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## Photoluminescence intensity of Cu-doped ZnO modulated via defect occupancy by applying electric bias

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Discovering multifunctional materials is of paramount importance for advancing the science and technology. Herein, we report on an optical phenomenon modulated by an electrical process that happened at the metal-ZnO:Cu junction, for which the light emission intensity from the photoluminescence is tuned reversibly by applying electric bias to the junction. Importantly, these observations were correlated with the x-ray absorption measurements, detecting prominent flips in  $\text{Cu}^+/\text{Cu}^{2+}$  oxidation state occupations in ZnO:Cu film as a function of the resistive switching. Moreover, further analysis of the x-ray absorption data revealed an additional prominent correlation - the signals interpreted as the Zn-O bond fingerprints also exhibited the modulations. By considering the whole set of data, we proposed a scenario explaining the modulation phenomena.

## 1. Introduction

Discovering multifunctional materials is of paramount importance for advancing the science and technology. In particular, manipulating light by non-optical phenomena is interesting for the fundamental physics development and for fabricating novel devices. Indeed, there are excellent demonstrations interconnecting light and applied electric field, e.g. in light emitting diodes – featuring a great scientific success and continuously entering new cross-disciplinary markets.<sup>[1]</sup> Moreover, the interplay between a magnetic field and light, e.g. in the form of magnetoluminescence, is also known,<sup>[2]</sup> even though it has not yet resulted in similarly prominent applications.

More specifically, the magnetic and optical properties of materials may be controlled by changing the resistance state in the memristors. For example, switching of the resistance of the metal/ZnO:Cu structure by applying a positive bias and negative bias were reported and this phenomenon was termed resistive switching<sup>[3-7]</sup>. Using this material, Younas et al.<sup>[8]</sup> observed interesting magnetic switching, so that the saturation magnetization was significantly reduced, while switching the memristor from the high resistance state (HRS) to the low resistance state (LRS). Thus, the resistive switching is an interesting option for controlling other physical properties of materials, providing additional opportunities for device functionalization. Controlled by applying electric bias, He et al.<sup>[9]</sup> reported prominent emission peak shifts of graphene/SiO<sub>2</sub> based structures, and Yang et al.<sup>[10]</sup> reported electroluminescence intensity modulations from Au/GaO<sub>x</sub>/p-GaN/n-ZnO light emitting diode structures.

Although there are reports on modulation of luminescence by applying electric bias, there was little effort devoted to understand the physics behind the phenomenon. In the present study, we observed photoluminescence (PL) intensity modulation on the metal/ZnO:Cu structure by applying positive and reverse bias. Importantly, the PL observations were correlated with the x-ray absorption measurements, detecting prominent flips in Cu<sup>+</sup>/Cu<sup>2+</sup>

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3 oxidation state occupations in the ZnO:Cu film as a function of the luminescence intensity  
4 modulation. Moreover, the analysis of the Zn K-edge x-ray absorption fine structure spectra  
5 indicated an additional prominent correlation - the signals interpreted as the Zn-O bond  
6 fingerprints also exhibited modulations. By considering the whole data set, we proposed a  
7 consistent scenario explaining the modulation phenomena.  
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## 17 **5. Experimental Methods**

