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Title: The effect of rain gauge density and distribution on runoff simulation using a lumped hydrological modelling approach

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Abstract: Most lumped hydrological models use areal average precipitation data as model input. Though weather-radar-based and satellite-based precipitation estimation methods have been proposed in recent years, the rain gauge is still the most widely used precipitation-measuring tool. Optimal selection of rain gauge number and location will improve the accuracy of areal average precipitation estimations with minimum cost. In this study, the impacts of rain gauge density and distribution on lumped hydrological modelling uncertainty with different catchment sizes are analysed. To this end, the performances of a lumped hydrological model, the Xinanjiang model, in a densely gauged river basin, the Xiangjiang River basin, and its sub-basins under different gauge density and distribution are compared. First, seven levels of rain gauge density are defined. For each density level, several samples of different rain gauge distributions are randomly selected. Then, the areal average precipitation of each sample is estimated and used as input to the Xinanjiang model. Finally, the model is calibrated using the shuffled complex evolution (SCE-UA) algorithm, and model uncertainty is evaluated via the Bayesian method. The results show that 1) imperfect precipitation inputs measured by a sparse and irregular rain gauge network will lead to substantial uncertainty in model parameter estimation and flood simulation; 2) the impacts of imperfect precipitation estimates on model efficiency can be reduced to some extent through the adjustment of model parameters; 3) modelling uncertainty is reduced by increasing the rain gauge density or optimizing the rain gauge distribution pattern; and 4) the improvement in lumped model efficiency is no longer significant when the rain gauge density exceeds a certain threshold, but a further increase in rain gauge density will reduce model parameter uncertainty and the width of the runoff confidence interval.

1 **The effect of rain gauge density and distribution on runoff simulation using a lumped**  
2 **hydrological modelling approach**

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13 weather-radar-based and satellite-based precipitation estimation methods have been proposed in  
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33 gauge network

## 34 **1. Introduction**

35 Lumped hydrological models are still widely used in flood forecasting and flood risk assessment (e.g.,  
36 El Alfy, 2016; Jie et al., 2016; Refsgaard et al., 1988; Thiboult & Anctil, 2015; Huang & Hattermann,  
37 2018; Su et al., 2018), water resource assessments (e.g., Kizza et al., 2013; Xu et al., 1996; Koivusalo  
38 et al., 2017), and impact studies of climate change on water resources (e.g., Awan et al., 2016; Chen  
39 et al., 2007 & 2012; Yan et al., 2016; Guo et al., 2018; Zhuan et al., 2018). However, the performance  
40 of lumped hydrological models is substantially influenced by model inputs (Oudin et al., 2006; Chang  
41 et al., 2017; Pechlivanidis et al., 2017). Precipitation, as a fundamental process of the hydrological  
42 cycle, is the most important forcing input for hydrological modelling and forecasting. Precise

43 estimation of the spatial and temporal characteristics of precipitation is a key factor for accurate  
44 runoff simulation. However, precipitation input for a given basin is influenced by many factors, such  
45 as the precipitation type (convective, orographic, and frontal), the basin topography and the basin  
46 location and land use. The heterogeneous distribution of precipitation input in time and space makes  
47 its precise measurement a great challenge (Sattari et al., 2017). There are three commonly used methods  
48 for precipitation measurement: the rain gauge-based method, the weather-radar-based method and  
49 satellite-based remote sensing. Measurement by rain gauge is direct and of higher quality, but in most  
50 regions, rain gauges are too sparsely distributed to represent the spatial variability of precipitation  
51 (Villarini et al., 2008). In contrast, weather radar can characterize the spatial variability of  
52 precipitation through high spatial resolution measurement but its accuracy is influenced by many  
53 factors, including beam shielding, signal attenuation, ground clutter etc. (Germann et al., 2006). As a  
54 newly emerging precipitation measurement technology, satellite remote sensing can provide  
55 quasi-global precipitation products, but currently, the spatiotemporal resolutions of these products are  
56 low (Maggioni et al., 2016). Moreover, the measurements of radar and satellite retrieval products  
57 must be calibrated by rain gauge measurement to minimize data biases. Thus, a rain gauge network  
58 with a high gauge density and optimum gauge distribution is fundamental for the accurate  
59 measurement of precipitation.

60 Several studies have discussed the influence of rain gauge density and gauge distribution on the  
61 accuracy of precipitation estimation and hydrological modelling (Bárdossy and Das, 2008; Bras and  
62 Rodríguez-Iturbe, 1976; Giron et al., 2015; Krstanovic and Singh, 1992; Morrissey et al., 1995).  
63 Moulin et al. (2009) observed that uncertainty in the mean areal rainfall estimation is a key factor that  
64 leads to rainfall-runoff modelling error. St-Hilaire et al. (2003) used the areal average precipitation

65 estimated from rain gauge networks with different densities as the input data for a lumped  
66 hydrological model to study the impact of rain gauge density on runoff simulation results. They found  
67 that the accuracy of runoff simulation was significantly improved when a dense rain gauge network  
68 was used, especially for the peak discharge simulation. Xu et al. (2013) further showed that the  
69 accuracy of rainfall estimation and hydrological model performance increased gradually with the  
70 increase of rain gauge density up to a certain threshold, while good performance of a lumped model  
71 was also observed with fewer rain gauges when an optimum rain gauge distribution pattern was used.

72 Recently, considerable attention has been focused on the uncertainties in hydrological modelling,  
73 including input uncertainty, parameter uncertainty, model structural uncertainty and output  
74 uncertainty. Many uncertainty estimation frameworks have been developed and tested in the literature  
75 (Beven and Binley, 1992; Camacho et al., 2015; Engeland et al., 2005; Vrugt et al., 2003). With these  
76 uncertainty estimation frameworks, the research on model parameters is no longer restricted to the  
77 calibrated optimum parameter set and now includes the posterior distributions of model parameters  
78 considering the parameter equifinality. In addition, the model output is not simply a single simulated  
79 runoff series but, a confidence interval describing model uncertainty. As precipitation is the main  
80 forcing data input in hydrological models, errors embedded in it will introduce considerable  
81 uncertainty in the model parameter estimation and the runoff simulation (Younger et al., 2009).  
82 Kavetski et al. (2006a) developed a Bayesian total error analysis framework (BATEA) to  
83 transparently analyse the input uncertainty separately from other uncertainties by introducing latent  
84 variables to characterize the rainfall error. Similarly, Ajami et al. (2007) used an error model with  
85 several hyper-parameters to simulate the rainfall error and developed a framework, the Integrated  
86 Bayesian Uncertainty Estimator (IBUNE), to explicitly account for the main hydrological modelling

87 uncertainties. Li et al. (2011) perturbed the observed precipitation using normally distributed  
88 multipliers to simulate the systematic error and random error in precipitation data. They used the  
89 perturbed precipitation data as the input to a lumped hydrological model to study the sensitivity of  
90 two uncertainty estimation methods, the GLUE and the Bayesian method, to precipitation errors.  
91 Because rainfall sampling uncertainty is not well understood, for computational convenience, the  
92 precipitation error in the aforementioned studies was considered by a simple multiplicative or additive  
93 error model with model parameters calibrated or determined by experience. However, these error  
94 models are rarely validated because the “true precipitation” is difficult to acquire.

95 For a certain rain gauge network, the errors in precipitation data are predominately of two types: (1)  
96 point measurement error and (2) spatial interpolating error (McMillan et al., 2012). For the first type,  
97 precipitation estimates are influenced by the gauge type, wind effects and evaporation, etc. For the  
98 second type, no matter which interpolation method is used, the accuracy of interpolation is affected by  
99 rain gauge density and gauge distribution. Some key papers related to the impacts of imperfect  
100 precipitation inputs on hydrological modelling are listed in Table 1. From the deterministic  
101 perspective, some studies focus on the evaluation of the impact of precipitation errors on model  
102 parameter calibration and runoff simulation accuracy. Some of these studies directly used the  
103 precipitation data from randomly or specifically selected rain gauge combinations under different  
104 gauge densities (Anctil et al., 2006; Andréassian et al., 2001; Bárdossy and Das, 2008; Dong et al.,  
105 2005; St-Hilaire et al., 2003; Xu et al., 2013), whereas others used precipitation error models to  
106 simulate the two aforementioned types of error in precipitation data (Oudin et al., 2006; Xu et al.,  
107 2006). With respect to uncertainty, some studies emphasize the assessment of precipitation  
108 uncertainty in lumped hydrological modelling through the Bayesian inference method (i.e., Ajami et

109 al., 2007; Kavetski et al., 2006b), which can explicitly or implicitly evaluate the uncertainty from  
110 diverse sources. However, to our knowledge, no paper has evaluated the impact of rain gauge density  
111 and gauge distribution on model parameter posterior distribution and the confidence interval of runoff  
112 simulation. Investigating these impacts on lumped hydrological modelling with respect to certainty  
113 and uncertainty is important for designing rain gauge networks and improving the structure and  
114 performance of hydrological models.

115 < Table 1 here please >

116 Thus, the objectives of this study are: (i) to assess the influence of rain gauge density and gauge  
117 distribution on lumped hydrological model performance; (ii) to investigate the effects of rain gauge  
118 density on modelling uncertainty; and (iii) to evaluate the effects of gauge distribution pattern on  
119 model parameter inference and model uncertainty using Bayesian framework.

120 The structure of this paper is as follows. Section 2 introduces the study area and data used in this  
121 study. Then, details about the lumped model, the model calibration method and the model uncertainty  
122 estimation method are given in Section 3. In Section 4, the results corresponding to the three  
123 objectives of the study are demonstrated and analysed. These results are discussed and compared to  
124 those of other studies in Section 5. Finally, major conclusions are drawn and recommendations related  
125 to the design of rain gauge networks are given in Section 6.

## 126 **2. Study area and data**

127 The Xiangjiang River basin in central-south China was selected as the study area (Fig. 1). This basin  
128 covers an area of approximately 94,660 km<sup>2</sup> with a total river length of 856 km. The basin elevation  
129 ranges from 2100 m above sea level on the southern boundary to 330 m a.s.l. on the northern river

130 plain. This basin is controlled by the Mongolia high pressure system in winter and dominated by a  
131 southeast monsoon in summer (Xu et al., 2013). The monsoon climate and undulating terrain lead to a  
132 heterogeneous distribution of precipitation in both time and space. Nearly two-thirds of the 1450 mm  
133 annual mean precipitation occur in the rainy season from April to September. The climate of this  
134 region is generally warm and humid, and the monthly mean air temperature ranges from 4°C  
135 (February) to 30°C (July). Four sub-basins—Xiangxiang, Ganxi, Hengyang and Xiangtan, with sizes  
136 of 6053, 9972, 52,150 and 81,638 km<sup>2</sup>, respectively—were studied.

137 < Figure 1 here please >

138 The study area has been densely instrumented in recent decades. There are 188 rain gauges evenly  
139 distributed in the basin, ensuring the achievement of the upscaling strategy in research on the impacts  
140 of rain gauge density on lumped modelling performance. Daily precipitation data are available from  
141 these rain gauges from 1990 to 2005. Corresponding pan evaporation data were acquired from 11  
142 evaporation gauges in this basin. The study area was divided into four sub-basins depending on the  
143 available discharge stations (Fig. 1). Information from the different sub-basins is summarized in Table  
144 2. These hydro-meteorological data are quality controlled by the Hydrology and Water Resources  
145 Bureau of Hunan Province, China. They have been used in many other studies (e.g., Li et al., 2015;  
146 Xu et al., 2015a, b) for various research purposes.

147 < Table 2 here please >

148 For each of the 4 basins, seven rain gauge density levels were defined, each corresponding to a  
149 percentage of the available rain gauge. Samples of rain gauge combinations were randomly selected  
150 from the complete gauge network for each density level in each basin. The maximum sampling



151 number was set to 1000 for density levels with possible combinations exceeding 1000. However,  
152 when the possible combinations were less than 1000, all possible combinations were enumerated. For  
153 example, when 9 rain gauges are sampled from the 188 rain gauges of Xiangtan Basin, there are  
154  $188!/(179! \times 9!) \approx 10^{14}$  possible combinations. As it is impossible to consider all these combinations,  
155 we randomly selected 1000 combinations. However, when 2 gauges were sampled from the 19 rain  
156 gauges of Xiangxiang Basin, there were only  $19!/(17! \times 2!) = 171$  possible combinations. Therefore,  
157 all the combinations were sampled for further analysis. The rain gauge number of each density level  
158 and the sampling number are listed in Table 3. After the sampling procedure, the areal average  
159 precipitation of each sample was derived by the Thiessen method. This method was chosen because it  
160 is commonly used in lumped hydrological modelling.

