

THE CONNES-MARCOLLI GL_2 -SYSTEM

MASTER THESIS

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Fifteen years ago Bost and Connes constructed a C^* -dynamical system with the Galois group $G(\mathbb{Q}^{ab}/\mathbb{Q})$ as symmetry group and with phase transition related to properties of L-functions. Since then there have been numerous, and only partially successful, attempts to generalize the system to arbitrary number fields. A few years ago, in order to extend that construction to imaginary quadratic fields, Connes and Marcolli constructed a GL_2 -system, an analogue of the BC-system with \mathbb{Q}^* replaced by $GL_2(\mathbb{Q})$. They classified the KMS_β -states of the system for $\beta > 2$. Later Laca, Larsen and Neshveyev classified the KMS_β -states for all $\beta \neq 0, 1$.

1. Proper Gruppевirkning og Gruppoider C^* -Algebraer

Let G be a group and X be a set. A Group action on a set X is a homomorphism ρ from the group G to the group $\text{Homeo}(X)$ of all homeomorphisms from X to itself ($\text{Aut}(X)$). Thus to each $g \in G$ is associated a homeomorphism $\rho(g) : X \rightarrow X$, which for notational simplicity we write simply as $g : X \rightarrow X$. With this notation for the map :

$$\begin{aligned} G \times X &\rightarrow X \\ (g, x) &\mapsto gx \end{aligned}$$

with conditions :

$$\begin{aligned} (e, x) &\longmapsto ex = x, \forall x \in X \\ (g, (hx)) &= (gh)x, \forall x \in X, \forall g, h \in G \end{aligned} \tag{1}$$

are equivalent to requiring ρ to be a homomorphism.

Under these conditions, we say that X is a left G -set and we have a left group action by G on X . Similarly, one can define a right action by letting the elements of the group act on the space from the right instead.

We consider cases where the group G is countable and X is a locally compact second countable topological space.

A continuous map $f : X \rightarrow Y$ is called proper if for every compact $K \subset Y$, the space $f^{-1}(K)$ is also compact. Accordingly, an action of G on X is called proper if the map :

$$\begin{aligned} G \times X &\rightarrow X \times X \\ (g, x) &\rightarrow (gx, x) \end{aligned} \tag{2}$$

is proper. Then the space G/X , where points are identified by the equivalence relation of laying on the same G - orbit $\{Gx\}$ is Hausdorff. Assume that G is a discrete group. Consider $G \times X$. The space X , which is a G -space is called the unit space of $G \times X$. $G \times X$ has the product topology and the two maps, called the source map (s) and the range map (r) :

$$\begin{aligned} S, R &: G \times X \rightarrow X \\ s(g, x) &\longmapsto x \\ r(g, x) &\longmapsto gx \end{aligned}$$

define a law of composition : $((g, y), (h, x)) \in (G \times X)^2 \mapsto (g, y) \cdot (h, x) \in G \times X$, where :

$$(G \times X)^2 := \{((g, y), (h, x)) \in (G \times X) \times (G \times X) \mid r(h, x) = s(g, y) = y\}$$

We see that the product on $G \times X$ are defined by the formula :

$$(g, hx)(h, x) = (gh, x)$$

In this way $G \times X$ becomes a groupoid (called the transformation groupoid), since every element has an inverse :

$$(g, x)^{-1} = (g^{-1}, gx)$$

$G \times X$ has stabilizer subgroup $G_x = \{g \in G \mid gx = x\}$ If G has stabilizer subgroup equal to $\{e\}$ for every x in X is equivalent to saying that the action of G on X is free i.e. an action without fixpoints for other elements of G than the identity.

The set $C_c(G \times X)$ of all continuous functions on $G \times X$ with compact support has a structure of involutive algebra given by :

$$\begin{aligned}(f_1 \star f_2)(g, x) &= \sum_{h \in G} f_1(gh^{-1}, hx) f_2(h, x) \\ f^*(g, x) &= \overline{(f((g, x)^{-1}))} = \overline{(f(g^{-1}, gx))}\end{aligned}$$

, where $(g, x)^{-1} = (g^{-1}, gx)$. Let $C_0(X)$ be the algebra of continuous functions on X that vanish at infinity. The product in $C_0(X)$ is the usual pointwise product.

If the restriction of the action to a subgroup Γ of G is free and proper, we can introduce a new groupoid $\Gamma \backslash G \times_{\Gamma} X$ by taking the quotient of $G \times X$ by the action of $\Gamma \times \Gamma$ defined by :

$$(\gamma_1, \gamma_2)(g, x) = (\gamma_1 g \gamma_2^{-1}, \gamma_2 x)$$

The unit space of $\Gamma \backslash G \times_{\Gamma} X$ is $\Gamma \backslash X$, and the product is induced from that on $G \times X$. If the action of Γ is proper but not free, the quotient space $\Gamma \backslash G \times_{\Gamma} X$ is no longer a groupoid, since the composition of classes using representatives will in general depend on the choice of representatives. Nevertheless, the same formulas for convolution and involution as in the groupoid case give us a well-defined algebra. To see this, consider the space $C_c(\Gamma \backslash G \times_{\Gamma} X)$ of continuous compactly supported functions on $\Gamma \backslash G \times_{\Gamma} X$. The elements can be considered as $(\Gamma \times \Gamma)$ -INVARIANT functions on $G \times X$. The convolution of two such functions are defined accordingly :

1. (1.1)

$$(f_1 \star f_2)(g, x) = \sum_{h \in \Gamma \backslash G} f_1(gh^{-1}, hx) f_2(h, x).$$

To see that the convolution is well-defined :

Assume the support of f_i is contained in $(\Gamma \times \Gamma)(\{g_i\} \times U_i)$, where $g_i \in G$ and U_i is a compact subset of X . ($i=1,2$). Let $\{\gamma_1, \dots, \gamma_n\}$ be the set of elements $\gamma \in \Gamma$ such that $\gamma g_2 U_2 \cap U_1 \neq \emptyset$. This set is finite since the action

of Γ is assumed to be proper.

If $f_2(h, x) \neq 0$, then there exist $\gamma \in \Gamma$ such that $h\gamma^{-1} \in \Gamma g_2$ and $\gamma x \in U_2$. Since the number of γ 's such that $\gamma x \in U_2$ is finite, the above sum must be finite. If furthermore $f_1(gh^{-1}, hx) \neq 0$, then $gh^{-1} = \gamma_a g_1 \gamma_b^{-1}$ for some γ_a, γ_b

, since (gh^{-1}, hx) is

contained in the support of f_1 . We can replace h by another representative of the right coset Γh . If we replace h by $\gamma_b h$, then $gh^{-1} = \gamma_a g_1 \in \Gamma g_1$, and also $hx \in U_1$. If now $h\gamma^{-1} = \tilde{\gamma}g_2$ with $\tilde{\gamma} \in \Gamma$, we get $hx = \tilde{\gamma}g_2\gamma x \in \tilde{\gamma}g_2U_2$.

Hence $\tilde{\gamma}$ must be equal to γ_i , for some i , and therefore $g \in \Gamma g_1 h = \Gamma g_1 \gamma_i g_2 \gamma$. Thus the support of $f_1 * f_2$ is contained in $\cup_i (\Gamma \times \Gamma)(\{g_1 \gamma_i g_2\} \times U_2)$. Thus the set of representatives γg_i giving a nonzero contribution to the above sum are finite and independent of the choice of $\gamma \in \Gamma$. The support of $f_1 * f_2$ is

contained in a compact set, so $f_1 * f_2 \in C_c(\Gamma \backslash G \times_{\Gamma} X)$, and the latter space becomes a well-defined algebra. The convolution is also associative :

$$\begin{aligned} (f_1 * (f_2 * f_3))(g, x) &= \sum_{t \in \Gamma \backslash G} f_1(gt^{-1}, tx)(f_2 * f_3)(t, x) = \sum_{t, h \in \Gamma \backslash G} f_1(gt^{-1}, tx)f_2(th^{-1}, hx)f_3(h, x) \\ ((f_1 * f_2) * f_3)(g, x) &= \sum_{h \in \Gamma \backslash G} (f_1 * f_2)(gh^{-1}, hx)f_3(h, x) = \sum_{t, h \in \Gamma \backslash G} f_1(gh^{-1}t^{-1}, thx)f_2(t, hx)f_3(h, x) \end{aligned}$$

1. (1.2) Define also an *involution* on $C_c(\Gamma \backslash G \times_{\Gamma} X)$ by :

$$f^*(g, x) = f((g, x)^{-1}) = f(g^{-1}, gx)$$

If the support of f is contained in $(\Gamma \times \Gamma)(\{g_0\} \times U)$ for $g_0 \in G$ and compact $U \subset X$, then the support of f^* is contained in :

$$((\Gamma \times \Gamma)(\{g_0\} \times U))^{-1} = (\Gamma \times \Gamma)(\{g_0\} \times U)^{-1} = (\Gamma \times \Gamma)(\{g_0^{-1}\} \times g_0U),$$

which is a compact set in $(\Gamma \backslash G \times_{\Gamma} X)$ and therefore $f^* \in C_c(\Gamma \backslash G \times_{\Gamma} X)$ for every $f \in C_c(\Gamma \backslash G \times_{\Gamma} X)$.

For each $x \in X$, define a representation :

1. (1.3)

$$\begin{aligned} \pi_x &: C_c(\Gamma \backslash G \times_{\Gamma} X) \longrightarrow B(l^2(\Gamma \backslash G)) \\ \pi_x(f)\delta_{\Gamma h} &= \sum_{g \in \Gamma \backslash G} f(gh^{-1}, hx)\delta_{\Gamma g} \end{aligned}$$

Here $\delta_{\Gamma g}$ denotes the characteristic function of the coset Γg . Consider $\delta_{\Gamma g}$ as a one of the unit basis vectors in the (standard) orthonormal basis $\{\delta_{\Gamma g}\}_{g \in \Gamma \backslash G}$ for $l^2(\Gamma \backslash G)$.

Lemma 1.1 *For each $f \in C_c(\Gamma \backslash G \times_{\Gamma} X)$ the operators $\pi_x(f)$, $x \in X$, are uniformly bounded.*

Proof. For $\xi_1, \xi_2 \in l^2(\Gamma \backslash G)$ we have :

$$\begin{aligned} |\langle \pi_x(f) \cdot \xi_1, \xi_2 \rangle| &\leq \sum_{g, h \in \Gamma \backslash G} |f(gh^{-1}, hx)| \cdot |\xi_1(h)| \cdot |\xi_2(g)| \\ &\leq \left(\sum_{g, h \in \Gamma \backslash G} |f(gh^{-1}, hx)| \cdot |\xi_1(h)|^2 \right)^{\frac{1}{2}} \cdot \left(\sum_{g, h \in \Gamma \backslash G} |f(gh^{-1}, hx)| \cdot |\xi_2(g)|^2 \right)^{\frac{1}{2}}. \end{aligned}$$

(Applying Hölders inequality.)

Thus if we denote by $\|f\|_I$ the quantity :

$$\max \left\{ \sup_{x \in X, h \in G} \sum_{g \in \Gamma \backslash G} |f(gh^{-1}, hx)|, \sup_{x \in X, g \in G} \sum_{h \in \Gamma \backslash G} |f(gh^{-1}, hx)| \right\},$$

we get $\|\pi_x(f)\| \leq \|f\|_I$ for any $x \in X$, so it suffices to show that $\|f\|_I$ is finite. Replacing x by $h^{-1}x$ and g by gh in the first supremum above, we see that this supremum equals :

$$\|f\|_{I,s} := \sup_{x \in X} \sum_{g \in \Gamma \backslash G} |f(g, x)|$$

As $f^*(hg^{-1}, gx) = (f((hg^{-1}, gx)^{-1}))^* = (f(gh^{-1}, hg^{-1}gx))^* = (f(gh^{-1}, hx))^*$, we see that $f(gh^{-1}, hx) = (f^*(hg^{-1}, gx))^*$. Then the second supremum must be equal to $\|f^*\|_{I,s}$. Therefore $\|f\|_I = \max \{ \|f\|_{I,s}, \|f^*\|_{I,s} \}$. Now, the claim is that $\|f\|_{I,s}$ is finite for every $f \in C_c(\Gamma \backslash G \times_{\Gamma} X)$. If this claim is true, the Lemma is proved.

Proof of Claim :

We may assume without loss of generality that the support of f is contained in $(\Gamma \times \Gamma)(\{g_0\} \times U)$ for some $g_0 \in G$, and compact $U \subset X$. Since

the action of Γ is proper , there exists $n \in \mathbb{N}$ such that the sets $\gamma_i U$, $i = 1, \dots, n + 1$ have trivial intersection for any different $\gamma_1, \dots, \gamma_{n+1} \in \Gamma$. Now if $f(g, x) \neq 0$ for some g and x , there exists $\gamma \in \Gamma$ such that $g\gamma^{-1} \in \Gamma g_0$ and $\gamma x \in U$. Since the number of γ 's such that $\gamma x \in U$ is at most n , we see that for each $x \in X$ the sum in the definition of $\|f\|_{L^1, s}$ is finite .