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19 The active part of the Al/ZnO:Cu/ZnO:Ga/Al<sub>2</sub>O<sub>3</sub> memristor structure was fabricated by pulsed  
20 laser deposition (PLD)<sup>[8,11]</sup>. Specifically, the ZnO:Cu/ZnO:Ga sandwich structures were  
21 fabricated by PLD on single crystalline c-axis oriented Al<sub>2</sub>O<sub>3</sub> substrates (purity >99.996%), as  
22 supplied from Crystal-Optech Co. Ltd. The background pressure in the PLD system was 10<sup>-4</sup>  
23 Pa. During the growth, the oxygen pressure P(O<sub>2</sub>) and the substrate temperature were kept at  
24 0.02 Pa and 600 °C, respectively. The ZnO:Cu and ZnO:Ga films were fabricated using the  
25 ZnO:CuO (Cu=4 wt%, purity=99.999%) and ZnO:Ga<sub>2</sub>O<sub>3</sub> (Ga=1 wt%, purity=99.999 %)   
26 ceramics targets, respectively. A 248 nm wavelength KrF excimer laser, having a pulse energy  
27 of 300 mJ and a repetition rate of 2 Hz was used to ablate the targets. The ZnO:Cu film was  
28 ~300 nm thick. The conductive ZnO:Ga (GZO) film was ~50 nm thick, exhibiting ~90% optical  
29 transmission in the visible range and resistivity of ~1×10<sup>-4</sup> Ω. A part of the GZO film was  
30 masked prior to the ZnO:Cu film deposition, providing sufficient area for making ohmic contact  
31 on the GZO film. This GZO film with an ohmic contact formed the backside electrode. Finally,  
32 the front side Al contacts were fabricated on top of the ZnO:Cu/GZO sandwich by e-beam  
33 evaporation through a mask, resulting in the 4x4 array of circular Al contacts, 0.25 mm in  
34 diameter, separated by 0.25 mm. Thus, we can differentiate between the individual components,  
35 each with its own Al top contact, and the whole memristor cell or device – encompassing the  
36 4x4 array of the individual components. The inset in Fig.1 shows the schematics of the device.  
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3 The electrical characterization was monitored by current-voltage (*IV*) measurements  
4 using a Keithley 2601B setup at room temperature (RT). Initially, the *IV* characteristics were  
5 measured in the range from  $-4\text{V}$  to  $+4\text{V}$ . The voltage ( $V_{\text{applied}}$ ) was applied to the front side Al  
6 electrode, always keeping the backside GZO electrode at  $0\text{V}$ . It was found (see Sec.3 for details)  
7 that applying bias of  $-4\text{V}$  and  $+4\text{V}$  set the sample resistance to the states of high resistance and  
8 low resistance (denoted respectively by HRS and the LRS). The resistive state of the sample  
9 after setting to HRS or LRS and then unwired were tested by a small testing voltage ( $+0.5\text{ V}$ ,  
10 referred to the monitoring *IV* measurement for the rest of the paper). The sample resistance  
11 after set to HRS and LRS are non-volatile, meaning that the sample resistance measured by a  
12 small testing voltage persisted after the sample was set and unwired. Notably, all the  $4\times 4$   
13 Al/ZnO:Cu/GZO components were tested individually, showing very similar resistive  
14 switching magnitude in multiple tests; the same performance was observed if switching the  $4\times 4$   
15 device array as a whole.  
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33 The PL was measured both at room temperature and at  $10\text{K}$ , after setting the samples to  
34 the HRS and LRS electrically and unwired. The PL study was conducted on the front side of  
35 the sample with the excitation laser illuminating at the bare area in-between the Al electrode.  
36 A Kimmon He-Cd continuous laser was used as excitation source, keeping the power at  $30\text{ mW}$ .  
37 The PL excitation and the data collection were performed from the front side of the sample.  
38 The PL spectra were collected by a monochromator with a focal length of  $500\text{ mm}$ , a  
39 photomultiplier tube and a lock-in amplifier (Omni- $\lambda$  5008 system). For the  $10\text{K}$  PL  
40 measurements, an Oxford Instrument closed cycle He refrigerator was used. The spot size of  
41 the PL excitation beam was  $\sim 1\text{ mm}$  on the sample. The beam incidence was aligned with the  
42 center of the device, so that the PL signal was collected from the device volume correlated with  
43 at least 4 central individual Al/ZnO:Cu/GZO components. Importantly, all  $4\times 4$  components of  
44 the device were set consequently at HRS/LRS to record the corresponding PL modulations.  
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3 Notably, several batches of devices were fabricated on similarly synthesized wafers; these  
4 devices demonstrated very similar patterns for the PL modulation and switching states.  
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8 The x-ray absorption spectra (XAS) were acquired at RT after switching the samples to  
9 the HRS and LRS. The data were obtained from the front side of the samples at the ELETTRA  
10 Synchrotron (Trieste, Italy) with the storage ring running at 2GeV and a typical current of  
11 300mA. The Cu-L<sub>3/2</sub> edge X-ray absorption near edge structure (XANES) data were collected  
12 at the BL8.1L station of the ELETTRA. The spectral energy was calibrated by referring to C  
13 1 $\pi$  – 1 $\pi^*$  transitions. The incident light was horizontally polarized and the incidence angle of  
14 the light with respect to the sample surface plane was fixed at 10° with the s polarization. The  
15 XANES were collected in total electron yield (TEY) mode and the data were normalized to the  
16 incident photon flux. Notably, the TEY XANES data acquisition was most sensitive in the near  
17 surface area with a reliable probing depth of ~10nm. The XAS data at Cu and Zn K-edges were  
18 collected at the XAFS beamline (BL11.1 at the ELETTRA synchrotron). Notably, the Cu  
19 (8979eV) and Zn (9659eV) K-edges are ~700eV apart and the fine structure above the Cu  
20 absorption edge terminated before the appearance of the Zn edge. For simulating the data, for  
21 each spectrum a theoretical model was designed by adding shells around the central excited  
22 atom and least-square iterated the fitting parameters, namely the initial x-ray absorption energy  
23 at the edge ( $E_0$ ), the radial distances ( $R$ ) and the Debye–Waller type factors ( $\sigma^2$  ( $\text{\AA}^2$ )). The  
24 coordination numbers could be iterated too, but due to their strong correlation with  $\sigma^2$  ( $\text{\AA}^2$ )<sup>[6, 7]</sup>  
25 in the present study the coordination numbers were kept fixed at the preset values. Concerning  
26 the amplitude reduction factor ( $S_0^2$ ), it could be found from a “standard” (data from a sample  
27 with well-known structure) and applied to a set of unknowns as a scale factor. In our case it has  
28 been fixed to the value obtained from fitting of the Fourier transformed XAFS spectrum of the  
29 pristine ZnO film and has been kept constant during the fitting of the ZnO:Cu based samples  
30 under different biasing states.  
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Notably, in addition to the *IV*, PL, and XAS measurements the samples were subjected to other types of characterizations including x-ray diffraction, scanning electron microscopy, secondary ion mass spectroscopy, Hall-effect measurement and ultra-violet visible measurement as elaborated in Section S1 of the Supplementary Materials. Moreover, specific details related to the XAS data collection and simulation are summarized in Section S2 of the Supplementary Materials. Further, some of the samples were annealed; in order to discriminate between Cu- and V<sub>O</sub>-related contributions into the green luminescence, see Section S3 in the Supplementary Materials. Finally, we performed simulations of the electric field penetrating through the ZnO:Cu in the course of the HRS/LRS switching. The results of these simulations illustrated, even trivial but important for our discussion argument – that the field penetrated both beneath and laterally around Al contacts, see Section S4 in the Supplementary Materials.