161 < Table 3 here please >

## 162 **3. Methods**

### 163 **3.1 Xinanjiang model**

164 The Xinanjiang model, which is a deterministic lumped hydrological model, is used in this study. This  
165 model was developed by Zhao and his colleagues (Zhao et al., 1980). “Its main feature is the concept  
166 of runoff formation on repletion of storage, which means that runoff is not generated until the soil  
167 moisture content reaches field capacity” (Zhao et al., 1995). This concept fits for the runoff generation  
168 mechanism of humid and semi-humid regions. The Xinanjiang model has been widely and  
169 successfully applied in southern China for flood forecasting (Jie et al., 2016; Zhao, 1992), design  
170 flood estimation (Zeng et al., 2016) and water resources assessment (Zhang et al., 2009). The  
171 flowchart of this model is shown in Fig. 2. Symbols in the solid boxes of Fig. 2 are the model inputs,

172 outputs and state variables. The model inputs are the basin average precipitation (P) and pan  
173 evaporation (Epan) measured with an “E601”-type evaporation pan with a surface area of 3000 cm<sup>2</sup>.  
174 Model outputs include the actual evapotranspiration (E) and the simulated runoff at the outlet of the  
175 study area (Q<sub>sim</sub>). Symbols outside the solid boxes of Fig. 2 are the parameters of this model, and their  
176 explanations are listed in Table 4.

177 < Figure 2 here please >

178 < Table 4 here please >

179 The Xinanjiang model used in this study (where nearly all the precipitation falls in the form of rainfall  
180 and thus a snow routine does not need to be considered) consists of the following four major routines:  
181 (i) evapotranspiration calculation; (ii) runoff production; (iii) runoff separation; and (iv) flow routing.  
182 In this model, the study area is represented by a stack of soil layers including the upper layer, the  
183 lower layer and the deep layer with water storage capacity represented by parameters UM, LM and  
184 WM-UM-LM, respectively. Potential evapotranspiration is commonly calculated by the multiplication  
185 of a pan coefficient KE with the measured pan evaporation (McVicar et al., 2007; Xu et al., 2006) in  
186 China and other countries where pan evaporation data are more available than other meteorological  
187 data needed to calculate PET. In this study, KE is considered one of the key model parameters that  
188 directly influence the achievement of water balance. Water moisture in the soil is evaporated layer by  
189 layer from top to bottom. When the precipitation input is greater than the potential evapotranspiration,  
190 runoff is generated where the soil water content reaches the water storage capacity. The excess water  
191 is first stored in a free water reservoir with areal mean storage capacity of parameter SM. The storage  
192 capacity of the free water reservoir is heterogeneously distributed in the catchment, and this uneven

193 special distribution is described by parameter EX. Thus, surface runoff, RS, generates in those areas  
 194 where the free water content reaches its storage capacity. The rest of the water in the reservoir is  
 195 separated into interflow, RI, and ground flow, RG, with the ratio of parameter KI and parameter KG,  
 196 respectively. Surface runoff is further routed to the catchment outlet through a unit hydrograph with  
 197 parameters N and NK. Interflow and ground flow are routed to a catchment outlet through a linear  
 198 reservoir with parameters CI and CG, respectively. The runoff simulation at the catchment outlet is  
 199 the sum of the three routing results.

### 200 3.2 Model calibration method

201 A global automatic optimization algorithm, the shuffled complex evolution (SCE-UA; see Duan et al.  
 202 1992) algorithm, is applied in this study for model calibration. The objective of model calibration is to  
 203 minimize the mean square error of modelling runoff.

$$204 \quad MSE = \frac{\sum (Q_{obs}^t - Q_{sim}^t)^2}{N} \quad (1)$$

205 where  $Q_{obs}^t$  and  $Q_{sim}^t$  are the daily observed and simulated runoffs, respectively, at time t, and N is  
 206 the length of daily runoff data used for model calibration.

207 Two commonly used indices, the Nash-Sutcliffe efficiency (NS) and the relative volume error (RE),  
 208 are used to evaluate the performance of the Xinanjiang model. The functions of the two indices are  
 209 expressed as follows:

$$210 \quad NS = 1 - \frac{\sum (Q_{obs}^t - Q_{sim}^t)^2}{\sum (Q_{obs}^t - \overline{Q_{obs}})^2} \quad (2)$$

$$211 \quad RE = \frac{\sum (Q_{sim}^t - Q_{obs}^t)}{\sum Q_{obs}^t} \quad (3)$$

212 where  $\overline{Q_{obs}}$  is the mean of the daily observed runoff series. NS represents the ratio between the

213 residual variance and the observed data variance. A “perfect” model fit is found when the value of NS  
214 equals one. RE determines how well the water balance is maintained. A positive RE indicates  
215 overestimation of total water volume and vice versa.

216 To minimize the initial condition influence on model performance, data from 1990 are used for  
217 warming up the model, while data from 1991 to 2005 are used for model calibration. The areal  
218 average precipitation samples of each gauge density level are used separately as the inputs to the  
219 Xinanjiang model. For each model input, the 15 model parameters are calibrated via the SCE-UA  
220 algorithm. To achieve the first objective of this study, model calibration results are analysed and  
221 compared to investigate the impact of differences in rain gauge density and gauge distribution on  
222 hydrological modelling. The precipitation sample producing the maximum model NS efficiency is  
223 chosen to be the “best precipitation sample” of the corresponding rain gauge density level for further  
224 analysis.

### 225 **3.3 The Bayesian framework**

226 To achieve the last two objectives of this study, the Bayesian framework is used to investigate the  
227 modelling uncertainty under different rain gauge density and gauge distribution. In the philosophy of  
228 the Bayesian method, unknown model parameters are treated as random quantities rather than a  
229 specified parameter set (Bernardo and Smith, 1994). Parameter distributions are inferred through the  
230 Bayesian formula, which considers the information in the observed data and prior experience together.  
231 The Bayesian formula is expressed as follows:

$$232 \quad p(\theta, \varphi | \eta) = \frac{p(\eta | \theta, \varphi) \times p(\theta, \varphi)}{\int p(\eta | \theta, \varphi) \times p(\theta, \varphi) d\theta d\varphi} \quad (4)$$

233 where  $\theta$  represents the hydrological model parameters,  $\varphi$  represents the statistical error model  
 234 parameters, and  $\eta$  represents the transformed runoff observation. The priori distribution of model  
 235 parameters  $p(\theta, \varphi)$  is modified to the posterior distribution  $p(\theta, \varphi | \eta)$  through the information of  
 236 the observed data, which is represented by the likelihood function  $p(\eta | \theta, \varphi)$ . The denominator of the  
 237 right-hand side of Eq. 4 is the occurrence probability of the observed data. For a given observed data  
 238 set, the denominator is a normalization constant for projecting the numerator into probability space.

### 239 3.3.1 Likelihood function

240 In the Bayesian formula, the likelihood function is a probability density function for the observed data  
 241 conditioned on the model parameters, which is equal to the conditional probability of simulation  
 242 errors. However, the simulation errors might be related to the hydrological processes and highly  
 243 correlated in time (Engeland and Gottschalk, 2002). Their characteristics are difficult to precisely  
 244 depict by any known statistically correct likelihood function (Gupta et al., 1998), but their chief  
 245 components can be described by statistical models. The simulation error at time t is calculated with  
 246 the following formula:

$$247 \quad \delta_t = T(Q_{sim,t}) - T(Q_{obs,t}) \quad (5)$$

248 where  $Q_{obs,t}$  and  $Q_{sim,t}$  represent the daily observed runoff and the simulated runoff at time t,  
 249 respectively, and T is a transformation function for obtaining normally distributed homoscedastic  
 250 simulation errors. There are two commonly used transformation functions in the literature, including  
 251 the Box-Cox transformation (Li et al., 2011; Yang et al., 2007) and the normal quantile transformation  
 252 (Krzysztofowicz, 1997; Li et al., 2010). In the present study, the logarithmic transformation, which  
 253 belongs to a subset of the Box-Cox transformation, is used for transformation efficiency and

254 computational convenience. This transformation has been used in many other studies (e.g., Beven and  
 255 Freer, 2001; Engeland and Gottschalk, 2002; Thiemann et al., 2001). Furthermore, the AR(1) error  
 256 model (Eq. 6) is used in this study to characterize the autocorrelation property of the simulation  
 257 errors.

$$\delta_t - \mu = \alpha(\delta_{t-1} - \mu) + \varepsilon_t \quad (6)$$

259 Here,  $\alpha$  and  $\mu$  are the autoregressive coefficient and the arithmetic mean of  $\delta$ , respectively, and  
 260  $\varepsilon_t$  represents the residuals that are assumed to fit for an independent normal distribution with zero  
 261 mean and constant variance  $\sigma^2$ . As the systematic error can be avoided by the adjustment of model  
 262 parameter KE,  $\mu$  is set to zero. Parameters of the error model,  $\alpha$  and  $\sigma^2$ , are inferred  
 263 simultaneously with the parameters of the hydrological model.

264 Based on the AR(1) error model assumption, the likelihood function is expressed as follows:

$$p(\delta | \theta, \phi) = (1 - \alpha^2)^{1/2} (2\pi\sigma^2)^{-N/2} \exp \left[ -\frac{1 - \alpha^2}{2\sigma^2} \delta_1^2 + \sum_{i=2}^N -\frac{(\delta_i - \alpha\delta_{i-1})^2}{2\sigma^2} \right] \quad (7)$$

266 where N represents the number of days and the other notations are as defined above.

### 267 3.3.2 Prior distribution

268 To reduce the number of model runs, only the parameters sensitive to the precipitation input are  
 269 inferred in this study, while the other parameters, which characterize the catchment properties, are  
 270 kept at their optimum value calibrated by the SCE-UA algorithm using the areal average precipitation  
 271 estimated by the complete rain gauge network as model input. These free parameters include the  
 272 evaporation ratio KE, which influences the water balance; the interflow and ground flow separation  
 273 parameters, KI and KG, respectively; the recession coefficients of interflow and ground flow, CI and

274 CG, respectively; and the surface flow routing parameter NK. In the absence of information about the  
275 free model parameters, uniform prior distribution of model parameters is used. For the error model  
276 parameters, the prior distribution of autoregressive coefficient  $\alpha$  is considered a uniform  
277 distribution. For the standard deviation coefficient  $\sigma$  of AR(1) residuals, Jeffreys' non-informative  
278 prior is used, and its prior density is proportional to  $\sigma^{-1}$ . The prior distributions of eight parameters,  
279 including six hydrological model parameters and two error model parameters, are listed in Table 5.

280 < Table 5 here please >

### 281 3.3.3 Adaptive MCMC algorithm

282 An adaptive Markov chain Monte Carlo method, called the single-component adaptive Metropolis  
283 (SCAM) algorithm (Haario et al., 2005), is adopted to sample from the posterior parameter  
284 distribution. This algorithm can be considered a single-component Metropolis-Hastings algorithm  
285 with the component's proposal distribution adapted during the sampling process. In the  
286 implementation of this algorithm, the abovementioned eight parameters are updated one by one, and  
287 after the updating of all parameters, one iteration is completed. The proposal distribution of each  
288 parameter is a normal distribution centred on the present parameter value with a variance adapted  
289 iteration by iteration. The algorithm is conducted in the following steps:

- 290 1. Let  $x_{i,t}$  be the  $i^{\text{th}}$  parameter of the  $t^{\text{th}}$  iteration, where  $i \sim [1,8]$ ;
- 291 2. Sample one candidate point  $y_{i,t}$  from the proposal distribution of the  $i^{\text{th}}$  parameter,  
292  $y_{i,t} \sim N(x_{i,t}, v_{i,t})$  normal distribution centred on current point;
- 293 3. Accept the candidate point with the probability calculated as follows:

294 
$$a(y_{i,t}, x_{i,t+1}) = \min\left[1, \frac{\pi(x_{1,t+1}, \dots, x_{i-1,t+1}, y_{i,t}, \dots, x_{8,t})}{\pi(x_{1,t+1}, \dots, x_{i-1,t+1}, x_{i,t}, \dots, x_{8,t})}\right] \quad (8)$$

295 
$$x_{i,t+1} = \begin{cases} y_{i,t} & \text{with probability } a(y_{i,t}, x_{i,t+1}) \\ x_{i,t} & \text{with probability } 1 - a(y_{i,t}, x_{i,t+1}) \end{cases} \quad (9)$$

296 where  $\pi$  represents the posterior distribution of the model parameter set;

297 4. Update the variance of the proposed distribution of the  $i^{\text{th}}$  parameter (Eq. 10);

298 
$$v_{i,t+1} = \begin{cases} v_{i,0}, & t \leq t_0 \\ s\text{Var}(x_{0,i}, \dots, x_{i,t}) + s\upsilon, & t > t_0 \end{cases} \quad (10)$$

299 where  $t_0$  denotes the number of iterations below which the variance of the proposal distribution for  
 300 the  $i^{\text{th}}$  parameter is a constant  $v_{i,0}$ . After  $t_0$  iterations, the variance of the proposal distribution is  
 301 updated to the sampling variance multiplied by a scaling factor,  $s$ , which equals 2.4 (Gelman et al.,  
 302 1996) in this study. The  $\upsilon$  used in Eq. 10 is a small constant for preventing the variance of the  
 303 proposal distribution from shrinking to zero.