To see that π_x is a representation , one has to check :

$$\begin{aligned} \iota) \quad \pi_x(f^*) &= (\pi_x(f))^* \\ \iota) \quad \pi_x(f_1 * f_2) &= \pi_x(f_1) \cdot \pi_x(f_2) \end{aligned}$$

$$\pi_x(f) \cdot \delta_{\Gamma h} = \sum_{g \in \Gamma \backslash G} f(gh^{-1}, hx) \cdot \delta_{\Gamma g}$$

Consider $f \in C_c(\Gamma \backslash G \times_{\Gamma} X)$. As $f = \sum_{s \in \Gamma \backslash G} f(s, x) \cdot \delta_{\Gamma s}$ observe that the vector $\xi = \delta_{\Gamma e}$ in $l^2(\Gamma \backslash G)$ is both cyclic and tracial for operators in $B(l^2(\Gamma \backslash G))$

$$\begin{aligned} \langle U_g \delta_{\Gamma e}, \delta_e \rangle &= 1 \text{ if } g = e, 0 \text{ else,} \\ \text{therefore, for all } g_i \in G &\text{ we have :} \\ \langle U_{g_1} U_{g_2} \delta_{\Gamma e}, \delta_{\Gamma e} \rangle &= \langle U_{g_1} U_{g_2} \delta_{\Gamma e}, \delta_{\Gamma e} \rangle \end{aligned}$$

$\iota)$

$$(\pi_x(f) \delta_{\Gamma h}, \delta_{\Gamma t}) = \sum_{g \in \Gamma \backslash G} f(gh^{-1}, hx) (\delta_{\Gamma g}, \delta_{\Gamma t}) = f(th^{-1}, hx)$$

similarly ,

$$(\delta_{\Gamma h}, \pi_x(f^*) \delta_{\Gamma t}) = f^*(ht^{-1}, tx) = f(th^{-1}, hx).$$

Hence

$$\pi_x(f)^* = \pi_x(f^*).$$

$\iota)$ Can checked similarly to associativity of the convolution. ■

But let me see this from another perspective :

For each $x \in X$, f can be thought of as a vector in $l^2(\Gamma \backslash G)$. Let U_g be the unitary operator on $l^2(\Gamma \backslash G)$ defined by $U_g \delta_{\Gamma h} = \delta_{\Gamma hg^{-1}}$. Expanding on the cyclic and tracial vector $\delta_{\Gamma e}$ gives :

$$f = \left(\sum_{g \in \Gamma \backslash G} f(g, x) U_g^* \right) \delta_{\Gamma e}.$$

For each $x \in X$, f can be thought of as a vector in $l^2(\Gamma \backslash G)$, expanding its adjoint on the cyclic and tracial vector $\delta_{\Gamma e}$ gives :

$$f^* = \left(\sum_{g \in \Gamma \backslash G} U_g(f^*(g, x)) \right) \delta_{\Gamma e} = \sum_{g \in \Gamma \backslash G} U_g(f^*(g, x)) U_g^* U_g \delta_{\Gamma e} = \sum_{g \in \Gamma \backslash G} U_g(f^*(g, x)) U_g^* \delta_{\Gamma g^{-1}}$$

Proof. Let f_1 and f_2 be two functions in $C_c(\Gamma \backslash G \times_{\Gamma} X)$. Then, for arbitrary $h \in \Gamma \backslash G$:

$$\begin{aligned} (\pi_x(f_1 * f_2)) \delta_{\Gamma h} &= \sum_{g \in \Gamma \backslash G} (f_1 * f_2)(gh^{-1}, hx) \cdot \delta_{\Gamma g} = \sum_{g \in \Gamma \backslash G} \sum_{t \in \Gamma \backslash G} f_1(gh^{-1}t^{-1}, thx) \cdot f_2(t, hx) \cdot \delta_{\Gamma g} \\ &= \sum_{g \in \Gamma \backslash G} \sum_{t \in \Gamma \backslash G} U_h f_1(gt^{-1}, thx) \cdot f_2(t, hx) \cdot \delta_{\Gamma g} = \sum_{g \in \Gamma \backslash G} \sum_{t \in \Gamma \backslash G} U_h f_1(gt^{-1}, thx) \cdot U_h^{-1} \\ &= \sum_{g \in \Gamma \backslash G} \sum_{t \in \Gamma \backslash G} U_h f_1(gt^{-1}, thx) \cdot U_h^* \cdot f_2(th^{-1}, hx) \cdot \delta_{\Gamma g} = \sum_{t \in \Gamma \backslash G} \sum_{g \in \Gamma \backslash G} U_h f_1(gt^{-1}, thx) \\ &= \sum_{t \in \Gamma \backslash G} U_h (\pi_{hx}(f_1)) U_h^* \cdot \delta_{\Gamma t} \cdot f_2(th^{-1}, hx) = (\pi_x(f_1) \cdot \pi_x(f_2)) \cdot \delta_{\Gamma h} \end{aligned}$$

so π_x is checked in this way of thinking. Hence π_x is a representation for every (fixed) $x \in X$. ■

Definition 2 We denote by $C_r^*(\Gamma \backslash G \times_{\Gamma} X)$ the completion of $C_c(\Gamma \backslash G \times_{\Gamma} X)$

in the norm defined by the representation :

$$(\oplus_{x \in X} \pi_x) : C_c(\Gamma \backslash G \times_{\Gamma} X) \rightarrow B(\oplus_{x \in X} l^2(\Gamma \backslash G))$$

, that is ,

$$\|f\| = \sup_{x \in X} \|\pi_x(f)\|$$

Remark 3 As we observed above, for every $s \in G$ and its associated unitary $U_s \in B(l^2(\Gamma \backslash G))$ such that $U_s \delta_{\Gamma h} = \delta_{\Gamma hs^{-1}}$, $f \in C_c(\Gamma \backslash G \times_{\Gamma} X)$ and π_x the representation defined above, we have

$$U_s \pi_x(f) U_s^* = \pi_{sx}(f).$$

Proof. Observe first that , for every g , s and h in $\Gamma \backslash G$, we have :

$$\begin{aligned}
U_s \pi_x(f) U_s^* \cdot \delta_{\Gamma h} &= \pi_x(f) \cdot \delta_{\Gamma h s} = U_s \cdot \sum_{g \in \Gamma \backslash G} f(g s^{-1} h^{-1}, h s x) \cdot \delta_{\Gamma g} = \sum_{g \in \Gamma \backslash G} U_s \cdot f(g s^{-1} h^{-1}, h s x) \cdot \delta_{\Gamma g} \\
\sum_{g \in \Gamma \backslash G} f(g s^{-1} h^{-1}, h s x) \cdot \delta_{\Gamma g s^{-1}} &= \sum_{l \in \Gamma \backslash G} f(l h^{-1}, h s x) \cdot \delta_{\Gamma l} \\
&\Downarrow \\
U_s \pi_x(f) U_s^* \cdot \delta_{\Gamma h} &= \sum_{g \in \Gamma \backslash G} f(g h^{-1}, h s x) \cdot \delta_{\Gamma h} = \pi_{s x}(f) \cdot \delta_{\Gamma h} .
\end{aligned}$$

Hence

$$U_s \pi_x(f) U_s^* = \pi_{s x}(f)$$

Therefore

$$\|\pi_x(f)\| = \|\pi_{s x}(f)\|$$

, and so ■

Remark 4

$$\|f\| = \sup_{x \in G \backslash X} \|\pi_x(f)\|$$

Closely related is the notion of a C^* -dynamical system (A, G, α) , where A is a C^* -algebra, G a locally compact group and α is a homomorphism from G into $Aut(A)$. A covariant representation of (A, G, α) is a pair (π, U) , where π is a C^* -representation of A on a Hilbertspace H and

$$s \longmapsto U_s$$

is a unitary representation of G on the same H such that :

$$U_s \pi(A) U_s^* = \pi(\alpha_s(A)) ,$$

for all $a \in A$, $s \in G$.

Denote by α_g the automorphism $\alpha(g)$ for g in G . The Cross Product $A \rtimes_{\alpha} G$ of a C^* -algebra A and a group G is the universal C^* -algebra generated by A and unitaries v_g , $g \in G$ such that :

- 1) $v_g a v_g^* = \alpha_g(a)$
- 2) $g \longmapsto v_g$ is a homomorphism , $g \in G$

If G is countable and discrete , the space $C_c(A, G)$ of continous compactly supported A -valued functions on G is the algebra of all finite sums :

$$f = \sum_{t \in G} A_t \cdot v_t$$

with coefficients in A .

One defines a C^* -norm by :

$$\|f\| = \sup_{\sigma} \|\sigma(f)\|$$

, as σ runs over all $*$ -representations of $C_c(A, G)$.

The supremum is always bounded by :

$$\|f\|_1 = \sum_{t \in G} \|A_t\|$$

The supremum is always taken over a nonempty family of representations because certain representations can be explicitly constructed. Let π be any $*$ -representation of A on a Hilbertspace H . Then one can always construct the representation :

$$\begin{aligned} \tilde{\pi} & : A \rtimes_{\alpha} G \rightarrow B(H \otimes l^2(G) = B(H) \bar{\otimes} B(l^2(G))) \\ \tilde{\pi}(a)(\xi \otimes \delta_g) & = \pi(\alpha_g^{-1}(a))(\xi \otimes \delta_g) \\ \tilde{\pi}(v_g)(\xi \otimes \delta_h) & = \xi \otimes \delta_{gh} , \end{aligned}$$

for $\xi \in H$ and $g, h \in G$.

Due to constuction , this representation is covariant :

$$\begin{aligned} \tilde{\pi}(v_g) \cdot \tilde{\pi}(a) \cdot (\tilde{\pi}(v_g))^*(\xi \otimes \delta_h) & = \tilde{\pi}(v_g) \cdot \tilde{\pi}(a)(\xi \otimes \delta_{g^{-1}h}) = \tilde{\pi}(v_g)(\alpha_{h^{-1}g}(a)\xi \otimes \delta_{g^{-1}h}) \\ & = \pi(\alpha_{h^{-1}g}(a))(\xi \otimes \delta_h) = \tilde{\pi}(\alpha_g(a))(\xi \otimes \delta_h) \end{aligned}$$

hence

$$\tilde{\pi}(v_g) \cdot \tilde{\pi}(a) \cdot \tilde{\pi}(v_g)^* = \tilde{\pi}(\alpha_g(A))$$

The Reduced Cross-Product , $A \rtimes_{\alpha r} G$ is defined to be : $= (A \rtimes_{\alpha} G) / Ker(\tilde{\pi})$, where π is any faithful representation of A .

The functions $f \in C_c(\Gamma \backslash G \times_{\Gamma} X)$ can be considered as $(\Gamma \times \Gamma)$ -invariant functions on $G \times X$. Define an action of G on $C_c(\Gamma \backslash G \times_{\Gamma} X)$ by :

$$\alpha_g(f) = f(h, (g^{-1}x)).$$

Define for each $g \in G$ the following unitaries v_g on $C_c(\Gamma \backslash G \times_\Gamma X)$:

$$\begin{aligned} v_g f(s, x) &= f(sg, g^{-1}x) \\ f(s, x)v_g^* &= f(s, x)v_{g^{-1}} = f(sg^{-1}, x) \end{aligned}$$

For these

$$v_g f(s, x)v_g^* = f(s, g^{-1}x) ,$$

and as we have seen , $C_0(\Gamma \backslash X)$ can be considered as a subalgebra of $C_c(\Gamma \backslash G \times_\Gamma X)$, so we have a C^* -dynamical system $(C_0(\Gamma \backslash X), G, \alpha)$.

Now , for each $x \in X$, define a map :

$$\begin{aligned} \tilde{\pi}_x &: C_c(\Gamma \backslash G \times_\Gamma X) \rtimes_\alpha G \rightarrow B(l^2(\Gamma \backslash G) \otimes l^2(G)) \cong B(l^2(\Gamma \backslash G)) \otimes B(l^2(G)) \\ \tilde{\pi}_x(f)(\delta_{\Gamma h} \otimes \delta_g) &= \pi_x(\alpha_{g^{-1}}(f))\delta_{\Gamma h} \otimes \delta_g \\ \tilde{\pi}_x(v_g)(\delta_{\Gamma l} \otimes \delta_h) &= \delta_{\Gamma l} \otimes \delta_{gh} \end{aligned}$$

By the calculation above , this representation is covariant for any Hilbertspace H to which $C_0(\Gamma \backslash X) \subset C_c(\Gamma \backslash G \times_\Gamma X)$ can be represented on, so also for $l^2(\Gamma \backslash G)$. With U_s the unitary operator defined above , observe that :

$$(U_s \pi_x(f) U_s^* \otimes 1)(\xi \otimes \delta_s) = (\pi_{sx}(f) \otimes 1)(\xi \otimes \delta_s) = (\pi_x(\alpha_s^{-1}(f)) \otimes 1)(\xi \otimes \delta_s) = \tilde{\pi}_x(f)(\xi \otimes \delta_s)$$

, for $f \in C_0(\Gamma \backslash X) \subset C_c(\Gamma \backslash G \times_\Gamma X)$ and $\xi \in l^2(\Gamma \backslash G)$. Then we have :

$$\begin{aligned} \tilde{\pi}_x(f)(\xi \otimes \delta_g) &= \pi_x(\alpha_{g^{-1}}(f))(\xi \otimes \delta_g) = (U_g \pi_x(f) U_g^*) \xi \otimes \delta_g = (U_g \otimes 1)(\pi_x(f) \otimes 1)(U_g^* \otimes 1)(\xi \otimes \delta_g) \\ \tilde{\pi}_x(v_g)(\xi \otimes \delta_h) &= (1 \otimes v_g)(\xi \otimes \delta_h) = \xi \otimes \delta_{gh} \end{aligned}$$

and we get :

$$\begin{aligned} \tilde{\pi}_x(v_g) \cdot \tilde{\pi}_x(f) \cdot (\tilde{\pi}_x(v_g))^*(\delta_{\Gamma l} \otimes \delta_h) &= \tilde{\pi}_x(v_g) \cdot \tilde{\pi}_x(f) \cdot (\tilde{\pi}_x(v_g))^*(\delta_{\Gamma l} \otimes \delta_h) \\ &= (1 \otimes v_g) \cdot \tilde{\pi}_x(f) \cdot (1 \otimes v_g^*)(\delta_{\Gamma l} \otimes \delta_h) \\ &= (1 \otimes v_g) \cdot \tilde{\pi}_x(f)(\delta_{\Gamma l} \otimes \delta_{g^{-1}h}) = (1 \otimes v_g)(U_{g^{-1}h} \otimes 1)(\pi_x(f) \otimes 1)(\delta_{\Gamma l} \otimes \delta_h) \\ &= (1 \otimes v_g) \cdot (U_{g^{-1}h} \pi_x(f) U_{h^{-1}g} \otimes 1)(\delta_{\Gamma l} \otimes \delta_{g^{-1}h}) \\ &= (\pi_x(\alpha_{h^{-1}g}(f)) \otimes 1)(\delta_{\Gamma l} \otimes \delta_h) = (\pi_x(\alpha_{h^{-1}}(\alpha_g(f))) \otimes 1)(\delta_{\Gamma l} \otimes \delta_h) \\ &= \tilde{\pi}_x(\alpha_g(f))(\delta_{\Gamma l} \otimes \delta_h) \end{aligned}$$

From this we conclude that $\tilde{\pi}_x$, for every $x \in X$, $\tilde{U}_g = (1 \otimes v_g)$ and hence also $(\bigoplus_{x \in X} \tilde{\pi}_x) := \tilde{\pi}$, \tilde{U} is a covariant representation of $(C_0(\Gamma \backslash X), G, \alpha)$. $(C_0(\Gamma \backslash X))$ can be considered as a subalgebra of $C_c(\Gamma \backslash G \times_\Gamma X)$. The embedding $: X \hookrightarrow G \times X$, $x \mapsto (e, x)$. In this way $\Gamma \backslash X$ is an open subset of $\Gamma \backslash G \times_\Gamma X$,

and then the algebra $C_0(\Gamma \backslash X)$ is a subalgebra of $C_r^*(\Gamma \backslash G \times_\Gamma X)$.) Then, by the Universal Property of the Crossed Product, there exists a representation σ of $C_0(\Gamma \backslash X) \rtimes_\alpha G$ into $C^*(\tilde{\pi}(C_0(\Gamma \backslash X)), \tilde{U}_g, g \in G)$ obtained by setting $\sigma(f) = \tilde{\pi}(f(s, x)) \cdot \tilde{U}_s$, for $f = f(s, x) \cdot \delta_{\Gamma s} = f(s, x) \cdot U_s^* \cdot \delta_{\Gamma e}$.

