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# THE STRUCTURE OF $Simp_{<\infty}(A)$ FOR FINITELY GENERATED k-ALGEBRAS A.

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**Introduction.** Let k be any field, most often assumed to be algebraically closed, and consider a finitely generated k-algebra A. Let

$$Simp_{<\infty}(A) = \bigcup_n Simp_n(A)$$

be the set of (iso-classes of) finite dimensional simple right A-modules. An n-dimensional simple A-module  $V \in Simp_n(A)$  defines a surjective homomorphism of k-algebras,  $\rho: A \to End_k(V)$ , the kernel of which is a two-sided maximal ideal  $\mathfrak{m}_V$ , of A. Let  $Max_{\leq \infty}$  be the set of all such maximal ideals of A, for  $n \geq 1$ . To exclude some strange and for our purposes non-interesting cases, we shall assume that A has the following property:

$$Rad(A)^{\infty} := \bigcap_{\mathfrak{m} \in Max_{<\infty}(A), n \ge 0} \mathfrak{m}^n = 0$$

For want of a better name, we shall call such algebras geometric. It is easy to see that any finitely generated left (or right) Noetherian k-algebra A is geometric. The condition above is actually satisfied for most finitely generated k-algebras that we have come across and, in particular, for the free k-algebra on d symbols,  $A = k < x_1, x_2, ..., x_d >$ , see the example (4.19) of [La 1].

We shall be concerned with the structure of the individual  $Simp_n(A)$ ,  $n \geq 1$ , and we shall construct natural completions  $Simp_{\Gamma}(A)$ , of the scheme  $Simp_n(A)$ , adding indecomposable modules. We shall also see that the scheme of indecomposable two-dimensional representations induces interesting correspondences for hypersurfaces, and in particular for plane curves. The study of  $Ind_{\Gamma}(A) := Simp_{\Gamma}(A) - Simp_{n}(A)$  may also throw light on the classical McKay correspondence. As a tool for studying  $Simp_{\Gamma}(A)$  we introduce the Jordan morphism, and corresponding generalizations of the Deligne-Simpson problem. Finally we shall discuss to what extent the the family  $\{Simp_n(A)\}_{n\geq 1}$  of schemes determine the globale structure of A. In particular, are the K-groups (resp. the cyclic homology) of A determined by the K-groups, (resp. the de Rham cohomology) of the different  $Simp_n(A)$ ? Conversely, what can we

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learn about the de Rham cohomology of  $Simp_n(A)$ , knowing the cyclic cohomology of A?

This paper is meant as an introduction to a more comprehensive study of non-commutative plane curves, see [Jø-La-Sl].

Some general results. In [La 1] we introduced non-commutative deformations of families of modules of non-commutative k-algebras, and the notion of swarm of right modules (or more generally of objects in a k-linear abelian category). Let  $\underline{a}_r$  denote the category of r-pointed not necessarily commutative k-algebras R. The objects are the diagrams of k-algebras,

$$k^r \stackrel{\iota}{\to} R \stackrel{\rho}{\to} k^r$$

such that the composition of  $\iota$  and  $\rho$  is the identity. Any such r-pointed k-algebra R is isomorphic to a k-algebra of  $r \times r$ -matrices  $(R_{i,j})$ . The radical of R is the bilateral ideal  $Rad(R) := ker \rho$ , such that  $R/Rad(R) \simeq k^r$ . The dual k-vectorspace of  $Rad(R)/Rad(R)^2$  is called the tangent space of R.

For r=1, there is an obvious inclusion of categories

$$\underline{l} \subseteq \underline{a}_1$$

where  $\underline{l}$ , as usual, denotes the category of commutative local artinian k-algebras with residue field k.

Fix a not necessarily commutative k-algebra A and consider a right A-module M. The ordinary deformation functor

$$Def_M: \underline{l} \to \underline{Sets}$$

is then defined. Assuming  $Ext_A^i(M,M)$  has finite k-dimension for i=1,2, it is well known, see [Sch], or [La 0], that  $Def_M$  has a noetherian prorepresenting hull H, the formal moduli of M. Moreover, the tangent space of H is isomorphic to  $Ext_A^i(M,M)$ , and H can be computed in terms of  $Ext_A^i(M,M)$ , i=1,2 and their matric Massey products, see [La 0].

In the general case, consider a finite family  $\mathcal{V} = \{V_i\}_{i=1}^r$  of right A-modules. Assume that,

$$dim_k Ext^1_A(V_i, V_i) < \infty$$
.

Any such family of A-modules will be called a swarm. Define a deformation functor,

$$Def_{\mathcal{V}}: \underline{a}_r \to \underline{Sets}$$

generalizing the functor  $Def_M$  above. Given an object  $\rho: R = (R_{i,j}) \to k^r$  of  $\underline{a}_r$ , consider the k-vectorspace and R-left module  $(R_{i,j} \otimes_k V_j)$ .  $\rho$  defines a k-linear and left R-linear map,

$$\rho(R): (R_{i,j} \otimes_k V_i) \to \bigoplus_{i=1}^r V_i,$$

inducing a homomorphism of R-endomorphism rings.

$$\tilde{\rho}(R): (R_{i,j} \otimes_k Hom_k(V_i, V_j)) \to \bigoplus_{i=1}^r End_k(V_i).$$

The right A-module structure on the  $V_i$ 's is defined by a homomorphism of k-algebras,  $\eta_0: A \to \bigoplus_{i=1}^r End_k(V_i)$ . Let

$$Def_{\mathcal{V}}(R) \in \underline{Sets}$$

be the isoclasses of homomorphisms of k-algebras.

$$\eta': A \to (R_{i,j} \otimes_k Hom_k(V_i, V_j))$$

such that,

$$\tilde{\rho}(R) \circ \eta' = \eta_0,$$

where the equivalence relation is defined by inner automorphisms in the k-algebra  $(R_{i,j} \otimes_k Hom_k(V_i, V_j))$ . One easily proves that  $Def_{\mathcal{V}}$  has the same properties as the ordinary deformation functor and we prove the following, see [La 1-2, (2.6)]:

**Theorem 1.** The functor  $Def_{\mathcal{V}}$  has a prorepresentable hull, i.e. an object H of the category of pro-objects  $\underline{\hat{a}}_r$  of  $\underline{a}_r$ , together with a versal family,

$$\tilde{V} = (H_{i,j} \otimes V_j) \in \lim_{\substack{k \ge 1 \\ n \ge 1}} Def_{\mathcal{V}}(H/\mathfrak{m}^n)$$

such that the corresponding morphism of functors on  $\underline{a}_r$ ,

$$\rho: Mor(H, -) \to Def_{\mathcal{V}}$$

is smooth, and an isomorphism on the tangent level. Moreover, H is uniquely determined by a set of matric Massey products of the form

$$Ext^1(V_i, V_{j_1}) \otimes \cdots \otimes Ext^1(V_{j_{n-1}}, V_k) \cdots \rightarrow Ext^2(V_i, V_k).$$

The right action of A on  $\tilde{V}$  defines a homomorphism of k-algebras,

$$\eta: A \longrightarrow O(\mathcal{V}) := End_H(\tilde{V}) = (H_{i,j} \otimes Hom_k(V_i, V_j)),$$

and the k-algebra  $O(\mathcal{V})$  acts on the family of A-modules  $\mathcal{V} = \{V_i\}$ , extending the action of A. If  $dim_k V_i < \infty$ , for all i = 1, ..., r, the operation of associating  $(O(\mathcal{V}), \mathcal{V})$  to  $(A, \mathcal{V})$  turns out to be a closure operation.

Moreover, we prove the crucial result.

A generalized Burnside theorem. Let A be a finite dimensional k-algebra, k an algebraically closed field. Consider the family  $\mathcal{V} = \{V_i\}_{i=1}^r$  of simple A-modules, then

$$\eta: A \longrightarrow O(\mathcal{V}) = (H_{i,j} \otimes Hom_k(V_i, V_j))$$

is an isomorphism.

We also proved that there exists, in the noncommutative deformation theory, an obvious analogy to the notion of prorepresenting (modular) substratum  $H_0$  of the formal moduli H. The tangent space of  $H_0$  is determined by a family of subspaces

$$Ext_0^1(V_i, V_j) \subseteq Ext_A^1(V_i, V_j), \qquad i \neq j$$

the elements of which should be called the almost split extensions (sequences) relative to the family  $\mathcal{V}$ , and by a subspace,

$$T_0(\Delta) \subseteq \prod_i Ext_A^1(V_i, V_i)$$

which is the tangent space of the deformation functor of the full subcategory of the category of A-modules generated by the family  $\mathcal{V} = \{V_i\}_{i=1}^r$ , see [La 1]. If  $\mathcal{V} = \{V_i\}_{i=1}^r$  is the set of all indecomposables of some artinian k-algebra A, we show that the above notion of almost split sequence coincides with that of Auslander, see [R].

Using this we consider, in [La 2], the general problem of classification of iterated extensions of a family of modules  $\mathcal{V} = \{V_i, \}_{i=1}^r$ , and the corresponding classification of filtered modules with graded components in the family  $\mathcal{V}$ , and extension type given by a directed representation graph  $\Gamma$ , see under section Completion of  $Simp_n(A)$ . The main result is the following, see [La 2. (4.7)], and:

**Proposition 2.** Let A be any k-algebra,  $\mathcal{V} = \{V_i\}_{i=1}^r$  any swarm of A-modules, i.e. such that,

$$\dim_k Ext^1_A(V_i, V_j) < \infty$$
 for all  $i, j = 1, \dots, r$ .

(i): Consider an iterated extension E of V, with representation graph  $\Gamma$ . Then there exists a morphism of k-algebras

$$\phi: H(\mathcal{V}) \to k[\Gamma]$$

such that

$$E \simeq k[\Gamma] \otimes_{\phi} \tilde{V}$$

in the above sense.

(ii): The set of equivalence classes of iterated extensions of  $\mathcal{V}$  with representation graph  $\Gamma$ , is a quotient of the set of closed points of the affine algebraic scheme

$$A[\Gamma] = Mor(H(\mathcal{V}), k[\Gamma])$$

(iii): There is a versal family  $\tilde{V}[\Gamma]$  of A-modules defined on  $A[\Gamma]$ , containing as fibres all the isomorphism classes of iterated extensions of V with representation graph  $\Gamma$ .

To any, not necessarily finite, swarm  $\underline{c} \subset \underline{mod}(A)$  of right-A-modules, we have associated two associative k-algebras, see [La 1,3],  $O(|\underline{c}|,\pi)$ , and a sub-quotient  $\mathcal{O}_{\pi}(\underline{c})$ , together with natural k-algebra homomorphisms,

$$\eta(|\underline{c}|): A \longrightarrow O(|\underline{c}|, \pi)$$

and,

$$\eta(\underline{c}): A \longrightarrow \mathcal{O}_{\pi}(\underline{c})$$

with the property that the A-module structure on  $\underline{c}$  is extended to an  $\mathcal{O}$ -module structure in an optimal way. We then defined an affine non-commutative scheme of right A-modules to be a swarm  $\underline{c}$  of right A-modules, such that  $\eta(\underline{c})$  is an isomorphism. In particular we considered, for finitely generated k-algebras, the swarm  $Simp_{<\infty}^*(A)$  consisting of the finite dimensional simple A-modules, and the generic point A, together with all morphisms between them. The fact that this is a swarm, i.e. that for all objects  $V_i, V_j \in Simp_{<\infty}$  we have  $dim_k Ext_A^1(V_i, V_j) < \infty$ , is easily proved. We have in [La 1] proved the following result, (see (5.20), loc.cit. and Lemma 2. above.)