## 2. Results

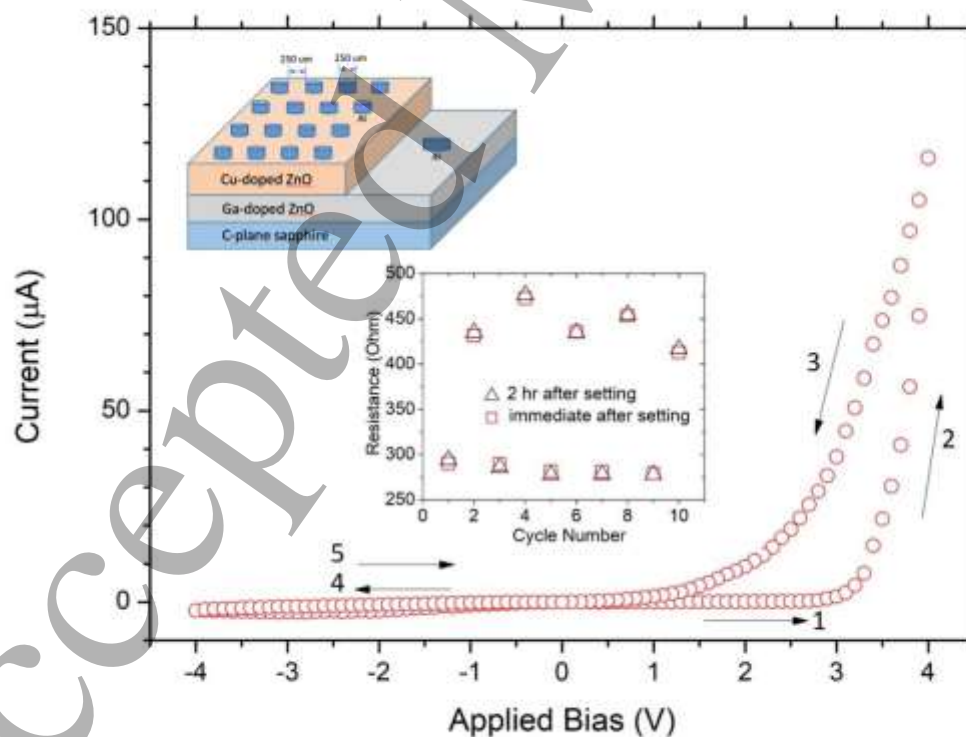


Figure 1: Typical IV data as collected from our samples indicating several prominent current intervals for the voltage loop of 0V→3V→4V→0V→-4V→0V. The insert in the center of

the panel shows LRS/HRS cycling test data by applying +4V/-4V and repeating the measurements in 2 hours after the bias was set. The inset in the upper left corner of the panel is the schematics of the Al/ZnO:Cu/ZnO:Ga/Al<sub>2</sub>O<sub>3</sub> samples used in this study.

Figure 1 illustrates the resistive setting effect occurring in the Al/ZnO:Cu/ZnO:Ga/Al<sub>2</sub>O<sub>3</sub> structures. As seen from Figure 1, at low positive voltages, i.e.  $0V < V_{\text{applied}} < +3V$  (stage 1 in Figure 1), the current remains low, meaning that the device is in the HRS. For  $+3V < V_{\text{applied}} \leq +4V$  (stage 2 in Figure 2), the current abruptly increased (reaching  $\sim 100 \mu\text{A}$  at +4 V), i.e. demonstrating switching to the LRS. Further, the current gradually decreased during the sweep down from +4 V to 0 V (stage 3 in Figure 2), however its magnitude remains somewhat higher as compared to that in the bias sweeping-up stage. Finally, applying the bias from 0 V to -4 V (stage 4 in Figure 1) and then sweeping it up from -4 V to 0 V (stage 5 in Figure 1) restores the system. Fitting the  $\log(I)$  versus  $\log(V_{\text{applied}})$  data for the positive bias showed the proportionalities of  $I \sim V^{1.2}$  for  $0 < V_{\text{applied}} < 1V$ ,  $I \sim V^2$  for  $1V < V_{\text{applied}} < 2V$ , and  $I \sim V^{4.5}$  for  $3V < V_{\text{applied}} < 4V$ , indicating that the mechanisms are governed by Ohm's law conduction, Child's law injection carriers and Poole-Frenkel emission, respectively.<sup>[8,11]</sup>

Importantly, the switching between HRS and LRS was observed in the course of multiple cycles as illustrated by the data in the inset to Figure 1. Firstly, we applied +4 V to set the sample to the LRS at  $\sim 270 \Omega$ . After removing the set-bias, the monitoring *IV* measurements (with bias of +0.5 V which is smaller than the setting bias of 4V) confirmed that the LRS at  $\sim 270 \Omega$  remained. Importantly, the LRS exhibited a long-term stability as proved by repeating the monitoring *IV* measurement 2 hours after the LRS setting (see the overlapping symbols for the first cycle in the inset to Figure 1). Secondly, we applied -4V to set the sample to the HRS at  $\sim 450 \Omega$ . After removing the set-bias, the monitoring *IV* measurements confirmed that the HRS at  $\sim 450 \Omega$  remained. Again, the HRS (and also the LRS) were remarkably stable as proved by repeating the monitoring *IV* measurements 2 hours after the HRS and LRS settings (see the overlapping symbols for the second cycle in the inset to Figure 1). Further cycling – see the



data in the inset to Figure 1 – confirmed the non-volatile HRS/LRS operation.<sup>[12]</sup> Notably, all Al/ZnO:Cu/ZnO:Ga components were tested individually, showing very similar resistive switching magnitude in multiple tests; the same performance was observed if switching the 4x4 device array as a whole.