Observe that $\|f\| := \sup_{x \in X} \|\pi_x(f)\| = \sup_{x \in X} \|\pi_x(\alpha_g(f))\| = \sup_{x \in X} \|\pi_x(f(\cdot, g^{-1}x))\|$ by replacing x by gx , since for every $x \in X$: $\|\pi_{gx}(f)\| = \|U_g \pi_x(f) U_g^*\|$, where U_g is the unitary operator on $l^2(\Gamma \backslash G)$: $U_g \delta_{\Gamma h} = \delta_{\Gamma hg^{-1}}$. Therefore, and since $\|\pi_x(f)\| = \|\tilde{\pi}_x(f)\|$, for every $x \in X$, I conclude that the kernel of the representation $\tilde{\pi}_x$ is isomorphic to G , since $s \mapsto \alpha_s$ is a homomorphism and $\ker \tilde{\pi} = \bigcap_{x \in X} \ker \tilde{\pi}_x = \bigcap_{x \in X} G = G$.

By the universal property of $C^*(\tilde{\pi}(C_0(\Gamma \backslash X)), \tilde{U}_g, g \in G) := A$, there is a Homomorphism H from this algebra onto $C_0(\Gamma \backslash X) \rtimes_\alpha G$ taking $\tilde{\pi}(f) \in B(\oplus_{x \in X} (\mathbb{C} \delta_x \otimes l^2(\Gamma \backslash G) \otimes l^2(G)))$ to $f \in C_0(\Gamma \backslash X)$ and \tilde{U}_g to U_g^* .

(The point is that the composed map $C_c(\Gamma \backslash G \times_\Gamma X) \rightarrow C_0(\Gamma \backslash X) \rtimes_{\alpha r} G$ extends to an isomorphism: $C_r^*(\Gamma \backslash G \times_\Gamma X) \rightarrow C_0(\Gamma \backslash X) \rtimes_{\alpha r} G$. I will import a diagram above here to clarify this.)

$C_r^*(\Gamma \backslash G \times_\Gamma X)$ is the completion of $C_c(\Gamma \backslash G \times_\Gamma X)$ with respect to the norm defined by the representation $\pi = (\oplus_{x \in X} \pi_x)$, $\|f\| = \sup_{x \in X} \|\pi_x(f)\|_{l^2}$. Then by the first iso thm, $C_r^*(\Gamma \backslash G \times_\Gamma X) \cong C_0(\Gamma \backslash G) \rtimes_{\alpha r} G$.

For the special case when $\Gamma = \{e\}$, we have the following:

Claim 5 $C_r^*(G \times X)$ is isomorphic to $C_0(X) \rtimes_{\alpha r} G$.

Proof. For each $x \in X$, define a map:

$$\begin{aligned} \Pi_x & : C_c(G \times X) \rightarrow B(l^2(G) \otimes l^2(G)) \cong B(l^2(G)) \otimes B(l^2(G)) \\ \Pi_x(f)(\delta_h \otimes \delta_g) & = \pi_x(\alpha_{g^{-1}}(f)) \delta_h \otimes \delta_g \\ \Pi_x(v_g)(\delta_l \otimes \delta_h) & = \delta_l \otimes \delta_{gh} \end{aligned}$$

where $\alpha_g(f)(x) = f(g^{-1}x)$, for $f \in C_0(X)$.

Lemma 6 1.2

There exists a conditional expectation

$$E : C_r^*(\Gamma \backslash G \times_\Gamma X) \rightarrow C_0(\Gamma \backslash X)$$

such that :

$$E(f)(x) = f(e, x) ,$$

for $f \in C_c(\Gamma \backslash G \times_\Gamma X)$.

Proof. If $B \subset A$ are C^* -Algebras , a map $E : A \rightarrow B$ is called a *Conditional Expectation* if : ι) E is a projection onto B .i.e. ($E(x) = x$, $\forall x \in B$)
 ι) E is B -bilinear : $E(xy) = E(x)y$ and $E(yx) = yE(x)$, for all $x \in A$,
 $y \in B$ and $\iota\iota$) E is Positive.

For each $x \in X$ define a state ω_x on $C_r^*(\Gamma \backslash G \times_\Gamma X)$ by :

$$\omega_x(a) = (\pi_x(a) \cdot \delta_\Gamma, \delta_\Gamma).$$

Then the function $E(a)$ on X defined by :

$$E(a)(x) = \omega_x(a)$$

is bounded by $\|a\|$. As $E(f)(x) = f(e, x)$, for $f \in C_c(\Gamma \backslash G \times_\Gamma X)$ (since $\omega_x(f) = (\pi_x(f)\delta_\Gamma, \delta_\Gamma) = (\sum_{s \in \Gamma \backslash G} f(s, x) \cdot \delta_{\Gamma s}, \delta_{\Gamma e}) = f(e, x)$) , we conclude that $E(a) \in C_0(\Gamma \backslash X)$ for every $a \in C_r^*(\Gamma \backslash G \times_\Gamma X)$. Thus E is such a conditional expectation. ■

■

The Boxproduct \boxtimes

Let $Y \subset X$ be a Γ -invariant clopen subset ($\Gamma Y \subset Y$). Then the characteristic function $1_{\Gamma \backslash Y}$ of the set $\Gamma \backslash Y$ is an element of the multiplier Algebra of $C_r^*(\Gamma \backslash G \times_\Gamma X)$. See this by using the embedding $X \hookrightarrow G \times X$, $x \mapsto (e, x)$, to consider $\Gamma \backslash X$ as an open subset of $\Gamma \backslash G \times_\Gamma X$, and then the algebra $C_0(\Gamma \backslash X)$ as a subalgebra of $C_r^*(\Gamma \backslash G \times_\Gamma X)$.

Denote by $\Gamma \backslash G \boxtimes_\Gamma Y$ the quotient of the space :

$$\{(g, x) , g \in G , x \in Y , gx \in Y\}$$

• by the action of $\Gamma \times \Gamma$:

$$(\gamma_1, \gamma_2)(g, x) = (\gamma_1 g \gamma_2^{-1}, \gamma_2 x)$$

Then

$$1_{\Gamma \backslash Y} C_c(\Gamma \backslash G \times_{\Gamma} X) 1_{\Gamma \backslash Y} = C_c(\Gamma \backslash G \boxtimes_{\Gamma} Y).$$

Therefore the algebra $1_{\Gamma \backslash Y} C_r^*(\Gamma \backslash G \times_{\Gamma} X) 1_{\Gamma \backslash Y}$, which we denote $C_r^*(\Gamma \backslash G \boxtimes_{\Gamma} Y)$ is a completion of the algebra of compactly supported functions on $\Gamma \backslash G \boxtimes_{\Gamma} Y$ with convolution product given by :

$$(f_1 * f_2)(g, y) = \sum_{h \in \Gamma \backslash G : hy \in Y} f_1(gh^{-1}, hy) \cdot f_2(h, y)$$

,

and involution :

$$f^*(g, y) = f(g^{-1}, gy\bar{y})$$

Observe that $\pi_x(1_{\Gamma \backslash Y})$ is the projection onto the subspace $l^2(\Gamma \backslash G_x)$, where the subset G_x of G is defined by :

$$G_x = \{g \in G \mid gx \in Y\}$$

Then , for $f \in C_c(\Gamma \backslash G \boxtimes_{\Gamma} Y)$ and $h \in G_x$ we have :

$$\pi_x(f)\delta_{\Gamma h} = \sum_{g \in \Gamma \backslash G_x} f(gh^{-1}, hx)\delta_{\Gamma g}$$

So if $x \notin GY$, $\pi_x(f) = 0$ in particular. We saw above that the representations π_x and π_{gx} are unitarily equivalent for any $g \in G$. Therefore we can conclude that $C_r^*(\Gamma \backslash G \boxtimes_{\Gamma} Y)$ is the completion of $C_c(\Gamma \backslash G \boxtimes_{\Gamma} Y)$ in the norm

$$\|f\| = \sup_{y \in Y} \|\pi_y(f)\|.$$

Hecke Pairs

Consider the algebra $C_r^*(\Gamma \backslash G \times_{\Gamma} X)$. Our next goal is to show that under an extra assumption on the pair (G, Γ) , the multiplier algebra contains other interesting elements in addition to the Γ -invariant functions on X .

The pair (G, Γ) is called a Hecke pair if Γ and $g\Gamma g^{-1}$ are commensurable for any $g \in G$. That $(\Gamma, g\Gamma g^{-1})$ are commensurable means that $\Gamma \cap g\Gamma g^{-1}$

$\subset \Gamma$ is a subgroup of finite index. Equivalently, every double coset of Γ contains finitely many right (and left) cosets of Γ , i.e. :

$$R_\Gamma(g) := |\Gamma \backslash \Gamma g \Gamma| < \infty,$$

for any $g \in G$.

If (G, Γ) is a Hecke pair, the space $H(G, \Gamma)$ of finitely supported functions on $\Gamma \backslash G / \Gamma$ is a $*$ -algebra with product :

$$(f_1 * f_2)(g) = \sum_{h \in \Gamma \backslash G} f_1(gh^{-1})f_2(h),$$

and involution :

$$f^*(g) = f(g^{-1}).$$

We can consider the functions $f \in H(G, \Gamma)$ as bounded operators on the Hilbertspace $l^2(\Gamma \backslash G)$ represented as :

$$f \cdot \delta_{\Gamma h} = \sum_{g \in \Gamma \backslash G} f(gh^{-1}) \cdot \delta_{\Gamma g}$$

The corresponding completion is called the reduced Hecke C^* -algebra of (G, Γ) and denoted by $C_r^*(G, \Gamma)$. Denote by $[g]$ the characteristic function of the double coset $\Gamma g \Gamma$, considered as an element of the Hecke algebra.

The elements of $H(G, \Gamma)$ may be considered as continuous functions on $\Gamma \backslash G \times_\Gamma X$. Although these functions are not compactly supported in general, the formulas defining the $*$ -algebra structure and the regular representation of $H(G, \Gamma)$ coincide with (1.2)-(1.4).

Moreover, the convolution of an element of $H(G, \Gamma)$ with a compactly supported function on $\Gamma \backslash G \times_\Gamma X$ gives a compactly supported function : If $f_1 = [g_1]$, and the support of $f_2 \in C_c(\Gamma \backslash G \times_\Gamma X)$ is contained in $(\Gamma \times \Gamma)(\{g_2\} \times U)$ for a compact $U \subset X$, then the support of $f_1 * f_2$ is contained in $(\Gamma \times \Gamma)(g_1 \Gamma g_2 \times U)$. Since $\Gamma \backslash \Gamma g_1 \Gamma g_2$ is finite, we see that $f_1 * f_2$ is compactly supported on $\Gamma \backslash G \times_\Gamma X$. Therefore, we have :

Lemma 7 1.3

If (G, Γ) is a Hecke pair, then the reduced Hecke C^ -algebra $C_r^*(G, \Gamma)$ is contained in the multiplier algebra of the C^* -algebra $C_r^*(\Gamma \backslash G \times_\Gamma X)$.*

2. Dynamics and KMS-states

Assume as above that we have an action of G on X such that the action of $\Gamma \subset G$ is proper, and $Y \subset X$ is a Γ -invariant ($\Gamma Y \subset Y$) clopen set. Assume now that we are given a homomorphism :

$$N : G \rightarrow \mathbb{R}_+^* = (0, +\infty)$$

such that Γ is contained in the kernel of N . We define a one-parameter group of automorphisms of $C_r^*(\Gamma \backslash G \times_\Gamma X)$ by :

$$\sigma_t(f)(g, x) = N(g)^{it} \cdot f(g, x)$$

, for $f \in C_c(\Gamma \backslash G \times_\Gamma X)$. More precisely : We denote by \bar{N} the selfadjoint operator on $l^2(\Gamma \backslash G)$ defined by :

$$\bar{N} \cdot \delta_{\Gamma g} = N(g) \cdot \delta_{\Gamma g}$$

Since \bar{N} is selfadjoint (easy to check), then by applying functional calculus for bounded operators on Hilbertspace with $f_t(z) = z^{it}$, the operator $\bar{N}^{it} \in B(l^2(\Gamma \backslash G))$ is unitary, implementing the dynamics σ_t spatially by its associated unitary operator $(\oplus_{x \in X} \bar{N}^{it})$ on $(\oplus_{x \in X} l^2(\Gamma \backslash G))$.

