinement in the mesh hierarchy.

2. "Adapt":

The adaptive mesh hierarchy enables increase/decrease of the grid resolution where necessary. Such an approach can significantly reduce the memory required to obtain a given level of accuracy. The algorithm is based on the estimation of the numerical errors in the representation of the spatially discretized fields. This analysis is used to determine which grid cells require refinement, and wherein the domain cells can be coarsened. Following van Hooft et al. (2018) and López-Herrera et al. (2019), a scalar field g_N discretized at grid level N, can be coarsened one level down utilizing a downsampling operation denoted by *restriction*, g_{N-1} =*restriction*(g_N). Next, the upsampled (or prolongated) operator, which upsamples the coarser field distribution, g_{N-1} , to the original level, $g_N^0 = prolongation(g_{N-1})$, is defined. The prolongation procedure is second-order accurate. Noting that in general $g_N \neq g_N^0$, a comparison provides an estimation of the absolute discretization error, $\zeta_N = |g_N - g_N^0|$. A particular cell i with level N in which the error is ζ_N^i , will be,

- refined if $\zeta_N^i > \zeta$,
- coarsened if $\zeta_N^i < 2\zeta/3$,
- remain unchanged otherwise,

where ζ is called the refinement criterion and is the error threshold set in the numerical scheme. The "refine" and "adapt" procedures are used in the present study. Further details of the algorithm can be found in Popinet (2003) and van Hooft et al. (2018).

Near the resolution boundaries, ghost cells are generated as virtual cells. This allows for simple Cartesian stencil operations, for the typically uneven grid at the boundary. The ghost cells have neighbours with the same refinement level *N*, whereas their values are defined by interpolating the original field values.