Null test for interactions in the dark sector

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Since there is no known symmetry in nature that prevents a nonminimal coupling between the dark energy (DE) and cold dark matter (CDM) components, such a possibility constitutes an alternative to standard cosmology, with its theoretical and observational consequences being of great interest. In this paper, we propose a new null test on the standard evolution of the dark sector based on the time dependence of the ratio between the CDM and DE energy densities which, in the standard Λ CDM scenario, scales necessarily as a^{-3} . We use the latest measurements of type Ia supernovae, cosmic chronometers and angular baryonic acoustic oscillations to reconstruct the expansion history using model-independent machine learning techniques, namely, the linear model formalism and Gaussian processes. We find that while the standard evolution is consistent with the data at 3σ level, some deviations from the Λ CDM model are found at low redshifts, which may be associated with the current tension between local and global determinations of H_0 .

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I. INTRODUCTION

According to the standard model of cosmology about 5% of the energy content of the universe is made of particles belonging to the standard model of particle physics. The remaining 95% is attributed to the so-called dark sector. Roughly 25% are thought to consist of a yet-undetected cold dark matter component (CDM), while dark energy (DE), the fuel that drives the current cosmic acceleration, would be responsible for the missing 70%. The fact that DE and CDM have comparable energy densities today-the socalled coincidence problem-has motivated the study, at great depth, of dynamical models of DE that feature interactions between dark energy and dark matter [1-4], and references therein], hoping to shed light on the nature of the dark sector. In this context, an important topic of research that has been extensively explored relies on considering some specific models for this interaction between the dark components in order to assess its cosmological consequences [5–11].

Here, we adopt a different approach, i.e., instead of constraining the interaction parameter of a specific model we present a model-independent way to investigate whether or not such a interaction in the dark sector really exists. For this, we introduce a new null test that is sensitive to the existence of a possible interaction between the dark components. Equivalently, if this null test is failed, then one may suspect that there may be new physics beyond the standard model and, in particular, that dark matter and dark energy are not independent entities. In other words, this null test has the ability to extract information that one may miss when the analysis performed is restricted to parameter estimation within a specific class of interacting dark energy models.

The proposed null test is based on the time dependence of the ratio between CDM and DE energy densities, i.e., $r(z) = \rho_{\text{CDM}}/\rho_{\text{DE}}$, which in the Λ CDM model is given by $r(z) = r_0(1+z)^3$, where r_0 is the current value of this ratio. Since an interaction in the dark sector affects the dynamics of the components involved, this quantity is directly sensitive to the existence of such interaction. In order to carry out this new null test, we will reconstruct the expansion history of the universe, in a modelindependent way, using machine learning (ML) techniques applied to cosmic chronometers (CC) measurements, type Ia supernovae (SNe Ia) data and also angular baryon acoustic oscillation (BAO) determinations. In particular, we will use the linear model formalism (LM) and Gaussian processes (GP). Regarding LM, we improve the so-called "learning curve" methodology by generalizing the "mean square error" (MSE) and "mean square prediction error" (MSPE) to the case of data that have an arbitrary covariance matrix and by taking into account the covariance matrix on the model parameters obtained from the training set. We call this generalization the "calibrated learning curves."

The outline of this paper is as follows. In Sec. II, we present the theoretical description of a rather general class of unified/interacting models, which is used to derive the r(z) null test in Sec. III. Section IV is devoted to present the data sets used to perform the null test and to discuss about the priors on the further "external" parameters. In Secs. V and VI, the two methods used to perform the null test, i.e., LM and GP are discussed. The results are presented in Sec. VII, while Sec. VIII is dedicated to conclusions. The paper has also two appendixes: Appendix A where we present the theoretical basis of the calibrated learning curves, and Appendix B where the python script learning_curve is released as an automatic tool to compute and plot the (calibrated) learning curves for any given data set with a covariance matrix.¹

II. INTERACTING/UNIFIED DESCRIPTION

A simple and viable alternative to the standard cosmological model is to consider an interaction between the dark components of the universe. The unknown nature of the dark sector does not allow us to provide a microphysical description of this interaction, which can only be modeled phenomenologically via a source term in the energy conservation equation,

$$\dot{\rho}_c + 3H\rho_c = -Q,\tag{1}$$

$$\dot{\rho}_x = Q. \tag{2}$$

From now on, the subscripts *c* and *x* denote the dark matter and dark energy components, respectively, the dot denotes the derivative with respect to the cosmic time, and it was assumed that the dark energy equation of state (EoS) parameter is $w_x = -1$. In the individual conservation equations above, the interaction has opposite sign so that the total energy-momentum tensor is conserved.

Let us now assume that the interaction source can be parametrized via

$$Q = 3H\gamma R(\rho_c, \rho_x), \tag{3}$$

where the dimensionless parameter γ gives the interaction strength and the function *R* specifies the type of interaction (see [12] for details). It is then convenient to introduce the ratio *r*:

$$r \equiv \frac{\rho_c}{\rho_x} \Rightarrow \dot{r} = r \left(\frac{\dot{\rho}_c}{\rho_c} - \frac{\dot{\rho}_x}{\rho_x} \right). \tag{4}$$

Note that this quantity can be directly associated to the cosmic coincidence problem [13]. Substituting Eqs. (1) and (2) in the equation above, it is possible to obtain a differential equation for r,

$$\dot{r} + 3Hr\left(\gamma R \frac{\rho_c + \rho_x}{\rho_c \rho_x} + 1\right) = 0.$$
(5)

We are interested in the case in which the ratio between CDM and DE energy densities depends only on the scale factor (or, equivalently, on the redshift) and we assume, as an *ansatz*, that the first term in the parentheses is a function of r, that is,

$$\dot{r} + 3Hr[\gamma f(r) + 1] = 0.$$
 (6)

This formalism to describe interactions in the dark sector was introduced in [12] and is at the core of the null test proposed in this work.