**Proposition 3.** Let A be a geometric k-algebra, then the natural homomorphism,

$$\eta(Simp^*(A)): A \longrightarrow \mathcal{O}_{\pi}(Simp^*_{<\infty}(A))$$

is an isomorphism, i.e.  $Simp^*_{<\infty}(A)$  is a scheme for A.

In particular,  $Simp_{<\infty}^*(k < x_1, x_2, ..., x_d >)$ , is a scheme for  $k < x_1, x_2, ..., x_d >$ . To analyze the local structure of  $Simp_n(A)$ , we need the following, see [La 2], §4:

**Lemma 4.** Let  $\mathcal{V} = \{V_i\}_{i=1,...,r}$  be a finite subset of  $Simp_{<\infty}(A)$ , then the morphisme of k-algebras,

$$A \to O(\mathcal{V}) = (H_{i,j} \otimes_k Hom_k(V_i, V_j))$$

is topologically surjective.

*Proof.* Since the simple modules  $V_i$ , i=1,...,r are distinct, there is an obvious surjection,  $\pi:A\to\prod_{i=1,...,r}End_k(V_i)$ . Put  $\mathfrak{r}=ker\pi$ , and consider for  $m\geq 2$  the finite-dimensional k-algebra,  $B:=A/\mathfrak{r}^m$ . Clearly  $Simp(B)=\mathcal{V}$ , so that by the generalized Burnside theorem, see [La], §4, we find,  $B\simeq O^B(\mathcal{V}):=(H^B_{i,j}\otimes_k Hom_k(V_i,V_j))$ . Consider the commutative diagram,

$$A \longrightarrow (H_{i,j}^A \otimes_k Hom_k(V_i, V_j)) =: O^A(\mathcal{V})$$

$$\downarrow \qquad \qquad \downarrow$$

$$B \longrightarrow (H_{i,j}^B \otimes_k Hom_k(V_i, V_j)) \xrightarrow{\alpha} O^A(\mathcal{V})/rad^m$$

where all morphisms are natural. In particular  $\alpha$  exists since  $B = A/\mathfrak{r}^m$  maps into  $O^A(\mathcal{V})/rad^m$ , and therefore induces the morphism  $\alpha$  commuting with the rest of the morphisms. Consequently  $\alpha$  has to be surjective, and we have proved the contention.

**Localization and topology on** Simp(A). Let  $s \in A$ , and consider the *open*  $subset D(s) = \{V \in Simp(A) | \rho(s) \text{ invertible in } End_k(V)\}$ . The Jacobson topology on Simp(A) is the topology with basis  $\{D(s) | s \in A\}$ . It is clear that the natural morphism,

$$\eta: A \to \mathcal{O}_{\pi}(D(s))$$

maps s into an invertible element of  $O(D(s), \pi)$ . Therefore we may define the localization  $A_{\{s\}}$  of A, as the k-algebra generated in  $O(D(s), \pi)$  by  $\mathcal{O}_{\pi}(D(s))$  and the inverse of  $\eta(s)$ . This furnishes a general methode of localization with all the properties one would wish. And in this way we also find a canonical (pre)sheaf,  $\mathcal{O}$  defined on Simp(A).

**Definition 5.** When the k-algebra A is geometric, such that  $Simp^*(A)$  is a scheme for A, we shall refer to the presheaf  $\mathcal{O}$ , defined above on the Jacobson topology, as the structure presheaf of the scheme Simp(A).

In the next  $\S$  we shall see that the Jacobson topology on Simp(A), restricted to each  $Simp_n(A)$  is the Zariski topology for a classical scheme-structure on  $Simp_n(A)$ .

Notice that, working on non-commutative invariant theory, one is led to believe that the topology on Simp(A) should be saturated with respect to infinitesimal incidence, i.e. should be such that  $Ext^1_A(V,V') \neq 0$  implies V' is in the closure of V. We shall come back to this later.

The algebraic (scheme) structure on  $Simp_n(A)$ . Recall that a standard n-commutator relation in a k-algebra A is a relation of the type,

$$[a_1, a_2, ..., a_{2n}] := \sum_{\sigma \in \Sigma_{2n}} sign(\sigma) a_{\sigma(1)} a_{\sigma(2)} ... a_{\sigma(2n)} = 0$$

where  $\{a_1, a_2, ..., a_{2n}\}$  is a subset of A. Let I(n) be the two-sided ideal of A generated by the subset,

$$\{[a_1, a_2, ..., a_{2n}] | \{a_1, a_2, ..., a_{2n}\} \subset A\}.$$

Consider the canonical homomorphism,

$$p_n: A \longrightarrow A/I(n) =: A(n).$$

It is well known that any homomorphism of k-algebras,

$$\rho: A \longrightarrow End_k(k^n) =: M_n(k)$$

factors through  $p_n$ , see e.g. [Formanek].

**Corollary 6.** (i). Let  $V_i, V_j \in Simp_{\leq n}(A)$  and put  $\mathfrak{r} = \mathfrak{m}_{V_i} \cap \mathfrak{m}_{V_j}$ . Then we have, for  $m \geq 2$ ,

$$Ext_A^1(V_i, V_j) \simeq Ext_{A/\mathfrak{r}^m}^1(V_i, V_j)$$

(ii). Let  $V \in Simp_n(A)$ . Then,

$$Ext_A^1(V,V) \simeq Ext_{A(n)}^1(V,V)$$

Proof. (i) follows directely from Lemma 2. To see (ii), notice that  $Ext_A^1(V,V) = HH^1(A, End_k(V)) = Der_k(A, End_k(V))/Triv = Der_k(A(n), End_k(V))/Triv \simeq Ext_{A(n)}^1(V, V)$ . The third equality follows from the fact that any derivation maps a standard n-commutator relation into a sum of standard n-commutator relations.

**Example 7.** Notice that, for distinct  $V_i, V_i \in Simp_{\leq n}(A)$ , we may well have,

$$Ext_A^1(V_i, V_j) \neq Ext_{A(n)}^1(V_i, V_j).$$

In fact, consider the matrix k-algebra,

$$A = \begin{pmatrix} k[x] & k[x] \\ 0 & k[x] \end{pmatrix},$$

and let n=1. Then  $A(1)=k[x]\oplus k[x]$ . Put  $V_i=k[x]/(x)\oplus (0), V_j=(0)\oplus k[x]/(x)$ , then it is easy to see that,

$$Ext_A^1(V_i, V_j) = k, \ Ext_{A(1)}^1(V_i, V_j) = 0.$$

**Lemma 8.** Let B be a k-algebra, and let V be a vectorspace of dimension n, such that the k-algebra  $B \otimes End_k(V)$  satisfies the standard n-commutator-relations, i.e. such that the ideal,  $I(n) \subset B \otimes End_k(V)$  generated by the standard n-commutators  $[x_1, x_2, ..., x_{2n}], x_i \in B \otimes End_k(V)$ , is zero. Then B is commutative.

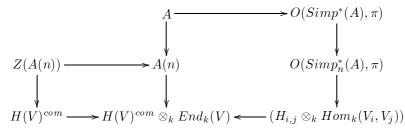
*Proof.* In fact if  $b_1, b_2 \in B$  is such that  $[b_1, b_2] \neq 0$ , then the obvious n-commutator,

$$b_1e_{1,1}b_2e_{1,1}e_{1,2}e_{2,2}...e_{n-1,n} - b_2e_{1,1}b_1e_{1,1}e_{1,2}e_{2,2}...e_{n-1,n}$$

is different from 0. Here  $e_{i,j}$  is the  $n \times n$  matrix with all elements equal to 0, except the one in the (i,j) position, where the element is equal to 1.

**Lemma 9.** If A is a finite type k-algebra, then any  $V \in Simp_n(A)$  is an  $A(n) := A/I_n$ -module, and the corresponding formal moduli,  $H^{A(n)}(V)$  is isomorphic to  $H^A(V)^{com}$ , the commutativization of  $H^A(V)$ .

*Proof.* Consider the natural diagram of homomorphisms of k-algebras,



where Z(A(n)) is the center of  $A(n) := A/I_n$ ,  $V_i, V_j \in Simp_n(A)$ , and  $H(V)^{com}$  is the commutativization of H(V). Clearly there are natural morphisms of formal moduli,

$$H^A(V) \to H^{A(n)}(V) \to H^A(V)^{com} \to H^{A(n)}(V)^{com}$$
.

Since moreover

$$A(n) \to H^{A(n)}(V) \otimes End_k(V)$$

is topologically surjective, we find using (Lemma 6), that  $H^{A(n)}(V)$  is commutative. But then the composition,

$$H^{A(n)}(V) \to H^A(V)^{com} \to H^{A(n)}(V)^{com},$$

is an isomorphism. Since by Corollary 4. the tangent spaces of  $H^{A(n)}(V)$  and  $H^{A}(V)$  are isomorphic, the lemma is proved.

Corollary 10. Let  $A = k < x_1, ..., x_d > be$  the free k-algebra on d symbols, and let  $V \in Simp_n(A)$ . Then

$$H^{A}(V)^{com} \simeq H^{A(n)}(V) \simeq k[[t_{1},...,t_{(d-1)n^{2}+1}]]$$

This should be compared with the results of [Procesi 1.], see also [Formanek]. There are further examples, some based upon the calculation of Tord Romstad, see [Romstad], showing that  $H^A(V)$  is not commutative, even though  $V \in Simp(A) = Simp_{<2}(A)$ .

In general the natural morphism,

$$\eta(n): A(n) \to \prod_{V \in Simp_n(A)} H^{A(n)}(V) \otimes_k End_k(V)$$

is not an injection.

Example 11. In fact, let

$$A = \begin{pmatrix} k & k & k \\ k & k & k \\ o & 0 & k \end{pmatrix}.$$

The ideal I(2) is generated by  $[e_{1,1}, e_{1,2}e_{2,2}e_{2,3}] = e_{1,3}$ . So

$$A(2) = \begin{pmatrix} k & k & k \\ k & k & k \\ o & k & k \end{pmatrix} / \begin{pmatrix} 0 & 0 & k \\ 0 & 0 & k \\ o & 0 & 0 \end{pmatrix} = M_2(k) \oplus M_1(k).$$

Hovever,

$$\prod_{V \in Simp_2(A)} H^{A(2)}(V) \otimes_k End_k(V) = M_2(k),$$

therefore  $ker \eta(2) = M_1(k) = k$ .