In other words,

$$\pi_x(\sigma_t(a)) = \bar{N}^{it} \pi_x(a) \bar{N}^{-it}$$

for all $x \in X$. See this by considering the operatoraction as represented on $l^2(\Gamma \backslash G)$:

$$\begin{aligned} \pi_x(\sigma_t(f)) \cdot \delta_{\Gamma h} &= \sum_{g \in \Gamma \backslash G} \sigma_t(f)(gh^{-1}, hx) \cdot \delta_{\Gamma g} \\ &= \sum_{g \in \Gamma \backslash G} N(gh^{-1})^{it} \cdot f(gh^{-1}, hx) \cdot \delta_{\Gamma g} = \sum_{g \in \Gamma \backslash G} N(g)^{it} N(h^{-1})^{it} f(gh^{-1}, hx) \cdot \delta_{\Gamma g} \\ &= \sum_{g \in \Gamma \backslash G} N(g)^{it} N(h)^{-it} f(gh^{-1}, hx) \cdot \delta_{\Gamma g} = \sum_{g \in \Gamma \backslash G} N(g)^{it} f(gh^{-1}, hx) N(h)^{-it} \cdot \delta_{\Gamma g} \\ &= \sum_{g \in \Gamma \backslash G} \bar{N}^{it} f(gh^{-1}, hx) N(h)^{-it} \cdot \delta_{\Gamma g} = \bar{N}^{it} \pi_x(f) \bar{N}^{-it} \cdot \delta_{\Gamma h}. \end{aligned}$$

A semifinite σ -invariant weight φ is called a $\sigma - KMS_\beta$ -weight if, or equivalently, it satisfies the $\sigma - KMS$ condition at inverse temperatures $\beta \in \mathbb{R}$ if :

$$\varphi(aa^*) = \varphi(\sigma_{i\beta/2}(a)^* \sigma_{i\beta/2}(a)),$$

for any σ -analytic element a . (An element is called σ -analytic if the map $\mathbb{R} \rightarrow C_r^*(\Gamma \backslash G \times_\Gamma X)$, $t \mapsto \sigma_t(a)$ extends to an analytic map $\mathbb{C} \rightarrow C_r^*(\Gamma \backslash G \times_\Gamma X)$. A map $f : \mathbb{C} \rightarrow C_r^*(\Gamma \backslash G \times_\Gamma X)$ is called analytic if $\varphi \circ f$ is an analytic function for any $\varphi \in (C_r^*(\Gamma \backslash G \times_\Gamma X))^*$.)

If φ is finite, then the *KMS*-condition is equivalent to

$$\varphi(xy) = \varphi(y\sigma_{i\beta}(x)) ,$$

for any σ -analytic x, y . This follows from

$$\varphi(y\sigma_{i\beta}(x)) = \varphi(\sigma_{-i\beta/2}(y)\sigma_{i\beta/2}(x)) = \varphi(\sigma_{i\beta/2}(y^*)^*\sigma_{i\beta/2}(x))$$

and the identity :

$$xy = \frac{1}{4}((x+y^*)(x+y^*)^* - (x-y^*)(x-y^*)^* + i(x+iy^*)(x+iy^*)^* - i(x-iy^*)(x-iy^*)^*).$$

The following result will be the basis of our analysis of *KMS*-weights.

Proposition 8.2.1 Assume the action of G on X is an action without fix-points (free action), so that in particular $\Gamma \backslash G \boxtimes_\Gamma Y$ is a genuine groupoid. Then for any $\beta \in \mathbb{R}$, there exists a one-to-one correspondence between $\sigma - KMS_\beta$ weights φ on $C_r^*(\Gamma \backslash G \boxtimes_\Gamma Y)$ with domain of definition containing $C_c(\Gamma \backslash Y)$ Radon measures μ on Y such that

$$\mu(gZ) = N(g)^{-\beta} \mu(Z)$$

, for every $g \in G$ and every compact subset $Z \subset Y$ such that $gZ \subset Y$. Namely, such a measure μ is Γ -invariant, so it determines a measure ν on $\Gamma \backslash Y$ such that :

$$\int_Y f(y) d\mu(y) = \int_{\Gamma \backslash Y} \left(\sum_{y \in p^{-1}(\{t\})} f(y) \right) d\nu(t)$$

for $f \in C_c(Y)$, where $p : Y \rightarrow \Gamma \backslash Y$ is the quotient map, and the associated weight φ is given by

$$\varphi(a) = \int_{\Gamma \backslash Y} E(a)(x) d\nu(x) ,$$

where E is the conditional expectation from Lemma 1.2.

Proof. For $\Gamma = \{e\}$ the result is well-known , see e.g. [19, Proposition II.5.4] . For arbitrary Γ , a way to argue is as follows :

Since the action of Γ on Y is free , the quotient space $\Gamma \backslash G \boxtimes_{\Gamma} Y$ is an etale groupoid. In fact it is an etale equivalence relation on $\Gamma \backslash Y$, or an r-discrete principal groupoid in the terminology of [19]. To verify this , we have to check that the isotropy group of every point in $\Gamma \backslash Y$ is trivial , *that is* , if $g \in G$ is such that $gy \in Y$ and $p(gy) = p(y)$, for some $y \in Y$, then (g, y) belongs to the $(\Gamma \times \Gamma)$ - orbit of (e, y) . But on the other hand , if $p(gy) = p(y)$, there exist $\gamma \in \Gamma$ such that $\gamma gy = y$. Then $\gamma g = e$, since the action of G is free , and therefore $(g, y) = (\gamma^{-1}, e)(e, y)$. Then by [19, Proposition 11.5.4] ,

$\sigma - KMS_{\beta}$ -weights with domain of definition containing $C_c(\Gamma \backslash Y)$ on the C^* -algebra $C_r^*(\Gamma \backslash G \boxtimes_{\Gamma} Y)$ of the etale equivalence relation are in *one-to-one* correspondence with Radon measures ν on $\Gamma \backslash Y$ with Radon-Nikodym cocycle $(p(y), p(gy)) \mapsto N(g)^{\beta}$.

This means that :

If we assume Y_0 is an open subset of Y such that the map $p : Y \rightarrow \Gamma \backslash Y$ is injective on Y_0 , and $g \in G$ is such that $gY_0 \subset Y$. Define an injective map

$$\begin{aligned} \tilde{g} & : p(Y_0) \rightarrow p(gY_0) \\ \text{by } \tilde{g}(p(y)) & \mapsto p(gy) \end{aligned}$$

for $y \in Y_0$, and let $\tilde{g}_*\nu$ be the push-forward of the measure ν under the map \tilde{g} , which again means that : $\tilde{g}_*\nu(Z) = \nu(\tilde{g}^{-1}(Z))$, for $Z \subset p(gY_0)$. Then :

$$\frac{d\tilde{g}_*\nu}{d\nu} = N(g)^{\beta} \text{ on } p(gY_0).$$

Therefore ; if we denote by μ the Γ -invariant measure on Y corresponding to ν via (2.2 below) , then to say that the Radon-Nikodym cocycle of ν is $(p(y), p(gy)) \mapsto N(g)^{\beta}$ is the same as saying that μ satisfies : $\mu(gZ) = N(g)^{-\beta} \mu(Z)$, for every $g \in G$ and every compact subset $Z \subset Y$ such that $gZ \subset Y$. (the scaling condition).

Recall that a Radon measure on Y is a Borel measure which is finite on compact sets , outer regular (*) on all Borel sets , and inner regular(**) on all open sets. Then , by The Riesz Representation Theorem , for each positive linear functional , and hence also for each $\sigma - KMS_{\beta}$ -weight with domain of definition containing $C_c(\Gamma \backslash Y)$ on the C^* -algebra $C_r^*(\Gamma \backslash G \boxtimes_{\Gamma} Y)$, there exist an unique Radon measure ν on $\Gamma \backslash Y$ such that $\varphi(f) = \int f d\nu$, for $f \in C_c(\Gamma \backslash Y)$, φ a $\sigma - KMS_{\beta}$ -weight. This establishes the *one-to-one* correspondence above. ■

The next lemma is about extension of the Radon measure μ from Y to GY :

Lemma 9 2.2. *If μ is a measure on Y as in Proposition 2.1 , then it extends uniquely to a Radon measure on $GY \subset X$ satisfying (2.1) for $Z \subset GY$ and $g \in G$.*

Proof. We can choose Borel subsets $Y_i \subset Y$ and elements $g_i \in G$ such that $GY = \sqcup_i g_i^{-1}Y_i$, where \sqcup denotes disjoint union. There is only one choice for a measure extending μ and satisfying (2.1) on GY , namely , for a Borel subset $Z \subset GY$ let

$$\mu(Z) = \sum_i N(g_i)^\beta \mu(g_i Z \cap Y_i).$$

To show that $\mu(Z)$ is independent of any choices and that the extension satisfies (2.1) , assume $GY = \sqcup_j h_j Z_j$ for some $h_j \in G$ and Borel $Z_j \subset Y$. Let $g \in G$. Then :

$$\begin{aligned} \sum_i N(g_i)^\beta \mu(g_i g Z \cap Y_i) &= \sum_i N(g_i)^\beta \cdot \sum_j \mu(g_i g Z \cap Y_i \cap g_i g h_j^{-1} Z_j) \\ &= \sum_i N(g_i)^\beta \cdot \sum_j N(g_i g h_j^{-1})^{-\beta} \mu(h_j Z \cap h_j g^{-1} g_i^{-1} Y_i \cap Z_j) \\ &= N(g)^{-\beta} \sum_j N(h_j)^\beta \sum_i \mu(h_j Z \cap h_j g^{-1} g_i^{-1} Y_i \cap Z_j) \\ &= N(g)^{-\beta} \sum_j N(h_j)^\beta \mu(h_j Z \cap Z_j). \end{aligned}$$

Taking $g = e$ we see that the extension of μ to GY is well-defined. But then for arbitrary g the above identity reads as :

$$\mu(gZ) = N(g)^{-\beta} \mu(Z).$$

■

Lemma 10 2.4. *Let Y_0 be a Γ -invariant Borel subset of Y such that :*

- (i) *if $gY_0 \cap Y_0 \neq \emptyset$ for some $g \in G$, then $g \in \Gamma$;*
- (ii) *for any $y \in Y$, there exists $g \in G$ such that $gy \in Y_0$.*

Then any Γ -invariant Borel measure on Y_0 extends uniquely to a Borel measure on Y satisfying the scaling condition from Proposition 2.1.

Proof. Let μ_0 be a Γ -invariant measure on Y_0 . Since the assumptions imply that Y is a disjoint union of translates of Y_0 by representatives of the right cosets of Γ , that is, $Y = \sqcup_{h:\Gamma\backslash G}(h^{-1}Y_0 \cap Y)$, there is only one choice for a measure μ extending μ_0 and satisfying Proposition 2.1, namely,

$$\mu(Z) = \sum_{h:\Gamma\backslash G} N(h)^\beta \mu_0(hZ \cap Y_0).$$

Since μ_0 is Γ -invariant, $\mu(Z)$ is independent of the choice of representatives, so all we need to check is that Proposition 2.1 holds: Let $g \in G$. Then

$$\mu(gZ) = \sum_{h:\Gamma\backslash G} N(h)^\beta \mu_0(hgZ \cap Y_0) = N(g)^{-\beta} \sum_{h:\Gamma\backslash G} N(hg)^\beta \mu_0(hgZ \cap Y_0) = N(g)^{-\beta} \mu(Z),$$

which proves the Lemma. ■

Although the condition for a measure ν on $\Gamma\backslash Y$ to define a KMS-weight is easier to formulate in terms of the corresponding Γ -invariant measure on Y , it will also be important to work directly with ν . For this we introduce the following operators on functions on $\Gamma\backslash X$. We shall often consider functions on $\Gamma\backslash X$ as Γ -invariant functions on X .

Definition 11 2.5. *Let G act on a set X and suppose (G, Γ) is a Hecke pair. The Hecke operator associated to $g \in G$ is the operator T_g on Γ -invariant functions on X defined by:*

$$(T_g f)(x) = \frac{1}{R_\Gamma(g)} \sum_{l \in \Gamma\backslash\Gamma g\Gamma \text{ (finite)}} f(lx).$$

Clearly $T_g f$ is again Γ -invariant. Recall that $[g^{-1}]$ denotes the characteristic function of the double coset $\Gamma g^{-1}\Gamma$ considered as an element of the Hecke algebra. The map:

$$[g^{-1}] \mapsto R_\Gamma(g)T_g$$

is a representation of the Hecke algebra $H(G, \Gamma)$ on the space of Γ -invariant functions.

Notice that for $X = G$, this is exactly the way we defined the regular representation of $H(G, \Gamma)$ on $l^2(\Gamma \backslash G)$: For $f \in H(G, \Gamma)$, considered as operator on $l^2(\Gamma \backslash G)$, we defined its action by:

$$f \cdot \delta_{\Gamma h} = \sum_{l \in \Gamma \backslash G} f(lh^{-1}) \cdot \delta_{\Gamma l}$$

Indeed, for $f = [g^{-1}]$, using the regular representation (on $l^2(\Gamma \backslash G)$) we get:

$$[g^{-1}] \cdot \delta_{\Gamma h} = \sum_{l \in \Gamma \backslash G} [g^{-1}](lh^{-1}) \cdot \delta_{\Gamma l} = \sum_{l \in \Gamma \backslash G} \delta_{\Gamma g^{-1}\Gamma}(lh^{-1}) \cdot \delta_{\Gamma l}$$

so $([g^{-1}] \cdot \delta_{\Gamma h})(s) = 1$, if $sh^{-1} \in \Gamma g^{-1}\Gamma \iff s \in \Gamma g^{-1}\Gamma h$ and $= 0$ otherwise.

On the other hand,

using the representation $\sigma : C_r^*(G, \Gamma) \rightarrow B(l^2(\Gamma \backslash G))$ defined as above by $[g^{-1}] \mapsto R_\Gamma(g)T_g$, we get:

$$\sigma([g^{-1}]) \cdot \delta_{\Gamma h}(s) = R_\Gamma(g)T_g(\delta_{\Gamma h})(s) = \sum_{l \in \Gamma \backslash \Gamma g\Gamma} \delta_{\Gamma h}(ls),$$

so $(\sigma([g^{-1}]) \cdot \delta_{\Gamma h})(s) = \{1, \text{ if } h \in \Gamma g\Gamma s \iff s \in \Gamma g^{-1}\Gamma h, \text{ and } = 0$ otherwise.

By decomposing an arbitrary X into G -orbits one can obtain that $[g^{-1}] \mapsto R_\Gamma(g)T_g$ is a representation without any computations.