If Eq. (6) has a solution that depends only on the scale factor, then we have an interacting model that can be associated to a unified model, i.e., we can combine the CDM and DE components in order to describe a single dark fluid. For this dark fluid, we define its energy density and pressure as the sum of the energy densities and pressures of CDM and DE,

$$\rho_d \equiv \rho_c + \rho_x,\tag{7}$$

$$p_d \equiv p_c + p_x = p_x. \tag{8}$$

In Eq. (7), one can express the unified dark energy density in terms of r and only one of the energy densities of the dark sector's components:

$$\rho_d = \rho_c \left(1 + \frac{1}{r} \right) \quad \text{or} \quad \rho_d = \rho_x (1+r),$$
(9)

and Eq. (8), using the second equation in (9), can be rewritten as

$$p_d = w_d \rho_d$$
 with $w_d \equiv -\frac{1}{1+r}$, (10)

where we defined the dark EoS parameter w_d . Note that Eq. (10) is completely general, but it describes a unified model only if r = r(a). Since this dark fluid must be conservative, energy conservation must be satisfied,

$$\dot{\rho}_d + 3H(1+w_d) = 0. \tag{11}$$

This unified description for the dark sector has been extensively explored in the literature [14–16].

¹The python scripts can be downloaded from github.com/ rodrigovonmarttens/learning_curve.

III. THE NULL TEST $r_0(z)$

For convenience, from now on, we will use the redshift z instead the scale factor a. The Λ CDM model is recovered if

$$r(z) = r_0 (1+z)^3$$
 with $r_0 = \frac{\Omega_{c0}}{\Omega_{x0}}$, (12)

and, in order to obtain a null test for interactions in the dark sector, we use (10) together with (12) so that the Friedmann equation becomes

$$\frac{H^2}{H_0^2} = \Omega_{d0} \frac{1 + r_0 (1+z)^3}{1 + r_0} + \Omega_{b0} (1+z)^3, \quad (13)$$

where we have assumed spatial flatness, so that $\Omega_{d0} \equiv \Omega_{c0} + \Omega_{x0} = 1 - \Omega_{b0}$, and we have neglected radiation because we will consider only low-redshift data. The above equation is the Friedmann equation for Λ CDM in terms of r_0 and Ω_{d0} . Note that, since we are interested in an interacting scenario in which the interaction affects only the dark components, it is necessary to describe baryons and dark matter separately [17].

The null test for interacting models is obtained solving Eq. (13) for r_0 :

$$r_0(z) = \frac{1 - \Omega_{b0} + \Omega_{b0}(1+z)^3 - H^2/H_0^2}{H^2/H_0^2 - (1+z)^3}.$$
 (14)

Within the standard flat Λ CDM model one expects a constant $r_0(z) = \Omega_{c0}/\Omega_{x0}$. If a deviation is detected one may suspect not only that Λ CDM is falsified but also that dark matter and dark energy are not independent entities.

IV. COSMOLOGICAL DATA

In order to carry out the null test of Eq. (14), it is necessary to determine the three quantities H(z), H_0 and Ω_{b0} . The expansion history H(z) will be reconstructed using CC, SNe Ia and BAO data. The parameters H_0 and Ω_{b0} will be discussed in Sec. IV D.

A. Cosmic chronometers

Cosmic chronometers are passively evolving old galaxies whose redshifts are known, and the expansion history of the universe can be inferred directly from their differential ages [18–24]. Here we will adopt the latest data as presented in [[25], Table I]. Figure 1 illustrates the data points as well as the fitted Hubble function obtained using the linear model formalism presented in Sec. V at the first, second and third order.

B. Type Ia supernovae

The second data set that we use to reconstruct H(z) is the compressed supernova Ia Pantheon compilation



FIG. 1. The black dots are the cosmic chronometer data. The solid lines are the reconstructions of the Hubble function using the linear model formalism. The data is presented in [[25], Table I].

(40 bins) [26].² Note that, since we are performing a null test, the fact of we are using the binned catalog is not a problem in the sense of favoring the Λ CDM model.

Type Ia Supernovas provide determinations of the distance modulus μ , whose theoretical prediction is related to the luminosity distance d_L according to

$$\mu(z) = 5 \log \left[\frac{d_L(z)}{1 \text{ Mpc}} \right] + 25, \qquad (15)$$

where the luminosity distance is given in Mpc. In the standard statistical analysis, one adds to the distance modulus the nuisance parameter M, an unknown offset sum of the supernova absolute magnitude (and other possible systematics), which is degenerate with H_0 . In this analysis, as will be discussed in more details in Sec. IV D, the value of M is related to the prior on H_0 . As we are assuming spatial flatness, the luminosity distance is related to the comoving distance \mathcal{D} via

$$d_L(z) = \frac{c}{H_0}(1+z)\mathcal{D}(z), \qquad (16)$$

where c is the speed of light, so that, using (15), one obtains

$$\mathcal{D}(z) = \frac{H_0}{c} (1+z)^{-1} 10^{\frac{\mu(z)}{5}-5}.$$
 (17)

Finally, the normalized Hubble function $E(z) \equiv H(z)/H_0$ can be obtained by taking the inverse of the derivative of $\mathcal{D}(z)$ with respect to the redshift (denoted with a prime):

$$\mathcal{D}(z) = \int_0^z \frac{d\tilde{z}}{E(\tilde{z})} \Rightarrow E(z) = \frac{1}{\mathcal{D}'(z)}.$$
 (18)

²All the data (binned and full), as well as their covariance matrices, can be downloaded from github.com/dscolnic/Pantheon.



FIG. 2. Distance modulus as a function of the redshift. The black dots are the Pantheon data (subtracting M = -19.25). The solid lines are the reconstructions of the distance modulus using the linear model formalism.

The binned Pantheon data points (subtracting M = -19.25) are shown in Fig. 2 together with the fitted distance modulus obtained using the linear model formalism at the first, second and third order.

C. BAO

The last data set that we use to reconstruct H(z) are model-independent angular BAO determinations obtained using the angular correlation function [27]. In this case, we use 14 uncorrelated data points from [28–31], which are presented in Table I and illustrated in Fig. 3 (with its first order fit). Model-independent determinations of the radial BAO scale were recently obtained in [32].