304 To avoid the convergence of the Markov chain into a local optimum region, four independent Markov  
 305 chains with different initial states randomly chosen from the parameter space are adopted in this study.

306 The widely used potential scale reduction score  $\sqrt{R}$  is used to check the convergence of the Markov  
 307 chains. The detailed calculation steps of  $\sqrt{R}$  were illustrated by (Gelman and Rubin, 1992).

### 308 **3.3.4 Confidence intervals for runoff simulation**

309 To study the impacts of rain gauge density on hydrological modelling uncertainty, the “best  
 310 precipitation sample” with the largest NS value for each rain gauge density level is used as a model  
 311 input. Two hundred thousand parameter sets of each of the four Markov chains are sampled after  
 312 convergence. Thus, a total of 800,000 parameter sets are sampled from their posterior distributions.

313 Then, the parameter posterior distribution and runoff confidence interval under different rain gauge



314 densities are derived and compared. These parameter posterior distributions and runoff confidence  
 315 intervals are further used as a model uncertainty baseline for evaluating the model uncertainty due to  
 316 the difference of rain gauge distribution. To analyse the influence of rain gauge distribution on  
 317 hydrological modelling uncertainty, all the 1000 precipitation samples, derived from randomly  
 318 sampled gauge combinations for a given rain gauge density level, are separately used as model input.  
 319 Eight hundred parameter sets for each precipitation sample are generated after convergence. Then,  
 320 these parameter sets are combined to represent the parameter uncertainty caused by the difference of  
 321 rain gauge distribution for a given rain gauge density. In addition, a total of 800,000 parameter sets  
 322 are sampled out for the 1000 precipitation samples of a certain rain gauge density level.

323 The 95% confidence intervals for runoff simulation due to parameter uncertainty are estimated from  
 324 the modelling runoffs with parameter sets sampled above. The 95% confidence intervals for runoff  
 325 simulation considering the parameter uncertainty and model uncertainty are derived from the  
 326 modelling runoffs adding the model residuals that are characterized by an AR(1) model. Indices used  
 327 to measure the derived 95% confidence intervals of runoff simulations are the average relative  
 328 interval length (ARIL) (Jin et al., 2010) and the percentage of observations that are contained in the  
 329 intervals (CI95) (Li et al., 2009). These two indices are calculated as follows:

$$330 \quad CI95 = \frac{N_{in}}{N} \times 100\% \quad (11)$$

$$331 \quad ARIL = \frac{1}{N} \sum \frac{Limit_{Upper,t} - Limit_{Lower,t}}{Q_{obs,t}} \quad (12)$$

332 where  $N_{in}$  is the number of observations contained in the 95% confidence interval;  $N$  represents the  
 333 number of days;  $Limit_{Upper,t}$  and  $Limit_{Lower,t}$  are the upper and lower boundaries of the 95%  
 334 confidence interval, respectively; and other notations are as defined above.

## 335 **4. Results**

336 In the following three sections, the model performances under different rain gauge density and  
337 distribution patterns for the four sub-basins are first analysed. Then, the influence of rain gauge  
338 density and gauge distribution on model parameter posterior distribution and on total modelling  
339 uncertainty is investigated.

### 340 **4.1 Model performance under different rain gauge density and distributions**

341 The Nash-Sutcliffe efficiency, NS, and the relative volume errors, RE, for the runoff simulations with  
342 the precipitation samples as model inputs for each rain gauge density level were calculated. The  
343 boxplots of the two indices under different rain gauge densities for each sub-basin are shown in Fig. 3.  
344 For the four sub-basins, model performance is improved with the increase of rain gauge density, while  
345 the improvement is no longer significant when the rain gauge number exceeds a threshold. For the  
346 smallest basin, Xiangxiang, approximately 10 rain gauges (approximately 605 km<sup>2</sup> per gauge) are  
347 required to achieve stable model performance. For the largest basin, Xiangtan, 38 rain gauges  
348 (approximately 2148 km<sup>2</sup> per gauge) are necessary. This phenomenon indicates that a denser rain  
349 gauge network is required for a smaller basin to achieve stable model efficiency. Fig. 3 also shows  
350 that a higher rain gauge density leads to robust model performance with a better value of indices and a  
351 smaller variability of indices. When rain gauge density is lower than the threshold, the impacts of rain  
352 gauge distribution on model performance is more obvious. For illustrative purposes, Fig. 4 and Fig. 5  
353 show the rain gauge distributions with maximum, median and minimum NS values for the Ganxi  
354 Basin and Hengyang Basin, respectively. For both basins, it is seen that when the gauge density is low,  
355 relatively evenly distributed rain gauges (Fig. 4(a), (d) and Fig. 5(a), (d)) will give better model

356 simulation efficiency. If there are some gauges evenly distributed in the study area already, adding  
357 more gauges in the upstream mountainous region (see Fig. 4(g), (j) and Fig. 5(g), (j)) is beneficial for  
358 the improvement of model efficiency. However, if rain gauges are all concentrated in parts of the  
359 study area (Fig. 4(l) and Fig. 5(i)), then it is difficult to achieve good model efficiency even with a  
360 large rain gauge number.

361 < Figure 3 here please >

362 < Figure 4 here please >

363 < Figure 5 here please >

364 To demonstrate the impacts of rain gauge density on model parameter estimation, the standard  
365 deviations (STDs) of the 1000 calibrated parameter sets corresponding to the 1000 precipitation  
366 samples for each rain gauge density level were calculated. For illustrative purposes, Hengyang Basin  
367 and Xiangtan Basin are considered here. The STD value of each parameter was normalized by  
368 subtracting the minimum value and then dividing by the difference between the maximum value and  
369 the minimum value. The normalized STD values of each parameter for different rain gauge densities  
370 are shown in Fig. 6. In general, the normalized STD values for almost all model parameters (except  
371 for EX and IMP in Xiangtan Basin) display a descending trend with the increase of rain gauge density,  
372 which means the difference of rain gauge distribution will have less influence on model parameter  
373 estimation when more rain gauges are used for areal average precipitation estimation. The abnormal  
374 trends of parameters EX and IMP may be caused by the equifinality problem in model calibration. In  
375 the Xinanjiang model, EX describes the spatial distribution of free water storage capacity. Its value is  
376 influenced by the areal mean free water storage capacity SM. These parameters together determine the

377 amount of surface water generation for a precipitation event. Fig. 6(b) shows that although the  
378 normalized STD value of EX increases when the rain gauge number changes from 19 to 38, the STDs  
379 of both parameters generally show a decreasing trend with the increase in rain gauge number. For  
380 parameter IMP, which represents the ratio of impermeable area to basin area, the STD ranges from  
381 0.0023 to 0.0027 when different rain gauge densities are used. Therefore, this parameter is not  
382 sensitive to the rain gauge density, and thus an abnormal behaviour of the normalized STD of this  
383 parameter is found in Fig. 6 (b).

384 < Figure 6 here please >

385 The impact of rain gauge density on runoff simulation is investigated by comparing the flow duration  
386 curves (FDCs) of the observed runoff and those of the simulated runoffs. The results of the Xiangtan  
387 Basin are shown in Fig. 7 as a demonstration. Fig. 7 shows that a wider 95% confidence interval of  
388 simulated FDC is found when fewer rain gauges are used for model calibration, especially for an  
389 extreme flood with low exceedance probability. This phenomenon indicates that (1) the impact of rain  
390 gauge density on runoff simulation is greater for high floods and (2) this impact is reduced with the  
391 increase in the number of rain gauges used for model calibration.

392 < Figure 7 here please >

393 The above results reveal that rain gauge density and gauge distribution have considerable impacts on  
394 the model parameter estimation as well as the runoff simulation. Although the improvement of model  
395 performance is no longer significant when the rain gauge density exceeds a threshold (Fig. 3), reduced  
396 uncertainties in model parameter estimation (Fig. 6) and high flood simulation (Fig. 7) are observed  
397 with further increases in rain gauge density.

## 398 **4.2 Influence of rain gauge density on modelling uncertainty**

399 This section investigates how much the model uncertainty varies with the gauge density for the  
400 optimum rain gauge distribution pattern obtained under each rain gauge density. The “best  
401 precipitation sample” of each rain gauge density derived in Section 3.2 is first used as the model input.  
402 The model parameters’ posterior distributions are then investigated using the Bayesian method. The  
403 95% confidence intervals of simulated runoffs are finally derived to analyse the model uncertainty  
404 under different rain gauge densities. Boxplots of 800,000 model parameter sets sampled from their  
405 posterior distribution with the SCAM algorithm are shown in Fig. 8. It can be seen that the posterior  
406 distribution of parameter KE, which is related to water balance, varies significantly when different  
407 rain gauge densities are used for areal average precipitation estimation. The insufficiency of the rain  
408 gauge number and the shortcoming of the areal average precipitation estimation method cause  
409 significant variation of KE when different rain gauge densities are used. For the other parameters,  
410 difference of posterior distributions are also significant when the rain gauge density is low. However,  
411 when the rain gauge density is greater than a certain threshold, this difference is no longer obvious  
412 and model parameters converge to a stable posterior distribution. These thresholds are different for  
413 different parameters. For the interflow separation parameter KI and recession parameter CI, 56 rain  
414 gauges are needed to obtain a stable posterior distribution. For the ground water separation parameter  
415 KG and the surface runoff routing parameter NK, 94 rain gauges are required. The ground water  
416 recession parameter CG seems less sensitive to the rain gauge density, as only 19 rain gauges are  
417 needed to derive a stable CG posterior distribution. Generally, from the variation of model parameters  
418 with rain gauge density, it seems that the impact of rain gauge density is different on different model

419 parameters, and a greater impact is found on model parameters related to the water balance  
420 calculation.

421 < Figure 8 here please >

422 The 95% confidence intervals of simulated runoffs under different rain gauge density levels are  
423 derived, and then the interval measures are calculated and listed in Table 6. There is no significant  
424 difference in the interval length and containing ratio of the 95% confidence interval due to parameter  
425 uncertainty under different rain gauge density levels. However, when both the parameter uncertainty  
426 and the model uncertainty are considered, the interval length decreases with the increase of rain gauge  
427 number, which means that model uncertainty can be reduced by increasing the rain gauge density.  
428 However, this improvement is no longer significant when the number of rain gauges exceeds 38. This  
429 threshold is also applicable for the model performance index, the NS value. For illustrative purposes,  
430 the 95% confidence intervals due to parameter uncertainty and those due to both parameter  
431 uncertainty and model uncertainty for floods with a peak value exceedance probability (PEP) of 5%,  
432 50% and 95% are shown in Fig. 9. When the same rain gauge number is used, the interval length due  
433 to parameter uncertainty is much shorter than that due to parameter uncertainty and model uncertainty.  
434 Thus, parameter uncertainty is less significant than model uncertainty in this case. Under different  
435 rain gauge density levels, the 95% confidence intervals seem to be narrower when more rain gauges  
436 are used, especially for high flood runoffs (Fig. 9 (c), (f)).

437 < Table 6 here please >

438 < Figure 9 here please >

#### 439 **4.3 Influence of rain gauge distribution on modelling uncertainty**

440 Parameters sampled from their posterior distributions with the 1000 random precipitation samples as  
441 separate model inputs are merged together to represent the impacts of rain gauge distribution on  
442 model parameter estimation. Fig. 10 shows the boxplots of these 800,000 sampled parameter sets.  
443 When the rain gauge density is low, a difference in rain gauge distribution will lead to biased  
444 parameter estimation to compensate for the errors in areal average precipitation estimation. With the  
445 increase of rain gauge density, this parameter bias is reduced as the medians of parameter samples  
446 approach a constant value. A reduced box width in Fig. 10 is also found for each parameter with the  
447 increase of rain gauge density. Thus, the parameter estimation uncertainty caused by the difference of  
448 rain gauge distribution can be reduced by the increased rain gauge density. However, when more than  
449 38 rain gauges are used, this improvement is no longer significant except for parameter KE.