Let O(n), be the image of A(n), then obviously,

$$O(n) \to \prod_{V \in Simp_n(A)} H^{O(n)}(V) \otimes_k End_k(V)$$

is injective and,

$$H^{O(n)}(V) \simeq H^{A(n)}(V)$$

for every  $V \in Simp_n(A)$ . Put  $B = \prod_{V \in Simp_n(A)} H^{A(n)}(V)$ . Let  $x_i \in A, i = 1, ..., d$  be generators of A, and consider the images  $(x_{p,q}^i) \in B \otimes_k End_k(k^n)$  of  $x_i$  via the injective homomorphism of k-algebras,

$$O(n) \to B \otimes End_k(k^n)$$

obtained by choosing bases in all  $V \in Simp_n(A)$ . Now, B is commutative, so the k-subalgebra  $C(n) \subset B$  generated by the elements  $\{x_{p,q}^i\}_{i=1,...,d;\ p,q=1,...,n}$  is commutative. We have an injection ,

$$O(n) \to C(n) \otimes_k End_k(k^n).$$

and for all  $V \in Simp_n(A)$  there is a natural projection,

$$C(n) \otimes_k End_k(k^n) \to H^{A(n)}(V) \otimes_k End_k(V).$$

This defines a set theoretical map,

$$t: Simp_n(A) \longrightarrow Simp(C(n)).$$

Since  $A(n) \to H^{A(n)}(V) \otimes_k End_k(V)$  is topologically surjective,  $H^{A(n)}(V) \otimes_k End_k(V)$  is topologically generated by the images of  $x_i$ . It follows that we have a surjective homomorphism,

$$\hat{C}_{t(V)}(n) \to H^{A(n)}(V).$$

Categorical properties implies, as usual, that there is another natural morphism,

$$H^{A(n)}(V) \to \hat{C}_{t(V)}(n),$$

which composed with the former is an automorphism of  $H^{A(n)}(V)$ . Since

$$C(n) \otimes_k End_k(k^n) \subseteq \prod_{V \in Simp_n(A)} H^{O(n)}(V) \otimes_k End_k(V).$$

It follows that for  $v \in Simp(C(n))$ , corresponding to  $V \in Simp_n(A)$ , the finite dimensional k-algebra  $C(n)/\underline{m_v}^2 \otimes_k End_k(k^n)$  sits in a finite dimensional quotient of,

$$\prod_{V \in V} H^{O(n)}(V) \otimes_k End_k(V).$$

where  $V \subset Simp_n(A)$  is finite. However, by Lemma 4. the composition of the morphisms,

$$A \longrightarrow O(n) \longrightarrow \prod_{V \in V} H^{O(n)}(V) \otimes_k End_k(V)$$

is topologically surjectiv. Therefore the morphism,

$$A \longrightarrow C(n)/\underline{m_v}^2 \otimes_k End_k(k^n)$$

is surjectiv, implying that the map

$$H^{A(n)}(V) \to \hat{C}_{t(V)}(n),$$

is surjectiv, and consequently,  $H^{A(n)}(V) \simeq \hat{C}(n)_v$ .

Moreover t is injective, so  $Simp_n(A) \subset Simp(C(n))$ . We have the following theorem, see Chapter VIII, §2, of the book of C. Procesi, [Procesi 2.], where part of this theorem is proved.

Theorem 12. Let  $V \in Simp_n(A)$ , correspond to the point  $v \in Spec(C(n))$ . Then there exist a Zariski neighborhood  $U_v$  of v in Spec(C(n)) such that any  $v' \in U$  corresponds to a point  $V' \in Simp_n(A)$ . Let U(n) be the open subscheme of Spec(C(n)), the union of all  $U_v$  for  $V \in Simp_n(A)$ . O(n) defines a non-commutative structure sheaf  $O(n) := O_{Simp_n(A)}$  of Azumaya algebras on the topological space  $Simp_n(A)$  (Jacobson topology). The center S(n) of O(n), defines a scheme structure on  $Simp_n(A)$ . Moreover, there is a morphism of schemes,

$$\kappa: U(n) \longrightarrow Simp_n(A),$$

Such that for any  $v \in U(n)$ ,

$$\hat{\mathcal{S}}(n)_{\kappa(v)} \simeq H^{A(n)}(V)$$

*Proof.* Let  $\rho: A \longrightarrow End_k(V)$  be the surjective homomorphism of k-algebras, defining  $V \in Simp_n(A)$ . Let, as above  $e_{i,j} \in End_k(V)$  be the elementary matrices, and pick  $y_{i,j} \in A$  such that  $\rho(y_{i,j}) = e_{i,j}$ . Let us denote by  $\sigma$  the cyclical permutation of the integers  $\{1, 2, ..., n\}$ , and put,

$$s_k := [y_{\sigma^k(1),\sigma^k(2)},y_{\sigma^k(2),\sigma^k(2)},y_{\sigma^k(2),\sigma^k(3)}...y_{\sigma^k(n),\sigma^k(n)}], \ s := \sum_{k=0,1,...n-1} s_k \in A.$$

Clearly  $s \in I(n-1)$ . Since  $[e_{\sigma^k(1),\sigma^k(2)}, e_{\sigma^k(2),\sigma^k(2)}, e_{\sigma^k(2),\sigma^k(3)}...e_{\sigma^k(n),\sigma^k(n)}] = e_{\sigma^k(1),\sigma^k(n)} \in End_k(V)$ ,  $\rho(s) := \sum_{k=0,1,...,n-1} \rho(s_k) \in End_k(V)$  is the matrix with non-zero elements, equal to 1, only in the  $(\sigma^k(1),\sigma^k(n))$  position, so the determinant of  $\rho(s)$  must be +1 or -1. The determinant  $det(s) \in C(n)$  is therefore nonzero at the point  $v \in Spec(C(n))$  corresponding to V. Put  $U = D(det(s)) \subset Spec(C(n))$ , and consider the localization  $O(n)_{\{s\}} \subseteq C(n)_{\{det(s)\}} \otimes_k End_k(V)$ , the inclusion following from general properties of the localization, see above. Now, any closed point  $v' \in U$  corresponds to a n-dimensional representation of A, for which the element  $s \in I(n-1)$  is invertible. But then this representation cannot have a m < n dimensional quotient, so it must be simple.

Since  $s \in I(n-1)$ , the localized k-algebra  $O(n)_{\{s\}}$  does not have any simple modules of dimension less than n, and no simple modules of dimension > n. In fact, for any finite dimensional  $O(n)_{\{s\}}$ -module V, of dimension m, the image  $\hat{s}$  of s in  $End_k(V)$  must be invertible. However, the inverse  $\hat{s}^{-1}$  must be the image of a polynomial (of degree m-1) in s. Therefore, if V is simple over  $O(n)_{\{s\}}$ , i.e. if the homomorphism  $O(n)_{\{s\}} \to End_k(V)$  is surjective, V must also be simple over A. Since now  $s \in I(n-1)$ , it follows that  $m \geq n$ . If m > n, we may construct, in the same way as above an element in I(n) mapping into a nonzero element of  $End_k(V)$ . Since, by construction, I(n) = 0 in A(n), and therefore also in  $O(n)_{\{s\}}$ , we have proved what we wanted. By a theorem of M.Artin, see [Artin],  $O(n)_{\{s\}}$  must be an Azumya algebra over its center,  $S(n)_{\{s\}} := Z(O(n)_{\{s\}})$ . Therefore O(n) defines a presheaf O(n) on  $Simp_n(A)$ , of Azumaya algebras over its center S(n) := Z(O(n)). Clearly, any  $V \in Simp_n(A)$ , corresponding to  $v \in Spec(C(n))$  maps to a point  $s := \kappa(v) \in Spec(O(n))$ . Since we know that,

$$H^{O(n)}(V) \simeq H^{A(n)}(V),$$

and since O(n) is, locally Azumaya, it is clear that,

$$\hat{\mathcal{S}}(n)_s \simeq H^{O(n)}(V) \simeq H^{A(n)}(V).$$

The rest is clear.

Moreover, Spec(C(n)) is, in a sense, a compactification of  $Simp_n(A)$ , and we shall be able, using this embedding to study the degeneration processes that occur, at infinity in  $Simp_n(A)$ .

**Example 13.** Let us check the case of  $A = k < x_1, x_2 >$ , the free non-commutative k-algebra on two symbols. First, let us compute  $Ext_A^1(V, V)$  for  $V \in Simp_2(A)$ , and find a basis  $\{t_i^*,\}_{i=1}^5$ , represented by derivations  $\psi_i \in Der_k(A, End_k(V))$ , i=1,2,3,4,5. This is easy, since we have the exact sequence,

$$0 \to Hom_A(V_1, V_2) \to Hom_k(V_1, V_2) \to Der_k(A, Hom_k(V_1, V_2))$$
  
$$\to Ext_A^1(V_1, V_2) \to 0$$

proving that,  $Ext_A^1(V_1, V_2) = Der_k(A, Hom_k(V_1, V_2))/Triv$ , where Triv is the subvectorspace of trivial derivations. Pick  $V \in Simp_2(A)$  defined by the homomorphism  $A \to M_2(k)$  mapping the generators  $x_1, x_2$  to the matrices

$$X_1 := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} =: e_{1,2}, \ X_2 := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} =: e_{2,1}.$$

Notice that

$$X_1X_2 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} =: e_{1,1} = e_1, \ X_2X_1 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} =: e_{2,2} = e_2,$$

and recall also that for any  $2 \times 2$ -matrix  $(a_{p,q}) \in M_2(k)$ ,  $e_i(a_{p,q})e_j = a_{i,j}e_{i,j}$ . The trivial derivations are generated by the derivations  $\{\delta_{p,q}\}_{p,q=1,2}$ , defined by,

$$\delta_{p,q}(x_i) = x_i e_{p,q} - e_{p,q} x_i.$$

Clearly  $\delta_{1,1} + \delta_{2,2} = 0$ . Now, compute and show that the derivations  $\psi_i$ , i = 1, 2, 3, 4, 5, defined by,

$$\psi_i(x_p) = 0$$
, for  $i = 1, 2, p = 1$ ,  $\psi_i(x_p) = 0$ , for  $i = 4, 5, p = 2$ 

by,

$$\psi_1(x_2) = e_{1,1}, \psi_2(x_2) = e_{1,2}, \ \psi_3(x_1) = e_{1,2}, \psi_4(x_1) = e_{2,1}, \psi_5(x_1) = e_{2,1}$$

and by,

$$\psi_3(x_2) = e_{2,1}$$

form a basis for  $Ext_A^1(V,V) = Der_k(A, End_k(V))/Triv$ . Therefore  $H(V) = k[[t_1, t_2, t_3, t_4, t_5]]$ , and the formal versal family V, is defined by the actions of  $x_1, x_2$ , given by,

$$X_1:=\begin{pmatrix}0&1+t_3\\t_5&t_4\end{pmatrix},\ X_2:=\begin{pmatrix}t_1&t_2\\1+t_3&0\end{pmatrix}.$$

One checks that there are polynomials of  $X_1, X_2$  which are equal to  $t_i e_{p,q}$ , modulo the ideal  $(t_1, ..., t_5)^2 \subset H(V)$ , for all i, p, q = 1, 2. This proves that  $\hat{C}(2)_v \simeq H(V)$ , and that the composition,

$$A \longrightarrow A(2) \longrightarrow M_2(C(2)) \subset M_2(H(V))$$

is topologically surjective.