The theoretical BAO angular scale, in degrees, is given by

$$\theta(z) = \frac{r_s}{d_A(z)(1+z)} \left(\frac{180}{\pi}\right),\tag{19}$$

where r_s is the sound horizon of the primordial photonbaryon fluid at the drag time and $d_A(z)$ is the angular

TABLE I. Angular BAO data.

Catalog	Z	$\theta(z)$	$\sigma_{ heta(z)}$	Ref.
SDSS-DR7	0.235	9.06	0.23	[29]
SDSS-DR7	0.365	6.33	0.22	[29]
SDSS-DR10	0.450	4.77	0.17	[28]
SDSS-DR10	0.470	5.02	0.25	[28]
SDSS-DR10	0.490	4.99	0.21	[28]
SDSS-DR10	0.510	4.81	0.17	[28]
SDSS-DR10	0.530	4.29	0.30	[28]
SDSS-DR10	0.550	4.25	0.25	[28]
SDSS-DR11	0.570	4.59	0.36	[30]
SDSS-DR11	0.590	4.39	0.33	[30]
SDSS-DR11	0.610	3.85	0.31	[30]
SDSS-DR11	0.630	3.90	0.43	[30]
SDSS-DR11	0.650	3.55	0.16	[30]
SDSS-DR12Q	2.225	1.77	0.31	[31]



FIG. 3. Angular BAO scale as a function of the redshift. The black dots are the data of Table I. The solid line is the reconstruction of the BAO angular scale using the linear model formalism.

diameter distance, which, in a flat universe, is related to the comoving distance by

$$d_A(z) = \frac{c}{H_0(1+z)}\mathcal{D}(z).$$
(20)

Substituting the equation above in Eq. (19), one obtains the following explicit relation between $\theta(z)$ and $\mathcal{D}(z)$,

$$\mathcal{D}(z) = \frac{H_0}{c} \frac{r_s}{\theta(z)} \left(\frac{180}{\pi}\right). \tag{21}$$

The normalized Hubble function E(z) is then obtained using Eq. (18).

D. Further parameters

As mentioned earlier, in order to perform the null test of Eq. (14), it is necessary to determine also H_0 and Ω_{b0} .³ We will adopt two different sets of values for these parameters. The first set is related to model-independent measurements: the local determination of H_0 obtained from low-redshift SN Ia data calibrated with loca Cepheids [33], and the measurement of the baryon density parameter from big bang nucleosynthesis [34],

$$H_0 = 73.52 \pm 1.62 \ \frac{\text{km/s}}{\text{Mpc}},\tag{22}$$

$$\omega_b = 0.0223 \pm 0.0009. \tag{23}$$

The second set of values comes from the most recent results from Planck [35]. In this work, we use the results obtained with TT, TE, EE + lowE + lensing + BAO,

³When using SNe Ia data or angular BAO measurements, only Ω_{b0} is necessary as one obtains directly H/H_0 .

TABLE II. Result of the statistical analysis with the type Ia SN data from the Pantheon sample.

	H_0	ω_b	М	Ω_{c0}
R18/BBN	73.52	0.0223	$-19.25\substack{+0.01\\-0.01}$	$0.256^{+0.022}_{-0.023}$
Planck	67.66	0.02242	$-19.42\substack{+0.01\\-0.01}$	$0.249^{+0.023}_{-0.023}$

$$H_0 = 67.66 \pm 0.42 \ \frac{\text{km/s}}{\text{Mpc}},\tag{24}$$

$$\omega_b = 0.02242 \pm 0.00014. \tag{25}$$

When using SNe Ia, we have the additional nuisance parameter *M*. Since H_0 is fixed according to (22) or (24), we choose the respective values of *M* from a statistical analysis of the Λ CDM model with the Pantheon data obtained by fixing H_0 to the values previously mentioned. In order to perform the analysis, we have used the statistical code MONTEPYTHON [36,37]. When H_0 is fixed to the local determination (22), we found the best-fit value M = -19.25, while when H_0 is fixed to the Planck result (24) we obtained M = -19.42. The complete result of the statistical analysis with 1σ confidence levels is shown in Table II and illustrated in Fig. 4.

When using the angular BAO determinations, one has the sound horizon r_s as an additional parameter that must be specified. Maintaining the idea of the two sets of values we choose for the first set the model-independent result obtained from low-redshift standard rulers [38]



FIG. 4. Statistical analysis for the Λ CDM model using the Pantheon sample of type Ia SN data. The result in red was obtained fixing H_0 to (22) (model independent priors), and the blue result was obtained fixing H_0 to (24).

 $r_s = (101.0 \pm 2.3)h^{-1}$ Mpc. Using the local measurement of H_0 of (22), one has:

$$r_s = 146.6 \pm 4.1$$
 Mpc. (26)

For the second set of values, we use the same Planck determination from [35]:

$$r_s = 147.21 \pm 0.23$$
 Mpc. (27)

V. LINEAR MODEL FORMALISM

Here, we will describe how to reconstruct the cosmological functions, and also their derivatives, using the linear model formalism (LM); see, also, [25,39,40].

A. Linear models

Let us choose a set of base functions $g_{\alpha}(z)$ whose *linear* combination will constitute the template function $t(z, \{c_{\alpha}\})$:

$$t(z, \{c_{\alpha}\}) = \sum_{\alpha=0}^{a_{\max}} c_{\alpha} g_{\alpha}(z), \qquad (28)$$

where α is an integer. The assumption is that $t(z, \{c_{\alpha}\})$ can describe the actual functions that we want to reconstruct: H(z), $\mu(z)$ or $\theta(z)$. Clearly, this is conditional to an appropriate choice of $g_{\alpha}(z)$ and the order α_{\max} . Usually, g_0 is a constant, often unity. The template will have $\alpha_{\max} + 1$ coefficients.

Let us then assume that the data are given by

$$d_i = t_i + e_i, \tag{29}$$

where $t_i = t(z_i, \{c_\alpha\})$ and e_i are Gaussian errors with covariance matrix Σ_{ij} .