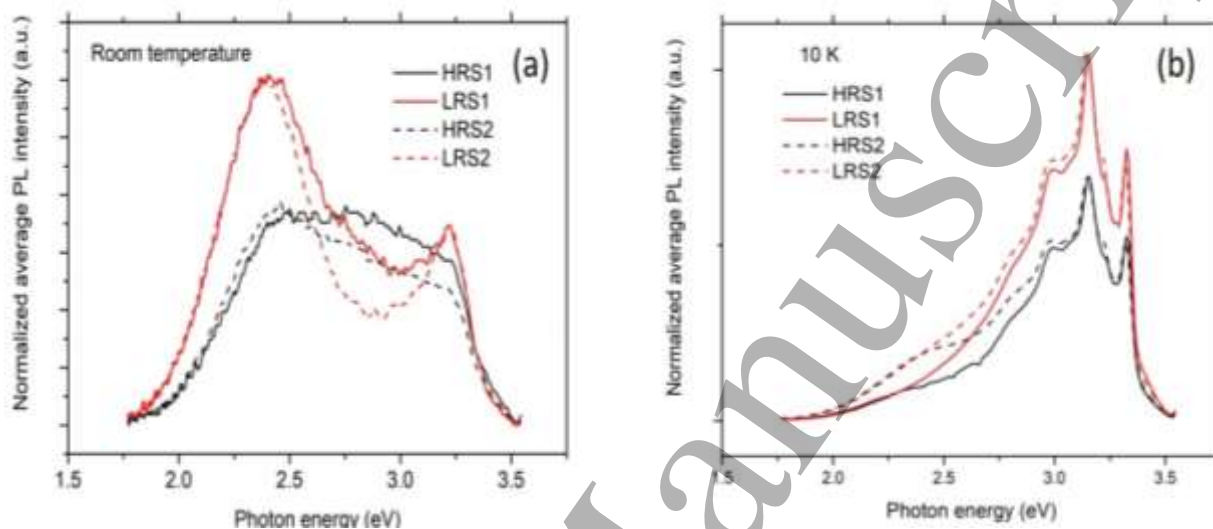


Figure 2: PL data from the sample sequentially set at the HRS1→LRS1→HRS2→LRS2 non-volatile memristor conditions as collected at (a) room temperature and (b) 10K; the data in both panels reveal a prominent PL modulation trend. Naturally, the raw PL intensity data at 10K were significantly higher than that that at room temperature and the normalization was applied to visualize the modulation trend.

The PL was measured on the samples front side subjected to the HRS/LRS cycles as explained above, and **Figure 2** shows the corresponding PL spectra. At the beginning, the sample was first set to the HRS conditions, labeling this state HRS1, and using it for the initial PL data collection, see Figure 2. The room temperature PL data reveal a broad spectrum extending from  $\sim 1.8$  eV to  $\sim 3.4$  eV without prominent features, see Figure 2(a). Further, the sample was switched to the LRS, labeling this state LRS1. Intriguingly, the room temperature PL demonstrated a prominent evolution while switching from the HRS1 to LRS1. Indeed, two new distinct features were observed: the intensive green luminescence emission peaking at 2.40 eV and the near band edge emission peaking at 3.22 eV. Further, the measurements were repeated in cycles and showed excellent reproducibility, e.g. see the black and red dotted lines

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3 in Figure 2(a) corresponding to the data for the second cycle, i.e. HRS2 and LRS2, respectively.  
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5 Thus, already accounting to the room temperature PL data, see Figure 2(a), we observed the  
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7 luminescence modulation induced by the non-volatile resistive switching in our samples.  
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9 Moreover, 10K PL data were collected in a similar manner, see Figure 2(b), also confirming  
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11 the luminescence modulation. Indeed, even though the spectra in Figure 2(b) exhibit similar  
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13 features (several near band edge emission peaks, e.g. at 3.02 eV, 3.16 eV, 3.23 eV and 3.33 eV,  
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15 as well as a weaker green luminescence shoulder at 2.3 - 2.4 eV), the intensity of the near band  
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17 edge emission peaks was prominently modulated. Importantly, the monitoring *IV*  
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19 measurements were performed after completing each step of the PL data collection ensuring  
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21 that the samples remained in the corresponding resistive states during the measurements.  
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23 Overall, the spectral features in Figure 2 are in agreement with what is expected for the PL in  
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25 ZnO.<sup>[13]</sup> Moreover, we interpret that the PL data collected from the near surface volume of the  
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27 ZnO:Cu film only, since the laser excitation rapidly absorbs while entering the sample.  
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29 Importantly, all 4x4 components of the device were set consequently at HRS/LRS to record the  
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31 corresponding PL modulations.  
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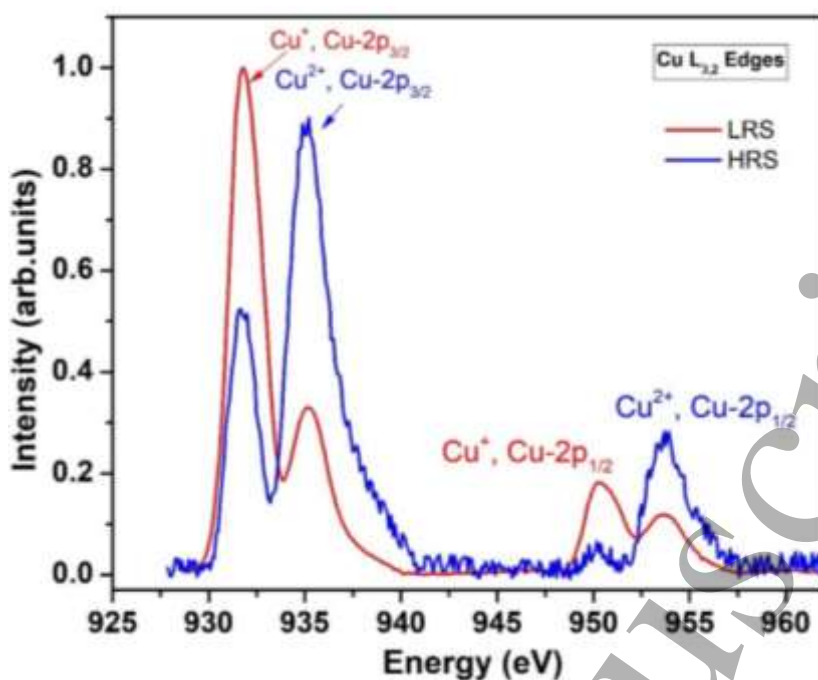