Completions of  $Simp_n(A)$ . In the example above it is easy to see that elements of the complement of  $Simp_n(A)$  in the affine subscheme Spec(C(n)) may not be represented by simple, nor indecomposable, representations. A decomposable representation W will not, however, in general be deformable into a simple representation, since good deformations should conserve  $End_A(W)$ . Therefore, even though we have termed Spec(C(n)) a compactification of  $Simp_n(A)$ , it is a bad completion. The missing points at infinity of  $Simp_n(A)$ , should be represented as indecomposable representations, with  $End_A(W) = k$ . Any such is an iterated extension of simple representations  $\{V_i\}_{i=1,2,...s}$ , with representation graph  $\Gamma$  (corresponding to an extension type, see [La 2]), and  $\sum_{i=1}^s dim(V_i) = n$ . To simplify the notations we shall write,  $|\Gamma| := \{V_i\}_{i=1,2,...s}$ . In [La 2] we treat the problem of classifying all such, up to isomorphisms. Assume now that this problem is solved, i.e. that we have identified the non-commutative scheme of indecomposable  $\Gamma$ -representation, call it  $Ind_{\Gamma}(A)$ . Put  $Simp_{\Gamma}(A) := Simp_n(A) \cup Ind_{\Gamma}(A)$ . Now, repeat the basics of the construction of Spec(C(n)) above. Consider for every open affine subscheme  $D(s) \subset Simp_{\Gamma}(A)$ , the natural morphism,

$$A \to \varprojlim_{\underline{c} \subset D(s)} O(\underline{c}, \pi)$$

 $\underline{c}$  running through all finite subsets of D(s), and consider, in particular, its projection,

$$A \to A(n) \to \prod_{V \in D(s)} H^{A(n)}(V)^{com} \otimes_k End_k(V).$$

Put  $B_s(\Gamma) := \prod_{V \in D(s)} H^{A(n)}(V)^{com}$ . Let  $x_i \in A, i = 1, ..., d$  be generators of A, and consider the images  $(x_{p,q}^i) \in B_s(n) \otimes_k End_k(k^n)$  of  $x_i$  via the homomorphism of k-algebras,

$$A \to B_s(\Gamma) \otimes M_n(k),$$

obtained by choosing bases in all  $V \in Simp_{\Gamma}(A)$ . Notice that since V no longer is (necessarily) simple, we do not know that this map is topologically surjectiv.

Now,  $B_s(\Gamma)$  is commutative, so the k-subalgebra  $C_s(\Gamma) \subset B_s(\Gamma)$  generated by the elements  $\{x_{p,q}^i\}_{i=1,\dots,d;\ p,q=1,\dots,n}$  is commutative. We have a morphism,

$$I_s(\Gamma): A \to C_s(\Gamma) \otimes_k M_n(k) = M_n(C_s(\Gamma)).$$

Moreover, these  $C_s(\Gamma)$  define a presheaf,  $\mathcal{C}(\Gamma)$ , on the Jacobson topology of  $Simp_{\Gamma}(A)$ . The rank n free  $C_s(\Gamma)$ -modules with the A-actions given by  $I_s(\Gamma)$ , glue together to form a locally free  $\mathcal{C}(\Gamma)$ -Module  $\mathcal{E}(\Gamma)$  on  $Simp_{\Gamma}(A)$ , and the morphisms  $I_s(n)$  induce a morphism of sheaves of algebras,

$$I(\Gamma): A \to End_{\mathcal{C}(\Gamma)}(\mathcal{E}(\Gamma)).$$

As for every  $V \in Simp_{\Gamma}(A)$ ,  $End_A(V) = k$ , the commutator of A in  $H^A(V)^{com} \otimes_k End_k(V)$  is  $H^A(V)^{com}$ . The morphism,

$$\zeta(V): H^A(V)^{com} \to HH^0(A, H^A(V)^{com} \otimes_k End_k(V))$$

is therefore an isomorphism, and we may assume that the corresponding morphism,

$$\zeta: \mathcal{C}(\Gamma) \to HH^0(A, End_{\mathcal{C}(\Gamma)}(\mathcal{E}(\Gamma)))$$

is an isomorphism of sheaves. For all  $V \in D(s) \subset Simp_{\Gamma}(A)$  there is a natural projection,

$$\kappa(\Gamma): \mathcal{C}_s(\Gamma) \otimes_k M_n(k) \to H^{A(n)}(V)^{com} \otimes_k End_k(V),$$

which, composed with  $I_s(\Gamma)$  is the natural homomorphism,

$$A \longrightarrow H^{A(n)}(V)^{com} \otimes_k End_k(V)$$

 $\kappa$  defines a set theoretical map,

$$t: Simp_{\Gamma}(A) \longrightarrow Spec(\mathcal{C}(\Gamma)),$$

and a natural surjectiv homomorphism,

$$\hat{\mathcal{C}}(\Gamma)_{t(V)} \to H^{A(n)}(V)^{com}.$$

Categorical properties implies, as usual, that there is another natural morphism,

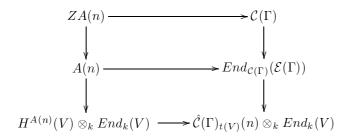
$$\iota: H^{A(n)}(V) \to \hat{\mathcal{C}}(\Gamma)_{t(V)},$$

which composed with the former is the obvious surjection, and such that the induced composition,

$$A \longrightarrow H^{A(n)}(V)^{com} \otimes_k End_k(V) \to \hat{\mathcal{C}}(\Gamma)_{t(V)} \otimes_k End_k(V),$$

is  $I(\Gamma)$  formalized at t(V). From this, and from the definition of  $C(\Gamma)$ , it follows that  $\iota$  is surjective, such that for every  $V \in Simp_{\Gamma}(A)$  there is an isomorphism

 $H^{A(n)}(V)^{com} \simeq \hat{\mathcal{C}}(\Gamma)_{t(V)}$ . For  $V \in Simp_{\Gamma}(A)$  there is also a natural commutative diagram,



Formally at a point  $V \in Simp_{\Gamma}(A)$ , we have therefore proved that the local, commutative structure of  $Simp_{\Gamma}(A)$  (as A or A(n)-module), and the corresponding local structure of  $Spec(\mathcal{C}(\Gamma))$  at V, coincide. We have actually proved the following,

**Theorem 14.** The topological space  $Simp_{\Gamma}(A)$ , with the Jacobson topology, together with the sheaf of commutative k-algebras  $\mathcal{C}(\Gamma)$  defines a scheme structure on  $Simp_{\Gamma}(A)$ , containing an open subscheme, etale over  $Simp_n(A)$ . Moreover, there is a morphism,

$$\pi(\Gamma): Simp_{\Gamma}(A) \to Spec(ZA(n)),$$

extending the natural morphism,

$$\pi_0: Simp_n(A) \to Spec(ZA(n)).$$

*Proof.* As in Theorem 12. we prove that if v=t(V),  $V\in Simp_{\Gamma}(A)$ , then there exists an open subscheme of  $Spec(\mathcal{C}(\Gamma))$  containing only indecomposables with  $End_A(V)=k$ . The rest is clear.

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These morphisms  $\pi(\Gamma)$  are our candidates for the possibly different completions of  $Simp_n(A)$ . Notice that for  $W \in Spec(C(n)) - Simp_n(A)$ , the formal moduli  $H^A(W)$  is not always prorepresenting, since  $End_A(W) \neq k$  when W is semisimple, but not simple. The corresponding modular substratum will, locally, correspond to the semisimple deformations of W, thus to a closed subscheme of  $Spec(C(n)) - Simp_n(A) \subset Spec(C(n))$ .

The McKay correspondence. Let us consider a special case, where a finite group G acts on a finite dimensional k-vectorspace, U. Put,  $A_0 := Sym_k(U^*)$ , and let  $A := O(Simp^*(A_0 - G))$  be the k-algebra of observables of the A - G-swarm of orbits of the G-action. Recall, see [La 3], §8, that  $ZA = A_0^G$ , and that the classical quotient scheme U/G (exist and) is isomorphic to  $Spec(A_0^G)$ . Let  $\{V_i\}_{i=1}^r$  be the finite family of irreducible (simple) G-representations. Let  $\Gamma$  be a representation graph (defining an extension type) of dimension n, i.e. such that  $|\Gamma| = \{V_{i_p}\}_{p=1}^s$ ,  $\sum_{p=1}^s dim_k V_{i_p} = n$ , and use Theorem 14. It says that there exist a scheme  $Simp_{\Gamma}(A)$  and a morphism,

$$\pi: Simp_{\Gamma}(A) \to U/G = Spec(A_0^G),$$

extending the natural morphism,

$$\pi_0: Simp_n(A) \to Spec(A_0^G).$$

If  $n \geq ordG + 1$  the scheme  $Simp_n(A)$  has to be empty, since any  $V \in Simp_n(A)$  with support outside the origin in  $Spec(A_0)$ , correspond to a reduced orbit, and so necessarily have length less or equal to the order of G, and any V with support in  $\{0\}$  is a simple G-representation with trivial A-action, so  $dim_k V \leq |G|$ . Now, suppose G acts freely on an open subset of  $Spec(A_0)$ , and let  $\Gamma$  be a representation graph (corresponding to the extension type) of the regular representation of G. Under which conditions is the morphism,

$$\pi: Simp_{\Gamma}(A) \to Spec(A_0^G),$$

a desingularization of the affine scheme  $Spec(A_0^G)$ ? If it is, is the representation graph uniquely determined? We shall come back to these well known problems in a later paper. However, to see how we may compute the morphism  $\pi$  let us here consider two very simple examples:

1. Consider the group G = Z/(2), generated by  $\tau$ , acting on  $U = k^2$  by  $\tau = -id$ . In this case  $A_0 = k[x,y]$ , and  $\tau(x) = -x$ ,  $\tau(y) = -y$ , and  $A_0^G = k[x^2,y^2,xy]$  is the well known singularity. Clearly G has two simple (irreducible) representations of dimension 1,  $V_i$ , i = 0, 1, where  $\tau$  acts as  $(-1)^i$ , respectively, and the regular representation, is the sum of these. The orbits of G in  $Spec(A_0) = \mathbf{A}^2$ , are either of length 2, corresponding to a simple A-module of dimension 2, or is reduced to the origin. Therefore the indecomposable A-modules of dimension 2, must all have support at the origin. They must therefore be given by the indecomposables of representation graph,

$$V_0 \bullet \longrightarrow \bullet V_1$$
.

Now all such are given in terms of the following actions of  $x,y,\tau$  on the vector space  $k^2$ .

$$V_t: X = \begin{pmatrix} 0, 0 \\ 1, 0 \end{pmatrix}, Y = \begin{pmatrix} 0, 0 \\ t, 0 \end{pmatrix}, \tau = \begin{pmatrix} 1, 0 \\ 0, -1 \end{pmatrix}, t \in k$$

or

$$V_{\infty}: X = \begin{pmatrix} 0, 0 \\ 0, 0 \end{pmatrix}, Y = \begin{pmatrix} 0, 0 \\ 1, 0 \end{pmatrix}, \tau = \begin{pmatrix} 1, 0 \\ 0, -1 \end{pmatrix}$$

Compute,

$$Ext_A^1(V_t, V_t) = HH^1(A, End_k(V_t)) = Der_k(A, End_k(V_t))/Triv.$$

It is easy to see that  $Ext_A^1(V_t, V_t) = k^2$ , generated by the derivations, acting as follows:

$$\delta(x) = \begin{pmatrix} 0, w \\ 0, 0 \end{pmatrix}, \delta(y) = \begin{pmatrix} 0, tw \\ v, 0 \end{pmatrix}, \delta(\tau) = \begin{pmatrix} 0, 0 \\ 0, 0 \end{pmatrix}$$

parametrized by v, w. The corresponding formal moduli, and formal miniversal family are given by.

$$H(V_t)^{com} = k[[v,w]], \tilde{x} = \begin{pmatrix} 0,w\\1,0 \end{pmatrix}, \tilde{y} = \begin{pmatrix} 0,tw+vw\\v+t,0 \end{pmatrix}, \tilde{\tau} = \begin{pmatrix} 1,&0\\0,-1 \end{pmatrix}.$$

This is easily seen by checking the relations,  $xy = yx, x\tau = -\tau x, y\tau = -\tau y$  in A.