Next, we fit the template *t* to the data and use the LM formalism to calculate the Fisher matrix relative to the parameters c_{α} , which gives an exact description of the likelihood as the template is linear in its parameters. The Fisher matrix is:

$$F_{\alpha\beta} = g_{\beta i} \Sigma_{ij}^{-1} g_{\alpha j} \tag{30}$$

where $g_{\alpha i} = g_{\alpha}(z_i)$, and the best-fit values of c_{α} are

$$c_{\alpha,\mathrm{bf}} = F_{\alpha\beta}^{-1} B_{\beta} \equiv \Sigma_{\alpha\beta} B_{\beta}, \qquad (31)$$

where $B_{\alpha} = d_i \Sigma_{ij}^{-1} g_{\alpha j}$ and we defined the covariance matrix $\Sigma_{\alpha\beta}$ on the parameters. Summarizing, this formalism had allowed us to exactly propagate the data covariance matrix Σ_{ij} into the parameter covariance matrix $\Sigma_{\alpha\beta}$.

B. Error on null test reconstruction

The null test $r_0(z, \{\theta_\alpha\})$ is a nonlinear function of the template parameters and of the additional parameters of Sec. IV D. The corresponding covariance matrix $S_{\alpha\beta}$ is obtained by forming an appropriate block diagonal matrix using the covariance matrices of the corresponding parameters (e.g., $\Sigma_{\alpha\beta}$). As we have chosen independent data, correlations among different data sets are not expected to be important.

In order to compute the error on $r_0(z, \{\theta_\alpha\})$ due to the uncertainty encoded in the covariance matrix $S_{\alpha\beta}$, a straightforward approach is to apply a change of variable from $\{\theta_\alpha\}$ to r_0 . At the first order, the error is then given by:

$$\sigma_{r_0}^2 = J_{\alpha} S_{\alpha\beta} J_{\beta}, \qquad (32)$$

where

$$J_{\alpha} = \frac{\partial r_0(z, \{\theta_{\alpha}\})}{\partial \theta_{\alpha}}\Big|_{\theta_{\alpha} \text{bf}}.$$
(33)

C. Base functions

In order to reconstruct H(z), $\mu(z)$ and $\theta(z)$, we will adopt the following base functions, respectively:

$$t_H(z, \{c_\alpha\}) = \sum_{\alpha} c_{\alpha} z^{\alpha}, \qquad (34)$$

$$t_{\mu}(z, \{c_{\alpha}\}) = \sum_{\alpha} c_{\alpha} [\ln z]^{\alpha}, \qquad (35)$$

$$t_{\theta}(z, \{c_{\alpha}\}) = \sum_{\alpha} c_{\alpha} z^{-\alpha}.$$
 (36)

In order to choose α_{max} , we will use the so-called "learning curves," a machine learning tool.

D. Calibrated learning curves

The availability of large data sets is increasingly a defining feature of modern cosmology, in which data analysis has become an important component. Computations that were not possible a few decades ago can now be performed on GPU-based laptops. Machine learning includes a set of statistical techniques that allows computer systems to learn from examples, data, and experience, rather than following preprogrammed rules.

A simple method that is commonly used to choose the template order α_{max} is the computation of the reduced chi-square χ^2_{ν} :

$$\chi_{\nu}^{2} = \frac{(d_{i} - \bar{t}_{i})\Sigma_{ij}^{-1}(d_{j} - \bar{t}_{j})}{N_{\text{tot}} - \alpha_{\text{max}} - 1},$$
(37)

where d_i are the N_{tot} data of the full data set D with covariance matrix Σ_{ij} , and $\bar{t}_i = t(z_i, \{c_{\alpha,\text{bf}}\})$ where $c_{\alpha,\text{bf}}$ are the best-fit parameters. If one finds that χ^2_{ν} is compatible with its corresponding distribution with $N_{\text{tot}} - \alpha_{\text{max}} - 1$ degrees of freedom, then the null hypothesis that $t(z, \{c_\alpha\})$ is the correct model is not rejected (it is "ruled in"). While powerful in its simplicity, this method is somewhat subjective as it strongly depends on the *p*-value threshold (e.g., p = 0.01) that one is supposed to use. For example, two or more values of α_{max} could be acceptable.

In order to overcome this difficultly and extract more information from the data, we will study the learning curves. These usually are used in contexts in which the data covariance matrix is not available and so a performance statistics with a known distribution (like χ^2_{ν}) cannot be built. Therefore, we will have to first generalize the standard learning curves to what we call the "calibrated learning curves."

Let us then consider two disjoint subsets of the data set D of N_{tot} elements: the training set d and the validation set \tilde{d} .⁴ The basic idea behind the learning curves consists in using the training set to fit the model and then test the latter with the validation set. Within machine learning the fit is usually obtained by minimizing the "mean squared error" (MSE):

$$\overline{\text{MSE}} = \frac{1}{N} \sum_{i=1}^{N} (d_i - \bar{t}_i)^2, \qquad (38)$$

where *N* is the number of data points d_i and $\bar{t}_i = t(z_i, \{c_{\alpha,\text{bf}}\})$, where $c_{\alpha,\text{bf}}$ are the parameters that minimize the MSE. From now on we will denote the minimized $\overline{\text{MSE}}$ with just MSE. The test on the validation set is then performed by computing the "mean squared prediction error" (MSPE):

MSPE
$$= \frac{1}{\tilde{N}} \sum_{i=1}^{\tilde{N}} (\tilde{d}_i - \bar{t}_i)^2.$$
 (39)

The use of the new \tilde{N} data points \tilde{d}_i justifies the alternative name "out-of-sample mean squared error". Note that $N_{\text{tot}} \ge N + \tilde{N}$.

The learning curves are then the values of the MSE and the MSPE as a function of the training-set size N while keep the validation-set size \tilde{N} fixed. Usually, \tilde{N} is 20%– 30% of N_{tot} . The expectation is that the MSE will increase as the same number of parameters will be fitted to more data, and the MSPE will decrease as the training will produce a more reliable fitted model. In particular,

(i) an under-fitting model will feature converging but high (poor) MSE and MSPE;

⁴From now on d will refer to the training set and not to the full data set.