Figure 3: The Cu-L<sub>3,2</sub> edge XANES spectra as collected from the sample set firstly at HRS and consequently at LRS, showing the correlation between the resistive switching and Cu<sup>+</sup> versus Cu<sup>2+</sup> charge state abundance.

**Figure 3** shows the Cu-L<sub>3,2</sub> edge XANES spectra for the samples set at HRS and LRS conditions, as such correlating with the HRS/LRS cycling measurements in Figure 1 and 2. Two pairs of peaks were observed in Cu-L<sub>3,2</sub> edge XANES spectra, namely the (932 eV, 935 eV) and the (950 eV, 954 eV). Importantly, similar pairs of peaks were observed in CuO and Cu<sub>2</sub>O and correlated with the Cu<sup>2+</sup> and Cu<sup>+</sup> charge states, respectively.<sup>[14]</sup> Thus, the peaks in Figure 3 are assigned to the Cu<sup>2+</sup> and Cu<sup>+</sup> charge states of Cu in the near surface volume of the ZnO:Cu film. More specifically, the (932 eV, 935 eV) peaks correspond to (Cu<sup>+</sup>, Cu<sup>2+</sup>) associated with Cu-2p<sub>3/2</sub> and the (950 eV, 954 eV) peaks correspond to (Cu<sup>+</sup>, Cu<sup>2+</sup>) associated with Cu-2p<sub>1/2</sub>, as also illustrated in Figure 3. However, most remarkably, the data in Figure 3 reveal prominent flips in Cu<sup>+</sup>/Cu<sup>2+</sup> occupations in ZnO:Cu film as a function of the resistive switching. For example, comparing the integral areas below the Cu<sup>+</sup> and Cu<sup>2+</sup> peaks, the relative Cu<sup>+</sup> intensities are 26 % and 71 % for HRS and LRS, respectively. The observation in Figure 3 is in excellent correlation with the results in Figure 1 and 2 assuming all of the phenomena – resistive switching, luminescence modulation, and the Cu<sup>2+</sup> versus Cu<sup>+</sup> charge state alternation

– are occurring in the ZnO:Cu film. Importantly, the monitoring *IV* measurements were performed after completing each step of the XANES data collection ensuring that the samples remained in the corresponding resistive states during the measurements.

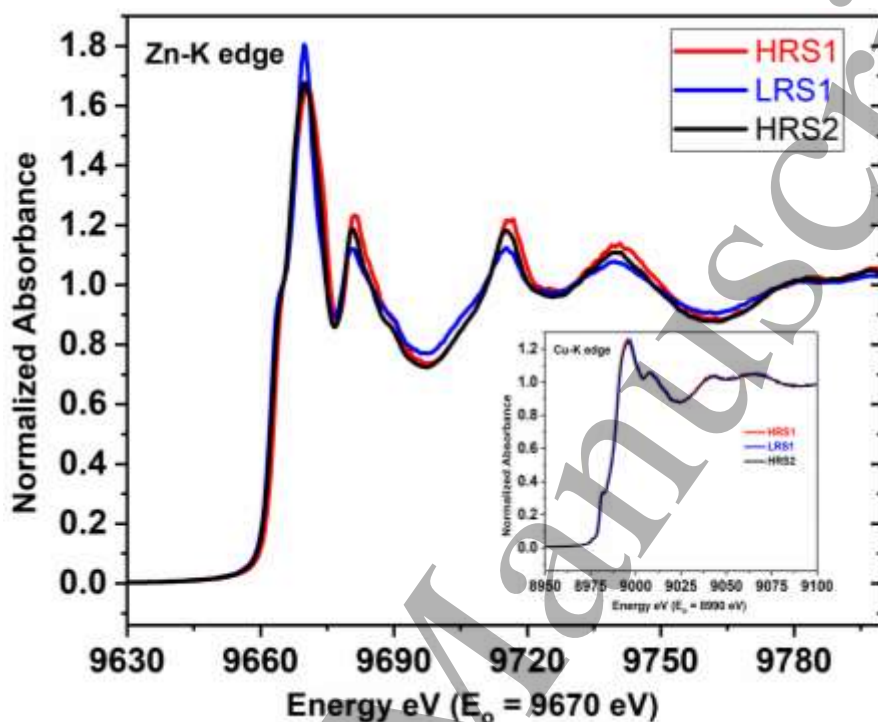
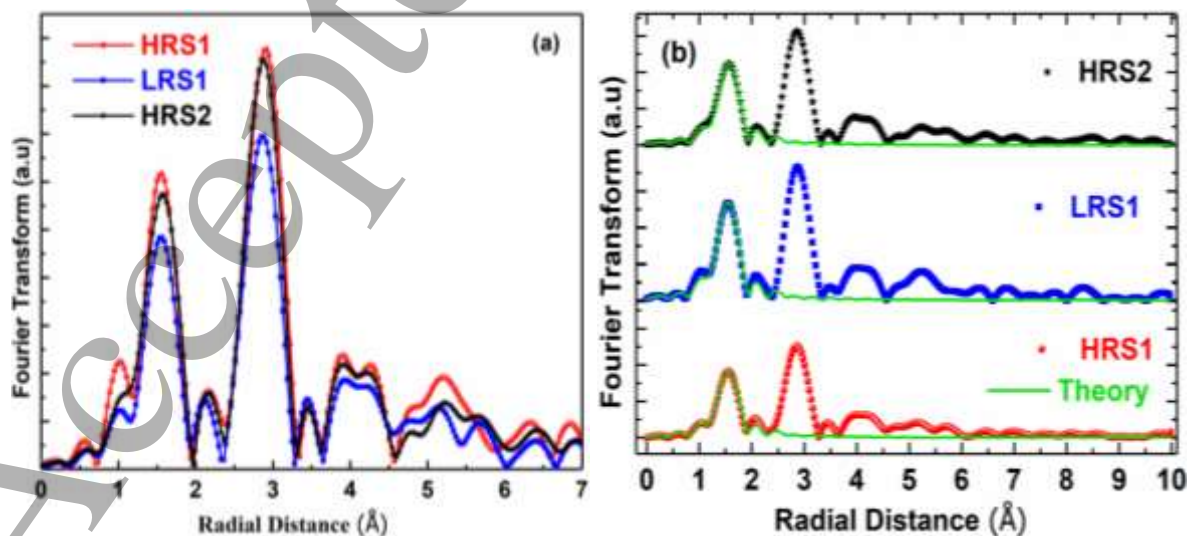