Notice that the formal miniversal family is algebraic, and that for w=0 this gives us indecomposable A-modules, while for  $w\neq 0$  the corresponding A-module is simple. Moreover, the map,

$$A_0^G = k[x^2, y^2, xy] \subset k[v, w]$$

is given by,

$$x^2 = w$$
,  $y^2 = (t+v)^2 w$ ,  $xy = (v+t)w$ ,

which proves that,

$$\pi(\Gamma): Simp_{\Gamma}(A) \to Spec(A_0^G),$$

is a desingularization of the affine scheme  $Spec(A_0^G)$ . In fact it is just the ordinary desingularization of the  $A_1$ -singularity  $A_0^G = k[x^2, y^2, xy]$ , and  $\Gamma$  is just the corresponding Dynkin diagram. The exceptional fibre of  $\pi$  is obviously  $\mathbf{P}^1$ , given by w=0, and  $V_{\infty}$ , see above.

2. Consider now the group G=Z/(2), generated by  $\tau$ , acting on  $U=k^2$  by  $\tau=\begin{pmatrix} 1,0\\0,-1 \end{pmatrix}$ . In this case  $A_0=k[x,y]$ , and  $\tau(x)=x$ ,  $\tau(y)=-y$ , and  $A_0^G=k[x,y^2]$  is non-singular. G has the two simple (irreducible) representations of dimension 1,  $V_i$ , i=0,1, where  $\tau$  acts as  $(-1)^i$ , respectively, and the regular representation, is the sum of these. The orbits of G in  $Spec(A_0)=\mathbf{A}^2$ , are either of length 2, corresponding to a simple A-module of dimension 2, or is supported by the x-axis. Therefore the non-simple indecomposable A-modules of dimension 2, must all have support at the x-axis. They must be given by the indecomposables with representation graph,

$$V_0 \bullet \longrightarrow \bullet V_1$$
.

Now all such are easily seen to be given by  $k[x,y]/(x-t,y^2)$ , identified with the x-axis. A similar computation as above shows that,

$$\pi(\Gamma): Simp_{\Gamma}(A) \to Spec(A_0^G),$$

is an isomorphism.

The general problem posed above seems not to be very easy, although the story is well known in case  $G \subset Sl_2(k)$ , and there is a long list of papers on the subject, see [B-K-R].

Now, consider for  $s_2 \leq s_1 \leq n$ ,  $V_1 \in Simp_{s_1}(A)$ ,  $V_2 \in Simp_{s_2}(A)$ , the commutative diagram,

$$Z(n) \longrightarrow A(n)$$

$$\downarrow^{\rho_1} \qquad \qquad \downarrow$$

$$Z(s_1) \longrightarrow A(s_1) \longrightarrow End_k(V_1)$$

$$\downarrow^{\rho} \qquad \qquad \downarrow$$

$$Z(s_2) \longrightarrow A(s_2) \longrightarrow End_k(V_2).$$

Put  $\rho_2 := \rho \rho_1$ , and let  $t(V_i) \in Simp(Z(s_i))$  be the points corresponding to the simple modules  $V_i$ .

**Lemma 15.** In the situation above, if  $Ext^1_{A(n)}(V_i, V_j) \neq 0$  then

$$\rho_i: Simp(Z(s_i)) \to Simp(Z(n)), i = 1, 2.$$

maps  $t(V_i)$  to the same point.

Proof. If  $\rho_1(t(V_1)) \neq \rho_2(t(V_2))$ , the two corresponding maximal ideals  $\mathfrak{m}_i$ , i = 1, 2, of Z(n) will be distinct, the sum  $\mathfrak{m}_1 + \mathfrak{m}_2$  is then Z(n). However,  $\mathfrak{m}_i$  annihilates  $V_i$ , therfore the sum will annihilate  $Ext^1_A(V_i, V_j)$ , which therefore must be zero.

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# Quantum correspondences of plane curves.

Let  $f \in k < x_1, x_2 >$ , and put  $A = k < x_1, x_2 > /(f)$ . Consider the algebraic plane curve,

$$C := Simp_1(A) = Spec(k[x_1, x_2]/(f)).$$

Put

$$\Gamma = \bullet \longrightarrow \bullet$$

then there are two natural morphisms

$$pr_i: Ind_{\Gamma}(A) \longrightarrow Simp_1(A), \ i = 1, 2,$$

defining a correspondence,

$$\Phi = pr_1 pr_2^{-1} : C \dashrightarrow C.$$

We shall be interested in computing  $\Phi$ , in general, or rather, we shall be concerned with the domain of definition of  $\Phi$ , and its degree.

Clearly  $p_2 \in \Phi(p_1)$  if and only if

$$E((p_1), k(p_2)) := Ext_A^1(k(p_1), k(p_2)) \neq 0$$

since then

$$\begin{pmatrix} k(p_1) & E((p_1), k(p_2))^* \\ 0, & k(p_2) \end{pmatrix}$$

will be an indecomposable A-module of dimension 2. Here  $k(p_1), k(p_2)$  are, of course the two simple one-dimensional A-modules, corresponding to the points  $p_1, p_2 \in C$ . Now, we have an exact sequence of Hochschild cohomology,

$$Hom_k(k(p_1), k(p_2)) \to^{\phi} Der_k(A, Hom_k(k(p_1), k(p_2))) \to Ext_A^1(k(p_1), k(p_2)) \to 0.$$

The kernel of  $\phi$  is  $Hom_A(k(p_1), k(p_2))$ , which is zero if  $p_1 \neq p_2$ , so  $\phi$  must be injective, and therefore,

$$Ext_{A}^{1}(k(p_{1}), k(p_{2})) = Der_{k}(A, Hom_{k}(k(p_{1}), k(p_{2})))/k.$$

This implies that  $Ext_A^1(k(p_1), k(p_2)) \neq 0$  if and only if,

$$J_x(f:p_1;p_2) = 0, \ J_y(f:p_1;p_2) = 0$$

Here  $J_{x_i}(f:(x_1,x_2);(u_1,u_2))$ , i=1,2. are polynomials in two sets of non-commuting variables,  $(x_1,x_2)$  and  $(u_1,u_2)$ , linear functions in f, and defined on monomials  $m_1m_2$  such that

$$J_{x_i}(m_1m_2) = J_{x_i}(m_1)m_2(u_1, u_2) + m_1(x_1, x_2)J_{x_i}(m_2), \ J_{x_i}(x_j) = \delta_{i,j}.$$

In particular,  $J_{x_1}([x_1, x_2]) = u_2 + x_2$ ,  $J_{x_2}([x_1, x_2]) = x_1 + u_1$ . Assume the two equations,

$$J_{x_i}(f:(x_1,x_2);(u_1,u_2))=0, i=1,2.$$

admits solutions,  $x_i = x_i(u_1, u_2), i = 1, 2$ , then put,

$$\tilde{f} := f(x_1(u_1, u_2), x_2(u_1, u_2)).$$

Clearly, the condition for the correspondence  $\Phi$  to be defined on an open subscheme of the curve C, is that  $\tilde{f}(u_1, u_2) = 0$  on an open subscheme of  $C = Z(f(u_1, u_2))$ .

The remarkable fact is that for any  $f \in k < x_1, x_2 >$ , we have the following result.

**Proposition 16.** Put  $f_q = f + q[x_1, x_2]$ . Then, for generic q,  $\tilde{f}_q$  vanish on an open subscheme of C.

This is equivalent to saying that for generic q, the morphisms

$$pr_i: Ind_{\Gamma}(A) \longrightarrow Simp_1(A), \ i = 1, 2,$$

are dominant and finite. We notice that if they are finite, they must be of degree  $\leq\!(\deg(f)\text{-}1)^2$ 

### Non-commutative Maclaurin series..

Before we prove the Proposition, let us take a second look at the Maclaurin expansion in classical calculus.

**Definition 17.** Let  $f \in k < x_1, x_2 >$  then, for any sequence  $I_r = \{i_1, i_2, ..., i_r\}$  with  $i_l \in \{1, 2\}$  we define inductively,

$$J_{x_{i_1},x_{i_2},...,x_{i_r}}(f:(x_1,x_2);(u_1,u_2)) = J_{x_{i_n}}(J_{x_{i_1},x_{i_2},...,x_{i_r}},(f:(x_1,x_2);(u_1,u_2)) : (x_1,x_2);(u_1,u_2))$$

We shall call  $J_{x_{i_1},x_{i_2},...,x_{i_r}}(f:(x_1,x_2);(u_1,u_2))$  the non-commutative r'th derivative (Jacobian) of f with respect to  $x_{i_1},x_{i_2},...,x_{i_r}$ .

Now these derivatives are really very nice, in fact they have the properties of divided powers,

**Lemma 18.** Let  $S(I_r)$  be the group of permutations of the sequence  $I_r$ , then in  $k[u_1, u_2]$ 

$$\sum_{S(I_r)} J_{x_{i_1}, x_{i_2}, \dots, x_{i_r}} (f : (u_1, u_2); (u_1, u_2))$$

$$= 1/r_1! r_2! (\frac{\partial}{\partial x_n} \frac{\partial}{\partial x_{n-1}} \dots \frac{\partial}{\partial x_1} f) (u_1, u_2),$$

where  $r_1, r_2$  are the numbers of, respectively 1 and 2's in the sequence  $\{i_1, i_2, ..., i_r\}$ 

*Proof.* The formula is true for r=1, by definition. Assume that it is true for all monomials f of degree  $\leq n-1$ , and consider  $f=m.x_i$ , then, putting  $x_l:=x_{i_l}$  to save space,

$$J_{x_i}(m.x_i:(x_1,x_2);(u_1,u_2)) = J_{x_i}(m:(x_1,x_2);(u_1,u_2))u_i + m.\delta_{i,1}$$

Therefore,

$$\sum_{S(I_r)} J_{x_{i_1}, x_{i_2}, \dots, x_{i_r}}(m.x_i : (x_1, x_2); (u_1, u_2)) =$$

$$\sum_{S(I_r)} J_{x_{i_1}, x_{i_2}, \dots, x_{i_r}}(m : (x_1, x_2); (u_1, u_2))u_i +$$

$$\sum_{S(I_r)} J_{x_{i_2}, x_{i_3}, \dots, x_{i_r}}(m : (x_1, x_2); (u_1, u_2))\delta_{i,1}$$

By induction, this is equal to,

$$1/r_1!r_2!(\frac{\partial}{\partial x_r}\frac{\partial}{\partial x_{r-1}}..\frac{\partial}{\partial x_1}m)(u_1,u_2)u_i+1/(r_1-1)!r_2!(\frac{\partial}{\partial x_r}\frac{\partial}{\partial x_{r-1}}..\frac{\partial}{\partial x_2}m)(u_1,u_2)\delta_{i,1}$$

which is easily seen to be equal to

$$1/r_1!r_2!(\frac{\partial}{\partial x_r}\frac{\partial}{\partial x_{r-1}}..\frac{\partial}{\partial x_1}m.x_i)(u_1,u_2)$$

proving the theorem.