- (ii) an over-fitting model will feature low MSE but high MSPE because the model is fitting the noise in the training set which is different with respect to the validation set;
- (iii) an optimal model will feature converging and low MSE and MSPE. Moreover, the sooner the convergence is reached, the better. Indeed, if the MSE and MSPE converge at $N < N_{\text{tot}} \tilde{N}$ reaching a plateau, it means that there were enough data to optimally train the model.

For more details, see, for instance [41–44].

The MSE and the MSPE do not use the data covariance matrix and, therefore, it is difficult to assess statistically their values. For example, it is not clear how to define "high", "low" and "close to each other". Therefore, we will calibrate the learning curve method by introducing new performance estimators that can be interpreted quantitatively in a statistical way.

A natural alternative to the MSE is the reduced chisquare function χ^2_{ν} ,

$$\chi_{\nu}^{2} = \frac{(d_{i} - \bar{t}_{i})\Sigma_{ij}^{-1}(d_{j} - \bar{t}_{j})}{N - \alpha_{\max} - 1},$$
(40)

where Σ is the covariance matrix of the training set d and $\alpha_{\max} + 1$ is the number of fitted parameters. Assuming that $t(z, \{c_{\alpha}\})$ is the correct model and that the data d_i are distributed according to a multivariate Gaussian distribution of covariance matrix Σ , it is $\langle \chi_{\nu}^2 \rangle = 1$.

A natural alternative to MSPE is,

$$\tilde{\chi}_{\nu}^{2} = \frac{(\tilde{d}_{i} - \bar{t}_{i})\tilde{\Sigma}_{ij}^{-1}(\tilde{d}_{j} - \bar{t}_{j})}{\tilde{N}},$$
(41)

where $\tilde{\Sigma}$ is the covariance matrix related to the validation set \tilde{d} . As these data were not used to obtain the best-fit parameters $\{c_{\alpha,\text{bf}}\}$ the denominator only contains \tilde{N} . However, the expected value of $\tilde{\chi}_{\nu}^2$ is not unity as \bar{t}_i is not the true value. Consequently, χ_{ν}^2 and $\tilde{\chi}_{\nu}^2$ will not converge to the same numerical value. Here, we propose a new generalization of the MSPE:

$$\tilde{\chi}_{\delta}^{2} = \frac{(\tilde{d}_{i} - \bar{t}_{i})\tilde{\Sigma}_{ij}^{-1}(\tilde{d}_{j} - \bar{t}_{j})}{\tilde{N}} - \frac{\Sigma_{\alpha\beta}\tilde{\Sigma}_{\alpha\beta}^{-1}}{\tilde{N}}, \qquad (42)$$

whose expectation value is unity as discussed in Appendix A.

In order to obtain smooth learning curves, we compute, for a fix N, χ^2_{ν} and $\tilde{\chi}^2_{\delta}$ for 2000 partitions, from which we then compute mean and standard deviation. Note that the performance estimators χ^2_{ν} and $\tilde{\chi}^2_{\delta}$ have an expectation value of unity independently of the training set size N, but this is true only if the expectation value is taken using independent training sets while here the training sets all come from the *same* data set. In other words, the 2000 partitions are used to extract the average behavior of a training set of size N from the full data set D.

For smaller *N* it is quite likely to obtain low values of χ^2_{ν} as its distribution is skewed towards lower values, while for larger *N* one expects χ^2_{ν} and $\tilde{\chi}^2_{\delta}$ to converge to the common value of unity. If they converge reaching a plateau it means that $t(z, \{c_{\alpha}\})$ is the correct model and that the latter has been trained optimally by the data.

In our analysis, we adopt the following criterion in order to choose the best order α_{max} : the optimal α_{max} is the one for which χ^2_{ν} and $\tilde{\chi}^2_{\delta}$ converge fastest to unity with a plateau. It is very important to emphasize that this learning curve procedure is completely independent of any physical assumption, depending only on data.

E. Learning curve results

In the following, we present the results of the learning curve analysis for the data sets of Sec. IV.

1. Cosmic chronometers

For the cosmic chronometers, we divide the 31 data points in a training set with N up to 20 and a validation set with $\tilde{N} = 11$. Figure 5 shows the learning curves obtained with the template of Eq. (34) with $\alpha_{\text{max}} = \{0, 1, 2, 3\}$ (top to bottom).

The case $\alpha_{\text{max}} = 0$ is a clear case of under-fitting and is disfavored by the data: for N = 20 the χ^2_{ν} is well outside the corresponding 3σ interval of [0.26, 2.17] (relative to the χ^2 distribution with 19 degrees of freedom). This case corresponds to a constant Hubble rate; see Eq. (34). For the case $\alpha_{\text{max}} = 1, \chi^2_{\nu}$ and $\tilde{\chi}^2_{\delta}$ converge with a plateau to a value close to 1 and within the corresponding 3σ interval [0.24, 2.21]. According to our criteria, this is an optimal value of α_{max} .

The case $\alpha_{\text{max}} = 2$ is similar to the case $\alpha_{\text{max}} = 1$: χ_{ν}^2 and $\tilde{\chi}_{\delta}^2$ converge to the expected value with a plateau. Therefore, this case is also optimal, although $\tilde{\chi}_{\delta}^2$ converges to a value a little higher as compared with χ_{ν}^2 , signaling a minor overfitting. It is worth pointing out that the training curves of Fig. 5 feature error bars (relative to the mean) computed, as mentioned before, from 2000 partitions. Therefore, the fact that there is a gap between χ_{ν}^2 and $\tilde{\chi}_{\delta}^2$ is statistically significant. It is also interesting to note how the learning curves characterize the models: the case $\alpha_{\text{max}} = 1$ is clearly simpler than the case $\alpha_{\text{max}} = 2$ as less data is necessary to train it (it converges faster).

The last case $\alpha_{\text{max}} = 3$ shows a lack of convergence with plateau, signaling that the model is too complex to be trained by the data. Therefore, we conclude that this case is disfavored by the data. Finally, we found that $\alpha_{\text{max}} = 1$ and $\alpha_{\text{max}} = 2$ are both acceptable. If we were to use the standard analysis based on the χ^2_{ν} of Eq. (37) for the full data set, we would have obtained the results presented in Table III. According to these results $\alpha_{\text{max}} = 3$ is also acceptable, while the learning curve analysis disfavors it.