450 < Figure 10 here please >

451 The STDs of parameter samples are shown in Table 7. The STDs of almost all parameters are reduced  
452 with the increase in rain gauge density. For certain model parameters, the STD of parameter samples  
453 with random precipitation inputs (1000 random precipitation samples) is larger than that of parameter  
454 samples with fixed precipitation inputs (“best precipitation sample”). The difference between the two  
455 STDs represents the parameter uncertainty induced by the difference of rain gauge distribution. Fig.  
456 11 demonstrates the ratio of parameter STD between random precipitation input and fixed  
457 precipitation input under different rain gauge densities. It seems that (1) the larger ratios between the  
458 two STDs indicate greater uncertainty in parameter estimation, which is caused by the rain gauge  
459 distribution, especially when the rain gauge number is less than 38 for the Xiangtan Basin; (2) for  
460 parameter KE, no matter how many gauges are used, obvious parameter uncertainty caused by gauge

461 distribution still exists; and (3) the large ratio of the STDs of KE indicates the severe impact of rain  
462 gauge distribution on model water balance.

463 < Table 7 here please >

464 < Figure 11 here please >

465 The 95% confidence intervals of runoff simulations for flows with peak exceedance probability (PEP)  
466 of 5%, 50%, and 95% using randomly selected precipitation samples as model inputs are shown in Fig.  
467 12. This figure shows that under the same rain gauge density, the confidence interval due to model  
468 parameter uncertainty is smaller than that due to model uncertainty. It also demonstrates a significant  
469 reduction in the interval length when more gauges are available, especially for the confidence interval  
470 due to parameter uncertainty. Comparing Fig. 12 and Fig. 9, the parameter uncertainty induced  
471 confidence interval is larger when the uncertainty caused by the rain gauge distribution is considered.  
472 For the entire simulated runoff series, the indices of the 95% confidence intervals are listed in Table 8.  
473 For the confidence interval due to model parameter uncertainty, Table 8 shows that the average  
474 relative interval length is reduced when more rain gauges are available. The same conclusion applies  
475 to the confidence interval due to both model uncertainty and parameter uncertainty. However, it  
476 seems the improvement of total model uncertainty is not significant when the rain gauge number is  
477 larger than 94. Comparing Table 8 and Table 6, the confidence interval length due to both parameter  
478 uncertainty and model uncertainty is wider if the impact of rain gauge distribution is considered,  
479 especially when the number of rain gauges is less than 94. This increase of interval length seems to be  
480 mainly caused by the parameter uncertainty, as the interval due to parameter uncertainty increased  
481 most significantly with decreased rain gauge density.



482 < Table 8 here please >

483 < Figure 12 here please >

## 484 **5. Discussion**

### 485 **5.1 Model performance under different rain gauge density and distributions**

486 Section 4.1 revealed the impacts of rain gauge density and gauge distribution on lumped hydrological  
487 modelling performance. It is worth noting that precipitation interpolation will also cause errors in  
488 daily areal average precipitation estimation, which, however, is not the main focus of this paper. The  
489 impacts of diverse gauge distributions on lumped hydrological modelling are comparable as long as  
490 the same areal average precipitation derivation method is used. Many precipitation interpolation  
491 methods are available in the literature, such as the Thiessen polygons, Kriging, thin smooth plate  
492 splines, etc. (Ruelland et al., 2008), and some studies suggest using factors such as topography  
493 indexes or terrain elevation as covariates in precipitation interpolation (Diodato, 2005). However, it  
494 seems that the relationships between precipitation and these factors are less clear on a daily time scale  
495 than on longer time scales (Johansson and Chen, 2003). Moreover, when the gauge density is low, it is  
496 impossible to implement some complicated interpolation methods. For example, the Kriging method  
497 requires relatively high-density gauge network data to derive the semi-variogram (Ruelland et al.,  
498 2008). Thus, we chose the Thiessen polygons method because it is the most widely used method in  
499 lumped hydrological modelling and it requires much less computation time than other sophisticated  
500 precipitation interpolation methods such as Kriging or thin smooth plate splines (Ruelland et al.,  
501 2008).

502 In accordance with other studies (Anctil et al., 2006; Bárdossy and Das, 2008; Dong et al., 2005; Xu  
503 et al. 2013), our results show that there is a threshold of rain gauge density above which the  
504 improvement in model efficiency indices is no longer significant. We also found that for lumped  
505 hydrological modelling in a smaller basin, a denser rain gauge network is required to achieve good  
506 model efficiency. One interesting finding of our research is that even though no significant  
507 improvements in model efficiency indices are found when the rain gauge density is greater than a  
508 given threshold, a further increase in rain gauge density will reduce the variability of model  
509 parameters and increase the accuracy of the peak flow simulation.

510 Similar to the findings of Andréassian et al. (2001) and Xu et al. (2013), we found that only a few  
511 gauges can lead to very good model performance if these gauges are properly distributed. Thus, we  
512 can achieve good model efficiency through the optimization of rain gauge network. Dong et al. (2005)  
513 and Xu et al. (2013) revealed that the geographical location of rain gauges will impact the model  
514 simulation results. They also suggested that orographic precipitation should be considered in  
515 designing the spatial configuration of rain gauges. Through the comparison of rain gauge distributions  
516 that lead to different model efficiencies at several gauge density levels (Fig. 4 and Fig. 5), it seems  
517 that when gauge density is low, an evenly distributed network of rain gauges is beneficial for lumped  
518 hydrological modelling. When more gauges are available, additional gauges should be installed in  
519 mountainous regions, where orographic rain is more likely. This is only a qualitative suggestion of  
520 rain gauge network design. To quantitatively determine the number and location of rain gauges,  
521 Anctil et al. (2006) used the Genetic algorithm to optimize the rain gauge network. However, the  
522 method they used is only suitable for discarding redundant rain gauges from a dense gauge network.

523 To expand a rain gauge network, methods based on entropy or Kriging theory (Chen et al. 2008) are  
524 recommended.

## 525 **5.2 Influence of rain gauge density on modelling uncertainty**

526 Though some rain gauge networks will lead to good mean model efficiency, model uncertainty under  
527 these gauge networks should be analysed regarding the well-known parameter equifinality problem.  
528 Kavetski et al. (2006b) and Ajami et al. (2007) investigated the model input uncertainty in  
529 hydrological modelling through Bayesian theory. They found that precipitation errors will affect the  
530 confidence interval of simulated runoff considerably. However, they focused on the development and  
531 testing of uncertainty estimation frameworks, and no specific attention was paid to evaluating the  
532 impacts of rain gauge density and distribution on modelling uncertainty. In Section 4.2, we tested how  
533 much model uncertainty varies with the rain gauge density if the “best precipitation sample” of each  
534 density level is used as a model input. We found that the parameter equifinality-induced modelling  
535 uncertainties are similar for each gauge density level. In addition, the parameter uncertainty is  
536 relatively smaller than the model uncertainty, which is consistent with the results of other uncertainty  
537 evaluation papers (Engeland et al., 2005; Li et al., 2011). However, the model parameter posterior  
538 distribution varies considerably under different rain gauge densities, while no significant difference in  
539 the modelling efficiency is found, especially when the rain gauge number exceeds 38 for the Xiangtan  
540 Basin, indicating that precipitation errors can be compensated by adjusting model parameters.

## 541 **5.3 Influence of rain gauge distribution on modelling uncertainty**

542 When the impacts of rain gauge distribution are considered in Section 4.3, it seems that the parameter  
543 uncertainty under each gauge density level is much greater than the parameter uncertainty when the

544 “best precipitation sample” is used as a model input. This phenomenon indicates that precipitation  
545 errors due to the rain gauge distribution will substantially affect model parameter estimation. Similar  
546 to the findings of Andréassian et al. (2001), this study reveals that the responses of model parameters  
547 to precipitation errors are different. For parameters related to the water balance calculation, more rain  
548 gauges are required to obtain stable parameter posterior distributions. With the increase in rain gauge  
549 number, both model uncertainty and parameter uncertainty induced by differences in gauge  
550 distribution reduce gradually. However, there is still a threshold (94 for the Xiangtan Basin) above  
551 which the reduction of model uncertainty is no longer obvious. The reason for this may be that the  
552 increased rain gauge number can only reduce the model input error, whereas the uncertainty due to the  
553 model conceptualization and model parameter equifinality still exists.

## 554 **6. Conclusions**

555 This study analysed the influence of rain gauge density and gauge distribution on the performance of  
556 a lumped hydrological model with different catchment sizes both in a deterministic sense and in terms  
557 of model uncertainty. The model inputs were the areal average precipitation samples estimated from  
558 randomly selected rain gauge combinations for different density levels. The performance of the  
559 Xinanjiang model was compared using different model inputs. The modelling uncertainty was further  
560 investigated using a Bayesian framework.

561 The results revealed that with the increase in rain gauge number, the model performance indices of the  
562 four sub-basins increase significantly when the rain gauge number is less than a certain threshold.  
563 Above this threshold, a further increase in the number of rain gauges will provide less improvement

564 for these indices, although decreases of uncertainty in model parameter estimation and flood  
565 simulation continue.

566 The study shows that required rain gauge density for achieving adequate modelling results depends on  
567 the basin size. In this study area, for medium-size sub-basins with a drainage area of thousands of  
568 square kilometres, 10 to 15 evenly distributed rain gauges are necessary to obtain a stable model  
569 performance. For large sub-basins with a drainage area larger than 50,000 square kilometres, 30 to 50  
570 evenly distributed rain gauges are required. Though the threshold number of rain gauges decreases  
571 with the decrease in basin size, the corresponding gauge density increases. Thus, a smaller basin  
572 requires a denser rain gauge network. However, below the threshold, there are still some rain gauge  
573 networks that lead to good model performance, indicating the potential to improve model efficiency  
574 through rain gauge network optimization. Furthermore, the threshold number of rain gauges is not  
575 only related to the basin size but also to the spatiotemporal characteristics of the precipitation. For  
576 different regions, this threshold may be different. The numerical relationship between the required  
577 rain gauge number and basin size needs to be investigated in further studies in diverse climatic  
578 regions.

579 The comparison of model parameter posterior distribution and modelling uncertainty between  
580 different rain gauge density levels indicates that when the best rain gauge network of each density  
581 level is used, the total modelling uncertainty is reduced with the increase in rain gauge number,  
582 especially for the high flood runoffs. However, the posterior distributions of some parameters are  
583 quite different for different gauge density levels. To enable a robust parameter estimation, different  
584 rain gauge numbers are required for different model parameters. A higher rain gauge density is  
585 necessary for those parameters that are directly involved in calculating the water balance.

586 This study also found that when differences in rain gauge distribution are considered, a lower rain  
587 gauge density leads to biased parameter estimation and large parameter uncertainty. Through the  
588 increased rain gauge density, this parameter uncertainty can be reduced, but no significant  
589 improvement of model performance is found when the gauge density level is greater than a certain  
590 threshold due to the uncertainty embedded in the model conceptualization.

591 The aforementioned conclusions may be suitable for other basins when lumped hydrological models  
592 are used to characterize the relationships between precipitation and runoff, but the threshold of the  
593 rain gauge density will be different. Moreover, the behaviour of distributed hydrological models with  
594 the number of rain gauges and their spatial distributions will be different. Additional studies in other  
595 basins using different hydrological models are needed to reach a general conclusion and guidance for  
596 the design of rain gauge networks.

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786 **Tables**

787 Table 1 Summary of papers related to the impacts of imperfect precipitation inputs on hydrological modelling. The relation of these papers to the objectives of this study is assessed in one or all  
 788 of three aspects: (a) assessed the precipitation errors related to rain gauge density and gauge distribution, (b) assessed impacts of precipitation errors on hydrological modelling in a deterministic  
 789 sense, (c) assessed impacts of precipitation errors on hydrological modelling considering uncertainty. NG indicates the information is not given in the paper.