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Therefore we have, formally, the following result,

**Proposition 19.** The Maclaurin ( or Taylor) series expansion in  $k[u_1, u_2, x_1, x_2]$  of  $f \in k < x_1, x_2 >$  is the following formula:

$$f(x_1, x_2) = f(u_1, u_2) + \sum_{i_1, i_2, \dots, i_r} J_{x_{i_1}, x_{i_2}, \dots, x_{i_r}} (f : (u_1, u_2); (u_1, u_2)) (x_{i_1} - u_{i_1}) (x_{i_2} - u_{i_2}) \dots (x_{i_r} - u_{i_r})$$

It is easy to see that this may be extended to a Taylor-series expansion in the non-commutative polynomial k-algebra. In fact, introduce the following notation:

**Definition 20.** Let  $f \in k < x_1, x_2 >$ , and let  $\{v_1, v_2\}$  be new non-commuting variables. Denote by,

$$J_{x_i}(f:\underline{x};\underline{v},\underline{u}) \in k < \underline{x},\underline{v},\underline{u} >$$

the linear function in f, defined for  $f = x_i$ , resp for  $f = mx_j$ , by:

$$\begin{split} J_{x_i}(x_j : \underline{x}; \underline{v}, \underline{u}) &= \delta_{i,j} v_i \\ J_{x_i}(mx_j : \underline{x}; \underline{v}, \underline{u}) &= J_{x_i}(m : \underline{x}; \underline{v}, \underline{u}) u_j + \delta_{i,j} m v_i \end{split}$$

**Proposition 21.** For  $f \in k < x_1, x_2 >$ , and for some non-commuting variables  $\{v_1, v_2\}$  we have, in  $k < \underline{u}, \underline{v} >$ , the following identity,

$$f(u_1 + v_1, u_2 + v_2) = f(u_1, u_2) + \sum_{i_1, i_2, \dots, i_r} J_{x_{i_1}, x_{i_2}, \dots, x_{i_r}} (f : (u_1, u_2); (v_1, v_2); (u_1, u_2))$$

Now, let us prove Proposition 16. For  $f = f_1 + q[x_1, x_2]$  the equations,

$$J_{x_i}(f:(x_1,x_2);(u_1,u_2))=0, i=1,2.$$

admits solutions,  $x_i = x_i(u_1, u_2)$ , i = 1, 2, in  $k[u_1, u_2]$ . Use the Maclaurin series expansion of,

$$J_{x_i}(f:(x_1,x_2);(u_1,u_2)) \ i=1,2,$$

in  $k[[u_1, u_2]]$ .

Then vi get,

$$J_{x_{i_1}}(f:(x_1,x_2);(u_1,u_2)) = J_{x_{i_1}}(f:(u_1,u_2);(u_1,u_2)) + \sum_{i_1,i_2,...,i_r} J_{x_{i_1},x_{i_2},...,x_{i_r}}(f:(u_1,u_2);(u_1,u_2))(x_{i_2} - u_{i_2})...(x_{i_r} - u_{i_r})$$

Since

$$J_{x_i}(f:(x_1(u_1,u_2),x_2(u_1,u_2));(u_1,u_2))=0, i=1,2.$$

we find

$$J_{x_{i_1}}(f:(u_1,u_2);(u_1,u_2))(x_{i_1}-u_{i_1}) = -\sum_{i_1,i_2,...,i_r} J_{x_{i_1},x_{i_2},...,x_{i_r}}(f:(u_1,u_2);(u_1,u_2))(x_{i_1}-u_{i_1})(x_{i_2}-u_{i_2})...(x_{i_r}-u_{i_r})$$

Using the Maclaurin series in the above Proposition, we obtain,

$$\tilde{f} := f(x_1(u_1, u_2), x_2(u_1, u_2)) = f(u_1, u_2),$$

in  $k[[u_1, u_2]]$ .

It is easy to see that the above can be extended to any hypersurface, and so to schemes in general. In fact, what we obtain is a kind of Abels addition theorem. See forthcoming preprint, Oslo University.

## The smooth locus of an affine non-commutative scheme.

Recall from [La] that a point  $V \in Simp_n(A)$  is called smooth (regular would probably have been better), if the natural k-linear map,

$$\kappa: Der_k(A, A) \longrightarrow Ext_A^1(V, V)$$

is surjective.

**Definition 22.** Let  $V \in Simp_n(A)$ , then V is called formally smooth if,

$$HH^2(A, End_k(V)) = 0$$

Problem: Does

$$HH^2(A,A) = 0$$

imply that all  $V \in Simp_n(A)$  are (formally) smooth?

Let  $V \in Simp_n(A)$ , and let  $v \in Simp(Z(A))$  be the point corresponding to V. Denote by  $\mathfrak{m}_v$  the corresponding maximal ideal of Z(A). Clearly Z(A) operate naturally on the Hochschild cohomology,  $HH^1(A,A)$ , and the map  $\kappa$  factors through,  $HH^1(A,A)/\mathfrak{m}_vHH^1(A,A)$ , so that if V is smooth, we obtain a surjectiv k-linear map,

$$\kappa_0: HH^1(A,A)/\mathfrak{m}_v HH^1(A,A) \longrightarrow Ext^1_A(V,V).$$

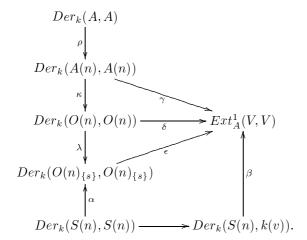
It follows that  $\max_{V \in Simp(A)} \{ dim_k HH^1(A, A) / \mathfrak{m}_v HH^1(A, A) \}$  is an upper bound for the dimensions of the smooth locus of  $Simp_n(A)$  for all  $n \geq 1$ .

Clearly the definition of (formal) smoothness also works for any representation V.

**Proposition 23.** If  $V \in Simp_n(A)$  is smooth or formally smooth, then the corresponding point  $v \in Spec(C(n))$  is also smooth.

*Proof.* Assume that  $V \in Simp_n(A)$  is formally smooth, then obviously the completion of the local ring of  $Simp_n(A)$  at V is  $H(V)^{com}$ , which since H(V) has no obstructions and therefore must be the completion of the free non-commutative k-algebra, is a formal power series algebra, and thus V is a smooth point of  $Simp_n(A)$ .

Now, assume V is smooth, and consider the natural commutative diagram,



Notice that  $\beta$  is an isomorphism. This has been proved above. That  $\rho$  exists is easily seen, since for any derivation  $\delta \in Der_k(A)$ , and for any standard commutator  $[x_1, x_2, ..., x_{2n}] \in I(n)$ , we must have  $\delta([x_1, x_2, ..., x_{2n}]) \in I(n)$ . Notice that the kernel of the homomorphism,  $A(n) \to O(n)$  is the image in A(n) of

$$\mathfrak{n} = \bigcap_{\mathfrak{m} \in Max_n(A), m \ge 1} \mathfrak{m}^m$$

Clearly any derivation will map an element of  $\mathfrak n$  into  $\mathfrak n$ , proving the existence of  $\kappa$ .  $\lambda$  is defined by localization at the point  $v \in Spec(C(n))$ , as in the proof of Theorem 9. We may assume  $O(n)_{\{s\}}$  is a matrix algebra  $M_n(S(n))$ , and use the fact that any derivation of a matrix algebra is given by a derivation of the centre and an inner derivation,  $(HH^1)$  is Morita invariant). The inner derivation will map to zero in  $Ext^1_A(V,V)$ , and so the composition of  $\alpha$  and  $\epsilon$  is surjective.

The converse is not true.

### Some examples.

1. Let S be any commutative algebra, and denote by  $\mathfrak{b} \subseteq \mathfrak{a} \subset S$  two ideals of S. Consider the k-algebra,

$$A:=\left\{\left(\begin{matrix} a_{1,1}, & a_{1,2} \\ a_{2,1}, & a_{2,2} \end{matrix}\right) | \ a_{i,j} \in S, \ a_{1,1}-a_{2,2} \in \mathfrak{a}, a_{1,2}, a_{2,1} \in \mathfrak{b} \right\}.$$

Clearly the centre of A = A(2) = O(2), is S(2) = C(2) = S and a simple calculation shows that,

$$A(1) = \left\{ \begin{pmatrix} \tilde{a}_{1,1}, & \tilde{a}_{1,2} \\ \tilde{a}_{2,1}, & \tilde{a}_{2,2} \end{pmatrix} | \ \tilde{a}_{i,j} \in \mathfrak{b}/\mathfrak{ab}, \ i \neq j, \ \tilde{a}_{1,1}, \tilde{a}_{2,2} \in S/\mathfrak{b}^2, \tilde{a}_{1,1} - \tilde{a}_{2,2} \in \mathfrak{a}/\mathfrak{b}^2 \right\}.$$

Then A(1) is the commutative k-algebra expressed by Nagata rings, i.e.

$$A(1) = ((S/\mathfrak{b}^2)[(\mathfrak{a}/\mathfrak{b}^2)])[(\mathfrak{b}/\mathfrak{a}\mathfrak{b})^2].$$

Consider the subschemes  $V(\mathfrak{a}) \subset V(\mathfrak{b}) \subset Spec(S)$ . Then,  $Simp_2(A) = Spec(S) - V(\mathfrak{b})$  and a simple calculation shows that  $Simp_1(A) = Spec(A(1))$  is a thickening of the affine scheme  $Spec((S/\mathfrak{b}^2)[(\mathfrak{a}/\mathfrak{b}^2)])$ . In the special case,

$$S = k[t_1, t_2], \ \mathfrak{a} = (f, g), \mathfrak{b} = (f)$$

where  $f,g \in S$ , correspond to two curves, V(f),V(g) that intersect in a finite set U, one finds that  $Simp_2(A)$  is an open affine subscheme of Spec(S), and that  $Simp_1(A) = Spec(A(1))$  is the disjoint union of the curve V(f) with itself, amalgamated at the points of U. If both V(f) and V(g) are smooth, and intersect normally at the points of U, then the embedding-dimension of  $Simp_1(A) = Spec(A(1))$  at a point not in U, is 2, and at the points of U, 6!