FIG. 5. Learning curve analysis for the CC data with $\alpha_{\text{max}} = 0$, 1, 2, 3 from top to bottom.

2. Type Ia Supernovae

We divide the Pantheon sample of supernovas in a training set with N up to 28 data points and a validation set with $\tilde{N} = 12$. Figure 6 shows the learning curves

TABLE III. Analysis based on the χ^2_{ν} for the full CC data set.

$\alpha_{\rm max}$	$\chi^2_{ u}$	3σ interval	ν
0	4.1	[0.37, 1.90]	30
1	0.57	[0.36, 1.92]	29
2	0.53	[0.35, 1.94]	28
3	0.48	[0.34, 1.96]	27



FIG. 6. Learning curve analysis for the Pantheon data set with $\alpha_{max} = 1, 2, 3, 4$ from top to bottom.

obtained with the template of Eq. (35) with $\alpha_{\text{max}} = \{1, 2, 3, 4\}$ (top to bottom). The case $\alpha_{\text{max}} = 1$ is a clear case of under-fitting and is disfavored by the data. Note that this case coincides with the first order in the cosmographic series expansion [45]. For the case $\alpha_{\text{max}} = 2$ and $\alpha_{\text{max}} = 3$, χ^2_{ν} and $\tilde{\chi}^2_{\delta}$ converge with a plateau to a value close to 1 and within the corresponding 3σ interval [0.3, 2.0]. According to our criteria, these are optimal values of α_{max} . Finally, $\alpha_{\text{max}} = 4$ shows both a lack of convergence and of plateau, signaling over-fitting.

Therefore, we found that $\alpha_{\text{max}} = 2$ and $\alpha_{\text{max}} = 3$ are both acceptable. If we were to use the standard analysis based on the χ^2_{ν} of Eq. (37) for the full data set, we would have

TABLE IV. Analysis based on the χ^2_{ν} for the full type Ia SNe data set.

$\alpha_{\rm max}$	$\chi^2_{ u}$	3σ interval	ν
1	5.3	[0.42, 1.79]	38
2	1.2	[0.42, 1.80]	37
3	1.1	[0.41, 1.81]	36
4	1.2	[0.40, 1.83]	35

obtained the results presented in Table IV. According to these results $\alpha_{\text{max}} = 4$ is also acceptable, while the learning curve analysis disfavors it.

3. BAO determinations

With the BAO analysis we divide the 14 data points in a training set with N up to 10 data points and a validation set with $\tilde{N} = 4$. Figure 7 shows the learning curves obtained with the template of Eq. (36) with $\alpha_{\text{max}} = \{1, 2\}$ (top to bottom).

For the case $\alpha_{\text{max}} = 1$, χ_{ν}^2 and $\tilde{\chi}_{\delta}^2$ converge with a plateau to a value close to 1. According to our criteria, this is the optimal value of α_{max} . The case $\alpha_{\text{max}} = 2$ shows both a lack of convergence and of plateau, signaling over-fitting. We found, therefore, that only $\alpha_{\text{max}} = 1$ is acceptable. If we were to use the standard analysis based on the χ_{ν}^2 of Eq. (37) for the full data set, we would have obtained the results presented in Table V. According to these results $\alpha_{\text{max}} = 2$ is also acceptable, while the learning curve analysis disfavors it.



FIG. 7. Learning curve analysis for the angular BAO data with $\alpha_{\text{max}} = 1$ (top) e $\alpha_{\text{max}} = 2$ (bottom).

TABLE V. Analysis based on the χ^2_{ν} for the full angular BAO data set.

$\alpha_{\rm max}$	$\chi^2_{ u}$	3σ interval	ν
1	1.0	[0.14, 2.53]	12
2	1.1	[0.12, 2.62]	11

VI. GAUSSIAN PROCESSES

A Gaussian Process (GP) is the generalization of the gaussian distribution of a random variable to the infinite function space. This mathematical approach has been successfully used as a nonparametric reconstruction method in cosmology since the pioneering works of [46,47]. For instance, it has been applied to different data sets in order to calculate the dark energy equation of state [46–49], the Hubble constant [39,50–52], the cosmological matter perturbations [53–55], and the gas depletion factor in galaxy clusters [56], among others.

A GP as a regression method is nonparametric. This means that their predictions are not restricted to a specific functional class (e.g., polynomial), but span an infinite family of classes with properties of continuity and differentiability. As this method is based on Bayesian statistics, we need to use prior and likelihood distributions to calculate the posterior distribution. Both prior and posterior distributions are defined via a mean function and a covariance matrix. The covariance quantifies the correlation between different functional values, f(z) and $f(\tilde{z})$, at arbitrary independent variable points z and \tilde{z} .

For the prior mean function we adopt the zero function as a conservative choice (this choice is recommended to avoid biased results) and, as commonly used in the literature, we choose square exponential covariance function:

$$k(z,\tilde{z}) = \sigma_f^2 \exp\left(-\frac{(z-\tilde{z})^2}{2l^2}\right).$$
 (43)

The so-called hyperparameters σ_f and l are related with the error/variation of the reconstruction and with its smoothness, respectively. These hyperparameters can be fixed by maximizing the likelihood distribution given the observational data (for a complete description of the GP method see [48,57]). To perform the GP regression, we use the python package GaPP.⁵

VII. RESULTS

Now, we present the reconstructions of $r_0(z)$ that we obtained using the methods discussed in the previous sections. The results are divided according to the method and the data used in order to reconstruct $r_0(z)$. In all plots, for comparison purposes, we include a dotted line corresponding

⁵Available at acgc.uct.ac.za/~seikel/GAPP/index.html.

to the value of r_0 predicted for the Λ CDM model according to the last results by the Planck satellite [35].

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A. Linear model results

Using the formalism developed in Sec. V, we obtain the following results:

1. Cosmic chronometers

Figure 8 shows $r_0(z)$ using in Eq. (14) the Hubble rate function reconstructed via cosmic chronometer data.



FIG. 8. $r_0(z)$ obtained from CC data using a LM with $\alpha_{max} = 1$ (top) and $\alpha_{max} = 2$ (bottom).