Figure 4: The normalized XANES spectra of Zn K-edge spectra as collected from the sample set consequently at HRS1, LRS1 and HRS2. The inset shows the corresponding Cu K-edge data.



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3 Figure 5. Fourier transforms of the data in Figure 4, (a) the raw data and (b) comparison of the  
4 experimental data (symbols) with a theoretical model (green solid lines).  
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8 Thus, assuming that the relevant transformations occur in the ZnO:Cu film we decided  
9 to investigate the microscopic arrangements in this material in more details. Consequently,  
10 **Figure 4** shows the normalized XANES spectra for Zn K-edge for the sample set at HRS1,  
11 LRS1 and HRS2 conditions consequently, while the inset shows the corresponding data for Cu  
12 K-edge. The data in Figure 4 were obtained by subtracting the smooth pre-edge background  
13 from the experimental spectra and taking the edge jump height as unity. Further details of the  
14 normalization procedure can be found elsewhere.<sup>[15]</sup> Notably, even though some discrepancy in  
15 the Zn K-edge data were observed, the direct analysis of the data in Figure 4 was not fully  
16 reliable and in order to enhance the accuracy, the Fourier transformation analysis was applied  
17 – see **Figure 5**. Importantly, the monitoring *IV* measurements were performed after completing  
18 each step of the XAFS data collection ensuring that the samples remained in the corresponding  
19 resistive states during the measurements.  
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35 Figure 5(a) shows the comparison of the Fourier transforms of the Zn K-edge spectra  
36 for the sample set consequently at HRS1, LRS1 and HRS2. Taking in to account the most  
37 prominent features in Figure 5(a), the peak appearing at  $\sim 1.5 \text{ \AA}$  is due to the first shell of the  
38 oxygen atoms and is related to the Zn-O bond distance. The second prominent peak at  $\sim 2.9 \text{ \AA}$   
39 is associated with the second shell of Zn-Zn and Zn-O, and also multiple scattering. It is evident  
40 from Figure 5(a) that the intensity of these two peaks decreases when the sample is switched  
41 from the HRS1 to the LRS1. Moreover, the peaks amplitude is restored with returning to the  
42 HRS2 conditions.  
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56 Table I. Best fitting parameters for the simulations presented in Figure 5(b) considering the  
57 first Zn-O shell with a pre-set coordination number of 4 and the amplitude reduction factor  
58 ( $S_0^2$ ) of 0.9. The  $\sigma^2 [\text{\AA}^2]$  is the Debye-Waller factor, and  $R [\text{\AA}]$  is the Zn-O bond distance.  
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	$S_o^2$	$\sigma^2$ [Å <sup>2</sup> ]	$R$ [Å]
Pristine ZnO	0.9	$0.0052 \pm 0.0013$	$1.9714 \pm 0.015$
HRS1	0.9	$0.0010 \pm 0.0013$	$1.9719 \pm 0.013$
LRS1	0.9	$0.0047 \pm 0.0014$	$1.9725 \pm 0.015$
HRS2	0.9	$0.0012 \pm 0.0010$	$1.9810 \pm 0.011$

Further, the peak at  $\sim 1.5$  Å includes only contribution from the first shell of the oxygen atoms, while the contributions from Zn-Zn, Zn-O (from the second shell) and multiple scattering are negligible. Therefore, for the Zn K-edge, the fits were performed in the range of 1–2 Å including the single scattering from the first shell of oxygen atoms only. Figure 5(b) shows the data and the fitted curves for the HR1-LRS1-HRS2 set of samples. The fitted parameters are listed in **Table I**, see the section describing the experimental methods for the fitting procedure details. The fitted Debye-Waller factors (labeled as  $\sigma^2$  [Å<sup>2</sup>] in Table I) increases from  $1.0 \pm 1.3 \times 10^{-3}$  Å<sup>2</sup> to  $4.7 \pm 1.4 \times 10^{-3}$  Å<sup>2</sup> as the sample is switched from HRS1 to LRS1, and then, upon switching to HRS2, decreases back to a lower value of  $1.2 \pm 1.0 \times 10^{-3}$  Å<sup>2</sup>. In the first approximation, this result indicates a higher degree of disorder for the Zn-O interatomic distance interlinked to the increase in the oxygen vacancy concentration in the LRS conditions.