Study	Basin/Basin area (km <sup>2</sup> ) /Gauge number	Rain gauge network scenario	Precipitation preparation method	Hydrological model/ Time scale	Key results/in relation to the abovementioned three aspects
1-Andréassian et al. (2001)	(1) Yonne, France/ 10700/ 33; (2) Serein, France/ 1120/ 33; (3) Réal Collobrier, France/ 71/ 20;	For each gauge number, randomly select 60 subsets from all the gauges.	Arithmetic mean	(1) Lumped GR3J model; (2) Lumped IHACRES model; (3) Lumped TOPMO model; /daily	(1) Improved accuracy in rainfall input will increase model performance and reduce model efficiency variability; (2) Smaller watersheds need a higher concentration of rain gauges. /(a, b)
2-St-Hilaire et al. (2003)	Five basins in the Mauricie area, Canada/10142, 9320, 3800, 2680, 2770/48	Sparse scenario: 22 gauges; Dense scenario: 27 gauges;	Ordinary Kriging	Lumped HSAMI model; /daily	(1) Denser network relates to better modelling efficiency; (2) Peak flows were better simulated with a denser network. /(a, b)
3-Anctil et al. (2006)	Bas-en-Basset, France/ 3234/ 23	(1) For each of the five rain gauge numbers (20, 15, 10, 5, 2), 50 subsets were randomly selected; (2) 2500 gauge combinations were tested within the genetic algorithm for network optimization	Arithmetic mean	Lumped neural network rainfall-runoff forecasting model. /daily	(1) Ten rain gauges are the minimum requirement; (2) Genetic search can be used to find the optimal gauge combination for hydrological forecasting. /(a,b)
4-Bárdossy and Das (2008)	The upper Neckar with 13 subcatchments, Germany/ 3961/ 51	Seven networks consisting of different rain gauge numbers were selected by simulated annealing algorithm.	Kriging with external drift	Semi-distributed HBV model. /daily	(1) Overall model performance will not be significantly improved by increasing the number of rain gauges over a threshold; (2) Models using different rain gauge networks may need their parameters recalibrated. /(a,b)
5-Xu et al. (2013)	Xiangjiang, China/ 94660/ 181	For each of the six rain gauge numbers (10, 19, 38, 57, 93, 128), 100 subsets are randomly selected.	NG	Lumped Xinanjiang model /daily	(1) The probability of achieving poor model performance is increased when the number of rain gauges falls below a threshold; (2) Better model performance can be achieved with fewer rain gauges if an optimum spatial configuration is provided. /(a,b)
6-Dong et al. (2005)	The upper Qingjiang, China/ 12209/ 26	All possible combinations are enumerated when gauge number ranges from 1 to 7 and from 20 to 26; 5000 subsets are randomly selected for gauge numbers	Arithmetic mean	Lumped HBV model /daily	(1) Five rain gauges are enough for lumped HBV model in this basin; (2) Setting more gauges in the mountainous regions with heavy orographic rainfall will lead to better model performance. /(a,b)

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7-Xu et al. (2006)	26 watersheds in the Mälaren basin, Sweden/ ranging from 6 to 1293/ 41	ranging from 8 to 19; Corrupt the precipitation measurement by adding random errors and systematic errors.	Arithmetic mean	Lumped water balance model, NOPEX-6 /monthly	(1) Systematic precipitation errors have significant impacts on model quality; (2) Systematic precipitation errors affect model parameters systematically; (3) Random precipitation errors affect model parameters randomly. /(b)
8-Oudin et al. (2006)	12 watersheds in the United States/ ranging from 1021 to 4421/ NG	Corrupt the precipitation measurement by adding random errors and systematic errors.	NG	(1) Lumped GR4J model; (2) Lumped TOPMO model /daily	(1) Random errors in precipitation significantly affect model performance and model parameters; (2) Systematic errors in precipitation, when large enough, can be detrimental to model performance. /(b)
9-Kavetski et al. (2006b)	(1) French Broad River, the United States/ 2450/ 5; (2) Potomac River, the United States/ 2250/ 8;	Use Gaussian multiplier model as input uncertainty model. The multipliers were inferred by Bayesian theory.	NG	Single-bucket version of the VIC model. /daily	(1) Precipitation errors have considerable effects on the predicted hydrographs (prediction limits) and the calibrated parameters. /(c)
10-Ajami et al. (2007)	Leaf River Basin, the United States/ 1949/ NG	Use random multipliers sampled from Gaussian distribution to represent precipitation errors	NG	(1) Lumped SAC-SMA model; (2) Lumped HYMOD model; (3) Lumped SWB model. /daily	(1) Ignoring input error or model error will cause unrealistic model simulation and incorrect uncertainty bounds. /(c)
11-This study	Four sub-basins of the Xiangjiang Basin, China/ 6053, 9972, 52150, 81638/ 188	For each of six gauge densities, randomly select 1000 subsets from available gauges.	Thiessen polygons	Lumped Xinanjiang model /daily	(1) The impacts of imperfect rainfall estimates on model efficiency can be reduced to some extent through the adjustment of model parameters; (2) modelling uncertainty can be reduced through the increase of rain gauge density or the optimization of rain gauge distribution pattern. /(a,b,c)

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791 Table 2. The drainage area and number of available rain gauges in each sub-basin.

NO.	Gauging Station Name	Drainage Area (km <sup>2</sup> )	Available Rain Gauges
1	Xiangxiang	6053	19
2	Ganxi	9972	26
3	Hengyang	52150	120
4	Xiangtan	81638	188

792



793 Table 3. The rain gauge number and its spatial density for each density level. The table also shows the sampling number for  
 794 each density level.

Station Name	Density level	1	2	3	4	5	6	7
	Percentage (%)	5	10	20	30	50	70	100
Xiangxiang	Gauge Number	1	2	4	6	10	13	19
	Sampling Number	19	171	1000	1000	1000	1000	1
	Density (number per 10 <sup>3</sup> km <sup>2</sup> )	0.17	0.33	0.66	0.99	1.65	2.15	3.14
Ganxi	Gauge Number	1	3	5	8	13	18	26
	Sampling Number	26	1000	1000	1000	1000	1000	1
	Density (number per 10 <sup>3</sup> km <sup>2</sup> )	0.10	0.30	0.50	0.80	1.30	1.81	2.61
Hengyang	Gauge Number	6	12	24	36	60	84	120
	Sampling Number	1000	1000	1000	1000	1000	1000	1
	Density (number per 10 <sup>3</sup> km <sup>2</sup> )	0.12	0.23	0.46	0.69	1.15	1.61	2.30
Xiangtan	Gauge Number	9	19	38	56	94	132	188
	Sampling Number	1000	1000	1000	1000	1000	1000	1
	Density (number per 10 <sup>3</sup> km <sup>2</sup> )	0.11	0.23	0.47	0.69	1.15	1.62	2.30

795

796 Table 4. Parameters of the Xinanjiang model.

Number	Parameter	Explanation	Unit	Uncertainty analysis
1	KE	Ratio of potential evapotranspiration to pan evaporation	--	Yes
2	WM	Areal mean tension water storage capacity	mm	No
3	UM	Upper layer tension water storage capacity	mm	No
4	LM	Lower layer tension water storage capacity	mm	No
5	B	Tension water distribution index	--	No
6	IMP	Impermeable coefficient	--	No
7	SM	Areal mean free water storage capacity	mm	No
8	EX	Free water distribution index	--	No
9	KI	Outflow coefficient of free water storage to interflow	day <sup>-1</sup>	Yes
10	KG	Outflow coefficient of free water storage to groundwater flow	day <sup>-1</sup>	Yes
11	C	Deep layer evapotranspiration coefficient	--	No
12	CI	Interflow recession coefficient	--	Yes
13	CG	Groundwater recession coefficient	--	Yes
14	N	Parameter of Nash unit hydrograph	--	No
15	NK	Parameter of Nash unit hydrograph	--	Yes

797

798 Table 5. The prior distributions of parameters to be inferred.

Parameter	KE	KI	KG	CI	CG	NK	$\alpha$	$\sigma$
Prior distribution	Uniform	Uniform	Uniform	Uniform	Uniform	Uniform	Uniform	Jeffreys
Range	[0.8,1.4]	[0,0.5]	[0,0.5]	[0.7,0.95]	[0.95,1.0]	[2,5]	[0,1)	(0,inf)

799

800 Table 6. Measures of model uncertainty with precipitation input estimated from the best gauge distribution of each rain  
 801 gauge density level for the Xiangtan Basin.

Gauge Number	9	19	38	56	94	132	188
CI95 <sub>P</sub> (%)	15.5	14.6	14.4	15.0	14.9	14.8	15.0
ARIL <sub>P</sub>	0.09	0.08	0.08	0.08	0.08	0.08	0.08
CI95 <sub>PM</sub> (%)	94.8	94.7	95.1	94.8	94.8	94.8	94.8
ARIL <sub>PM</sub>	1.06	0.99	0.95	0.94	0.95	0.95	0.95
Min NS	0.906	0.910	0.923	0.923	0.923	0.925	0.922
Max NS	0.923	0.929	0.935	0.936	0.936	0.937	0.934

802 Indices with subscript P measure the 95% confidence interval due to parameter uncertainty; indices with subscript PM  
 803 measure the 95% confidence interval due to parameter uncertainty and model uncertainty.

804

805 Table 7. The standard deviation of model parameters sampled from their posterior distributions under different rain gauge  
 806 density levels for the Xiangtan Basin.

Gauge Number	KE <sub>F</sub>	KE <sub>R</sub>	KI <sub>F</sub>	KI <sub>R</sub>	KG <sub>F</sub>	KG <sub>R</sub>	CI <sub>F</sub>	CI <sub>R</sub>	CG <sub>F</sub>	CG <sub>R</sub>	NK <sub>F</sub>	NK <sub>R</sub>
9	19.4	100.4	10.4	18.3	6.0	12.3	4.86	8.77	0.44	0.65	37.0	97.4
19	17.8	68.5	10.3	13.4	5.7	8.2	4.62	6.59	0.43	0.56	36.9	58.1
38	16.8	47.5	10.2	12.3	5.5	6.8	4.68	6.03	0.42	0.55	36.8	42.7
56	17.9	37.1	9.8	11.9	5.5	6.3	4.66	5.57	0.39	0.54	36.0	38.9
94	16.7	28.4	10.0	11.7	5.4	6.1	4.72	5.69	0.41	0.55	36.0	37.3
132	16.6	22.4	10.0	11.5	5.3	5.9	4.66	5.26	0.41	0.51	36.0	36.8

807 Subscript F represents model parameters with precipitation input estimated from the best gauge distribution pattern of each  
 808 density level; subscript R represents model parameters estimated with 1000 randomly selected precipitation samples as  
 809 separate model inputs for each density level. For illustration convenience, the standard deviations of all the parameters listed  
 810 in the table are multiplied by a factor of 1000. Units of the parameters are shown in Table 4.

811

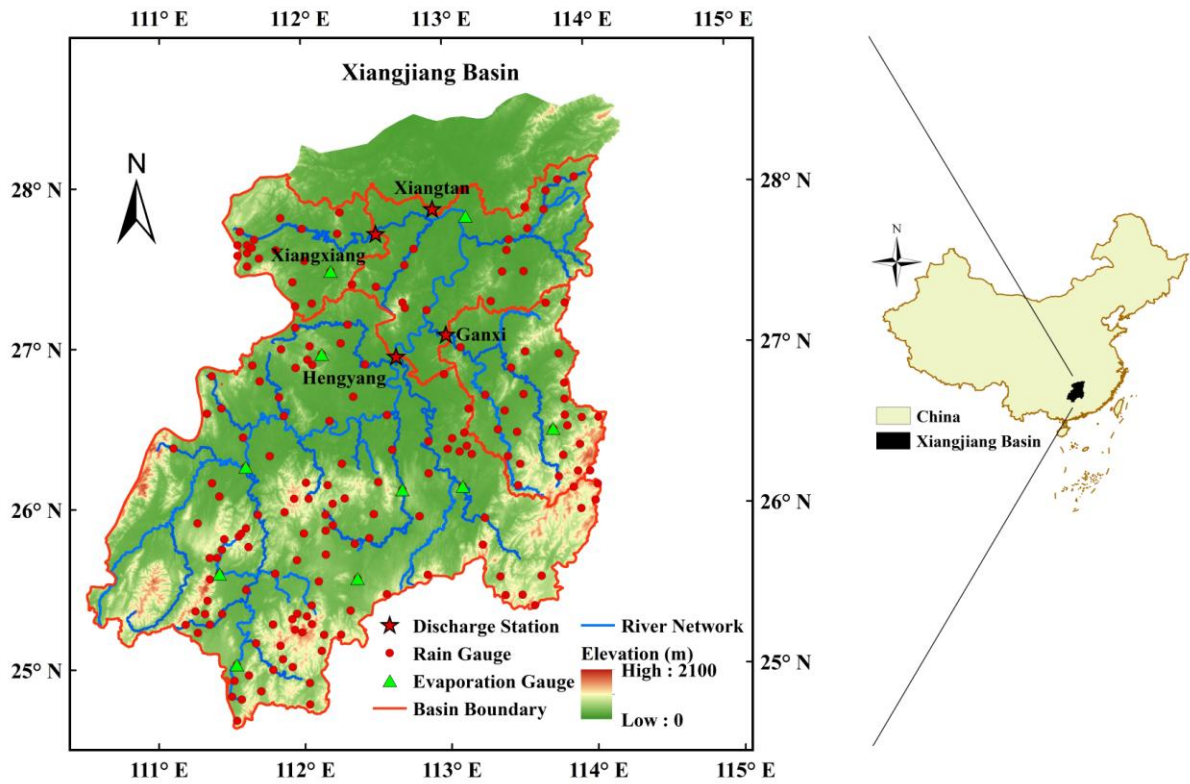
812 Table 8. Measures of model uncertainty with the 1000 precipitation samples as separate model inputs for each rain gauge  
 813 density for the Xiangtan Basin.