The following three lemmas will be our main computational tools:

Lemma 12.2.6. *Suppose μ is as in Proposition 2.1 and that ν is the measure on $\Gamma \backslash Y$ determined by (2.2). Assume further that $Y = X$, the action of G on X is free and that (G, Γ) is a Hecke pair with modular function $\Delta_\Gamma(g) := \frac{R_\Gamma(g^{-1})}{R_\Gamma(g)}$. Then for any positive measurable function f on $\Gamma \backslash X$ and $g \in G$, we have:*

$$\int_{\Gamma \backslash X} T_g f \, d\nu = \Delta_\Gamma(g) \cdot N(g)^\beta \cdot \int_{\Gamma \backslash X} f \, d\nu.$$

Proof. Let us first prove the following claim: ■

Claim 13 *There exist a neighbourhood U of x such that the sets hU are disjoint for different h in $\Gamma g^{-1}\Gamma$. Fix a point $x \in X$. Choose representatives h_1, h_2, \dots, h_n of the right Γ -cosets contained in $\Gamma g^{-1}\Gamma$. Since the action of Γ is Proper, there exist a neighbourhood U of x such that if $h_i U \cap \gamma h_j U \neq \emptyset$ for some i, j and $\gamma \in \Gamma$ then $h_i x = \gamma h_j x$. But since the action of G is free, the latter equality is possible only when $h_i = \gamma h_j$, so that $i = j$ and $\gamma = e$. Thus $h_i U \cap \gamma h_j U = \emptyset$ if $i \neq j$ or $\gamma \neq e$. Since $\Gamma g^{-1}\Gamma = \cup_{k=1}^n \Gamma h_k$, this proves the claim.*

Proof. We conclude from the claim that the set $\Gamma g^{-1}\Gamma U$ is a disjoint union of the sets hU , $h \in \Gamma g^{-1}\Gamma$. So we can write :

$$\sum_{h: \Gamma \backslash \Gamma g \Gamma} 1_{h^{-1}\Gamma U} = 1_{\Gamma g^{-1}\Gamma U} = \sum_{h: \Gamma \backslash \Gamma g^{-1}\Gamma} 1_{\Gamma h U},$$

Denoting by $p : X \rightarrow \Gamma \backslash X$ the quotient map, we can rewrite the above in terms of functions on $\Gamma \backslash X$ as

$$R_\Gamma(g) T_g(1_{p(U)}) = 1_{p(\Gamma g^{-1}\Gamma U)} = \sum_{h: \Gamma \backslash \Gamma g^{-1}\Gamma} 1_{p(hU)}.$$

It follows that

$$R_\Gamma(g) \int_{\Gamma \backslash X} T_g(1_{p(U)}) d\nu = \sum_{h: \Gamma \backslash \Gamma g^{-1}\Gamma} \nu(p(hU)) = \sum_{h: \Gamma \backslash \Gamma g^{-1}\Gamma} \mu(hU) = R_\Gamma(g^{-1}) N(g)^\beta \nu(p(U)).$$

In other words, the identity in the lemma holds for $f = 1_{p(U)}$. Since this is true for any x and sufficiently small neighbourhood U of x , we get the result. ■

Notice that by applying the above lemma to the characteristic function of X , we get the following :

If a group G acts freely on a space X with a G -invariant measure μ , and Γ is an *almost normal subgroup* of G (that is, (G, Γ) is a Hecke pair) such that the action of Γ on X is Proper and $0 < \mu(\Gamma \backslash X) < \infty$, then $\Delta_\Gamma(g) = 1$ for any $g \in G$. The same is true if we assume that the action of G on (X, μ) is only essentially free.

Lemma 14 2.7. *Suppose μ is as in Proposition 2.1 and ν is the measure on $\Gamma \backslash Y$ determined by (2.2). Assume that the action of G on X is free and that (G, Γ) is a Hecke pair. Assume further that Y_0 is a Γ -invariant measurable*

subset of Y such that if $gY_0 \cap Y_0 \neq \emptyset$ for some $g \in G$, then $g \in \Gamma$. Then for any $g \in G$ such that $gY_0 \subset Y$, measurable $Z \subset \Gamma \backslash Y_0$ and positive measurable function f on $\Gamma \backslash Y$, we have :

$$\int_{\Gamma g Z} f \, d\nu = N(g)^{-\beta} R_\Gamma(g) \int_Z T_g f \, d\nu ,$$

where $\Gamma g Z = p(\Gamma g p^{-1}(Z))$ and $p : X \rightarrow \Gamma \backslash X$ is the quotient map. In particular, $\nu(\Gamma g Z) = N(g)^{-\beta} \cdot R_\Gamma(g) \cdot \nu(Z)$.

Proof. Suppose $Z \subset \Gamma \backslash Y_0$ is measurable, and choose $U \subset Y_0$ measurable such that $Z = p(U)$ and p is injective on U . For $g \in G$ let h_1, \dots, h_n be representatives of the right Γ -cosets contained in $\Gamma g \Gamma$. Then we claim : ■

Claim 15 *The quotient map of Γ , p , is injective on $h_1 U, \dots, h_n U$, and the images under p of these sets are disjoint.*

Proof. Assume $p(h_i x) = p(h_j y)$ for some i, j and $x, y \in U$, so that $\gamma h_i x = h_j y$ for some $\gamma \in \Gamma$. Since $U \subset Y_0$, our assumption on Y_0 implies that $h_j^{-1} \gamma h_i \in \Gamma$. But then, since p is injective on U , we get $x = y$, and since the action of Γ is free, we conclude that $h_j^{-1} \gamma h_i = e$. It follows that $i = j$ and $h_i x = h_j y$ which proves the claim. ■

Proof. Furthermore, the union of the disjoint sets $p(h_1 U), \dots, p(h_n U)$ is the set $\Gamma g Z = p(\Gamma g p^{-1}(Z))$. Hence, since $\Gamma \subset \ker N$, $N(h_i) = N(g)$ for $i = 1, \dots, n$,

$$\int_{\Gamma g Z} f \, d\nu = \sum_{i=1}^n \int_{h_i U} f \circ p \, d\mu = N(g)^{-\beta} \sum_{i=1}^n \int_U f(p(h_i)) \, d\mu = N(g)^{-\beta} R_\Gamma(g) \int_Z T_g f \, d\nu.$$

The last assertion of the lemma, that $\nu(\Gamma g Z) = N(g)^{-\beta} \cdot R_\Gamma(g) \cdot \nu(Z)$ follows by taking $f = 1_{\Gamma g Z}$ and observing that in this case $(T_g f)(z) = 1$, for $z \in Z$. ■

For the next lemma, we introduce the following notation.

Definition 16 2.8. *If $\beta \in \mathbb{R}$ and S is a subsemigroup of G containing Γ , then we define*

$$\zeta_{S, \Gamma}(\beta) := \sum_{s: \Gamma \backslash S} N(s)^{-\beta} = \sum_{s: \Gamma \backslash S / \Gamma} N(s)^{-\beta} R_\Gamma(s).$$

Lemma 17 2.9. *Suppose μ is as in Proposition 2.1 and ν is the measure on $\Gamma \backslash Y$ determined by (2.2). Assume that the action of G on X is free and that (G, Γ) is a Hecke pair. Assume further that Y_0 is a measurable Γ -invariant subset of Y , and S a subsemigroup of G containing Γ such that :*

- (ι) *if $gY_0 \cap Y_0 \neq \emptyset$ for some $g \in G$ then $g \in \Gamma$;*
- ($\iota\iota$) *$\cup_{s \in S} sY_0$ is a subset of Y of full measure ;*
- ($\iota\iota\iota$) *$\zeta_{S, \Gamma}(\beta) < \infty$.*

Let H_S be the subspace of S -invariant functions in $L^2(\Gamma \backslash Y, \nu)$, that is , functions f such that $f(y) = f(sy)$ for all $s \in S$ and a.a. $y \in Y$. Then :

- (1) *if $f \in H_S$ then $\|f\|_2^2 = \zeta_{S, \Gamma}(\beta) \int_{\Gamma \backslash Y_0} |f(t)|^2 d\nu(t)$;*
- (2) *the orthogonal projection $P : L^2(\Gamma \backslash Y, d\nu) \longrightarrow H_S$ is given by*

$$Pf|_{s_y} = \zeta_{S, \Gamma}(\beta)^{-1} \sum_{s : \Gamma \backslash S / \Gamma} N(s)^{-\beta} R_\Gamma(s)(T_s f)(y) ,$$

(2.3)

for $y \in Y_0$.

Proof. By condition (ι) the sets $\Gamma s Y_0$ are disjoint for s in different double cosets of Γ . Since the union of such sets is the whole space Y (modulo a set of measure zero), by Lemma 2.7 applied to $Z = \Gamma \backslash Y_0$ for any $f \in L^2(\Gamma \backslash Y, d\nu)$ we get :

$$\|f\|_2^2 = \sum_{s : \Gamma \backslash S / \Gamma} \int_{\Gamma s Z} |f|^2 d\nu = \sum_{s \in \Gamma \backslash S / \Gamma} N(s)^{-\beta} R_\Gamma(s) \int_{\Gamma \backslash Y_0} T_s(|f|^2) d\nu.$$

(2.4)

Since $T_s(|f|^2) = |f|^2$ for $f \in H_S$, this gives (1).

To prove (2), denote by T the operator on $L^2(\Gamma \backslash Y, d\nu)$ defined by the asserted formula for P . To see that it is well-defined, notice first that the summation in the right hand side of (2.3) is finite for f in the subspace of L^2 -functions supported on a finite collection of sets of the form $p(sY_0)$, $s \in S$, which is a dense subspace of $L^2(\Gamma \backslash Y, d\nu)$. Thus the function Tf is well-defined for f in this subspace and, putting $\alpha_s = \zeta_{S, \Gamma}(\beta)^{-1} N(s)^{-\beta} R_\Gamma(s)$ and using (2.4) twice, we get :

$$\|Tf\|_2^2 = \zeta_{S, \Gamma}(\beta) \int_{\Gamma \backslash Y_0} |Tf|^2 d\nu \leq \zeta_{S, \Gamma}(\beta) \int_{\Gamma \backslash Y_0} \left(\sum_{s \in \Gamma \backslash S / \Gamma} \alpha_s T_s(|f|^2) \right) d\nu = \|f\|_2^2 .$$

It follows that T extends to a well-defined contraction. Since $Tf = f$ for $f \in H_S$, we conclude that $T = P$. ■

3. THE CONNES-MARCOLLI SYSTEM

Consider the group $G = GL_2^+(\mathbb{Q})$ of invertible 2 by 2 matrices with rational coefficients and positive determinant, and its subgroup $\Gamma = SL_2(\mathbb{Z})$. For a prime number p consider the field \mathbb{Q}_p of p -adic numbers and its compact subring \mathbb{Z}_p of p -adic integers. We denote by \mathbf{A}_f the space of finite adeles of \mathbb{Q} , that is, the restricted product of the fields of \mathbb{Q}_p with respect to \mathbb{Z}_p ; $\mathbf{A}_f := \{(a_p)_{p \in \mathbf{P}} \mid a_p \in \mathbb{Q}_p \forall p, a_p \in \mathbb{Z}_p \text{ for all sufficiently large } p\}$ and by $\hat{\mathbb{Z}} = \prod_p \mathbb{Z}_p = \{(a_p)_{p \in \mathbf{P}} \mid a_p \in \mathbb{Z}_p\}$ its maximal compact subring. The field \mathbb{Q} is a subfield of \mathbb{Q}_p since \mathbb{Q}_p is a closure of \mathbb{Q} in the p -norm (if $q = p^n \frac{a}{b}$, ($p \nmid a, p \nmid b$), then $\|q\|_p = p^{-n}$). Therefore $GL_2^+(\mathbb{Q})$ can be considered as a subgroup of $GL_2(\mathbb{Q}_p)$. In particular, we have an action of $GL_2^+(\mathbb{Q})$ on $Mat_2(\mathbb{Q}_p)$ by matrix-multiplication on the left.

Moreover, we have the following diagonal embedding of \mathbb{Q} into \mathbf{A}_f :

$$\begin{aligned} \mathbb{Q} &\subset \mathbb{Q}_p \\ a &\mapsto (a_p)_{p \in \mathbf{P}} \in \mathbf{A}_f \end{aligned}$$

for every $a \in \mathbb{Q}$,

$$a = \frac{n}{m} = \frac{n}{(p_1^{k_1} \cdot \dots \cdot p_i^{k_i})},$$

where we assume n and $m \in \mathbb{Z}$ with $\gcd(n, m) = 1$ (n and m are relatively prime) and $k_j \geq 1$. Then $a \notin \mathbb{Z}_p$ if $p = p_i$ for some i , so $a \notin \hat{\mathbb{Z}} = \prod_{p \in \mathbf{P}} \mathbb{Z}_p$.

Contrary $a \in \mathbb{Z}_p$ if $p \neq p_i$ for any i . From this we see that for any $a \in \mathbb{Q}$, eventually, for $p \in \mathbf{P}$ large enough $a \in \mathbb{Z}_p$. Hence the map $a \mapsto (a_p)_{p \in \mathbf{P}}$ embeds \mathbb{Q} diagonally into \mathbf{A}_f . Extending this on the matrix entries, we get an embedding of $GL_2^+(\mathbb{Q})$ into $GL_2(\mathbf{A}_f)$, and thus an action of $GL_2^+(\mathbb{Q})$ on $Mat_2(\mathbf{A}_f)$.

In addition $GL_2^+(\mathbb{Q})$ acts by Møbius transformations on the upper half-plane \mathbf{H} . Therefore we have an action of $GL_2^+(\mathbb{Q})$ on $\mathbf{H} \times Mat_2(\mathbf{A}_f)$ such that for $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, $\tau \in \mathbf{H}$ and $m = (m_p)_p \in Mat_2(\mathbf{A}_f)$,

$$g(\tau, (m_p)_p) = \left(\frac{a\tau + b}{c\tau + d}, (gm_p)_p \right).$$

Note that the action of $SL_2(\mathbb{Z})$ is proper , since already the action of $SL_2(\mathbb{Z})$ on \mathbf{H} is proper.