For the case $\alpha_{\text{max}} = 1$ one detects a deviation from the standard model when the model-independent priors discussed in Sec. IV D are used. This is clearly caused by the determination of the local Hubble constant (22) which is known to be in tension with the Planck indirect determination.⁶ Furthermore, the $r_0(z)$ test seems to suggest that there is tension only for $z \leq 0.25$ while at higher redshift the local determination of H_0 gives a reconstruction in agreement with the value expected from Planck.

However, this interesting results loses its significance when $\alpha_{\text{max}} = 2$ is adopted, which was also found viable. Therefore, we conclude that better CC data are needed in order to conclude on this low-redshift tension.

2. Type Ia supernovae

Figure 9 shows the $r_0(z)$ test using the reconstruction of the distance modulus as in Eqs. (17) and (18). We do not detect significant deviations from the Planck reference value.

3. BAO

Figure 10 shows the $r_0(z)$ test using the reconstruction of the BAO angular scale (19) from which E(z) is obtained via Eqs. (21) and (18). When using Planck priors we detect a tension at $z \approx 0.3$ with respect to the Planck reference value (but not with a higher constant reference value). This again suggests a low-redshift deviation from the standard model.

B. Gaussian process results

Using the nonparametric reconstruction of H(z) obtained via GP regression and the H_0 and ω_b priors described in Sec. IV D, we calculate the null test $r_0(z)$ and its confidence levels by Monte Carlo sampling.

1. Cosmic chronometers

In this case, the Hubble rate is reconstructed directly from the CC data which reduces the error propagation and the probability of wiggles in the H(z) reconstruction. Figure 11 shows the $r_0(z)$ calculation using GP method and Monte Carlo sampling. It is evident the similarity of these results for the two sets of $\{H_0, \omega_b\}$ priors with the results obtained using the LM formalism with $\alpha_{max} = 2$ (see Fig. 8). This emphasizes the possibility that the tension in low-z for the reconstruction via LM with $\alpha_{max} = 1$ may be not fundamental.

⁶See [58,59] for analyses that considered the effect of cosmic variance on local H_0 .



FIG. 9. $r_0(z)$ obtained from type Ia SN data using a LM with $\alpha_{\text{max}} = 2$ (top) and $\alpha_{\text{max}} = 3$ (bottom).

2. Type Ia supernovae

Figure 12 shows $r_0(z)$ from type Ia SN data using GPs. In this case, to calculate the null test we need to transform the distance modulus data to comoving distance and then reconstruct the derivative of this quantity to obtain the Hubble rate (see Sec. IV B). The determination of the derivative of \mathcal{D} propagates the error and its effect can be seen at high-*z* where the density of the data is reduced. However, the null test is compatible with the Λ CDM model in the entire redshift range.



FIG. 10. $r_0(z)$ obtained from BAO data using a LM with $\alpha_{\text{max}} = 1$.



FIG. 11. $r_0(z)$ obtained from CC data using GPs.

3. BAO

Because of the large gap in redshift between the first thirteen data points and the last one, it is not possible to find a suitable GP reconstruction compatible with a cosmological scenario without assuming a nontrivial prior mean function.



FIG. 12. $r_0(z)$ obtained from type Ia SNe data using GPs.

VIII. CONCLUSIONS

Interacting models of CDM and DE constitute an alternative description of the dark sector which have been largely investigated. In this paper, we proposed a new null test in which any deviation of the standard cosmological scenario indicates a nonminimal interaction between these two dark components. Using linear models and Gaussian processes the expansion rate is reconstructed from the latest CC, SNe Ia and BAO data. For each formalism, the same analysis was performed using two sets of values for the "external" parameters $\{H_0, \Omega_b, r_s, M\}$: the first set is based on model-independent results whereas the second one is obtained from the latest results of the Planck Collaboration.

The test performed shows compatibility with the standard Λ CDM model within 3σ confidence level, but some cases deserve a careful analysis. For the LM analysis using the CC data, except the case in which $\alpha_{max} = 1$ and the model-independent priors are used, all the other results are compatible with a constant value for $r_0(z)$. The latest Planck result is satisfied in all cases for $z \gtrsim 0.25$. For $z \lesssim 0.25$ only the result with Planck priors reaches satisfactory results. When the model-independent priors are used, there is a considerable tension with the latest Planck result at z = 0: in the case $\alpha_{\text{max}} = 1$ there is a severe tension whereas for $\alpha_{\rm max} = 2$ there is a 3σ tension, which is compatible with the current H_0 tension. For all cases, the error range increases when $z \leq 0.25$, which means that a possible interaction becoming dynamically relevant at recent times may be a viable possibility.

Still in the LM approach, all the results with SNe Ia data are compatible with a constant value and are in agreement with the latest Planck result. As for the CC result, for z < 0.25 the results are degenerate. Lastly, for the angular BAO data, the result obtained with model-independent priors is clearly inconclusive since, for all values of z, within a 3σ -range all interval [0, 1] is admissible for $r_0(z)$. This degenerate result is related to the big error in the model-independent determination of r_s . However, using Planck priors, there is a disagreement signature when $z \approx 0.3$. For the GP analysis, all the results are consistent with a constant value and also are in agreement with the Λ CDM Planck result.