Thus, one scenario is that by applying the positive bias and setting the structure at the LRS, the oxygen lean conditions are established, provoking the generation of the oxygen vacancies ( $V_o$ ) at least in the vicinity of the ZnO:Cu surface. Concurrently, the crystalline structure of the material remains the same as confirmed by very similar R values as a result of the fits, see Table I, indicating none or negligible changes in the first shell interatomic Zn-O distance. Notably, the deviation of the fitted curves and experimental data for bigger distances in Figure 5(b) is an artefact since the fitting for longer distances was outside of the model capabilities.

### 3. Discussion

The non-volatile switching between HRS and LRS by respectively applying +4 V and – 4 V as observed in the current study is similar to the phenomenon called resistive switching. The models explaining the resistive switching phenomena could be classified in terms of two major categories: the conducting filament and the interface models. Notably, for the conducting filament mechanism to occur, the application of the unipolar bias is typically sufficient. Meanwhile, the *IV* characteristics in Figure 1, exhibit a bipolar switching behavior. In literature, this type of behavior is typically attributed to the interface conduction path model.<sup>[16-19]</sup> Thus, already this general argument indicates that the modulations observed in Figure 1-5 may be associated with the modification of the ZnO:Cu near surface region. For example, in accordance with one of such models, in metal-ZnO based memristors, the  $V_O^+$  move away from the Schottky type metal-semiconductor interface under negative bias (i.e. by directing the electric field into the bulk of the sample), widening the depletion width and resulting in the HRS.<sup>[16]</sup> Changing to the positive bias switches the  $V_O^+$  drift, narrows the depletion region and, consequently, engages the electron injection resulting in the LRS.<sup>[16]</sup> Importantly, the hypothesis of the  $V_O$  drift in the near surface region of the ZnO:Cu film is consistent with the Zn-Zn and Zn-O bond fingerprints modulations, as observed in Figure 5.

Moreover, Figure 3 revealed prominent flips in  $Cu^+/Cu^{2+}$  occupations in ZnO:Cu film as a function of the resistive states; specifically, the  $Cu^+$  abundance at the LRS was significantly higher than that at the HRS. In ZnO, the transition between the  $Cu^+$  ( $3d^{10}$ ) and  $Cu^{2+}$  ( $3d^9$ ) is associated with the deep acceptor level  $Cu^{2+}/Cu^+$  (0/-) located at  $E_A \sim 200$  meV below the conduction band minimum (CBM).<sup>[20-22]</sup> Accounting for a classical formalism, the relative concentration ratio is given by:  $[Cu^{2+}]/[Cu^+] = g \exp[-(E_F - E_A/kT)]$ , where  $g$  is the degeneracy factor of the Cu acceptor in ZnO and  $E_F$  is the Fermi level position. Thus, accounting for  $[Cu^{2+}]/[Cu^+]$  as measured in Figure 3,  $g=4$ , and  $kT=0.026$  eV, we can make an estimate for  $E_F - E_A$  as a result of the HRS/LRS switching. Indeed,  $E_F - E_A$  may change by some

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3 ~ 30 meV, implying the  $E_F$  shift towards the CBM for the LRS. This is consistent with  
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5 increasing the electron concentration in the conduction band for the LRS too.  
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8 Thus, based on the arguments above, our hypothesis is that the LRS is associated with  
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10 establishing the oxygen lean conditions, with the elevation of the  $E_F$  toward the CBM and the  
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12 higher  $\text{Cu}^+/\text{Cu}^{2+}$  occupation in the vicinity of the ZnO:Cu surface; below we use this hypothesis  
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14 to explain the luminescence modulations.  
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17 Indeed, considering the green luminescence modulation first, there are literature  
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19 arguments to relate it to  $\text{Cu}$ ,<sup>[21-24]</sup>  $\text{V}_\text{O}$ <sup>[25-28]</sup> and zinc vacancies ( $\text{V}_\text{Zn}$ ).<sup>[27, 29]</sup> Accounting for the  
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21 role of Cu, the ionized  $\text{Cu}^+$  acceptor ( $3d^{10}$ ) captures a hole from the neighboring oxygen forming  
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23 the excited state ( $\text{Cu}^+,h$ ).<sup>[30]</sup> The ( $\text{Cu}^+,h$ ) state transits to the  $\text{Cu}^{2+}$  ground state (i.e.  $\text{Cu}^{2+}$  ( $3d^9$ ))  
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25 with the emission of a green photon. In its turn,  $\text{Cu}^{2+}$  captures an electron and recycles to the  
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27  $\text{Cu}^+$  state. Assuming this mechanism works, the probability for the ( $\text{Cu}^+,h$ )  $\rightarrow$   $\text{Cu}^{2+}$  radiative  
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29 transition depends on the abundance of  $\text{Cu}^+$ , so that the HRS/LRS switching modulates the  
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31 green luminescence as seen in Figure 2(a), consistently with Figure 3. Alternatively, the  $\text{V}_\text{O}$ -  
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33 related donor levels, e.g. negative-U donor state having zero phonon energy of 2.9 eV,<sup>[28]</sup> may  
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35 be responsible for the green luminescence modulation too, because of the increasing electron  
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37 occupancy at the donor states with the  $E_F$  moving towards the CBM at the LRS. Moreover, the  
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39 increase of  $\text{V}_\text{O}$  concentration nearly the ZnO:Cu surface revealed by the Zn K-edge  
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41 spectroscopy correlated with LRS would also enhance the GL. However, it is less likely to  
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43 associate the green luminescence modulations with  $\text{V}_\text{Zn}$  exhibiting two acceptor levels at the  
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45 lower half of the band gap,<sup>[31]</sup> because the  $E_F$  shifts – as deduced from the data in Figure 3 –  
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47 will not affect the  $\text{V}_\text{Zn}$ -related acceptor states. For clarity, the corresponding Cu- and  $\text{V}_\text{O}$ -related  
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49 transitions are illustrated in Fig.S1 in Sec.S3 in Supplementary materials).  
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56 Further discrimination between two realistic origins for the green luminescence  
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58 modulation -  $\text{V}_\text{O}$  or Cu – may be possible by taking into account the data in Fig.S2 (see Sec.S3  
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60 in Supplementary materials). Indeed, these data illustrate the evolution of the green