The GL_2 -system of Connes and Marcolli is now defined as follows :

Definition 18 3.1. *The Connes-Marcolli algebra is the C^* -algebra $A = C_r^*(\Gamma \backslash G \boxtimes_{\Gamma} Y)$, where $G = GL_2^+(\mathbb{Q})$, $\Gamma = SL_2(\mathbb{Z})$, G acts diagonally on $X = \mathbf{H} \times Mat_2(\mathbf{A}_f)$, and $Y = \mathbf{H} \times Mat_2(\mathbb{Z})$. The dynamics σ on A is defined by the homomorphism $N : GL_2^+(\mathbb{Q}) \rightarrow \mathbb{R}_+^*$, $N(g) = \det(g)$.*

Notice that since $\Gamma \backslash \mathbf{H}$ is not compact , the algebra A is nonunital . By [5, Lemma 1.28] , the action of $GL_2^+(\mathbb{Q})$ on $X \setminus (\mathbf{H} \times \{0\})$ is free. *Recall briefly the reason :* If for $g \in GL_2^+(\mathbb{Q})$ $gm = m$ for some prime number $p \in \mathbf{P}$ (\mathbf{P} denotes the set of all Prime numbers) and nonzero $m \in Mat_2(\mathbb{Q}_p)$, then the spectrum of the matrix g contains 1 , and hence $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, ($a, b, c, d \in \mathbb{Q}$ and $ad - bc > 0$) is conjugate in $GL_2^+(\mathbb{Q})$ to an upper-triangular matrix (by Linear Algebra) : $\tilde{g}' = \begin{pmatrix} \tilde{a} & \tilde{b} \\ 0 & \tilde{d} \end{pmatrix}$. But then g has no fixed points in \mathbf{H} , since the corresponding Möbius transformation for any upper triangular matrix only has fixpoints in $\bar{\mathbb{R}}$, but not in the upper halfplane \mathbf{H} . Note that this actually implies that the action of $GL_2^+(\mathbb{Q})$ on $\mathbf{H} \times Mat_2(\mathbb{Q}_p)^\times$, where $Mat_2(\mathbb{Q}_p)^\times = Mat_2(\mathbb{Q}_p) \setminus \{0\}$, is free for any prime number p . Although the action of $GL_2^+(\mathbb{Q})$ on $\mathbf{H} \times \{0\}$ is not free , this set can be ignored in the analysis of KMS_β -states for $\beta \neq 0$. This is proved in [5 , Proposition 1.30].
Again , *recall briefly the reason :*

Consider the action of G on $\tilde{X} = X \setminus (\mathbf{H} \times \{0\})$, put $\tilde{Y} = Y \setminus (\mathbf{H} \times \{0\}) \subset \tilde{X}$, and then define $I = C_r^*(\Gamma \backslash G \boxtimes_{\Gamma} \tilde{Y})$. Then I can be considered as an ideal in A , and the quotient algebra A/I is isomorphic to $C_r^*(\Gamma \backslash G \times_{\Gamma} \mathbf{H})$. Now , if φ is a KMS_β state on A , the restriction $\varphi|_I := \varphi_I$ canonically extends to a KMS -functional on the multiplier algebra of I in the following sense : Consider the GNS-representation of $I \subset A$ given by the triple $(H_{\varphi_I}, \pi_{\varphi_I}, \xi_{\varphi_I})$. Then , if we let I_* denote the multiplier algebra of $I \subset A$, the GNS representation : $\pi_{\varphi_I} : I \rightarrow B(H_{\varphi_I})$ canonically extends to $\pi : I_* \rightarrow B(H_{\varphi_I})$, for if $x \in I$, $b \in I_*$, then

$$\pi(b)\pi_{\varphi_I}(x)\xi_{\varphi_I} = \pi(bx)\xi_{\varphi_I} = \pi_{\varphi_I}(bx)\xi_{\varphi_I}$$

Now , if we check that the extension π is bounded on I_* as extension of π_{φ_I} from I to I_* , it is welldefined by the above equation. For this , let

$\{e_i\}$ be an approximate unit in I with $\pi_{\varphi_I}(e_i) \nearrow 1$ in the Strong Operator Topology . Then we have :

$$\pi(b)\pi_{\varphi_I}(x)\xi_{\varphi_I} = \pi(bx)\xi_{\varphi_I} = \lim_i \pi_{\varphi_I}(be_i x)\xi_{\varphi_I} = \lim_i \pi_{\varphi_I}(be_i)\pi_{\varphi_I}(x)\xi_{\varphi_I}$$

from which we conclude that :

$$\|\pi(b)\| \leq \lim_i \|\pi_{\varphi_I}(be_i)\| \leq \lim_i \|be_i\| \leq \|b\|$$

Then what is called the canonical extension of φ_I to $\tilde{\varphi}$ on I_* is defined accordingly ; again if $0 \leq b \in I_*$, and $0 \leq x \in I$:

$$\tilde{\varphi}(b) = (\pi(b)\xi_{\varphi_I}, \xi_{\varphi_I}) = \lim_i \varphi_I(be_i) = \lim_i (\pi(b)\pi_{\varphi_I}(e_i)\xi_{\varphi_I}, \pi_{\varphi_I}(e_i)\xi_{\varphi_I}) = \lim_i \varphi_I(e_i be_i)$$

As $I \subset A \subset I_*$, $\tilde{\varphi}$ is a (positive) *KMS*-functional on A . But then $\tilde{\varphi} \leq \varphi$: For if $a \in A$, $0 \leq a$, then evaluating

$$\begin{aligned} \tilde{\varphi}(a) &= (\pi(a)\xi_{\varphi_I}, \xi_{\varphi_I}) = (\pi(a)^{\frac{1}{2}}\xi_{\varphi_I}, \pi(a)^{\frac{1}{2}}\xi_{\varphi_I}) = \lim_i (\pi_{\varphi_I}(e_i)\pi(a^{\frac{1}{2}})\xi_{\varphi_I}, \pi(a^{\frac{1}{2}})\xi_{\varphi_I}) \\ &= \lim_i (\pi_{\varphi_I}(a^{\frac{1}{2}}e_i a^{\frac{1}{2}})\xi_{\varphi_I}, \xi_{\varphi_I}) \end{aligned}$$

The last equality since $e_i \nearrow 1$ in the strong operator topology. Then further

$$\tilde{\varphi}(a) = \lim_i (\pi_{\varphi_I}(a^{\frac{1}{2}}e_i a^{\frac{1}{2}})\xi_{\varphi_I}, \xi_{\varphi_I}) = \lim_i \varphi_I(a^{\frac{1}{2}}e_i a^{\frac{1}{2}}).$$

Now , since $0 \leq e_i \leq 1$, $\forall i$ we have $a^{\frac{1}{2}}e_i a^{\frac{1}{2}} \leq a^{\frac{1}{2}}a^{\frac{1}{2}} = a$, and thus

$$\varphi_I(a^{\frac{1}{2}}e_i a^{\frac{1}{2}}) = \varphi(a^{\frac{1}{2}}e_i a^{\frac{1}{2}}) \leq \varphi(a).$$

Therefore

$$\tilde{\varphi}(a) = \lim_i \varphi_I(a^{\frac{1}{2}}e_i a^{\frac{1}{2}}) \leq \varphi(a).$$

Thus we get a *KMS*-functional $\tilde{\varphi} \leq \varphi$ on A . If $\tilde{\varphi} \neq \varphi$ then $(\varphi - \tilde{\varphi})$ is a positive nonzero *KMS*-functional on A which vanishes on I . It follows that it factors through the canonical quotient map $q : A \rightarrow A/I$ since it is constant on equivalence classes . Hence we get a *KMS*-state on $A/I \cong C_r^*(\Gamma \backslash G \times_{\Gamma} \mathbf{H})$. By Lemma 1.3 the multiplier algebra of $C_r^*(\Gamma \backslash G \times_{\Gamma} \mathbf{H})$ contains the reduced Hecke C^* -algebra $C_r^*(G, \Gamma)$. The latter algebra contains in turn the C^* -algebra $Z(G)/(Z(G) \cap \Gamma)$, where $Z(G)$ is the center of $GL_2^+(\mathbb{Q})$, that is , the group of scalar matrices. But since the dynamics scales nontrivially some unitaries in this algebra , the algebra can not have any *KMS* $_{\beta}$ -states

for $\beta \neq 0$. This contradiction shows that $\varphi = \tilde{\varphi}$, so that φ is completely determined by φ_I .

Since the action of G on $\tilde{X} = \mathbf{H} \times \text{Mat}_2(\mathbf{A}_f)^\times$, where $\text{Mat}_2(\mathbf{A}_f)^\times = \text{Mat}_2(\mathbf{A}_f) \setminus \{0\}$, is free, we can apply Proposition 2.1 and conclude that there is a *one-to-one correspondence* between KMS_β -weights on I with domain of definition containing $C_c(\Gamma \backslash \tilde{Y})$ and measures μ on $\tilde{Y} = \mathbf{H} \times \text{Mat}_2(\hat{\mathbb{Z}})^\times$ such that :

$$\mu(gZ) = \det(g)^{-\beta} \mu(Z)$$

if both Z and gZ are subsets of \tilde{Y} . Then by Lemma 2.2, we can uniquely extend any such measure to a measure on $\tilde{X} = G\tilde{Y} = \mathbf{H} \times \text{Mat}_2(\mathbf{A}_f)^\times$ such that :

$$\mu(gZ) = \det(g)^{-\beta} \mu(Z)$$

, but now for all $Z \subset \tilde{X}$.

To get a state on $I = C_r^*(\Gamma \backslash G \boxtimes_\Gamma \tilde{Y})$ we need the normalization condition $\mu(\Gamma \backslash \tilde{Y}) = 1$ (that is, the Γ -invariant measure μ on \tilde{Y} defines a probability measure on $\Gamma \backslash \tilde{Y}$). Note also that if $\beta \neq 0$ and we have a measure on $X = \mathbf{H} \times \text{Mat}_2(\mathbf{A}_f)$ with the same properties as above, then $\mathbf{H} \times \text{Mat}_2(\mathbf{A}_f)^\times$ is a subset of full measure, since scalar matrices act trivially on \mathbf{H} and so \mathbf{H} cannot support a measure scaled nontrivially by them.

Summarizing the above discussion we get the following :

Proposition 19 3.2. *For $\beta \neq 0$ there is a one-to-one correspondence between $\sigma - KMS_\beta$ -states on the Connes-Marcholli system and Γ -invariant measures μ on $\mathbf{H} \times \text{Mat}_2(\mathbf{A}_f)$ such that :*

$$\begin{aligned} \mu(\Gamma \backslash \mathbf{H} \times (\text{Mat}_2(\hat{\mathbb{Z}}))) &= 1 \text{ and } \mu(gZ) = \det(g)^{-\beta} \mu(Z) \\ \text{for any } g &\in GL_2^+(\mathbb{Q}) \text{ and compact } Z \subset \mathbf{H} \times \text{Mat}_2(\mathbf{A}_f). \end{aligned}$$

Denote by $\text{Mat}_2^i(\mathbf{A}_f)$ the set of matrices $m = (m_p)_p \in \text{Mat}_2(\mathbf{A}_f)$ such that $\det(m_p) \neq 0$ for every prime p . Notice that $\text{Mat}_2^i(\mathbf{A}_f)$ is the set of *non-zero divisors* in $\text{Mat}_2(\mathbf{A}_f)$. Our next goal is to show that if $\beta \neq 0, 1$ then $\mathbf{H} \times \text{Mat}_2^i(\mathbf{A}_f)$ is a subset of full measure for any measure μ as in Proposition 3.2. First let us recall the following simple properties of the Hecke pair $(G, \Gamma) = (GL_2^+(\mathbb{Q}), SL_2(\mathbb{Z}))$.

Put $\text{Mat}_2^+(\mathbb{Z}) = GL_2^+(\mathbb{Q}) \cap \text{Mat}_2(\mathbb{Z})$.

Lemma 20 3.3. *Every double coset of Γ in $Mat_2^+(\mathbb{Z})$ has an unique representative of the form $\begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}$ with $a, d \in \mathbb{N}$ and $a \mid d$. Furthermore*

$$R_\Gamma \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} = \frac{d}{a} \prod_{p \text{ prime } : p \mid d} (1 + p^{-1}) ,$$

and as representatives of the right cosets of Γ contained in $\Gamma \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \Gamma$ we can take the matrices :

$$\begin{pmatrix} ak & am \\ 0 & al \end{pmatrix}$$

with $k, l \in \mathbb{N}$ and $m \in \mathbb{Z}$ such that $kl = d/a$, $0 \leq m \leq l$ and $\gcd(k, l, m) = 1$.

In particular, $R_\Gamma(g) = R_\Gamma(g^{-1})$, for every $g \in GL_2^+(\mathbb{Q})$.

Before the proof of the above Lemma, let us recall the following facts from matrix factorization and elementary number theory taken from A. Krieg :

Fact 1 (Lemma)

Given $0 \neq \begin{pmatrix} a \\ c \end{pmatrix} \in \mathbb{Z}^2$, there exist $\mathbf{U} \in \Gamma$ satisfying :

$$\mathbf{U} \begin{pmatrix} a \\ c \end{pmatrix} = \begin{pmatrix} \delta \\ 0 \end{pmatrix} , \delta = \gcd(a, c).$$

Proof :

We may replace $\begin{pmatrix} a \\ c \end{pmatrix}$ by $\frac{1}{\delta} \cdot \begin{pmatrix} a \\ c \end{pmatrix} \in \mathbb{Z}^2$ and therefore assume $\gcd(a, c) = 1$ without restriction. Hence there exist $b, d \in \mathbb{Z}$ such that $ad - bc = 1$. Now choose

$$\mathbf{U} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} \in \Gamma.$$

Fact 2 (Proposition)

Given $A \in Mat_2(\mathbb{Z})$, the right coset ΓA contains an unique representative of the form :

$$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}, \quad a, d \in \mathbb{N}, \quad 0 \leq b < d.$$

This immediately leads to the

Fact 3 (Corollary)

Given $l \in \mathbb{N}$ the set $M(l) = \{A \in Mat_2(\mathbb{Z}) \mid \det A = l\}$ decomposes into :

$$\sigma_1(l) := \sum_{d \in \mathbb{N}, d|l} d$$

right cosets relative to Γ . A set of representatives is given by :

$$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}, \quad \text{where } d \in \mathbb{N}, \quad d \mid l, \quad 0 \leq b < d \quad \text{and} \quad a = \frac{l}{d}.$$

And : In particular ($SL_2(\mathbb{Z}), GL_2^+(\mathbb{Q})$) is a Hecke pair .

Proof : The first part follows by applying the above Proposition . For the second part ; Given $A \in GL_2^+(\mathbb{Q})$, choose $\alpha \in \mathbb{N}$ such that $\alpha A \in Mat_2^+(\mathbb{Z})$. The assertion follows from $\sharp(\Gamma \backslash \Gamma A \Gamma) = \sharp(\Gamma \backslash \Gamma \alpha A \Gamma)$.