Gauge Number	9	19	38	56	94	132
CI95 <sub>P</sub> (%)	70.2	54.6	41.3	34.6	26.8	21.8
ARIL <sub>P</sub>	0.49	0.32	0.23	0.19	0.14	0.11
CI95 <sub>PM</sub> (%)	97.4	96.5	95.8	95.5	95.2	95.0
ARIL <sub>PM</sub>	1.26	1.08	1.01	0.98	0.96	0.96
Min NS	0.723	0.819	0.883	0.884	0.885	0.905
Max NS	0.921	0.928	0.934	0.935	0.936	0.936

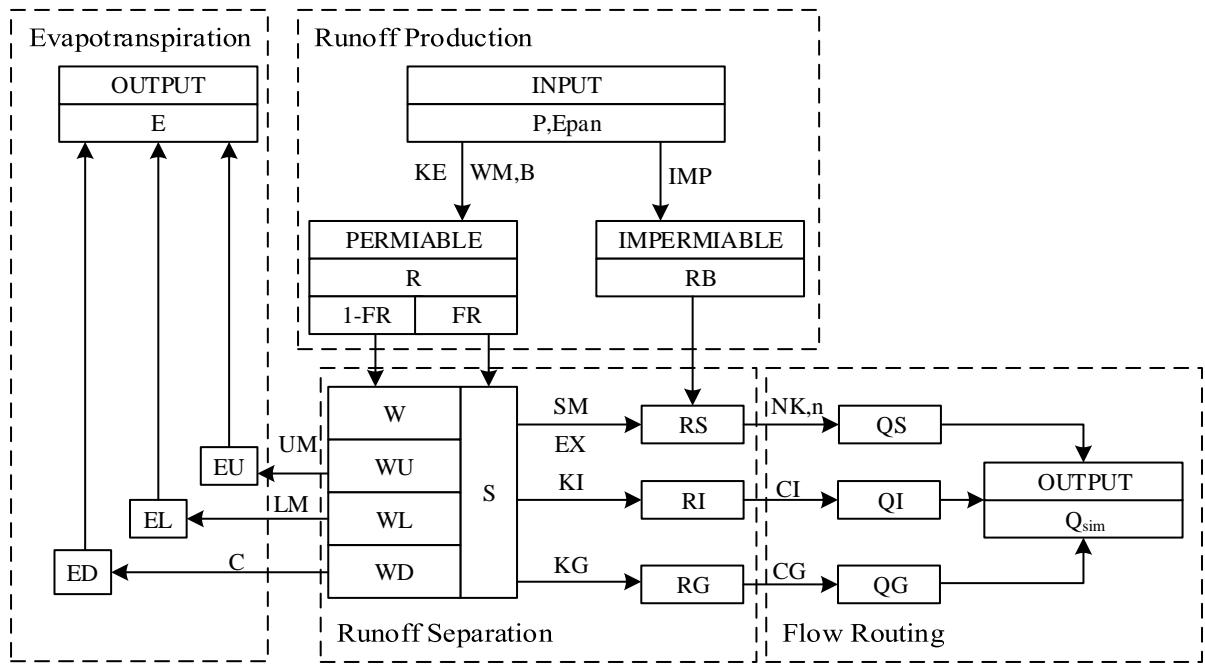
814

815

816 **Figures**



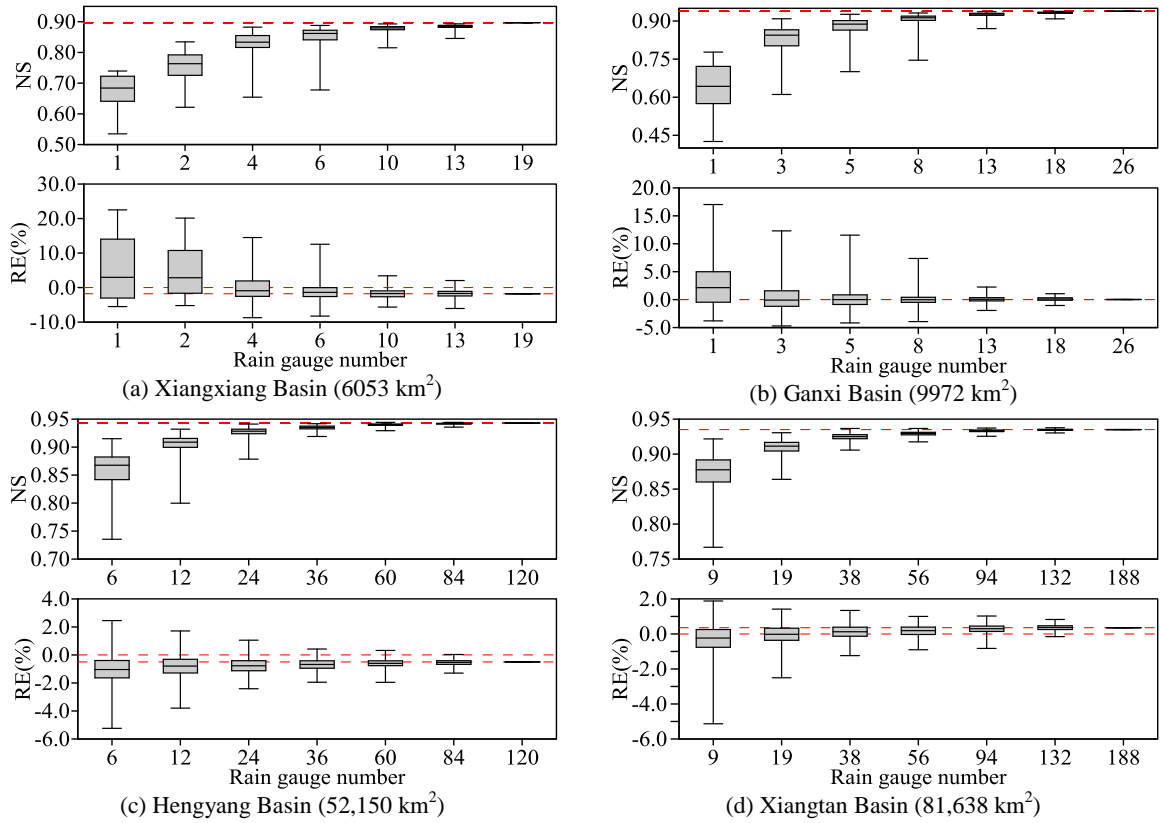
817  
818 Figure 1. Distribution diagram of discharge stations, evaporation gauges and rain gauges in the Xiangjiang Basin.  
819



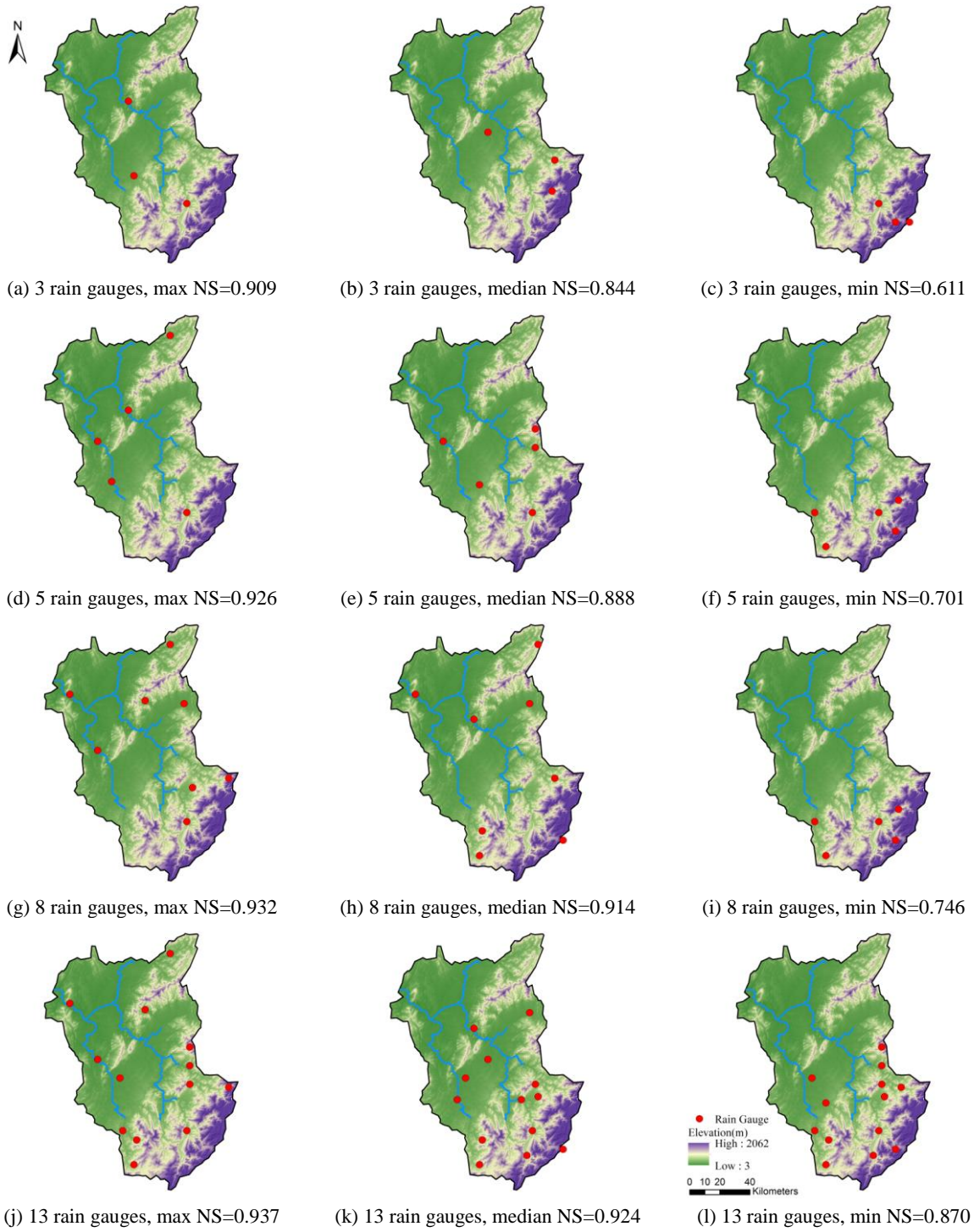
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822

Figure 2. The flowchart of the Xinanjiang model.