- 2. Let in the above example,  $\mathfrak{b} = \mathfrak{a} = (t_1, t_2)$ , then  $Simp_2(A) = Spec(s) \{(0,0)\}$ , therefore not affine, and  $Simp_1(A) = Spec(A(1))$  is a thick point situated at the origin of the affine 2-space Spec(S).
- 3.Let us compute the  $Simp_2(A)$  for the non-commutative cusp, i.e. for the k-algebra,

$$A = k < x, y > /(x^3 - y^2).$$

We first notice that the center  $Z(A) \subset A$  is the subalgebra of A generated by  $t := x^3 = y^2$ . Put

$$u_1 = x^2 y, \ v_1 = yx^2.$$

Then there is a surjective morphism,

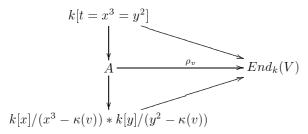
$$k[t, t^{-1}] < u, v > /(uvu - vuv) \longrightarrow A(t^{-1})$$

mapping u to  $u_1$  and v to  $v_1$ . In fact,  $u_1v_1 = t^2x$  and  $v_1u_1v_1 = t^3y$ , and finally  $u_1v_1u_1 = t^3y = v_1u_1v_1$ . (The relations with the equation of Yang-Baxter, if any, will have to be discovered.)

Now let us compute the  $Simp_n(A)$ . It is clear that any surjective homomorphism of k-algebras,

$$\rho_v: A \longrightarrow End_k(V)$$

will map Z(A) = k[t] into  $Z(End_k(V)) = k$ , inducing a point  $v \in Simp(k[t]) = \mathbf{A}^1$ . This means that  $Simp_n(A)$  is fibred over the affine line  $Spec(k[t]) = \mathbf{A}^1$ . Let  $\rho_v(x)^3 = \rho_v(y)^2 = \kappa(v)\mathbf{1}$ , where  $\mathbf{1}$  is the identity matrix, and where  $\kappa(v)$  is a parameter of the cusp. Then either  $v = origin =: \underline{o}$  or we may assume  $\kappa(v) \neq 0$ . Consider now the diagram:



Clearly, if  $\kappa(v) \neq 0$  the simple representations of A are fibered on the cusp with fibres being the simple representations of  $U := k[x]/(x^3 - \kappa(v)) * k[y]/(y^2 - \kappa(v))$ , isomorphic to the group algebra of the modular group  $Sl_2(\mathbf{Z})$ . Since the representation theory of  $Sl_2(\mathbf{Z})$  is known, this shows, in principle, how to go about describing the open subscheme of  $Simp_n(A)$  corresponding to  $\kappa(v) \neq 0$ , for all n > 0.

We shall however have to work a little to find the fibre of  $Simp_n(A)$  corresponding to the singular point of the cusp. When n=2 it is clear that we have no choice, but to fix the Jordan form of  $\rho_v(y)$  equal to the Jordan form of

$$\rho_v(x) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

Let  $I(\rho_v(x))$  be the isotropy subgroup of the action of  $Gl_n(k)$  on  $M_n(k)$ , at  $\rho_v(x)$ . Set theoretically, the fiber is then the double quotient,

$$I(\rho_v(x))\backslash Gl_n(k)/I(\rho_v(x))$$

To find the scheme structure we may compute the formal moduli of the simple module given by,

$$\rho_v(x) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \ \rho_v(y) = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

We compute and find the following.

**Example 24.** Let A be the non-commutative cusp. Then

- (i)  $Simp_1(A) = Spec(k[x, y]/(x^3 y^2))$
- (ii)  $Simp_2(A)$  is fibered on the cusp minus the origin, with fiber  $E(\underline{t}) = U_2/T^2$  where  $U_2$  is an open subscheme of the 3-dimensional scheme of all pairs of 2-vectors, with vector product equal 1, and  $T^2$  is a two dimensional torus, acting naturally on  $U_2$ .
  - (iii)  $S(2) = k[t^2, t^3, u].$
  - (iv) The fiber  $E(\underline{o})$  over  $\underline{o}$  is given by,

$$\tilde{\rho}(x) = \begin{pmatrix} t & 1 \\ 0 & t \end{pmatrix}, \ \tilde{\rho}(y) = \begin{pmatrix} u & 0 \\ 1+v & -u \end{pmatrix}$$

parametrized by the k-algebra  $k[t, u, v]/(t^2, u^2, (1+v)t)$ , i.e. it is the open subscheme of the double line parametrized by v, with the point v = -1 removed.

(v) In particular we find that  $E(\underline{o})$  is a component of  $Simp_2(A)$ .

The Jordan correspondence. As we have seen in the above example, the computation of the structure of the different  $Simp_n(A)$  for a given k-algebra A, is naturally related to the problem of finding the possible Jordan forms for the action of the generators  $\{x_i\}_{i=1}^d$  of A on a vector space of dimension n.

Notice that when A is the group algebra of the homotopy group of the p-pointed Poincaré sphere this problem is, in some quarters, called the Deligne-Simpson problem, and is related to classical problems in monodromy theory, see e.g. [Katz], [Kostov] and [Simpson].

We shall now see how this can be formulated in non-commutative algebraic geometry, using the existence of a non-commutative moduli space for iso-classes of endomorphisms, developed in [La 1], § 8. Let  $End_k(k^n) = Spec(k[x_{i,j}])$ , and let  $B := k[x_{i,j}]$  and  $G := Gl_n(k)$ . For each formal normal Jordan form of dimension n, there is an orbite, such that the affine ring of its closure is a B - G-representation  $\rho_i : B \to V_i$ . Corresponding to a family  $\mathcal{V} = \{V_i\}_i$  of B - G-modules, there is a deformation functor and a versal family of B - G-modules,  $\tilde{\mathcal{V}}$ , together with a homomorphism of B-modules,

$$\tilde{\rho}: B \to \tilde{\mathcal{V}} = (H_{i,j} \otimes V_i).$$

In all cases known to us, there is an algebraic k-algebra  $H' \subset (H_{i,j})$ , and a universal family defined on H', inducing the formal one above. This H', from now on called End(n), is simply  $O(\mathcal{V}^*, \pi)$ , the affine k-algebra of the non-commutative moduli scheme End(n) of iso-classes of endomorphisms, see [La 2]. Here  $\mathcal{V}^*$  is the A-G-swarm defined by the morphisms,  $\rho_i: B \to V_i$ . There is a homomorphism of k-algebras,

$$\eta: B \to O(\mathcal{V}, \pi) = (H_{i,j} \otimes Hom_k(V_i, V_j)).$$

inducing a homomorphism of k-algebras,

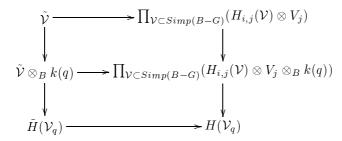
$$\eta: B^G \to End(n).$$

In [La 1] we have computed End(n) for n=2 and in a forthcoming paper, see [Siq 2], Arvid Siqveland has computed End(n) for n=3. There is, however, a problem with this set up; the lack of an algebraic structure on the map,

$$M_n(k) := End_k(k^n) \longrightarrow \mathbf{End}(n).$$

To overcome this, let us go back to the general theory for a while. Let A be given, as above, and consider a swarm,  $\underline{c} \subset A - mod$ . Let  $V_i, V_j \in |\underline{c}|$ . We shall say that  $V_i$  is above  $V_j$ , and write it  $V_i > V_j$  if  $Ext_A^1(V_i, V_j) \neq 0$ . Given a point  $V \in |\underline{c}|$  we shall call the subset  $\{V' \in |\underline{c}| | \ V' > V\}$  the focal swarm of V, and the subset  $\{V' \in |\underline{c}| | \ V > V'\}$  will be called the local swarm of V. In the case of the swarm Simp(B-G), if V is an object, i.e. the affine algebra of the closure of an orbit, there is a finite focal swarm  $V_V$  of V, corresponding to the orbits  $Simp(V_i)$  containing Simp(V) in their closure,i.e. to the set of points  $V_i$  for which there is a B-G-module homomorphism of  $V_i$  onto V.

Now consider the left End(n) and right B-module  $\tilde{\mathcal{V}}$ , and fix an element  $q \in Simp(B)$ . Then there exists a unique closed orbit Simp(V(q)) containing q, such that  $q \in Simp(V) - \bigcup_{V_j < V} Simp(V_j)$ . Let  $\mathcal{V}_q := \mathcal{V}_{V(q)}$ , and consider the commutative diagram,



Here  $\mathcal{V}$  runs through all finite subsets of Simp(B-G), and k(q) is the residue field of the point  $q \in Simp(B)$ . This induces an End(n)-module homomorphism,

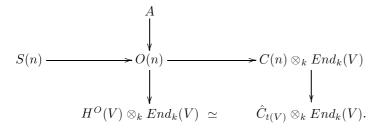
$$\tilde{q}: \tilde{\mathcal{V}} \longrightarrow H(\mathcal{V}_q)$$

Notice that the points, i.e. simple quotient modules, of the End(n)-module  $H(\mathcal{V}_q)$  correspond precisely to the local swarm  $\mathcal{V}_q$ . Moreover, this defines a unique, algebraic, morphism, the Jordan morphism,

$$J: End_k(k^n) \longrightarrow \{\mathcal{V} \subset \mathbf{E}nd(n) | \mathcal{V} \text{ local swarm}\}.$$

Notice also that  $H(\mathcal{V}_q)$  is a left End(n) and a right B-module. Fixing q, any element  $c \in Simp(End(n))$ , i.e. any Jordan form, therefore defines a simple B-module, an element  $c(q) \in Simp(B)$ . In this way we obtain a local section of the  $Gl_n(k)$ -orbit stratification of  $M_n(k)$  parametrized by Simp(End(n)).

Now, assume given a k-algebra A, generated by the elements  $\{x_i\}_{i=1}^p$ , and a simple n-dimensional representation  $V \in Simp_n(A)$ . Recall again the commutative diagram,



Clearly, the element  $x_i \in A$  induces a homomorphism,

$$x_i: B \to C(n),$$

therefore a natural map,

$$X_i: Simp_n(A) \to Simp(B) = M_n(k).$$

Together we have proved the following,

**Theorem 25.** There exists a natural algebraic correspondence,

$$J(x_1, x_2, ..., x_r) : Simp_n(A) \longrightarrow \{\mathcal{V} \subset \mathbf{E}nd(n) | \mathcal{V} \text{ local swarm}\}^r$$

Let us compute J in the first non-trivial case, i.e. for n=2. For this we first need to compute the versal family,  $\tilde{\mathcal{V}}$ , i.e. the action of B on  $\tilde{\mathcal{V}}=H\otimes\mathcal{V}$ . This is easily done by using the k-linear and Gl(2)-invariant section of the morphism  $B\to V_1=B/(s_1,s_2)$ , induced by fixing a k-basis for  $V_1$ ,

$$\{x_{1,1}^{n_0}x_{1,2}^{n_1}x_{2,1}^{n_2}=:x_{1,1}^{n_0}v_0\}_{0\leq n_0\leq 1,0\leq n_1,n_2}$$

mapping, multiplicatively,  $x_{1,1}$  to  $1/2(x_{1,1}-x_{2,2})$ , and  $x_{i,j}, i \neq j$  to  $x_{i,j}$ , see §10 of [La 1]. We obtain,

$$\tilde{\mathcal{V}} = (H(\lbrace V_i \rbrace)_{i,j} \otimes V_j) = \begin{pmatrix} k[s_1, s_2] \otimes V_1 & H_{1,2} \otimes V_2 \\ 0 & k[s] \otimes V_2 \end{pmatrix}$$

where  $V_2 = k$ , subject to the relation in  $H_{1,2} = k[s_1, s_2] < t_1, t_2 > k[s]$ ,

$$t_1 s^2 - s_2 t_1 - 2 \cdot t_2 s + s_1 t_2 = 0,$$

with the  $k[x_{i,j}]$ -action given by,

$$\begin{pmatrix} 1 \otimes v_1 & 0 \\ 0 & 1 \otimes v_2 \end{pmatrix} x_{i,j} = \begin{pmatrix} 1 \otimes v_1 x_{i,j} & 0 \\ 0 & 0 \end{pmatrix}$$

if  $i \neq j$ , and,

$$\begin{pmatrix} 1 \otimes v_0 & 0 \\ 0 & 1 \otimes v_2 \end{pmatrix} x_{1,1} = \begin{pmatrix} 1 \otimes v_0 x_{1,1} - 1/2s_1 \otimes v_0 & -1/2t_1 \otimes \overline{v}_0 \\ 0 & -s \otimes v_2 \end{pmatrix}$$

$$\begin{pmatrix} 1 \otimes v_0 & 0 \\ 0 & 1 \otimes v_2 \end{pmatrix} x_{2,2} = \begin{pmatrix} -1 \otimes v_0 x_{1,1} - 1/2 s_1 \otimes v_0 & -1/2 t_1 \otimes \overline{v}_0 \\ 0 & -s \otimes v_2 \end{pmatrix}$$