Fact 4 (Proposition 2)

Given $A \in Mat_2^+(\mathbb{Z})$ the right coset ΓA contains an unique representative of the form :

$$\begin{pmatrix} a & 0 \\ c & d \end{pmatrix}, \quad a, d \in \mathbb{N}, \quad 0 \leq c < a.$$

Proof. (Omitted)

Fact 5 (Observation)

Now , let $\delta(A) := \gcd$ of the entries of A , whenever A is a non-zero integral matrix . Then : $\delta(A)\delta(B) \mid \delta(AB)$, holds for all $A, B \in Mat_2^+(\mathbb{Z})$. Another well-known number theoretical assertion we need is :

Fact 6

Let $a, c, d \in \mathbb{Z}$ such that $a \neq 0$ and $\gcd(a, c, d) = 1$. Then there exist an integer $x \in \mathbb{Z}$ satisfying

$$\gcd(a, c + xd) = 1.$$

Proof. a) The *uniqueness* of the entries a, d in Lemma 3.3. follows from the latter Observation. For the *existence*, we may assume $\delta(A) = 1$, since A can otherwise be replaced by $\frac{1}{\delta(A)} \cdot A$. In view of *Fact 4* (*Proposition 2*), we may already suppose that A has the form :

$$\begin{pmatrix} a & 0 \\ c & d \end{pmatrix}, \quad a > 0, \quad d > 0, \quad \gcd(a, c, d) = 1.$$

Next apply *Fact 6* and determine $x \in \mathbb{Z}$ with $\gcd(a, c + xd) = 1$. The entries of the first column of :

$$\bar{A} = \begin{pmatrix} a & 0 \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ x & 1 \end{pmatrix} = \begin{pmatrix} a & 0 \\ c + xd & d \end{pmatrix}$$

are relatively prime. Due to the Lemma (*Fact 1*), there exist $\mathbf{U} \in \Gamma$ such that :

$$\mathbf{U}\bar{A} = \begin{pmatrix} 1 & \bar{b} \\ 0 & ad \end{pmatrix}.$$

Now choose $\mathbf{V} = \begin{pmatrix} 1 & -\bar{b} \\ 0 & 1 \end{pmatrix} \in \Gamma$ to get :

$$\mathbf{U}\bar{A}\mathbf{V} = \begin{pmatrix} 1 & \bar{b} \\ 0 & ad \end{pmatrix} \begin{pmatrix} 1 & -\bar{b} \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \in \Gamma A \Gamma.$$

b) By the first part, it suffices to consider $\Gamma \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}$. Since $a \mid d$, $\begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \in \text{Mat}_2^+(\mathbb{Z})$ and from *Fact 2*, $\Gamma \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}$ possesses an unique representative of the form $\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$, $a, d \in \mathbb{N}$ and $0 \leq b < d$.

Invoke the Corollary (*Fact*), second part above to get that a set of representatives of the right cosets of Γ contained in $\Gamma \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \Gamma$ is given by $\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$, where $d \in \mathbb{N}$, $d \mid l$, $0 \leq b < d$ and $a = l/d$.

This is equivalent to the statement which is to be proved here if : As $a \mid d$, let $\frac{d}{a} = p_1^{k_1} \dots p_n^{k_n} \dots$, $(1 \leq k_i)$. , we see that if p is a prime such that $pa \mid d$, then $p \in \{p_1, p_2, \dots, p_n\}$, so by counting the number of representatives of the form : $\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$, $a, d \in \mathbb{N}$ and $0 \leq b < d$ and $ad = l$ such that $d \mid l$, we get that it equals : $\frac{d}{a} \prod_{p \text{ prime} : pa \mid d} (1 + p^{-1})$. Therefore this

set of representatives could be explicitly given as : $\begin{pmatrix} ak & am \\ 0 & al \end{pmatrix}$, with $k, l \in \mathbb{N}$ and $m \in \mathbb{Z}$ such that $kl = d/a$ and $\gcd(k, l, m) = 1$.

The last statement , that $R_\Gamma(g) = R_\Gamma(g^{-1})$ for every $g \in GL_2^+(\mathbb{Q})$ follows from the fact that for every $g \in GL_2^+(\mathbb{Q})$, there exist $\alpha \in \mathbb{N}$ such that $\alpha g \in Mat_2^+(\mathbb{Z})$. Hence , since

$$\# (\Gamma \backslash \Gamma g \Gamma) = \# (\Gamma \backslash \Gamma \alpha g \Gamma)$$

and $\begin{pmatrix} ak & am \\ 0 & al \end{pmatrix}^{-1} = \begin{pmatrix} ak & 0 \\ am & al \end{pmatrix} \cdot \frac{1}{a^2 kl}$, so in view of Fact 4 above we see that : $\# (\Gamma \backslash \Gamma g \Gamma) = \# (\Gamma \backslash \Gamma g^{-1} \Gamma)$.

■

For a prime p put $G_p = GL_2^+(\mathbb{Z}[p^{-1}]) \subset GL_2^+(\mathbb{Q})$. Observe that if $g \in G_p$ then $\det(g)$ is a power of p , and if we multiply g by a sufficiently large power of $\begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix}$, we get an element in $Mat_2^+(\mathbb{Z})$ with determinant a power of p . But by Lemma 3.3 the double coset of Γ containing such an element has a (unique) representative of the form : $\begin{pmatrix} p^k & 0 \\ 0 & p^l \end{pmatrix}$, $0 \leq k \leq l$. We may therefore conclude that G_p is the subgroup of $GL_2^+(\mathbb{Q})$ generated by Γ and $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$. This since : $\Gamma \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \Gamma = \Gamma \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \Gamma$ and if we set $g = \begin{pmatrix} p^{-l} & 0 \\ 0 & p^{-l} \end{pmatrix} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$ we see that $\Gamma \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \Gamma = \sqcup_{i=0}^{p-1} \Gamma \begin{pmatrix} 1 & i \\ 0 & p \end{pmatrix}$ and hence $\Gamma g \Gamma = \sqcup_{i=0}^{p-1} \Gamma \left(\begin{pmatrix} p^{-l} & 0 \\ 0 & p^{-l} \end{pmatrix} \begin{pmatrix} 1 & i \\ 0 & p \end{pmatrix} \right)$. As matrices of the form $\begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}$, where $a \mid d$ constitutes a basis for the double coset decomposition of $G_p = GL_2^+(\mathbb{Z}[p^{-1}]) \subset GL_2^+(\mathbb{Q})$, we get that Γ and $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ generates G_p . Furthermore , using the fact that a positive rational number is a power of p if and only if it belongs to the group of units \mathbb{Z}_q^* of the ring \mathbb{Z}_q for

all primes $q \neq p$, we may also conclude that $g \in GL_2^+(\mathbb{Q})$ belongs to G_p if and only if it belongs to $GL_2(\mathbb{Z}_q)$ for all $q \neq p$.

Lemma 21 3.4. *We have $GL_2(\mathbb{Q}_p) = G_p GL_2(\mathbb{Z}_p)$.*

Proof. Let $r \in GL_2(\mathbb{Q}_p)$. Then $r\mathbb{Z}_p^2$ is a \mathbb{Z}_p -lattice in \mathbb{Q}_p^2 , that is, an open compact \mathbb{Z}_p -submodule. By [22, Theorem V.2] there exist a subgroup $L \cong \mathbb{Z}^2$ of \mathbb{Q}^2 , such that the closure of L in \mathbb{Q}_p^2 coincides with $r\mathbb{Z}_p^2$, and the closure of L in \mathbb{Q}_q^2 is \mathbb{Z}_q^2 for $q \neq p$.

Choose $g \in GL_2^+(\mathbb{Q})$ such that $g\mathbb{Z}^2 = L$. Since $g\mathbb{Z}_p^2 = r\mathbb{Z}_p^2$, we have $g^{-1}r \in GL_2(\mathbb{Z}_p)$. Since $g\mathbb{Z}_q^2 = \mathbb{Z}_q^2$ for $q \neq p$, we also have $g \in GL_2(\mathbb{Z}_q)$. Hence $g \in G_p$. ■

Lemma 22 3.5. *Let p be a prime and μ_p a Γ -invariant measure on $\mathbf{H} \times Mat_2(\mathbb{Q}_p)$ such that*

$$\mu_p(\mathbf{H} \times \{0\}) = 0, \quad \mu_p(\Gamma \backslash (\mathbf{H} \times Mat_2(\mathbb{Z}_p))) < \infty \quad \text{and} \quad \mu_p(gZ) = \det(g)^{-\beta} \mu_p(Z)$$

for $g \in G_p$ and $Z \subset \mathbf{H} \times Mat_2(\mathbb{Q}_p)$. If $\beta \neq 1$, then the set $(\mathbf{H} \times GL_2(\mathbb{Q}_p))$ is a subset of full measure in $\mathbf{H} \times Mat_2(\mathbb{Q}_p)$.

Proof. Denote by $\tilde{\nu}$ the measure on $\Gamma \backslash (\mathbf{H} \times Mat_2(\mathbb{Q}_p))$ defined by the Γ -invariant measure μ_p . For a Γ -invariant subset $Z \subset Mat_2(\mathbb{Q}_p)$, the set $\mathbf{H} \times Z$ is Γ -invariant. We can thus define a measure ν on the σ -algebra of Γ -invariant Borel subsets of $Mat_2(\mathbb{Q}_p)$ by $\nu(Z) = \tilde{\nu}(\Gamma \backslash (\mathbf{H} \times Z))$. Note that since the action of Γ on $Mat_2(\mathbb{Q}_p)$ is not proper and, accordingly, the quotient space $\Gamma \backslash Mat_2(\mathbb{Q}_p)$ is quite bad, we do not want to consider Γ -invariant subsets of $Mat_2(\mathbb{Q}_p)$ as subsets of this quotient space and do not try to define a measure on all Borel subsets of $Mat_2(\mathbb{Q}_p)$ out of ν .

If $g \in G_p$ and f is a positive Borel Γ -invariant function on $Mat_2(\mathbb{Q}_p)$ then by Lemma 2.6 applied to the function $F : (\tau, m) \mapsto f(m)$ on $\Gamma \backslash (\mathbf{H} \times Mat_2(\mathbb{Q}_p))$ we conclude that

$$\int_{Mat_2(\mathbb{Q}_p)} T_g f \, d\nu = \int_{\Gamma \backslash (\mathbf{H} \times Mat_2(\mathbb{Q}_p))} T_g F \, d\tilde{\nu} = \det(g)^\beta \int_{\Gamma \backslash (\mathbf{H} \times Mat_2(\mathbb{Q}_p))} F \, d\tilde{\nu} = \det(g)^\beta \int_{Mat_2(\mathbb{Q}_p)} f \, d\nu$$

By assumption we also have $\nu(Mat_2(\mathbb{Z}_p)) < \infty$. We have to show that the measure of the set of nonzero singular matrices is zero.

We claim that the set of nonzero singular matrices with coefficients in \mathbb{Q}_p is the disjoint union of the sets :

$$Z_k = SL_2(\mathbb{Z}_p) \begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix} GL_2(\mathbb{Z}_p), \quad k \in \mathbb{Z}.$$

This is proved in a standard way : given a nonzero singular matrix we use multiplication by elements of $GL_2(\mathbb{Z}_p)$ on the right to get a matrix with zero first column , and then multiplication by elements of $SL_2(\mathbb{Z}_p)$ on the left to get the required form . To show that the sets do not intersect , observe that the maximum of the p-adic valuations of the coefficient of a matrix does not change under multiplication by elements g of $GL_2(\mathbb{Z}_p)$ on either side , since if the maximum of the p-adic valuations should change , then such a g must lie in $GL_2^+(\mathbb{Z}[p^{-1}])$. We saw above that this is equivalent to $g \in GL_2(\mathbb{Z}_q)$ for all $q \neq p$. But then the coefficients of $g \notin \mathbb{Z}_p$, which is a contradiction.

Consider the functions $f_k = 1_{Z_k}$, $k \in \mathbb{Z}$. For $g = \begin{pmatrix} 1 & 0 \\ 0 & p^{-1} \end{pmatrix}$ we claim that

$$T_g f_0 = \frac{1}{p+1} f_0 + \frac{p}{p+1} f_1.$$

Indeed , since the action of G_p commutes with the right action of $GL_2(\mathbb{Z}_p)$, the function $T_g f_0$ is $GL_2(\mathbb{Z}_p)$ -invariant . $f_0 = 1_{SL_2(\mathbb{Z}_p)} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}_{GL_2(\mathbb{Z}_p)}$. As

$Z_0 = \sqcup_{A \in GL_2(\mathbb{Z}_p)} SL_2(\mathbb{Z}_p) \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} A$ is the sum of right coset of $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} A$

with respect to $SL_2(\mathbb{Z}_p)$. We have $\Gamma \begin{pmatrix} 1 & 0 \\ 0 & p^{-1} \end{pmatrix} \Gamma = \sqcup_{i=1}^n \Gamma h_i$, so $(T_g f_0)(x) = \frac{1}{R_\Gamma(g)} \sum_{i=1}^n f_0(h_i x)$ does not depend on the choice of representatives $h_i \in \Gamma g \Gamma$.

On the other hand , the sets Z_k are clopen subsets of the set of singular matrices (see * below) , so that the function f_0 is continuous on this set . But then $T_g f_0$ is also continuous . Since f_0 is right $GL_2(\mathbb{Z}_p)$ -invariant , $T_g f_0$ is right $GL_2(\mathbb{Z}_p)$ -invariant . Furthermore f_0 is left $GL_2(\mathbb{Z}_p)$ -invariant and hence also Γ -invariant as $\Gamma \subset GL_2(\mathbb{Z}_p)$. Therefore $T_g f_0$ is left Γ -invariant . As Γ is dense in $SL_2(\mathbb{Z}_p)$, and $T_g f_0$ is continuous , we conclude that $T_g f_0$ is left $SL_2(\mathbb{Z}_p)$ -invariant since if $\gamma_n \in \Gamma$ and

$$\gamma_n \rightarrow \gamma \in SL_2(\mathbb{Z}_p)$$

then

$$(T_g f)(\gamma_n x) \rightarrow_n (T_g f)(\gamma x).$$

Hence $T_g f_0$ is constant on the sets Z_k . So to prove the claim that $T_g f_0 = \frac{1}{p+1} f_0 + \frac{p}{p+1} f_1$, it suffices to check it on the matrices : $\begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix}$, $k \in \mathbb{Z}$. Since $g = \begin{pmatrix} 1 & 0 \\ 0 & p^{-1} \end{pmatrix} = \begin{pmatrix} p^{-1} & 0 \\ 0 & p^{-1} \end{pmatrix} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$, by Lemma 3.3 we can

take the matrices

$$\begin{pmatrix} 1 & 0 \\ 0 & p^{-1} \end{pmatrix}, \begin{pmatrix} p^{-1} & np^{-1} \\ 0 & 1 \end{pmatrix}, 0 \leq n \leq p-1,$$

as representatives of the right cosets of Γ contained in $\Gamma g \Gamma$. Then

$$(T_g f_0) \begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix} = \frac{1}{p+1} f_0 \left(\begin{pmatrix} 1 & 0 \\ 0 & p^{-1} \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix} \right) + \frac{1}{p+1} \sum_{n=0}^{p-1} f_0 \left(\begin{pmatrix} p^{-1} & np^{-1} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix} \right)$$

Since the matrices $\begin{pmatrix} 0 & 0 \\ 0 & p^{k-1} \end{pmatrix}$ and $\begin{pmatrix} 0 & np^{k-1} \\ 0 & p^k \end{pmatrix}$, $1 \leq n \leq p-1$, belong to Z_{k-1} , we see that

$$T_g f_0|_{Z_1} = \frac{p}{p+1}, T_g f_0|_{Z_0} = \frac{1}{p+1} \text{ and } T_g f_0|_{Z_k} = 0 \text{ for } k \neq 0, 1.$$

This is exactly what was claimed .