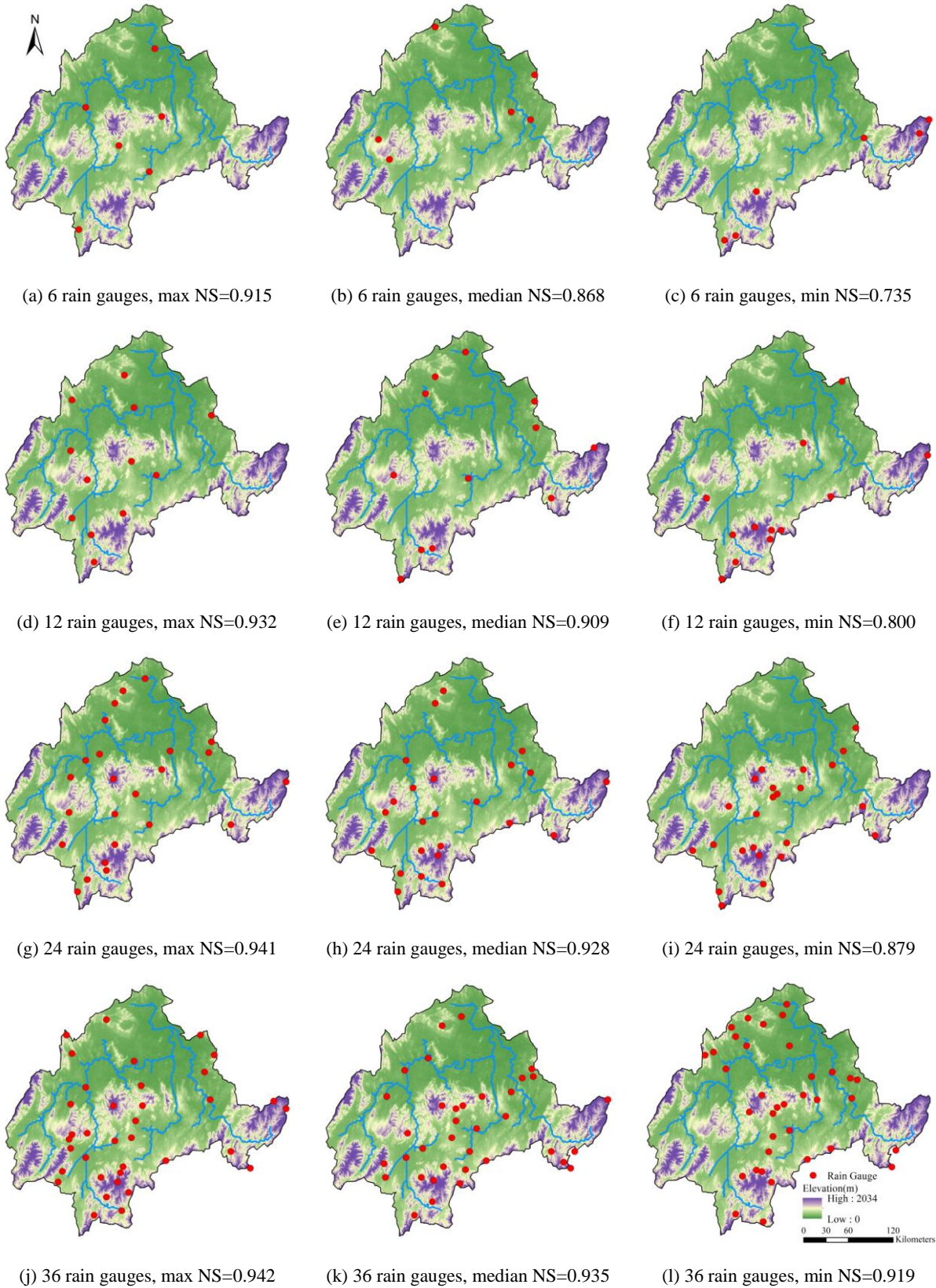




824 Figure 3. Boxplots of model performance indices under different rain gauge densities. The boxplots show the 25th, 5th, and  
 825 75th percentiles and the minimum and maximum value of the indices.

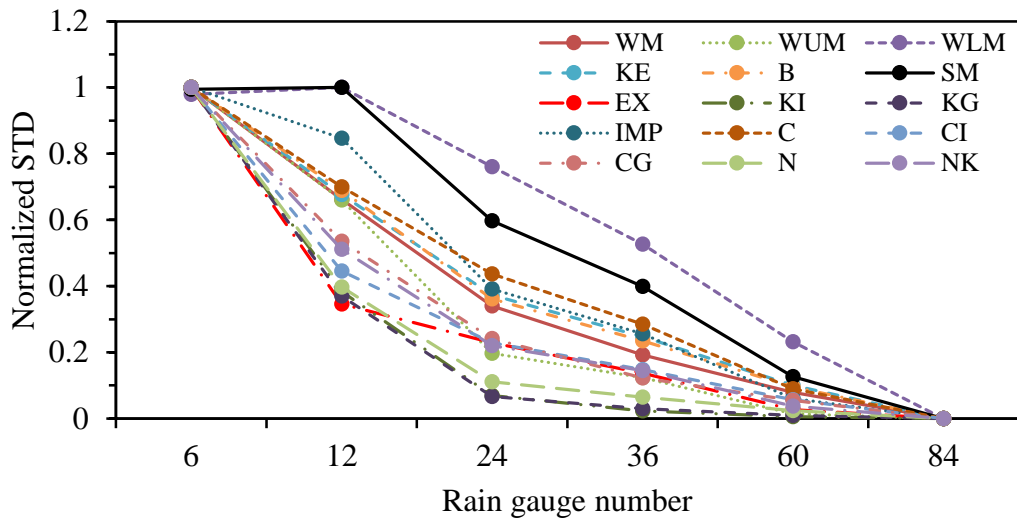


827 Figure 4. Gauge distributions of 3, 5, 8 and 13 rain gauges with maximum, median and minimum NS values for the Ganxi  
 828 Basin.  
 829

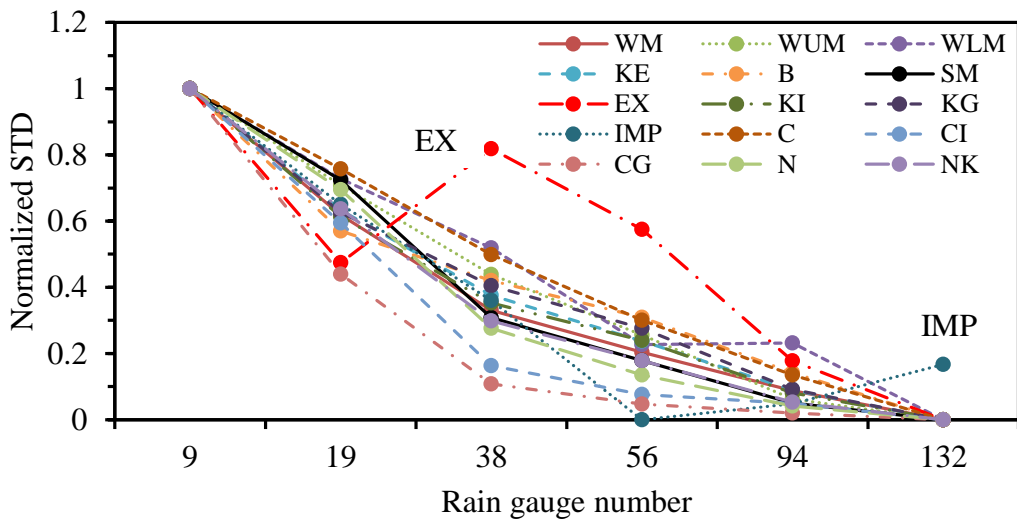


830 Figure 5. Gauge distributions of 6, 12, 24 and 36 rain gauges with maximum, median and minimum NS values for the  
 831 Hengyang Basin.

832

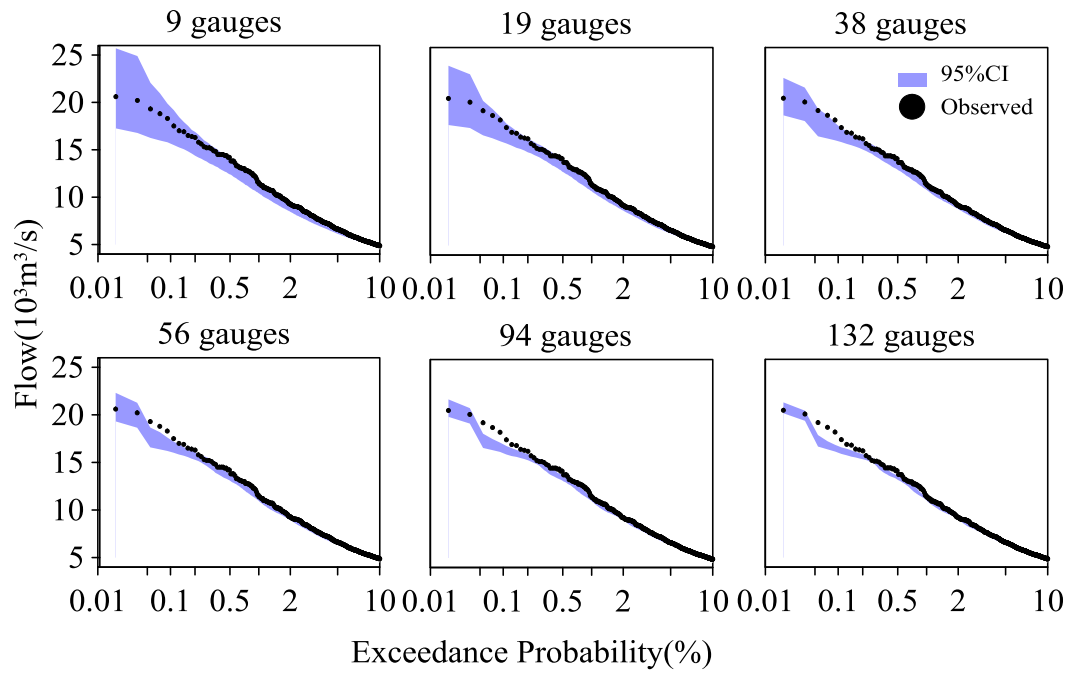


(a) Hengyang Basin



(b) Xiangtan Basin

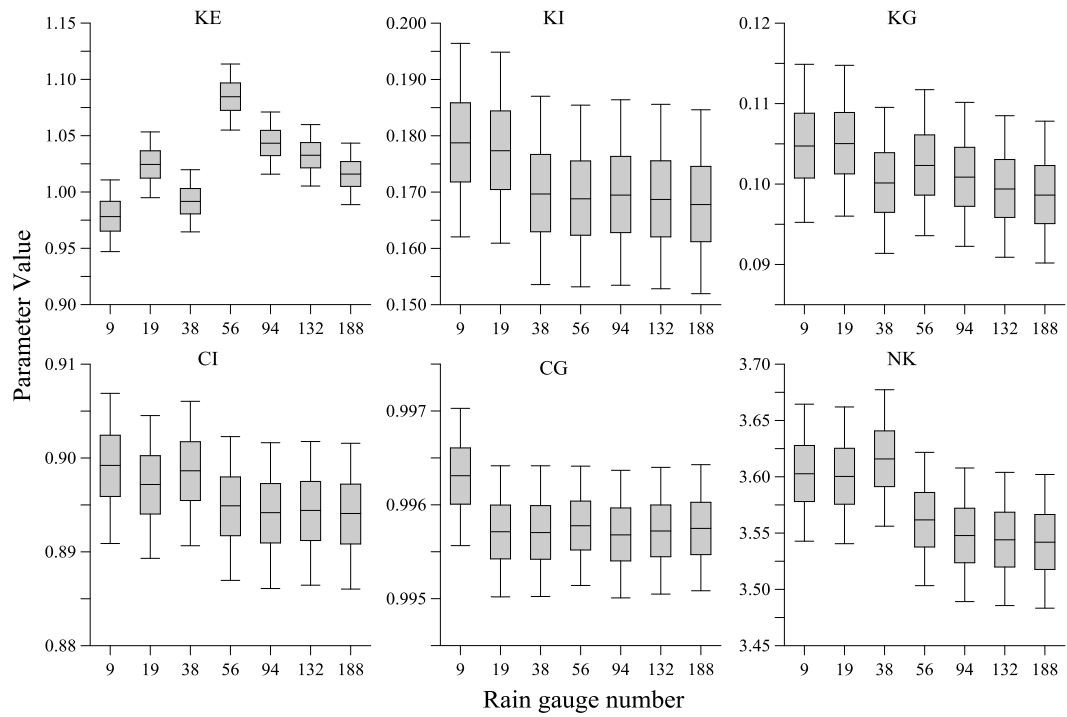
833 Figure 6. The normalized STD values of model parameters under different rain gauge numbers. (a) Hengyang Basin; (b)  
 834 Xiangtan Basin.  
 835



836

837 Figure 7. Flow duration curve of the observed runoff and the 95% confidence interval of the 1000 simulated runoffs under  
 838 different rain gauge densities for the Xiangtan Basin.