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APPENDIX A: CALIBRATED MSPE

In order to obtain a performance estimator with the same expectation value of χ^2_{ν} , let us rewrite Eq. (41) as:

$$\begin{split} \tilde{N}\tilde{\chi}_{\nu}^{2} &= (\tilde{d}_{i} - t_{i} + t_{i} - \bar{t}_{i})\tilde{\Sigma}_{ij}^{-1}(\tilde{d}_{i} - t_{i} + t_{i} - \bar{t}_{i}) \\ &= (\tilde{d}_{i} - t_{i})\tilde{\Sigma}_{ij}^{-1}(\tilde{d}_{j} - t_{j}) + (t_{i} - \bar{t}_{i})\tilde{\Sigma}_{ij}^{-1}(t_{j} - \bar{t}_{j}), \quad (A1) \end{split}$$

where t_i is the true value of *t* computed in z_i , and in the second line we have omitted cross-product terms whose expectation value is zero because of the independence between the data used to fit the model d_i and the \tilde{d}_i data.⁷ The expectation value of the first term is clearly \tilde{N} . The expectation value of the second term is not trivial:

$$\delta \equiv \langle (t_i - \bar{t}_i) \tilde{\Sigma}_{ij}^{-1} (t_j - \bar{t}_j) \rangle$$

= $\sum_i \tilde{\Sigma}_{ii}^{-1} \langle (t_i - \bar{t}_i)^2 \rangle + 2 \sum_{i < j} \tilde{\Sigma}_{ij}^{-1} \langle (t_i - \bar{t}_i) (t_j - \bar{t}_j) \rangle.$ (A2)

In the following we will use the notation that \bar{t}_i is a random variable when inside expectation values while it is $t(z_i, \{c_{\alpha, \text{bf}}\})$ when outside, that is, we use the best-fit model in order to estimate the true model t_i .

The first term in the last equation is the variance of \bar{t}_i which can be computed, as in Sec. V B, through a change of

⁷Note that, if *d* and \tilde{d} are partitions of a correlated dataset, one is neglecting the correlation between these two partitions.

variables using the covariance matrix on the parameters $\Sigma_{\alpha\beta}$ obtained from Eq. (30) using the training set:

$$\begin{aligned} J_{\alpha i} &= \frac{\partial t(z_i, \{c_\beta\})}{\partial c_\alpha} \bigg|_{c_{\alpha, \text{bf}}} = g_{\alpha i}, \\ \sigma_{t_i}^2 &\equiv \langle (t_i - \bar{t}_i)^2 \rangle = J_{\alpha i} \Sigma_{\alpha \beta} J_{\beta i} = g_{\alpha i} \Sigma_{\alpha \beta} g_{\beta i}, \quad (A3) \end{aligned}$$

where we have used Eq. (28). Note that, thanks to the linearity in the parameters, the best fits $\{c_{\alpha,bf}\}$ were not used and this computation is exact rather than only valid at the first order in a Taylor expansion.

The second term is,

$$\langle (t_i - \overline{t}_i)(t_j - \overline{t}_j) \rangle = \langle \overline{t}_i \overline{t}_j \rangle - t_i t_j.$$
 (A4)

Using again Eq. (28) one finds:

$$\begin{split} \langle \bar{t}_i \bar{t}_j \rangle &= \sum_{\alpha} g_{\alpha i} g_{\alpha j} \langle c_{\alpha}^2 \rangle + \sum_{\alpha < \beta} (g_{\alpha i} g_{\beta j} + g_{\beta i} g_{\alpha j}) \langle c_{\alpha} c_{\beta} \rangle \\ &= \sum_{\alpha} g_{\alpha i} g_{\alpha j} (\Sigma_{\alpha \alpha} + c_{\alpha, \text{bf}}^2) \\ &+ \sum_{\alpha < \beta} (g_{\alpha i} g_{\beta j} + g_{\beta i} g_{\alpha j}) (\Sigma_{\alpha \beta} + c_{\alpha, \text{bf}} c_{\beta, \text{bf}}), \end{split}$$
(A5)

where we used the best-fit parameters $\{c_{\alpha,bf}\}\$ in order to estimate the true values of the parameters. Combining the Eqs. (A2), (A3) and (A5), the Eq. (A1) can be rewritten as:

$$\tilde{N}\langle \tilde{\chi}_{\nu}^2 \rangle = \tilde{N} + \delta, \tag{A6}$$

where:

$$\begin{split} \delta &= \sum_{i} \tilde{\Sigma}_{ii}^{-1} g_{\alpha}(z_{i}) \Sigma_{\alpha\beta} g_{\beta}(z_{i}) \\ &+ 2 \sum_{i < j} \tilde{\Sigma}_{ij}^{-1} \bigg[\sum_{\alpha} g_{\alpha i} g_{\alpha j} (\Sigma_{\alpha \alpha} + c_{\alpha, \text{bf}}^{2}) \\ &+ \sum_{\alpha < \beta} (g_{\alpha i} g_{\beta j} + g_{\beta i} g_{\alpha j}) (\Sigma_{\alpha \beta} + c_{\alpha, \text{bf}} c_{\beta, \text{bf}}) - \bar{t}_{i} \bar{t}_{j} \bigg]. \end{split}$$

It is then straightforward to obtain that:

$$\delta = \Sigma_{\alpha\beta} \tilde{\Sigma}_{\alpha\beta}^{-1}, \tag{A7}$$

where $\tilde{\Sigma}_{\alpha\beta}$ is the covariance matrix on the parameters obtained from Eq. (30) using the validation set, that was not used to fit the model.

Motivated by this results, we propose a new generalization of the MSPE:

$$\tilde{\chi}_{\delta}^{2} = \frac{(\tilde{d}_{i} - \bar{t}_{i})\tilde{\Sigma}_{ij}^{-1}(\tilde{d}_{j} - \bar{t}_{j})}{\tilde{N}} - \frac{\Sigma_{\alpha\beta}\tilde{\Sigma}_{\alpha\beta}^{-1}}{\tilde{N}}, \qquad (A8)$$

whose expectation value is unity. From the previous equation it follows that for large sets the correction δ/\tilde{N} should be negligible. Indeed, as training and validation sets have usually sizes of the same order of magnitude, one has $\delta \approx \alpha_{\text{max}} + 1$.

APPENDIX B: learning curve package

All the learning curves presented in this work were obtained using the package learning_curve. The package learning_curve consists of three python scripts to compute and plot learning curves. The three python scripts are the following:

- (i) learning_curve.py: general parallelized script for computing learning curves for any linear template function.
- (ii) learning_curve_linear.py: script for computing and plotting learning curves for some specific template functions (polynomial, log, inverse or square).
- (iii) plot.py: script for plotting the learning curves from the output files obtained using the script learning_curve.py.

In this work, only the learning_curve_ linear.py script was used, because its template functions coincide with the template functions adopted in the present analysis. The package is available for download at github.com/rodrigovonmarttens/learning_curve.

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