It follows from (3.1) that

$$p^{-\beta} \nu(Z_0) = \frac{1}{p+1} \nu(Z_0) + \frac{p}{p+1} \nu(Z_1).$$

On the other hand , for $g = \begin{pmatrix} p^{-1} & 0 \\ 0 & p^{-1} \end{pmatrix}$ we get $T_g f_k = f_{k+1}$, so that

$$p^{-2\beta} \nu(Z_k) = \nu(Z_{k+1}).$$

If $\nu(Z_0) \neq 0$ this implies that $p^{-\beta}$ is a solution of the quadratic equation

$$(p+1)x = 1 + px^2,$$

Thus either $p^{-\beta} = p^{-1}$ or $p^{-\beta} = 1$. Since $\beta \neq 1$ we get $\beta = 0$. But then $\nu(Z_k) = \nu(Z_0)$ for any k , and this contradicts $\nu(\text{Mat}_2(\mathbb{Z}_p)) < \infty$. The contradiction shows that $\nu(Z_0) = 0$ for any k , and *we conclude that the measure of the set of singular matrices is zero.*

(*) To see that the sets Z_k are clopen , define a function :

$$\begin{aligned} h & : \{ \text{nonzero singular matrices} \} \rightarrow \mathbb{R} \{ p^k \}_{k \in \mathbb{Z}} \\ h(A) & = \max_{i,j} \|a_{i,j}\|_p, \text{ for } A = (a_{i,j}) \text{ a nonzero singular matrix.} \end{aligned}$$

As h is a continuous function and

$$Z_k = SL_2(\mathbb{Z}_p) \begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix} GL_2(\mathbb{Z}_p) = h^{-1}(\{p^{-k}\}) = h^{-1}((p^{-k-1}, p^{-k+1}))$$

, we see that the sets Z_k are open .

On the other hand , for every $k \in \mathbb{Z}$ the sets $Z_k = SL_2(\mathbb{Z}_p) \begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix} GL_2(\mathbb{Z}_p)$ is the image of the compact space $SL_2(\mathbb{Z}_p) \times GL_2(\mathbb{Z}_p)$ under the map : $(A, B) \rightarrow A \begin{pmatrix} 0 & 0 \\ 0 & p^k \end{pmatrix} B$, and hence can be considered as closed sets . ■

We are now ready to show that for $\beta \neq 0, 1$ the set $Mat_2(\mathbf{A}_f) \setminus Mat_2^i(\mathbf{A}_f)$ of zero-divisors has measure zero .

Corollary 23 3.6. *Assume $\beta \neq 0, 1$ and μ is a measure with properties as in Proposition 3.2. Then $\mathbf{H} \times Mat_2^i(\mathbf{A}_f)$ is a subset of full measure in $\mathbf{H} \times Mat_2(\mathbf{A}_f)$.*

Proof. Fix a prime p . First of all note that the set

$$\{(\tau, m) \in \mathbf{H} \times Mat_2(\mathbf{A}_f) \mid m_p = 0\}$$

has measure zero. Indeed , as we already remarked before Proposition 3.2 , the set $\mathbf{H} \times \{0\}$ has measure zero . So if our claim is not true , the set

$$\left\{(\tau, m) \in \mathbf{H} \times Mat_2(\hat{\mathbb{Z}})^\times \mid m_p = 0\right\}$$

has positive measure . Since the action of Γ on this set is free , there is a subset U of positive measure such that $\gamma U \cap U = \emptyset$ for $\gamma \in \Gamma$, $\gamma \neq e$. Then for $g = \begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix}$ the set $U_k = g^k U$, $k \in \mathbb{Z}$ still has the property that $\gamma U_k \cap U_k = \emptyset$ for $\gamma \in \Gamma$, $\gamma \neq e$, since g commutes with Γ . As U_k is contained in $\mathbf{H} \times Mat_2(\hat{\mathbb{Z}})$, it follows that $\mu(U_k) \leq 1$. On the other hand , $\mu(U_k) = p^{-2\beta k} \mu(U)$. Letting $k \rightarrow -\infty$ if $\beta > 0$ and $k \rightarrow +\infty$ if $\beta < 0$, we get a contradiction.

Consider now the restriction of μ to the set

$$\mathbf{H} \times Mat_2(\mathbb{Q}_p) \times \prod_{q \neq p} Mat_2(\mathbb{Z}_q) ,$$

and use the projection onto the first two factors to get a measure μ_p on $\mathbf{H} \times Mat_2(\mathbb{Q}_p)$. By the first part of the proof the set $\mathbf{H} \times \{0\}$ has μ_p -measure zero. Since the image of G_p in $GL_2(\mathbb{Q}_q)$ lies in $GL_2(\mathbb{Z}_q)$ for $q \neq p$, the scaling property of μ implies that

$$\mu_p(gZ) = \det(g)^{-1} \mu_p(Z) \text{ for } Z \subset \mathbf{H} \times Mat_2(\mathbb{Q}_p) , g \in G_p.$$

Since the action of Γ on $\mathbf{H} \times Mat_2(\mathbb{Q}_p)^\times$ is free, the normalization condition on μ implies that $\mu_p(\Gamma \backslash (\mathbf{H} \times Mat_2(\mathbb{Z}_p))) = 1$. Thus μ_p satisfies the assumptions of Lemma 3.5. Hence $\mathbf{H} \times GL_2(\mathbb{Q}_p)$ is a set of full μ_p -measure. This means that the set of points $(\tau, m) \in \mathbf{H} \times Mat_2(\hat{\mathbb{Z}})$ with $\det(m_p) = 0$ has μ -measure zero. By taking the union of such sets for all primes p and multiplying it by elements of $GL_2^+(\mathbb{Q})$ we get a set of measure zero, which is the complement of the set $\mathbf{H} \times Mat_2^i(\mathbf{A}_f)$. ■

To get further properties of a measure μ as above, let us recall the following well-known computation. Denote by S_p the semigroup $G_p \cap Mat_2^+(\mathbb{Z})$. Alternatively, S_p is the set of elements $m \in Mat_2^+(\mathbb{Z})$ with determinant a non-negative power of p . Then from Lemma 3.3 we know that as representatives of the right cosets of Γ in S_p we can take the matrices $\begin{pmatrix} p^k & m \\ 0 & p^l \end{pmatrix}$, $0 \leq k, l$, $0 \leq m < p^l$. Therefore

$$\begin{aligned} \zeta_{s_p, \Gamma}(\beta) &= \sum_{s \in \Gamma \backslash S_p} \det(s)^{-\beta} = \sum_{k, l=0}^{\infty} p^{-\beta(k+l)} p^l = \\ &+\infty, \text{ if } \beta \leq 1, \text{ and } (1 - p^{-\beta})^{-1} (1 - p^{-\beta+1})^{-1}, \text{ if } \beta > 1. \end{aligned} \quad (3.2)$$

Since $\Gamma = G_p \cap GL_2(\mathbb{Z}_p)$, we can apply Lemma 2.7 to the group G_p acting on $\mathbf{H} \times Mat_2(\mathbf{A}_f)^\times$ and the set

$$Y_0 = \mathbf{H} \times GL_2(\mathbb{Z}_p) \times \prod_{q \neq p} Mat_2(\mathbb{Z}_q).$$

Then for any $s \in S_p$ we get

$$\mu(\Gamma \backslash \Gamma s Y_0) = \det(s)^{-\beta} R_\Gamma(s) \mu(\Gamma \backslash Y_0).$$

The sets $\Gamma s Y_0$ are disjoint for s in different double cosets of Γ , and their union is the set

$$\mathbf{H} \times Mat_2^i(\mathbb{Z}_p) \times \prod_{q \neq p} Mat_2(\mathbb{Z}_q),$$

where $Mat_2^i(\mathbb{Z}_p) = Mat_2(\mathbb{Z}_p) \cap GL_2(\mathbb{Q}_p)$. By Corollary 3.6 the above set is a subset of $\mathbf{H} \times Mat_2(\hat{\mathbb{Z}})$ of full measure for $\beta \neq 0, 1$. Therefore we obtain:

$$1 = \sum_{s \in \Gamma \backslash S_p / \Gamma} \mu(\Gamma \backslash \Gamma s Y_0) = \sum_{s \in \Gamma \backslash S_p / \Gamma} \det(s)^{-\beta} R_\Gamma(s) \mu(\Gamma \backslash Y_0) = \zeta_{s_p, \Gamma}(\beta) \mu(\Gamma \backslash Y_0). \quad (3.3)$$

This gives a contradiction if $\beta < 1$. Thus for $\beta < 1$, $\beta \neq 0$, there are no KMS_β -states. On the other hand, for $\beta > 1$ we get:

$$\mu(\Gamma \backslash Y_0) = \zeta_{s_p, \Gamma}(\beta)^{-1} = (1 - p^{-\beta})(1 - p^{-\beta+1}).$$

Assuming now that $\beta > 1$ we can perform a similar computation for any finite set of primes instead of just one prime . Given a finite set F of primes consider the group G_F generated by G_p for all $p \in F$. Put also $S_F = Mat_2^+(\mathbb{Z}) \cap G_F$. Then S_F is the set of matrices $m \in Mat_2^+(\mathbb{Z})$ such that all prime divisors of $\det(m)$ belong to F . Let

$$Y_F = \mathbf{H} \times \left(\prod_{p \in F} GL_2(\mathbb{Z}_p) \right) \times \left(\prod_{q \notin F} Mat_2(\mathbb{Z}_q) \right).$$

Then a computation similar to (3.2) and (3.3) yields :

$$\zeta_{S_F, \Gamma}(\beta) = \prod_{p \in F} (1-p^{-\beta})^{-1} (1-p^{-\beta+1})^{-1} \text{ and } \mu(\Gamma \backslash Y_F) = \prod_{p \in F} (1-p^{-\beta})(1-p^{-\beta+1}). \quad (3.4)$$

The intersection of the sets Y_F over all finite subsets F of prime numbers is the set $\mathbf{H} \times GL_2(\hat{\mathbb{Z}})$. So for $\beta > 2$ we get :

$$\mu(\Gamma \backslash (\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))) = \prod_p (1-p^{-\beta})(1-p^{-\beta+1}) = \zeta(\beta)^{-1} \zeta(\beta-1)^{-1},$$

where ζ is the Riemann ζ -function . On the other hand , for $\beta \in (1, 2]$ we get $\mu(\Gamma \backslash (\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))) = 0$.

Assume now that $\beta > 2$. In this case similarly to (3.2) we have

$$\zeta_{Mat_2^+(\mathbb{Z}), \Gamma}(\beta) = \zeta(\beta) \zeta(\beta-1).$$

So analogously to (3.3) we get

$$\mu(\Gamma \backslash Mat_2^+(\mathbb{Z})(\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))) = \zeta_{Mat_2^+(\mathbb{Z}), \Gamma}(\beta) \mu(\Gamma \backslash (\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))) = 1.$$

We thus see that $Mat_2^+(\mathbb{Z})(\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))$ is a subset of $\mathbf{H} \times Mat_2(\hat{\mathbb{Z}})$ of full measure . Hence $GL_2^+(\mathbb{Q})(\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))$ is a subset of $\mathbf{H} \times Mat_2(\mathbf{A}_f)$ of full measure . By Lemma 3.4 the set $GL_2^+(\mathbb{Q})(\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))$ is nothing but $\mathbf{H} \times GL_2(\mathbf{A}_f)$.

To summarize , we have shown that for $\beta > 2$ the problem of finding all measures μ on $\mathbf{H} \times Mat_2(\mathbf{A}_f)$ satisfying the conditions in Proposition 3.2 reduces to finding all measures on $\mathbf{H} \times GL_2(\mathbf{A}_f)$ such that

$$\mu(gZ) = \det(g)^{-\beta} \mu(Z) \text{ and } \mu(\Gamma \backslash (\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))) = \zeta(\beta)^{-1} \zeta(\beta-1)^{-1}.$$

By Lemma 2.4 any Γ -invariant measure on $\mathbf{H} \times GL_2(\hat{\mathbb{Z}})$ extends uniquely to a measure on $\mathbf{H} \times GL_2(\mathbf{A}_f)$ satisfying the scaling condition . Thus we

get a *one-to-one correspondence* between measures μ with properties as in Proposition 3.2 and measures on $\Gamma \backslash (\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))$ of total mass $\zeta(\beta)^{-1} \zeta(\beta - 1)^{-1}$. Clearly, extremal measures μ correspond to point masses.

We have thus recovered the following result of Connes and Marcolli [5, Theorem 1.26 and Corollary 1.27].

Theorem 24 3.7. *For the Connes-Marcolli GL_2 -system we have :*

- (ι) *for $\beta \in (-\infty, 0) \cup (0, 1)$ there are no KMS_β -states ;*
- (ι) *for $\beta > 2$ there is a one-to-one affine correspondence between KMS_β -states and probability measures on $\Gamma \backslash (\mathbf{H} \times GL_2(\hat{\mathbb{Z}}))$; in particular, extremal KMS_β -states are in bijection with Γ -orbits in $\mathbf{H} \times GL_2(\hat{\mathbb{Z}})$.*