Moreover, the (1,1)-term of the matrix,

$$\left(\begin{array}{ccc}
1 \otimes v_1 & 0 \\
0 & 1 \otimes v_2
\end{array}\right) x_{1,1}$$

for  $v_1 = v_0 x_{1,1}$ , looks like,

$$-1 \otimes v_0 x_{1,2} x_{2,1} - 1/2 s_1 \otimes v_0 x_{1,1} + s_2 \otimes v_0$$

and the (1,2)-term has the form,

$$t_2 \otimes v_0' - 1/2t_1s \otimes v_0' - (s_1/2)^2/(1 - (s_1/2))t_1 \otimes v_0',$$

The (1,1)-term of the matrix,x,

$$\left(\begin{array}{ccc}
1 \otimes v_1 & 0 \\
0 & 1 \otimes v_2
\end{array}\right) x_{2,2}$$

has the form,

$$1 \otimes v_0 x_{1,2} x_{2,1} - 1/2 s_1 \otimes v_0 x_{1,1} - s_2 \otimes v_0$$

and the (1,2)-term looks like,

$$-t_2 \otimes v_0' + 1/2t_1s \otimes v_0' + (s_1/2)^2/(1 - (s_1/2))t_1 \otimes v_0'.$$

Here  $v'_0$  is the image of  $v_0$  in  $V_2$ . Notice that for  $s_1 = 2$  these formulas are undefined. Assume  $s_1 \neq 2$ , then J is defined, and in particular,

$$J(\underline{0}) = ((0,0),0).$$

The (generalized Deligne-Simpson) problem we encountered above, is now the following:

**Problem 26.** Given a k-algebra A, finitely generated by the elements  $\{x_i\}_{i=1}^r$ , characterize the image of the morphism,

$$J(x_1, x_2, ..., x_r) : Simp_n(A) \to \mathbf{End}(n)^p.$$

In the case of the cusp above, it is easy to compute the image of J, when n = 1, 2, and not so easy when  $n \geq 3$ .

A structure theorem for geometric k-algebras. Let A be a geometric algebra, and assume moreover that I(n)=0 thus,  $A\simeq A(n)$ , so that A does not have any simple modules of dimension greater than n. Now, for any  $m\leq n$ , consider the natural morphism,

$$A \to \prod_{\mathcal{V} \subset Simp_m(A)} O^A(\mathcal{V})$$

where  $\mathcal{V}$  runs through all finite subsets of  $Simp_m(A)$ . Call the image D(m). Clearly there is a natural surjectiv homomorphism,

$$D(m) \longrightarrow O(m) \subset \prod_{V \in Simp_m(A)} H^{A(m)} \otimes End_k(V),$$

see Proposition 11. Let  $\mathcal{D}(m)$ ,  $\mathcal{O}(m)$ , be corresponding (non-commutative) sheaves on  $Simp_m(A)$ . Consider the diagram,

$$K(n) \xrightarrow{\hspace{1cm}} A(n) \xrightarrow{\hspace{1cm}} \mathcal{D}(n)$$

$$\downarrow^{\rho_1} \qquad \qquad \downarrow$$

$$K(n-1) \xrightarrow{\hspace{1cm}} A(n-1) \xrightarrow{\hspace{1cm}} \mathcal{D}(n-1)$$

$$\downarrow^{\rho} \qquad \qquad \downarrow$$

$$0 \xrightarrow{\hspace{1cm}} A(1) \xrightarrow{\hspace{1cm}} \mathcal{D}(1).$$

where K(m) is the kernel of the morphism  $A(m) \to D(m)$ . Clearly K(1) = 0.

**Theorem 27.** For any geometric k-algebra with I(n) = 0, there is a sheaf of matrix algebras  $\mathcal{D}$ , defined on  $Simp_n(A)$ , and an injectiv homomorphism of k-algebras,

$$A \longrightarrow \mathcal{D}$$
,

where  $\mathcal{D}$  is generated by matrices of the type,

$$\begin{pmatrix} \mathcal{D}(n) & * & \dots & * \\ * & \mathcal{D}(n-1) & \dots \\ * & * & \dots & \mathcal{D}(1) \end{pmatrix},$$

such that  $Simp_m(A) = Simp(\mathcal{D}(m))$ .

*Proof.* This is now just another way of stating Proposition 1., i.e. saying that  $A \simeq O(Simp^*(A))$ , since clearly  $O(Simp^*(A)) \subseteq \mathcal{D}$ .

The following simple consequence of the O-construction, is going to be rather useful,

Corollary 28. Suppose the geometric k-algebra A satisfies the following conditions,

- (1) I(n) = 0
- (2)  $\operatorname{Ext}_{A}^{1}(V, V') = 0$ , if  $\operatorname{dim}V < \operatorname{dim}V'(\operatorname{resp.\ if\ } \operatorname{dim}V > \operatorname{dim}V')$

Then  $\mathcal{D}$  is a sheaf of upper triangular (resp. lower triangular) matrices of the form,

$$\mathcal{D} = \begin{pmatrix} \mathcal{D}(n) & * & \dots & * \\ 0 & \mathcal{D}(n-1) & \dots & \\ 0 & 0 & \dots & \mathcal{D}(1) \end{pmatrix}.$$

**Remark.** The above condition (2) is very often satisfied, and in particular, it is satisfied for the the coordinate k-algebras of affine subschemes of (non-commutative) orbit spaces of the action of a (finite dimensional) reductive Lie group. In fact, if the Lie group G acts on the affine scheme X = Spec(B) such that the (non-commutative) orbit space, see [La?] is an affine (non-commutative) k-algebra A, then, for any local swarm  $\mathcal{V} = \{V_1, V_2, ..., V_r\}$ , of B - G-modules, corresponding to closed orbits  $Spec(V_1) \supset Spec(V_2) \supset ... \supset Spec(V_r)$ , then

(3) 
$$Ext_{A-G}^{1}(V_i, V_j) = 0, \text{ for all } j < i.$$

This implies that the corresponding formal moduli of  $\mathcal{V} = \{V_1, V_2, ..., V_r\}$  has the form,

$$H(V) = \begin{pmatrix} H_{1,1} & * & \dots & * \\ 0 & H_{2,2} & \dots & \\ 0 & 0 & \dots & H_{r,r} \end{pmatrix}.$$

This again will imply that A will have the form,

$$A = \begin{pmatrix} \mathcal{S}(n) & * & \dots & * \\ 0 & \mathcal{S}(n-1) & \dots & \\ 0 & 0 & \dots & \mathcal{S}(0) \end{pmatrix}.$$

Here Simp(S(p)) is the (possibly non-commutative) subscheme of Simp(A) corresponding to the p-dimensional orbits. Let us prove (3) above. There are two spectral sequences converging to  $Ext_{A-G}^*(V_i, V_j)$ , one given by

$$E_2^{p,q} = H^p(G, Ext_B^q(V_i, V_i)),$$

the other with,

$$E_2^{p,q} = HH^p(B, H^q(G, Hom_k(V_i, V_j))).$$

If p + q = 1, then the last one will be reduced to,

$$E_2^{0,1} = HH^0(B, H^1(G, Hom_k(V_i, V_i))) = 0,$$

since G is reductive, and

$$E_2^{1,0} = HH^1(B, H^0(G, Hom_k(V_i, V_i))) = 0,$$

since, obviously,  $H^0(G, Hom_k(V_i, V_j)) = Hom_G(V_i, V_j) = 0$  for j < i.

A spectral sequence. Let the finitely generated k-algebra A be such that  $A \simeq A(n)$ . Then  $Simp_m(A) = \emptyset$ , for  $m \geq n$ . To what extent will the globale scheme structures of the  $Simp_p(A)$  determine the globale structure of A, and vice versa? In particular, is the cyclic homology of A determined by the de Rham cohomology of the different  $Simp_p(A)$ , and conversely, what can we learn about the de Rham cohomology of  $Simp_p(A)$  knowing the cyclic cohomology of A? The first result in this direction is the following trivial observation,

**Lemma 29.** Suppose, in the above situation, that the ideals  $J(m-1) := I(m-1)/I(m) \subset A(m)$ ,  $m \ge 1$ , are H-unital, then there exists a spectral sequence with,

$$E_{p,m}^1 = HC_p(J(m-1)),$$

converging to (abutting at)  $HC_*(A)$ .

Proof. See, e.g. [Loday]

**Theorem 30.** Let A satisfy the following conditions,

- (1) I(n)=0
- (2)  $\operatorname{Ext}_A^1(V, V') = 0$ , if  $\dim V < \dim V'(\operatorname{resp.\ if\ } \dim V > \dim V')$ .
- (3)  $Simp_m(A) = Spec(C(n))$  is affine for  $m \ge 1$ .

Then.

$$A \simeq \mathcal{D}$$

and there is a spectral sequence with,

$$E_{p,m}^1 = HC_p(C(m)),$$

converging to (abutting at)  $HC_*(A)$ . Moreover, if all  $Simp_m(A)$  are smooth affine schemes, then

$$HC_p(C(m)) = \bigoplus_{l \ge 1} H_{d.R}^{p-2l}(Simp_m(A)) \oplus (\Omega_{Simp_m(A)}^p / d\Omega_{Simp_m(A)}^{p-1})$$

Proof. Use the Lemma 23. If  $Simp_m(A)$  is affine for  $m \geq 1$ , it follows that the map  $A(m) \to C(m) \otimes M_m$  is surjective. The problem is to show that I(m-1) maps surjectively onto  $C(m) \otimes M_m$ . However, the image of  $I^A(m-1)$  in  $C(m) \otimes M_m$  is  $I^{C(m) \otimes M_m}(m)$  which, obviously, is  $C(m) \otimes M_m$ , since  $C(m) \otimes M_m$  has no modules of dimension strictely less then m. But then  $A \simeq \mathcal{D}$ . Now,  $A \simeq \mathcal{D}$  is triangular, and the ideals  $J(m-1) := I(m-1)/I(m) \subset A(m)$  are obviously H-unital. Since cyclic homology is Morita invariant, the result follows from, e.g. [Loday], see 2.2.12, and Chapter 3.

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