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3 luminescence parts of the PL spectra in ZnO:Cu upon annealing (NB, in a similar wafer, without  
4 the top Al array contacts). Upon anneals, the spectra in Fig.S2 unveil characteristic fine  
5 structures. Such fine structures used to be correlated with Cu<sup>[23]</sup>, and not with V<sub>O</sub>. Importantly,  
6 for the as-grown sample, the fine structure is not apparent, see Fig.S2. Thus, already this  
7 discrepancy indicates different contributions from the transitions illustrated in Fig.S1 into the  
8 green luminescence. Further, the PL peaks of the annealed samples are shifted towards higher  
9 energy, as compared to the as-grown sample (refer to its peak position marked with a dashed  
10 line in Fig.S2). Thus, accounting both for the fine structure and for the energy shift occurring  
11 in the annealed samples in Fig.S2, we interlink the green luminescence in these samples with  
12 Cu. In its turn, we assume that the peak centered at 2.40 eV in the as-grown sample – is the V<sub>O</sub>  
13 signature, or at least dominated by the corresponding transitions. Thus, the arguments above  
14 suggest V<sub>O</sub> to be a prime candidate to be responsible for the green luminescence modulation in  
15 Fig.2 (a).  
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33 Further, considering the near band edge emission, the peaks at 3.36 eV 3.33 eV, 3.23  
34 eV, 3.16 eV, and 3.09 eV in Figure 2(b) are directly comparable with literature data for undoped  
35 ZnO grown by PLD.<sup>[32]</sup> Indeed, peaks at 3.36 eV, 3.33 eV, 3.23 eV, 3.16 eV and 3.09 eV were  
36 observed and attributed to the neutral donor bound exciton (D<sup>0</sup>X), the two electron satellite  
37 (TES) of the D<sup>0</sup>X, the donor-acceptor-pair (DAP), the first phonon replica of DAP (1LO DAP)  
38 and the second phonon replica of DAP (2LO DAP), respectively.<sup>[32]</sup> As such, we do a  
39 corresponding identification of the peaks in Figure 2(b) and conclude that these peaks are  
40 associated with the residual donors and acceptors in the samples rather than by the Cu-doping.  
41 Most importantly, shifting the  $E_F$  by the HRS/LRS switching will imply a corresponding change  
42 in the fraction of the neutral donors (D<sup>0</sup>) in respect with the ionized donors (D<sup>+</sup> abundance)  
43 resulting in the NBE modulation in Figure 2(b).  
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58 Importantly, the data shown in Figure 2-5 were collected from the front side of the  
59 samples, so that the Al front-side electrodes prevented the analysis of the ZnO:Cu directly  
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3 underneath. Instead, the reconstructions occurred at the areas in between of the metallic contacts,  
4 providing exciting possibilities for the device applications. Indeed, in accordance with our  
5 simulations (see Section S4), the electric field penetrates into the region between the contacts  
6 when the set voltage is applied, correlating with the data in Figures 2-5. Worthy to mention  
7 that on the same sample structure Younas et al [8] observed modulation of saturated  
8 magnetization, which was associated to the change of the  $\text{Cu}^+/\text{Cu}^{2+}$  occupancy. Combining the  
9 present observations with literature data, we generalize that the ZnO:Cu films have potential to  
10 exhibit functionality inter-connecting electrical, optical and magnetic phenomenon via  
11 changing the Fermi level and thus the occupancy of  $\text{V}_\text{O}$  defect and Cu impurity.  
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#### 26 **4. Conclusions**

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28 In conclusion, we observed reversible correlated modulations in PL intensity,  $\text{Cu}^+/\text{Cu}^{2+}$  ratio  
29 revealed by XANES measurement and Zn-O bond fingerprints extracted from the Zn K-edge  
30 XAFS study induced by resistive switching in ZnO:Cu based memristors. With the resistive  
31 state switched from HRS to LRS and the PL intensity increased, the correlated increase in  $\text{Cu}^+$   
32 occupancy implied the rise of the Fermi level toward the CBM. The rise of the Fermi level  
33 would correlate with the increase in electron occupancy of donor states (like  $\text{V}_\text{O}(2+/0)$ ) in the  
34 band gap. The modulations of the PL intensity is due to the increases of the electron occupied  
35 luminescence origin states; for the green luminescence in terms of the  $\text{Cu}^+$  and/or  $\text{V}_\text{O}^0$  and for  
36 the near band edge emission in terms of the residual donors. Importantly, the reconstructions as  
37 measured in the present study occurred even outside of the areas of the front side metallic  
38 contacts, providing exciting possibilities for the functionalization.  
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