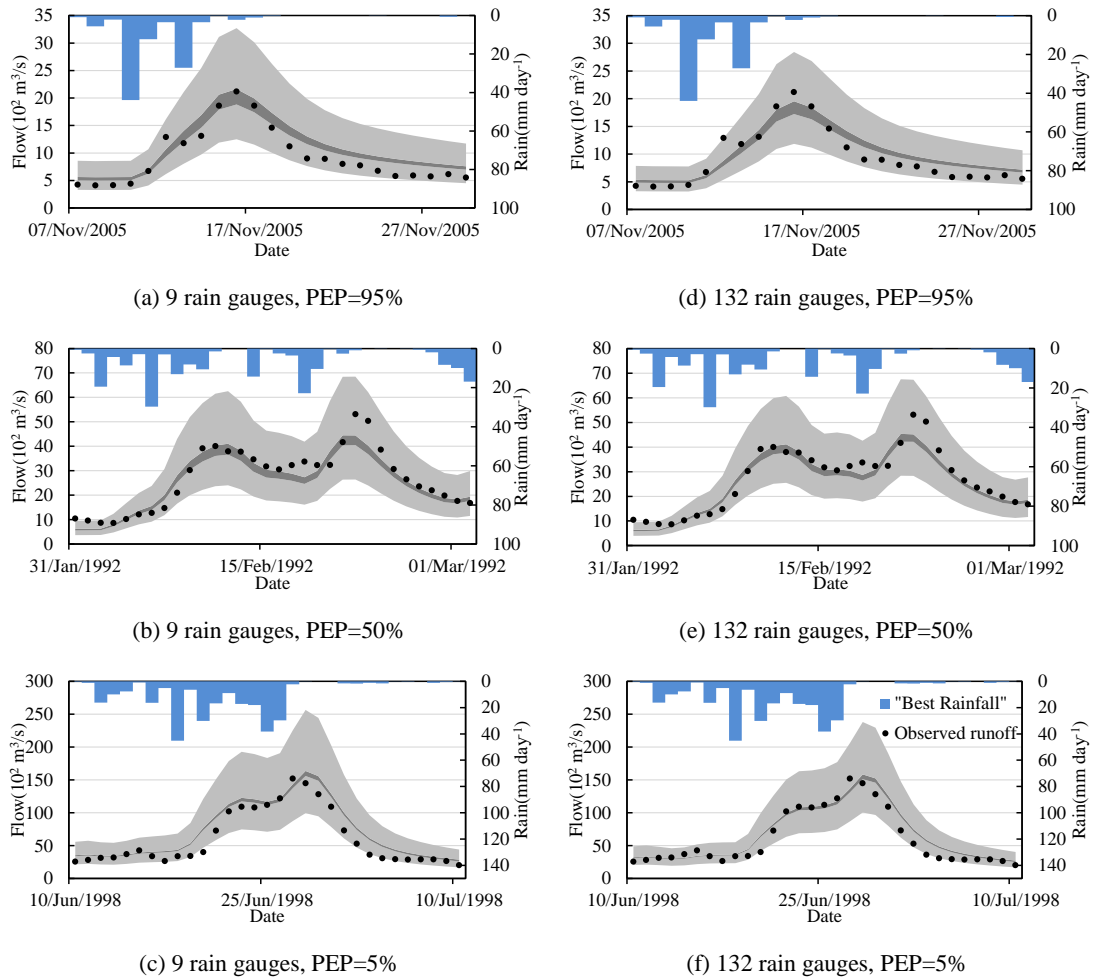
839



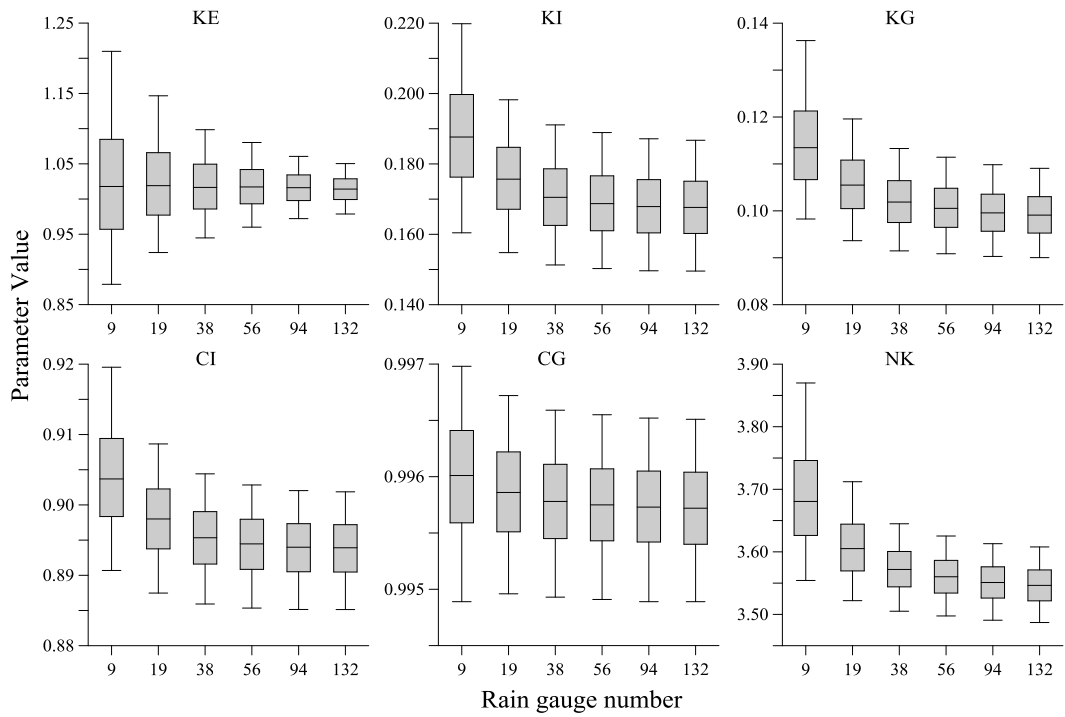
840

841 Figure 8. Boxplots of 800,000 model parameter sets sampled from their posterior distributions for the Xiangtan Basin. The  
 842 boxplots show the 5th, 25th, 50th, 75th, and 95th percentiles of the model parameters.

843



844 Figure 9. The 95% confidence intervals of simulated runoffs for floods with a peak exceedance probability (PEP) of 5%, 50%  
 845 and 95% using “best precipitation samples” as model input for density levels with 9 and 132 rain gauges in the Xiangtan  
 846 Basin. The dark shaded region represents the 95% confidence interval only considering parameter uncertainty; the light  
 847 shaded region represents the 95% confidence interval considering both parameter uncertainty and model uncertainty.  
 848

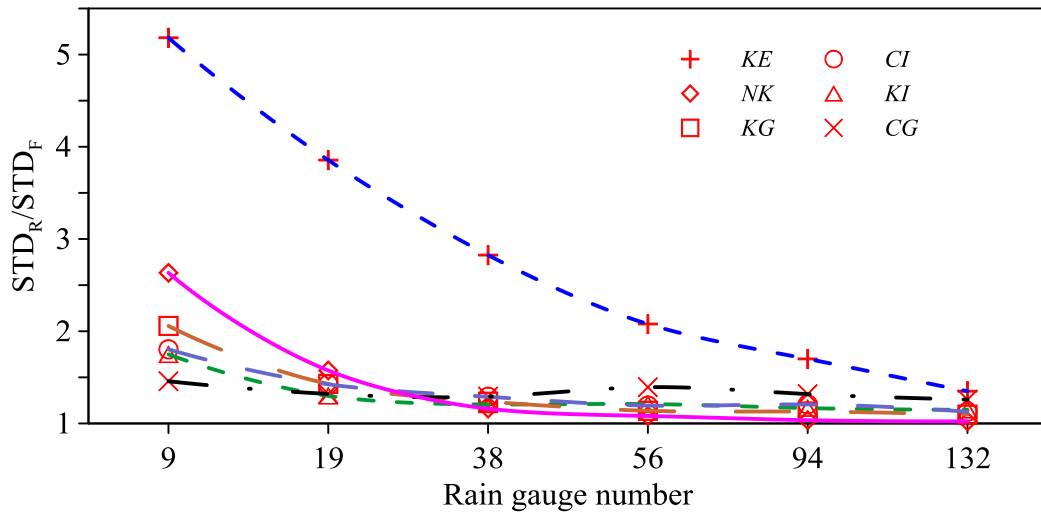


849

850 Figure 10. Boxplots of 800,000 model parameter sets sampled from their posterior distributions with the 1000 precipitation  
 851 samples as model inputs for each rain gauge density level in the Xiangtan Basin. The boxplots show the 5th, 25th, 50th, 75th,  
 852 and 95th percentiles of the model parameters.

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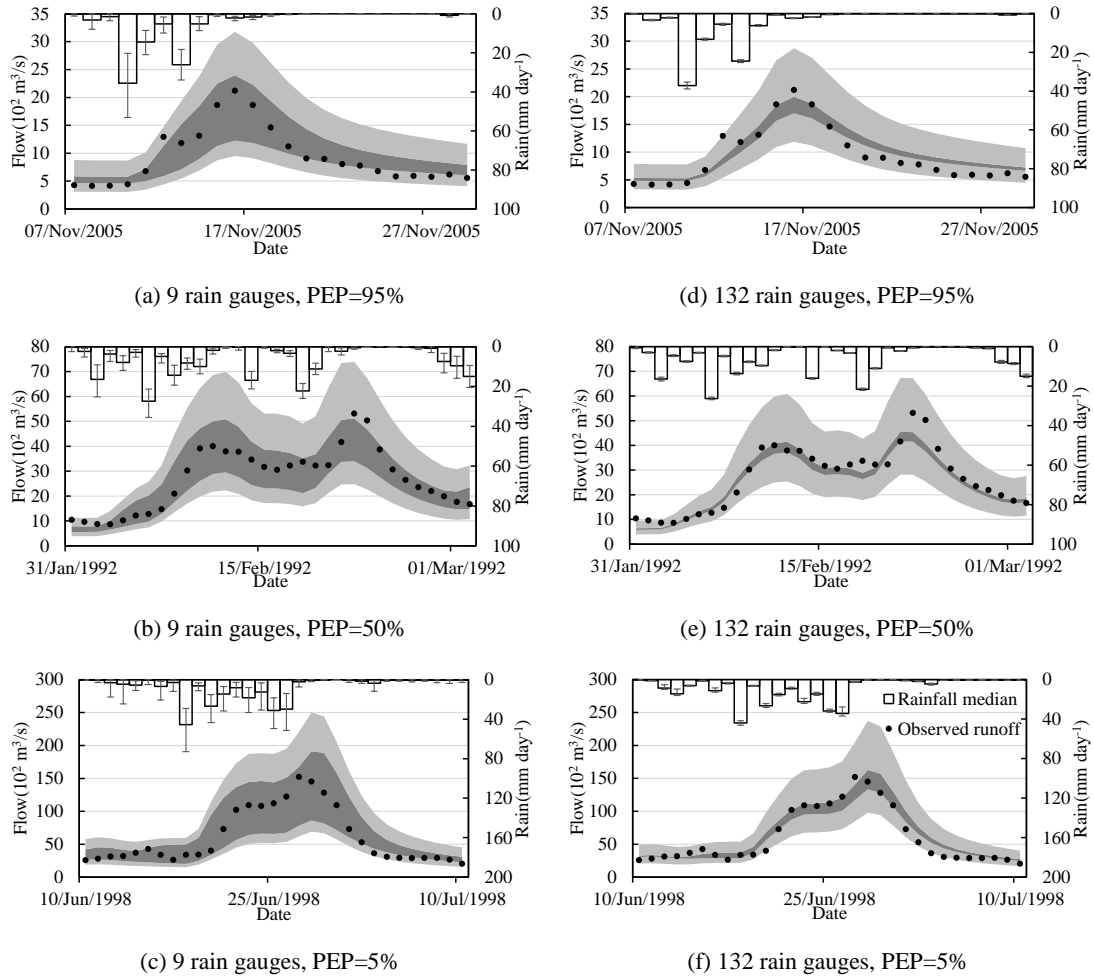




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855 Figure 11. The ratio between parameter standard deviations of random rainfall input and fixed rainfall input in the Xiangtan  
 856 Basin.

857



858 Figure 12. The 95% confidence intervals of simulated runoffs for floods with a peak exceedance probability (PEP) of 5%, 50%  
 859 and 95% using 1000 randomly selected precipitation samples as separate model inputs for density levels with 9 and 132 rain  
 860 gauges in the Xiangtan Basin. The dark shaded region represents the 95% confidence interval only considering parameter  
 861 uncertainty; the light shaded region represents the 95% confidence interval considering both parameter uncertainty and  
 862 model uncertainty; the white bar graphs with whiskers represent the 2.5%, 50%, and 97.5% percentiles of the 1000  
 863 precipitation samples at different times.

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